

Progress on the QCD phase diagram (is slow...but steady)



Owe Philipsen



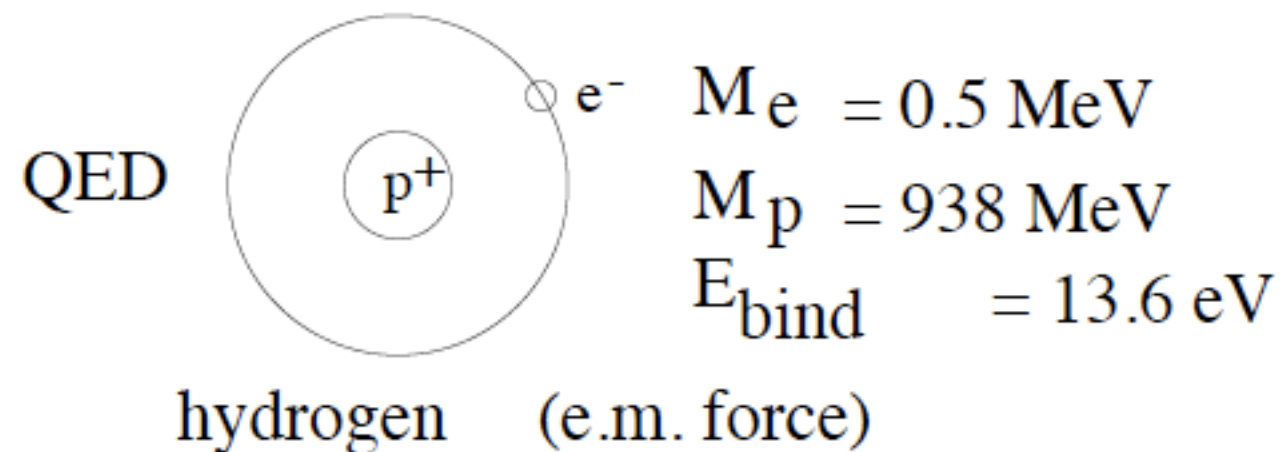
- Introduction: Lattice QCD and the sign problem
- Overview: the finite T transition from direct lattice simulations
- Towards cold and dense QCD: effective lattice theories

Quantum Chromodynamics, theory of strong interactions

$$\mathcal{L}_{\text{QCD}} = \frac{1}{4g^2} \text{Tr } F_{\mu\nu} F_{\mu\nu} + \sum_{i=1}^3 \bar{\psi}_i [\gamma_\mu D_\mu + m_i] \psi_i$$

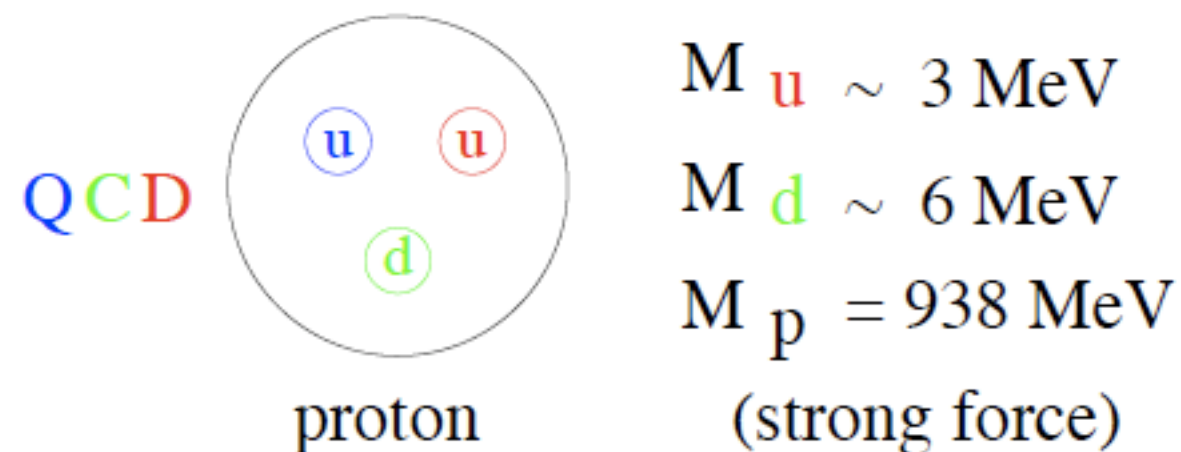
$$m_u \sim 3\text{MeV}, \quad m_d \sim 6\text{MeV}, \quad m_s \sim 120\text{MeV} \Rightarrow N_f \approx 2 + 1$$

weak vs. strong coupling:



$$\alpha = \frac{e^2}{4\pi} = \frac{1}{137}$$

photons e,p gauge group U(1)
 \downarrow \downarrow \downarrow
 gluons quarks gauge group SU(3)



$$\alpha_s = \frac{g^2}{4\pi} \approx 1$$

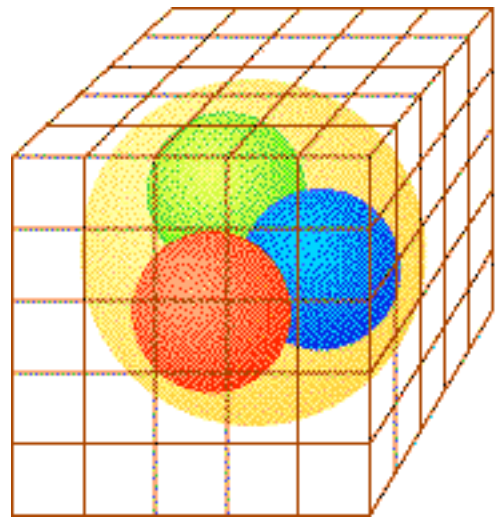
\Rightarrow **Confinement, non-perturbative**
 gluon self-interaction!

Lattice gauge theory + Monte Carlo method

QCD partition fcn:

$$Z = \int DU \prod_f \det M(\mu_f, m_f; U) e^{-S_{gauge}(\beta; U)}$$

links=gauge fields

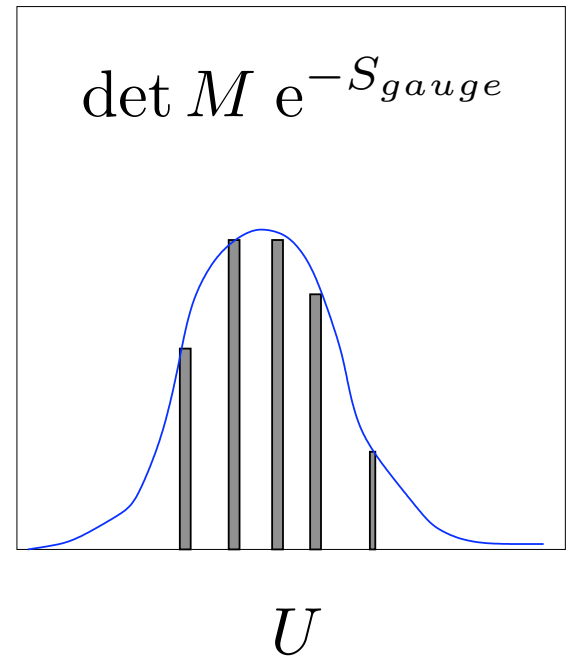


$$4d : N_s^3 \times N_t$$

lattice spacing $a \ll \text{hadron} \ll L$!

typically $> 10^8 - 10^{10}$ dim. integral

➔ Monte Carlo, importance sampling



Euclidean time:

$$T = \frac{1}{aN_t}$$

Continuum limit: $N_t \rightarrow \infty, a \rightarrow 0$

Phase diagram: $N_t = 4, 6$

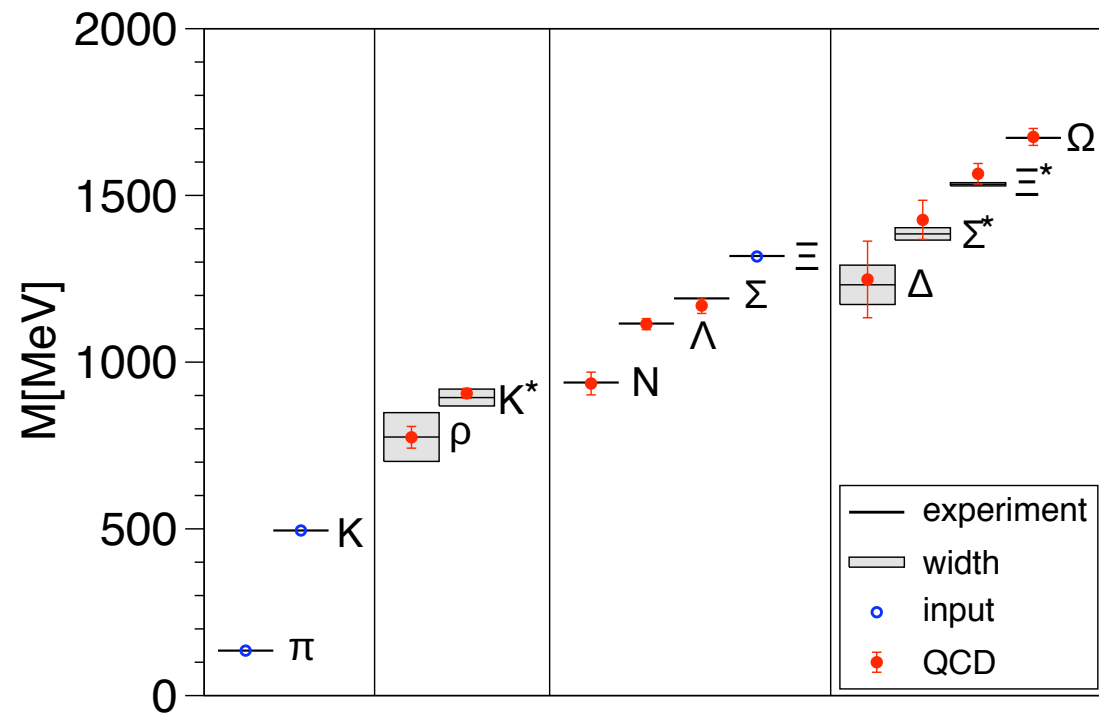
$a \sim 0.3, 0.2$ fm

Light fermions expensive, $\text{cost}(\det M) \sim \frac{1}{m^6}$

Directly calculable: particle masses, decay constants, equilibrium thermodynamics

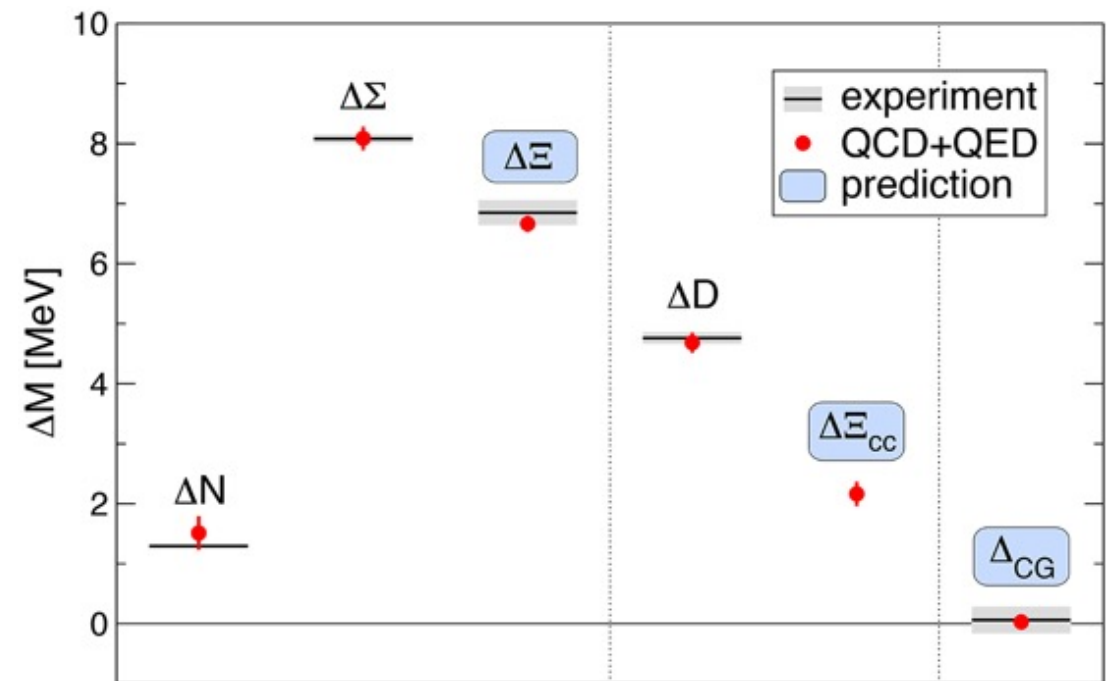
Success of lattice QCD: hadron spectrum

Nf=2+1 QCD



(Budapest, Marseille, Wuppertal) 2010

including isospin splitting + QED



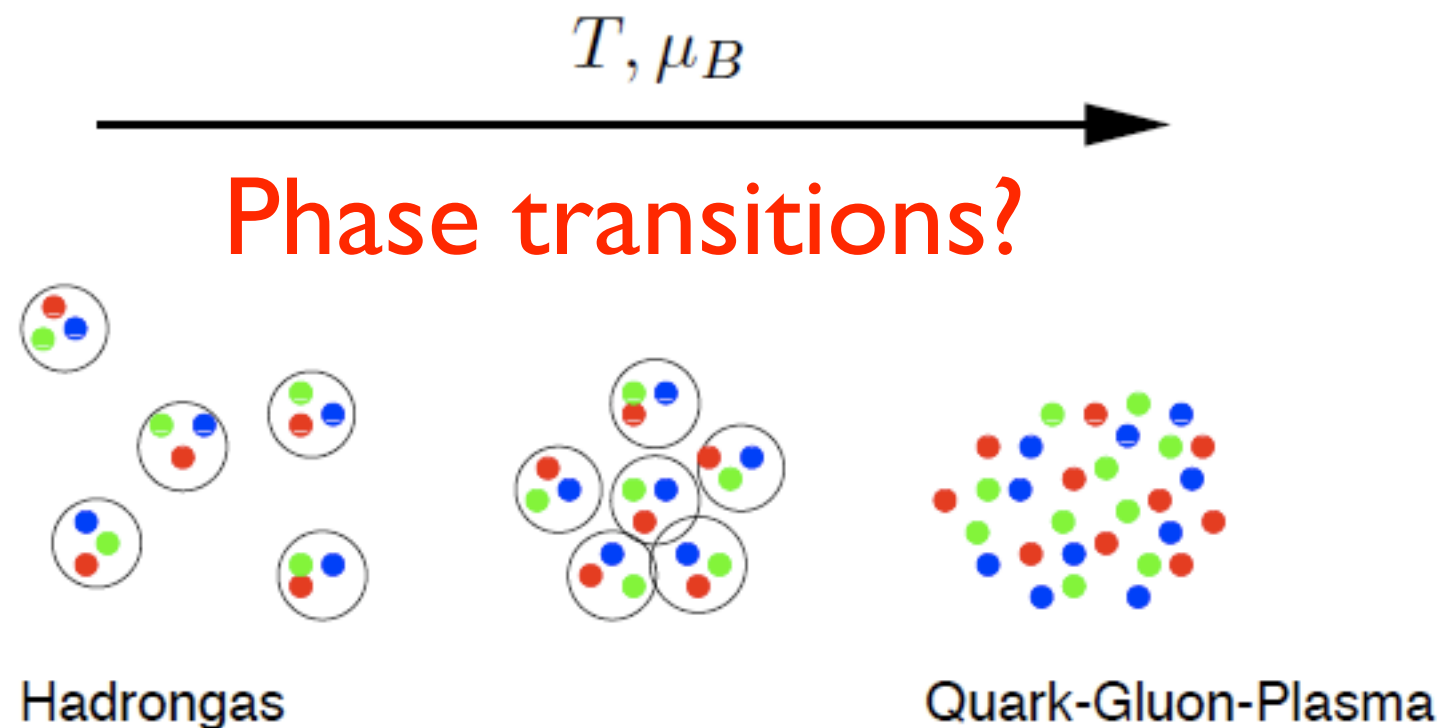
Budapest - Wuppertal 2014

- High precision hadron spectrum
- QED effects included
- LQCD used as discovery tool:

exotic hadron states, hadronic matrix elements for $g-2$, beyond SM models, axions+relic WIMP abundance....

QCD at high temperature/density: change of dynamics

asymptotic freedom $\alpha_s(p \rightarrow \infty) \rightarrow 0$



Chiral symmetry:

broken

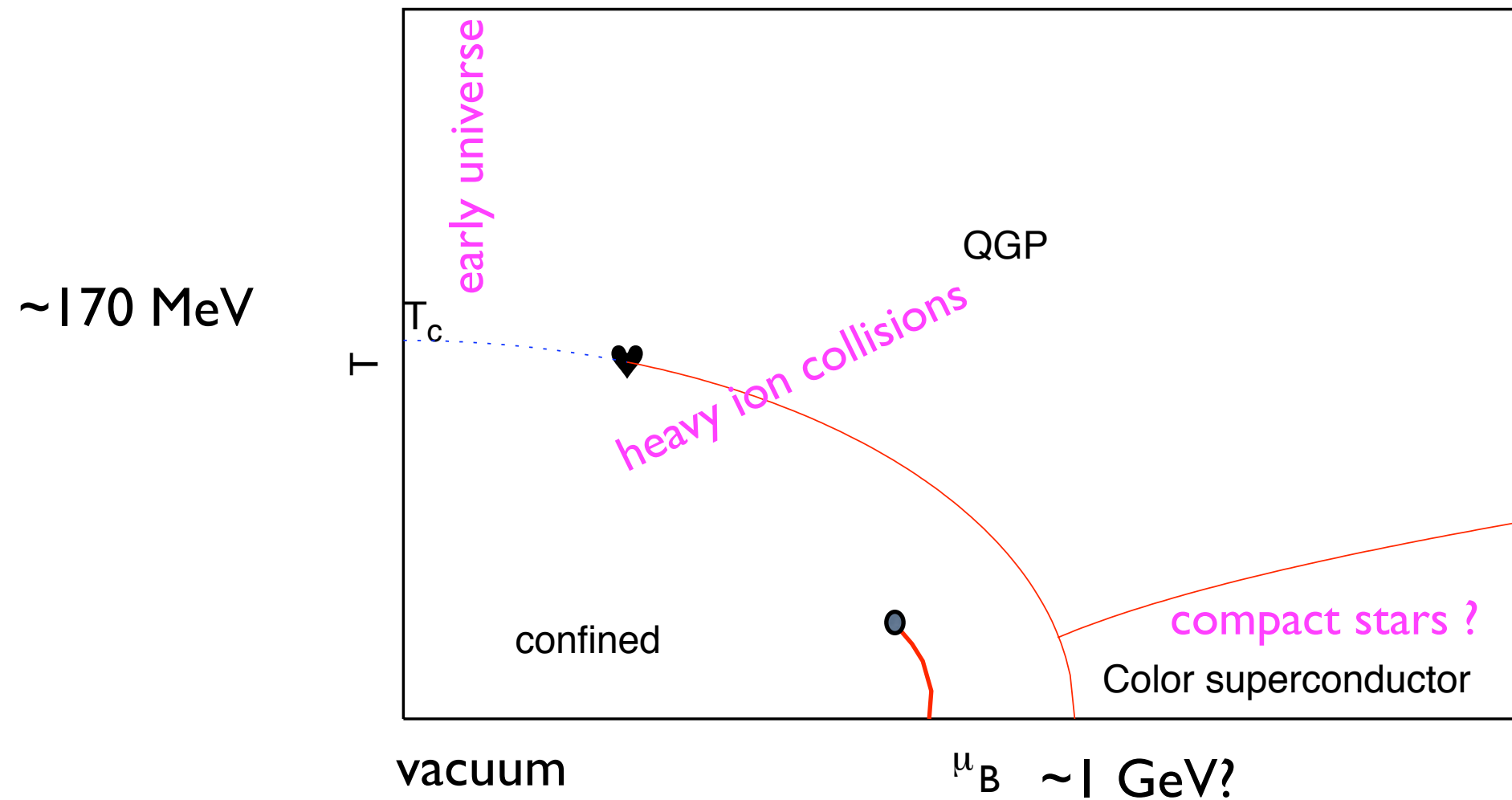
(nearly) restored

Order parameters:

$$\langle \bar{\psi}\psi \rangle, \langle \psi\psi \rangle$$

chiral condensate , Cooper pairs

QCD phase diagram: theorist's view (science fiction)



Until 2001: no finite density lattice calculations, **sign problem!**

Expectation based on simplifying models (NJL, linear sigma model, random matrix models, ...)

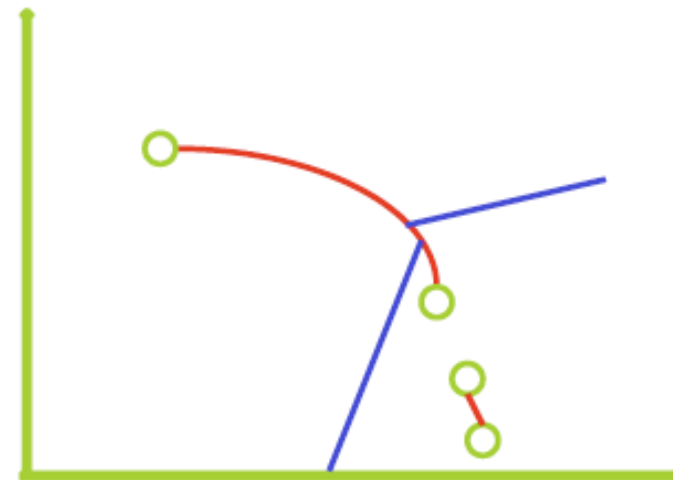
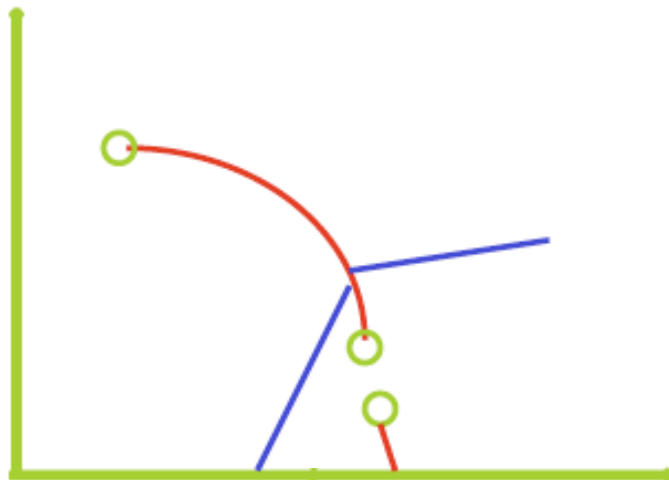
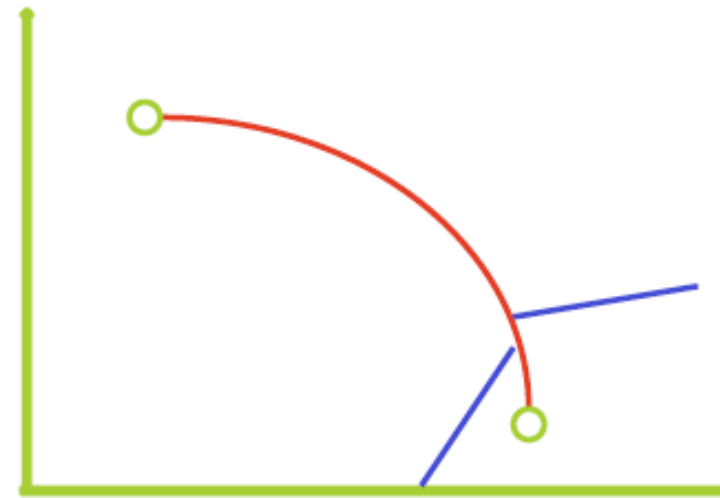
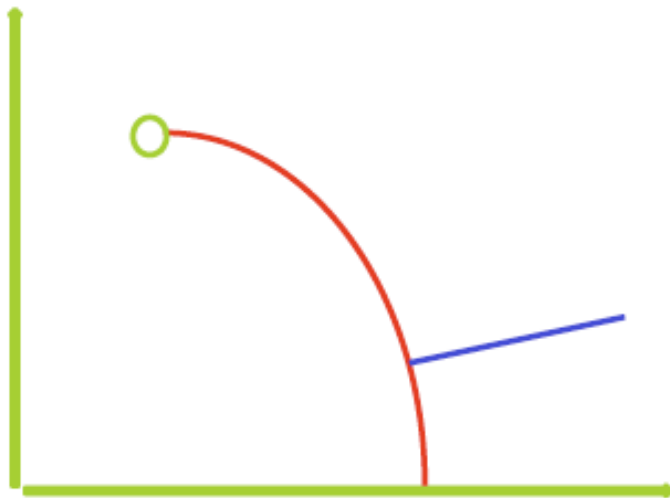
Check this from first principles QCD!

Less conservative views....

NJL with vector interactions,
Ginzburg-Landau approach
for quark condensates,
beyond mean field methods...

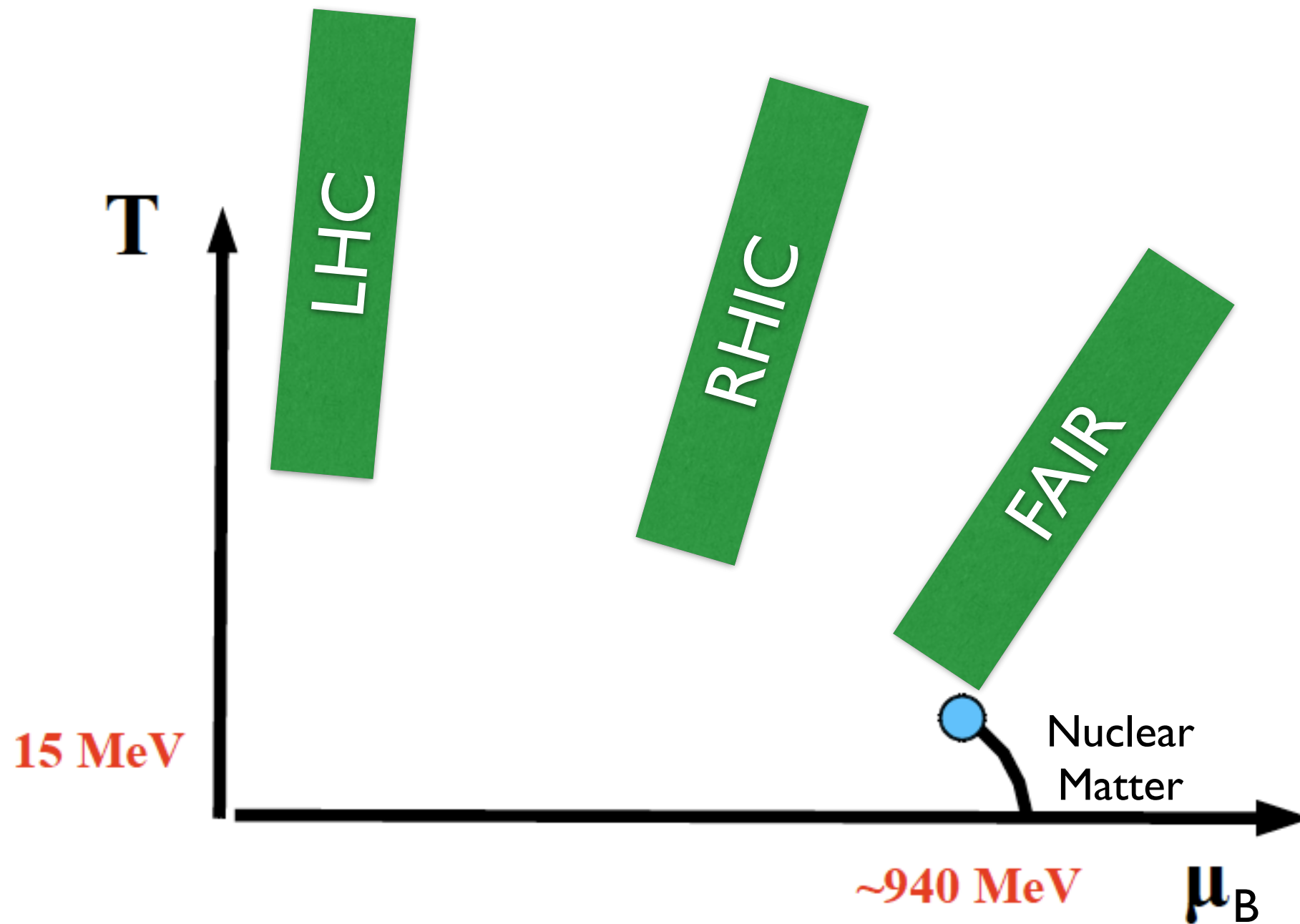
Zhang, Kunihiro, Fukushima 09
Baym et al. 06

Ferroni, Koch, Pinto 10



+ inhomogeneous phases, quarkyonic phases,.... you name it!

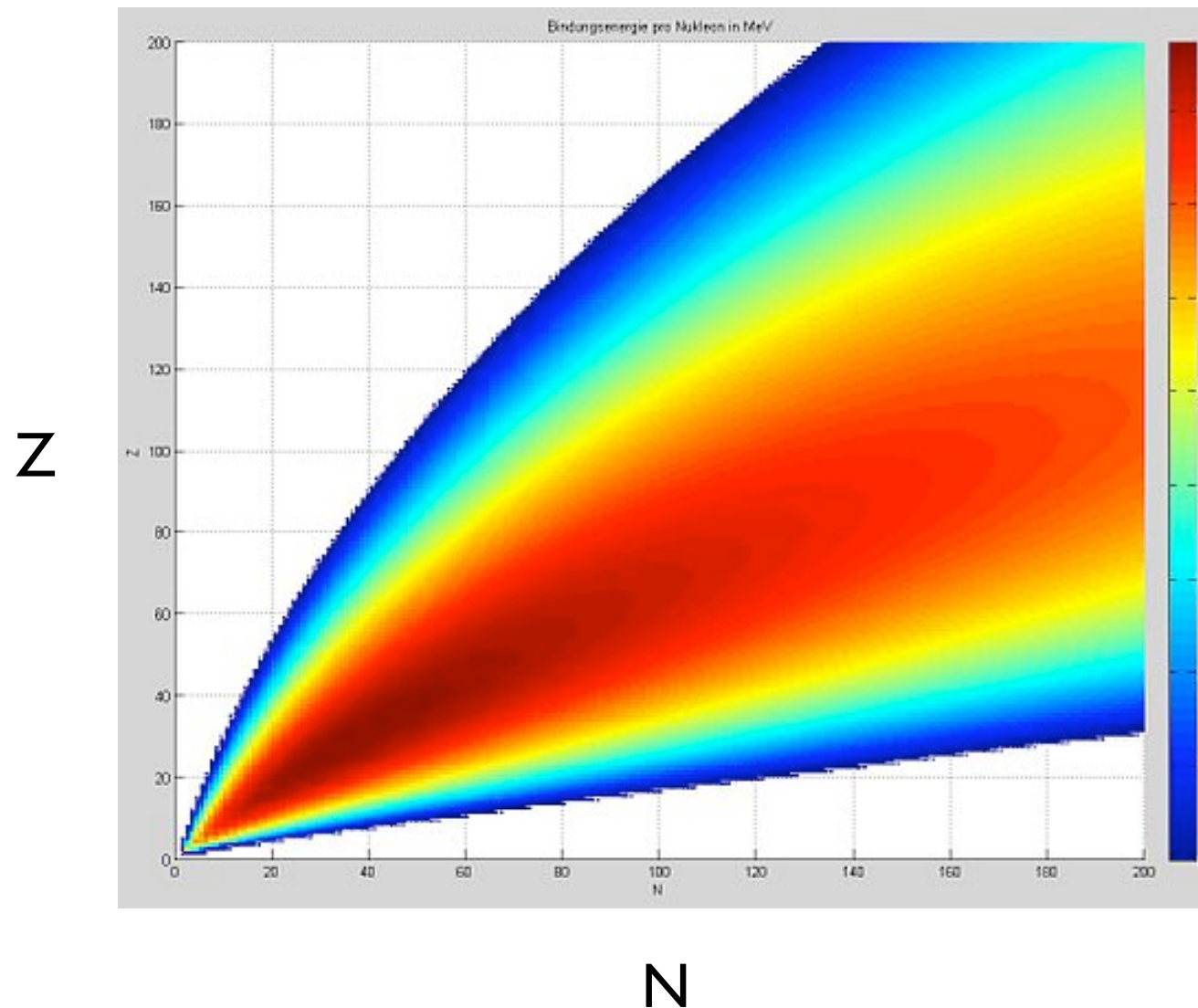
The QCD phase diagram established by experiment:



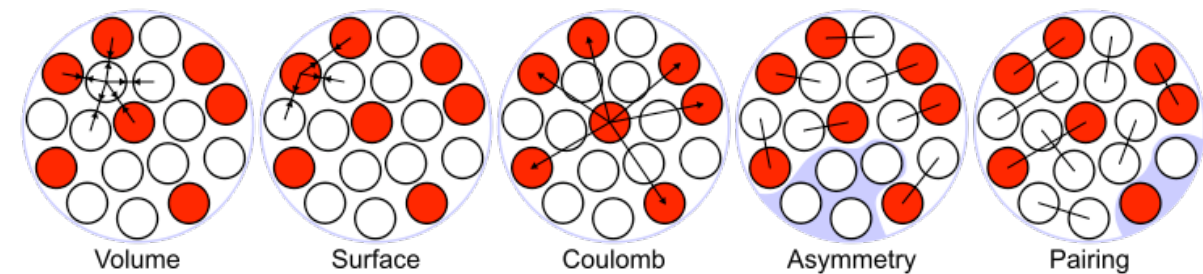
Nuclear liquid gas transition with critical end point

Unsolved from QCD: nuclear matter

~100 years old, **still no fundamental description**, Bethe-Weizsäcker droplet model:



Binding energy per nucleon



QFT descriptions: Fetter-Walecka model, Skyrme model, ...

Theory: how to calculate p.t., critical temperature

deconfinement/chiral phase transition \rightarrow quark gluon plasma

“order parameter”:

chiral condensate $\langle \bar{\psi}\psi \rangle$

generalized susceptibilities:

$$\chi = V(\langle \mathcal{O}^2 \rangle - \langle \mathcal{O} \rangle^2)$$

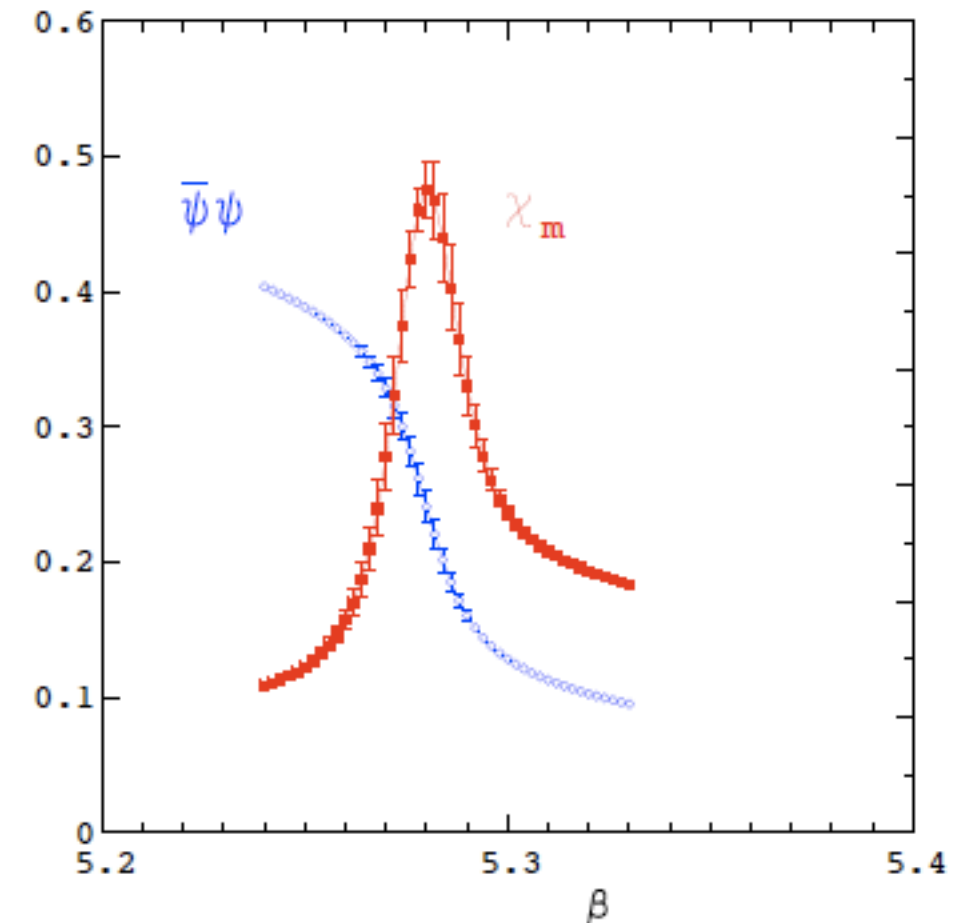
$$\Rightarrow \chi_{max} = \chi(\beta_c) \Rightarrow T_c$$

only pseudo-critical on finite V !

Order of transition:

finite volume scaling

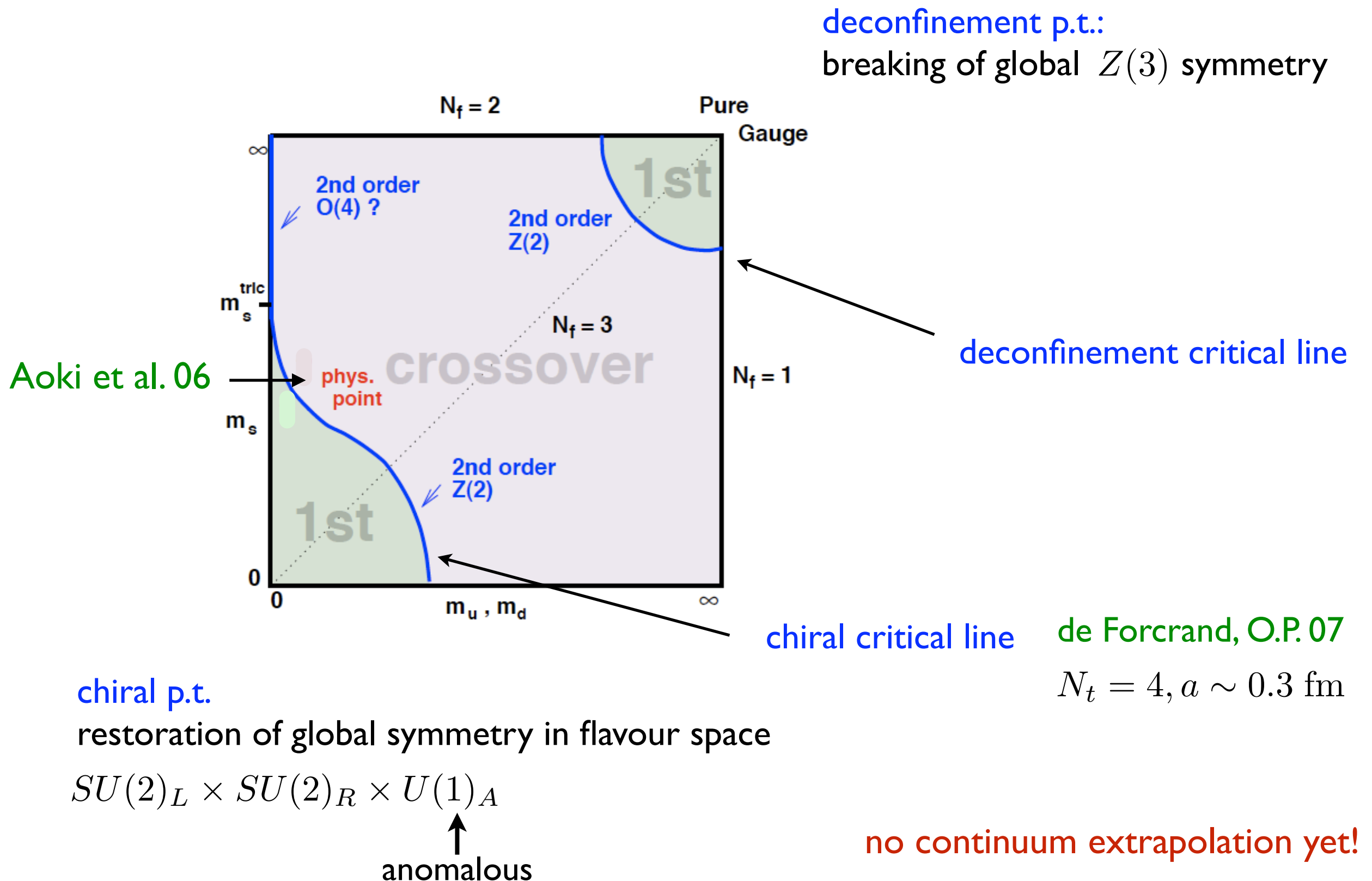
$$\chi_{max} \sim V^\sigma$$



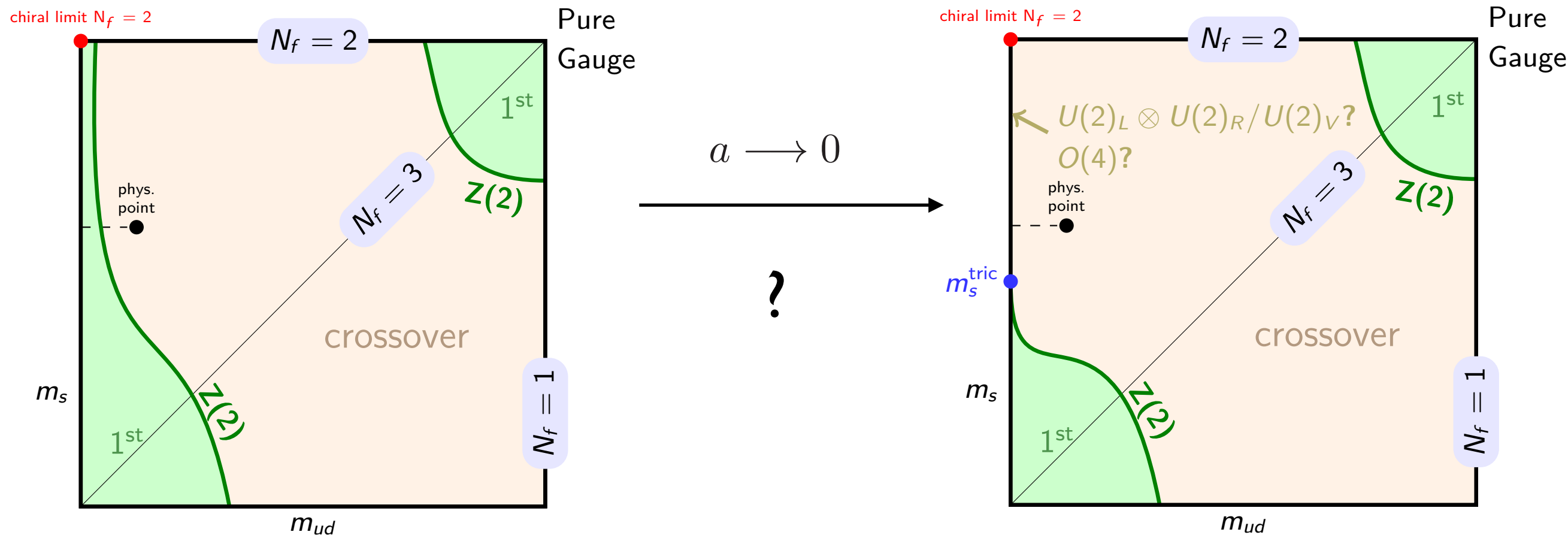
lattice coupling β , viz. T

$\sigma = 1$	1st order
$\sigma = \text{crit. exponent}$	2nd order
$\sigma = 0$	crossover

The order of the p.t., arbitrary quark masses $\mu = 0$



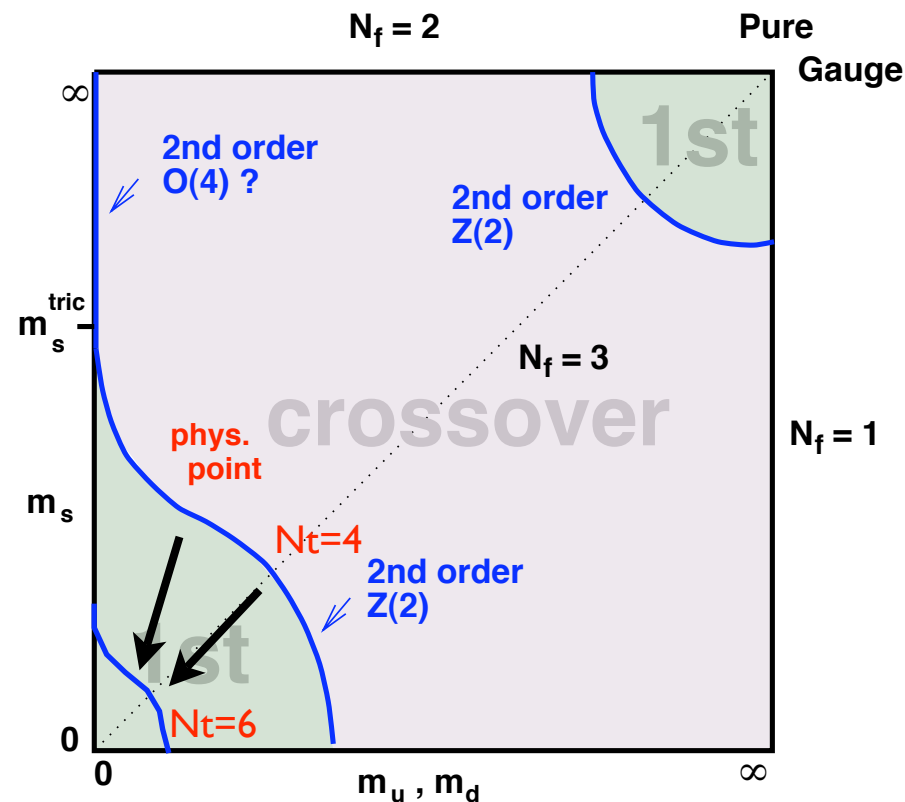
Order of the transition in the chiral limit is not yet settled!



Coarse lattices:
chiral limit is first order!

Unimproved staggered: Bonati et al. 14
Unimproved Wilson: Pinke, O.P. 14

Large cut-off effects on critical lines!



de Forcrand, Kim, O.P. 07
Endrodi et al 07

- Physical point deeper in crossover region as $a \rightarrow 0$

critical pion mass shrinks by factor ~ 1.8 from $a=0.3$ fm to $a=0.2$ fm!
no continuum limit yet!

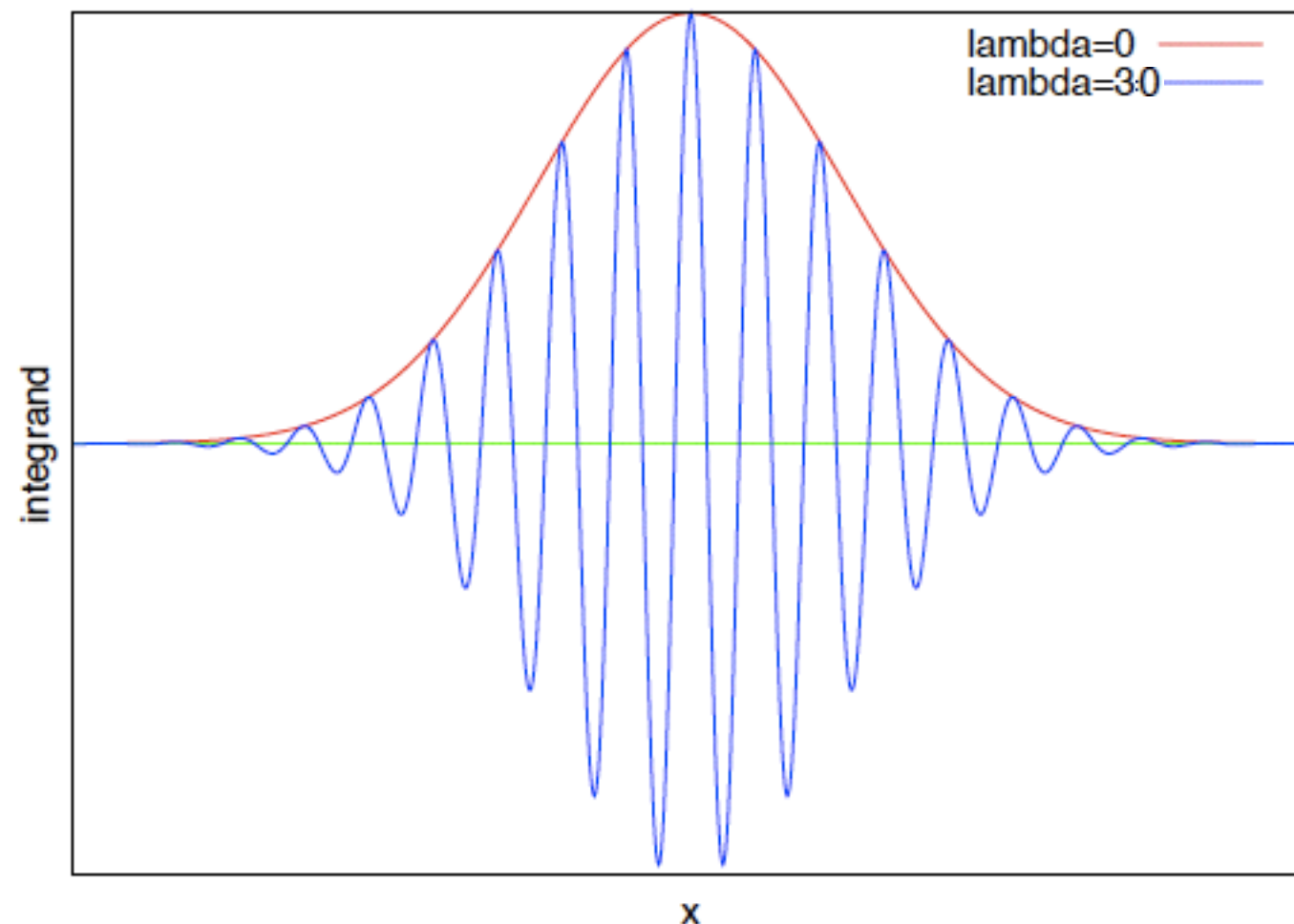
The sign problem for finite density QCD

$$Z = \int DU [\det M(\mu)]^f e^{-S_g[U]}$$

importance sampling requires
positive weights

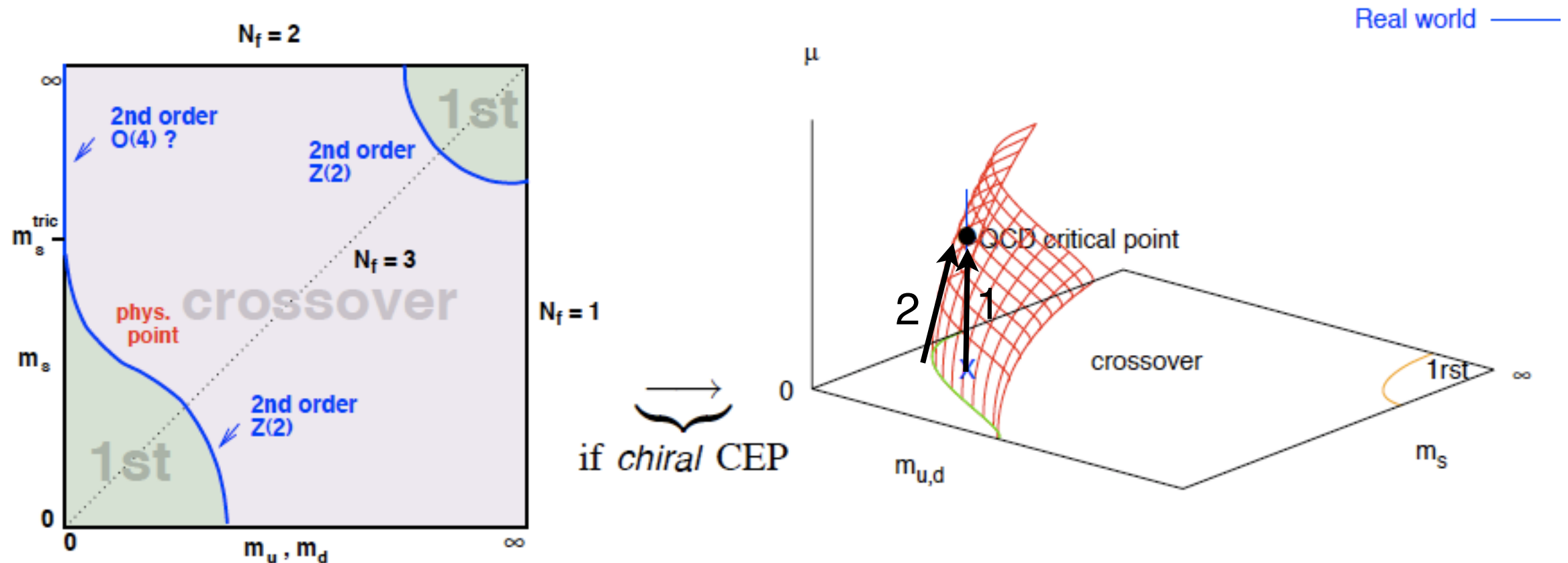
$\Rightarrow \det(M)$ complex for SU(3), $\mu \neq 0$ · real positive for $\mu = i\mu_i$

Example: $Z(\lambda) = \int dx \exp(-x^2 + i\lambda x)$



$$Z(\lambda)/Z(0) = \exp(-\lambda^2/4): \text{exponential cancellations}$$

Much harder: is there a QCD critical point?



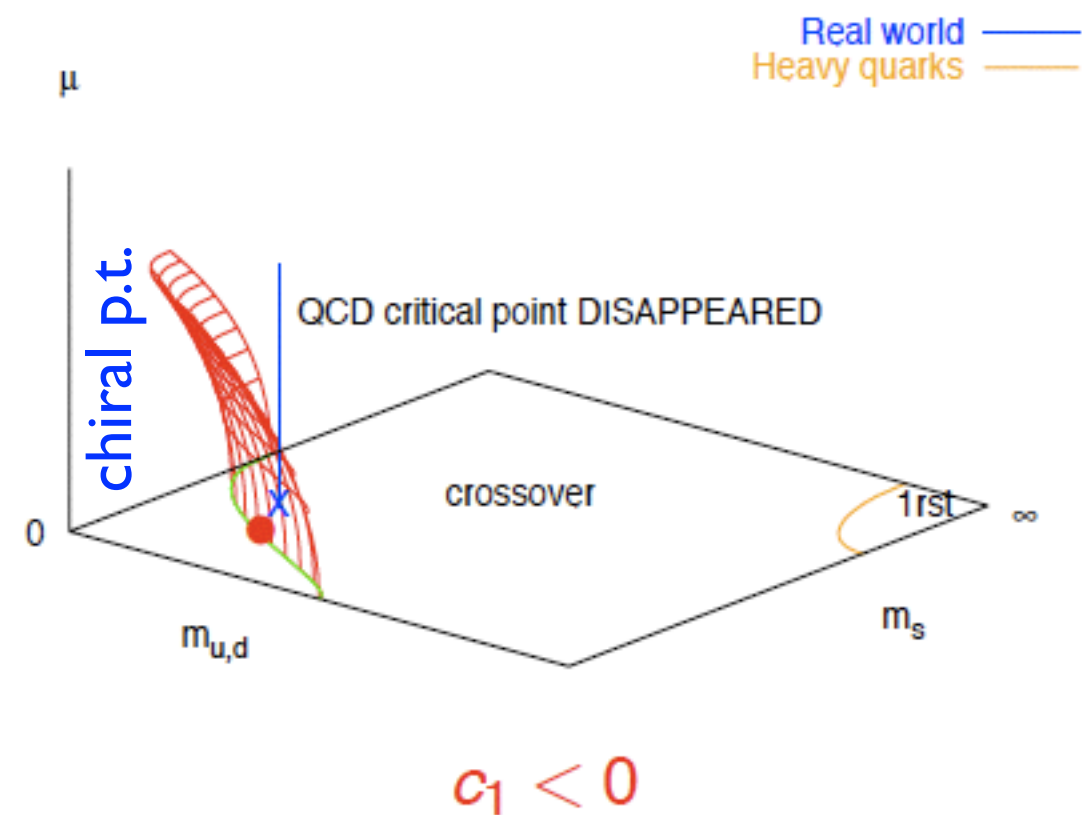
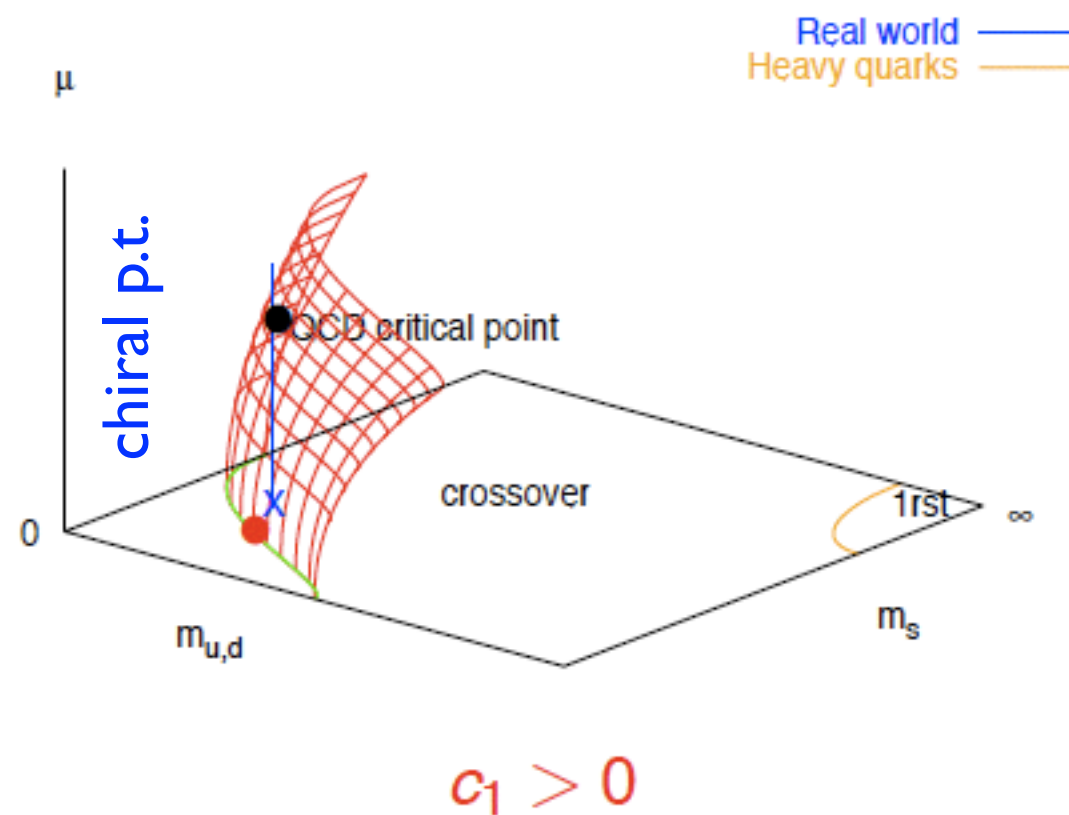
Two strategies:

1 follow **vertical line**: $m = m_{\text{phys}}$, turn on μ

2 follow **critical surface**: $m = m_{\text{crit}}(\mu)$

Some methods trying (1) give indications of critical point, but systematics not yet controlled

Approach 2: follow chiral critical line \rightarrow surface



$$\frac{m_c(\mu)}{m_c(0)} = 1 + \sum_{k=1} c_k \left(\frac{\mu}{\pi T} \right)^{2k}$$

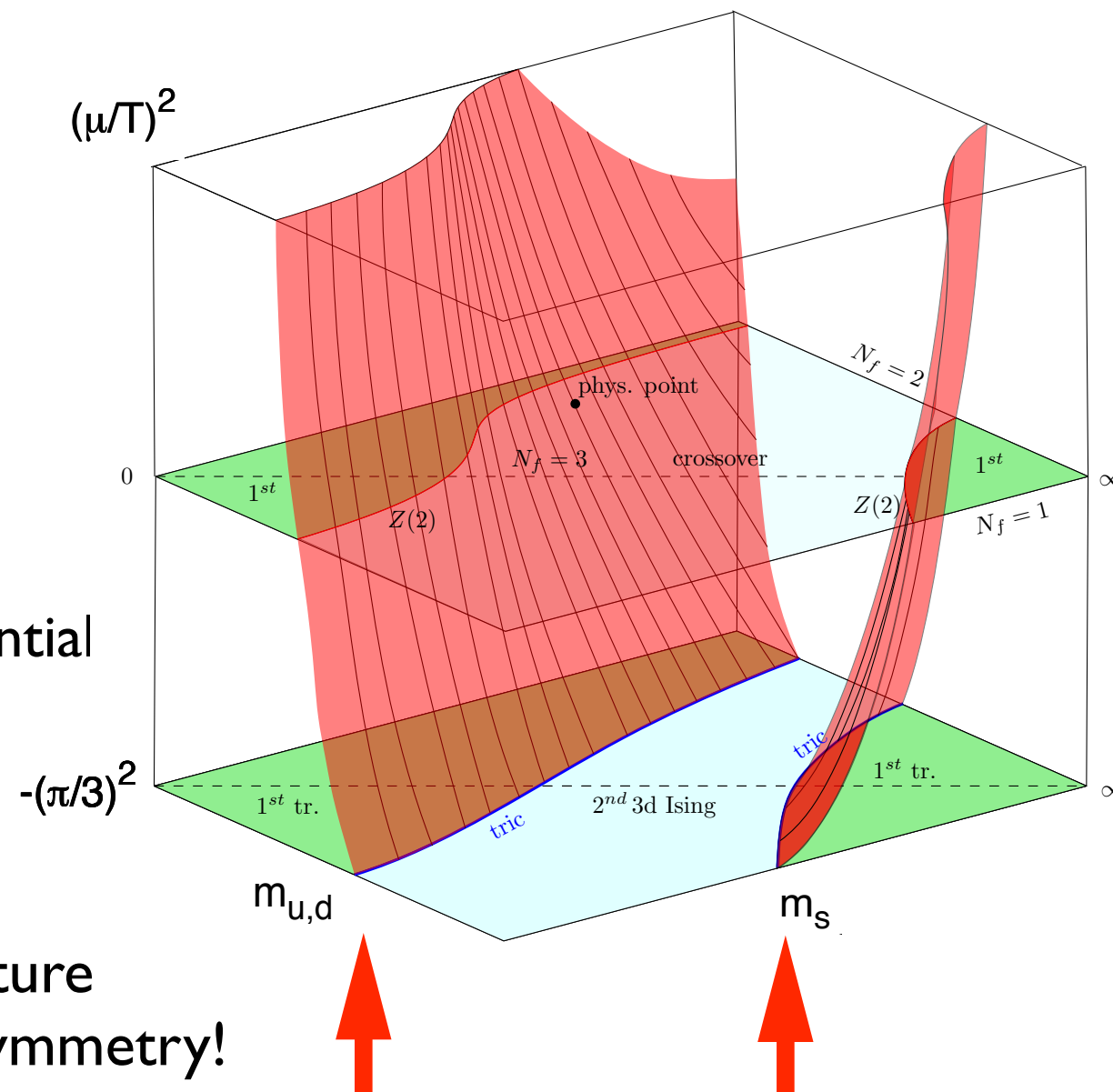
1. Tune quark mass(es) to $m_c(0)$: 2nd order transition at $\mu = 0, T = T_c$
known universality class: 3d Ising

2. Measure derivatives $\left. \frac{d^k m_c}{d\mu^{2k}} \right|_{\mu=0}$:

Turn on imaginary μ and measure $\frac{m_c(\mu)}{m_c(0)}$

Critical surfaces from imaginary chemical potential

Real and imaginary chemical potential, coarse $N_t=4$ lattices



Real chemical potential: sign problem

Imaginary chemical potential
no sign problem

Non-trivial phase structure

Roberge-Weiss $Z(3)$ symmetry!

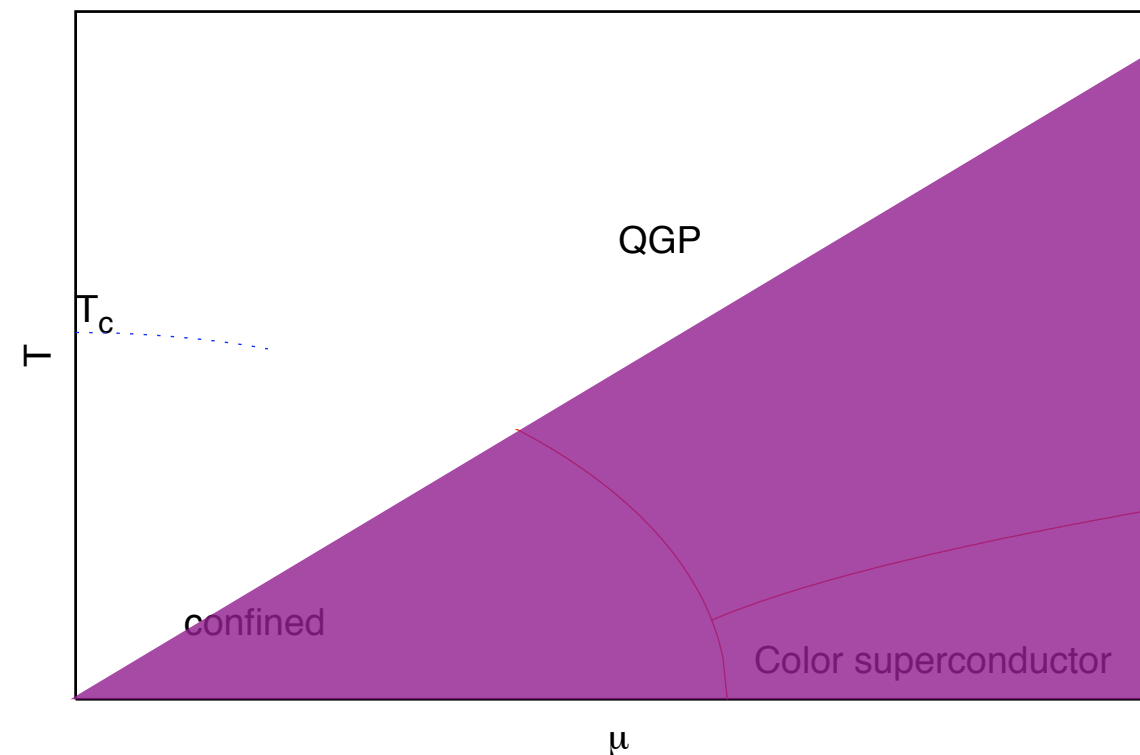
staggered, $N_f=3$:
de Forcrand, O.P. 10

staggered, $N_f=2$:
D'Elia, Sanfillippo II

Wilson, Nf=2:
Pinke, O.P. 14

shape, sign of curvatures determined by tricritical scaling!

Lattice-calculable region of the QCD phase diagram



- Sign problem prohibits direct simulation, circumvented by approximate methods: [reweighting](#), [Taylor expansion](#), [imaginary chem. pot.](#), **need** $\mu/T \lesssim 1$ ($\mu = \mu_B/3$)
- No critical point in the controllable region, some signals beyond
- Complex Langevin: lots of progress, but not in all parameter space, no “guarantees”

So far only “heavy dense QCD”, i.e. static quarks [Aarts et al. 16](#)
cf. density of states [Langfeld et al. 16](#)

The crossover for physical masses

In the continuum:

$$\frac{T_c(\mu_B)}{T_c(\mu=0)} = 1 - \kappa \left(\frac{\mu_B}{T_c(\mu_B)} \right)^2 + \mathcal{O}(\mu_B^4)$$

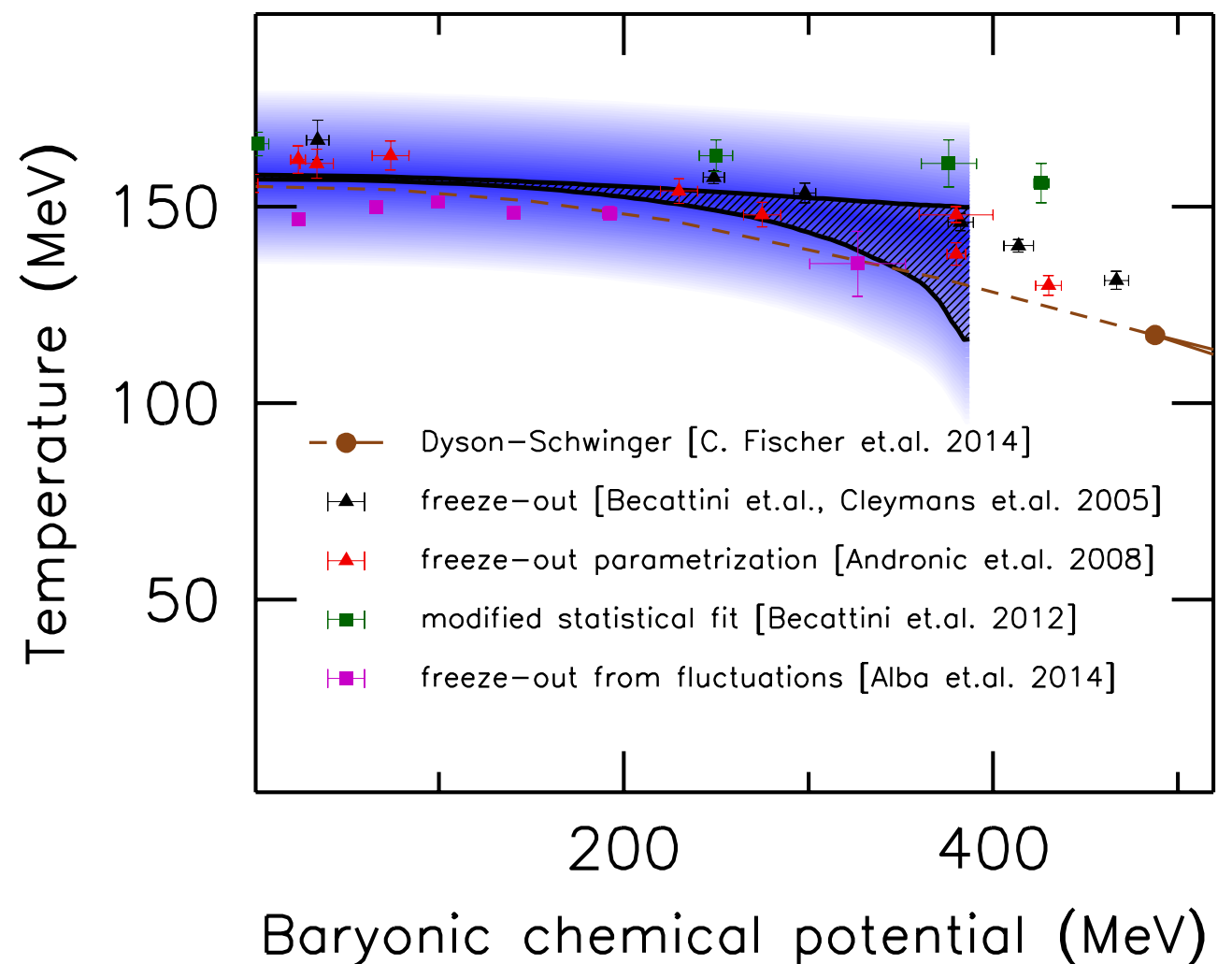
Consistent with other simulations
and different actions

Bonati et al., 15

Cea et al. 15

Bielefeld-Brookhaven 14

Budapest - Wuppertal 15



New computational avenues in LQCD:

“(Wall)Time is Money (CPU hrs)”

CPU



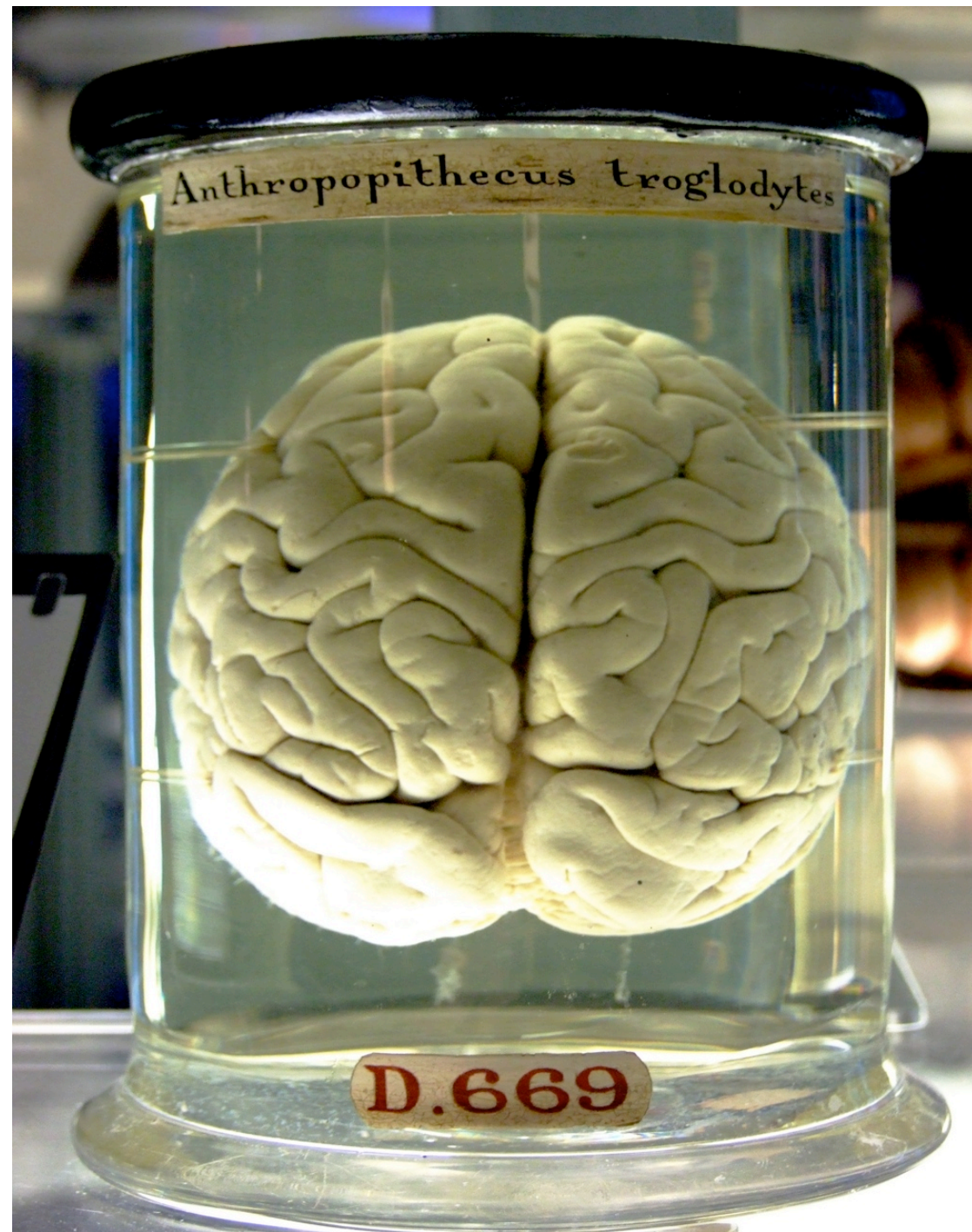
GPU



Here, very old-fashioned approach:

BPU!

Biological Processing Unit!



Large densities? Effective theories!

Effective lattice theory for heavy and dense QCD

with M.Fromm, J.Langelage, S.Lottini, M.Neuman, J.Glesaaen

- Two-step treatment:

- I. Calculate effective theory analytically
- II. Simulate effective theory

- Step I.: split temporal and spatial link integrations:

$$Z = \int DU_0 DU_i \det Q e^{S_g[U]} \equiv \int DU_0 e^{-S_{eff}[U_0]} = \int DL e^{-S_{eff}[L]}$$

Spatial integration after analytic strong coupling and hopping expansion $\sim \frac{1}{g^2}, \frac{1}{m_q}$
(Numerical versions: Greensite et al.; Bergner et al.)

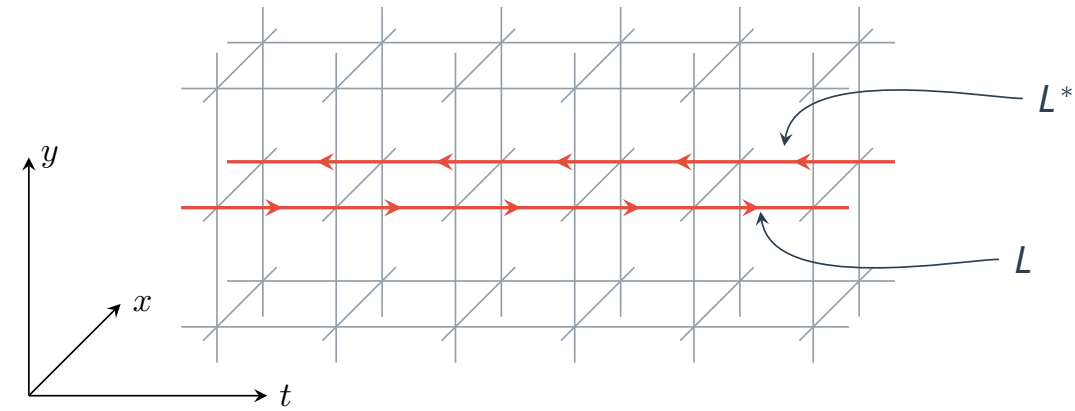
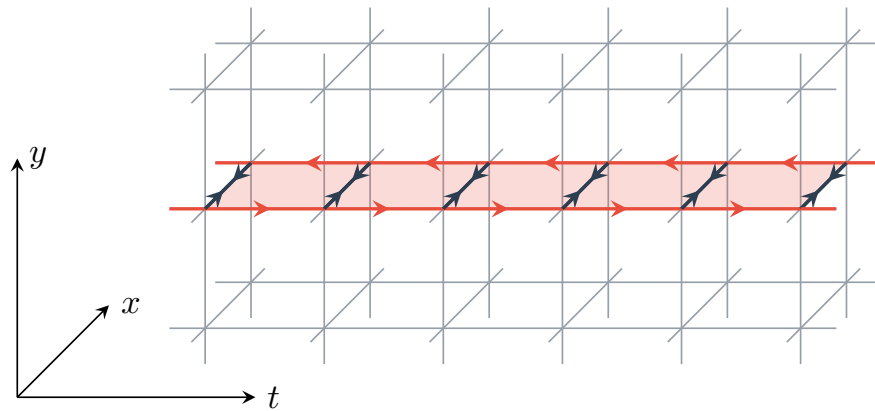
- Truncation valid for heavy quarks on reasonably fine lattices, $a \sim 0.1$ fm

- Step II.: Mild sign problem, complex Langevin, Monte Carlo

Check in SU(2): Scior, von Smekal 15

- New Step II.: Analytic solution by cluster expansion!

LO 3d effective theory for lattice YM



Integrate over all spatial gauge links

What remains is an interaction between Polyakov Loops

$$-S_1 = u^{N_\tau} \sum_{\langle ij \rangle} \text{tr } W_i \text{tr } W_j$$

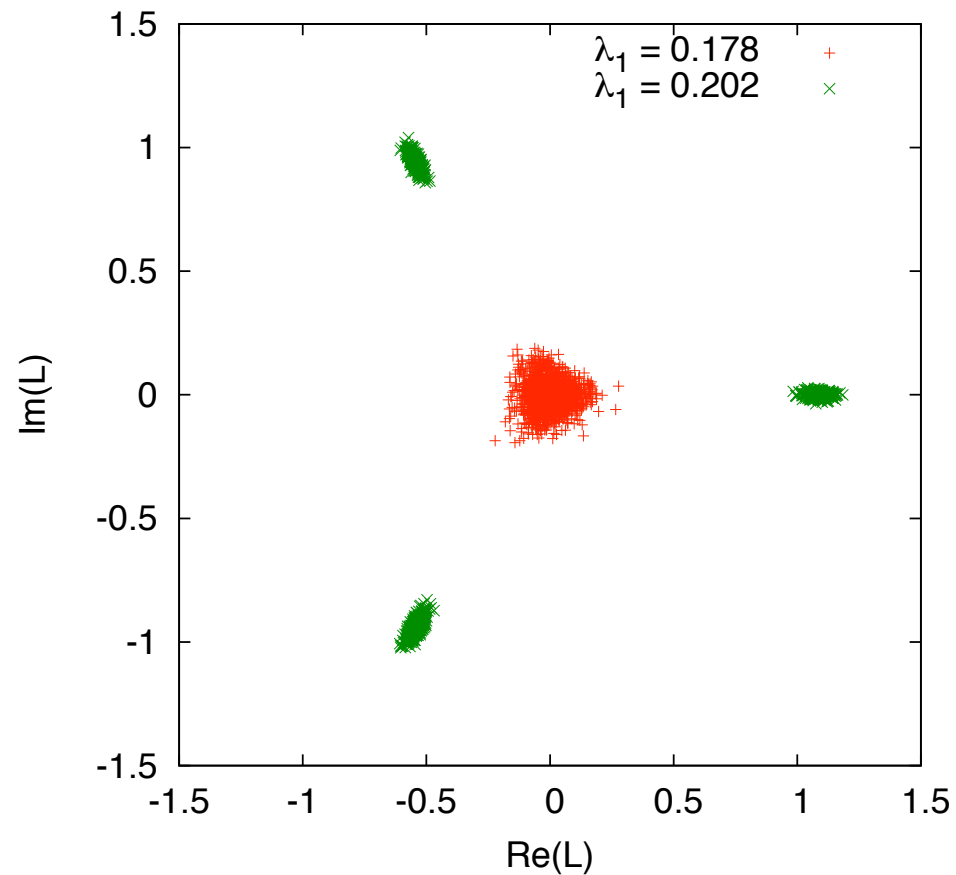
Polonyi, Szachlanyi 82

Character expansion:

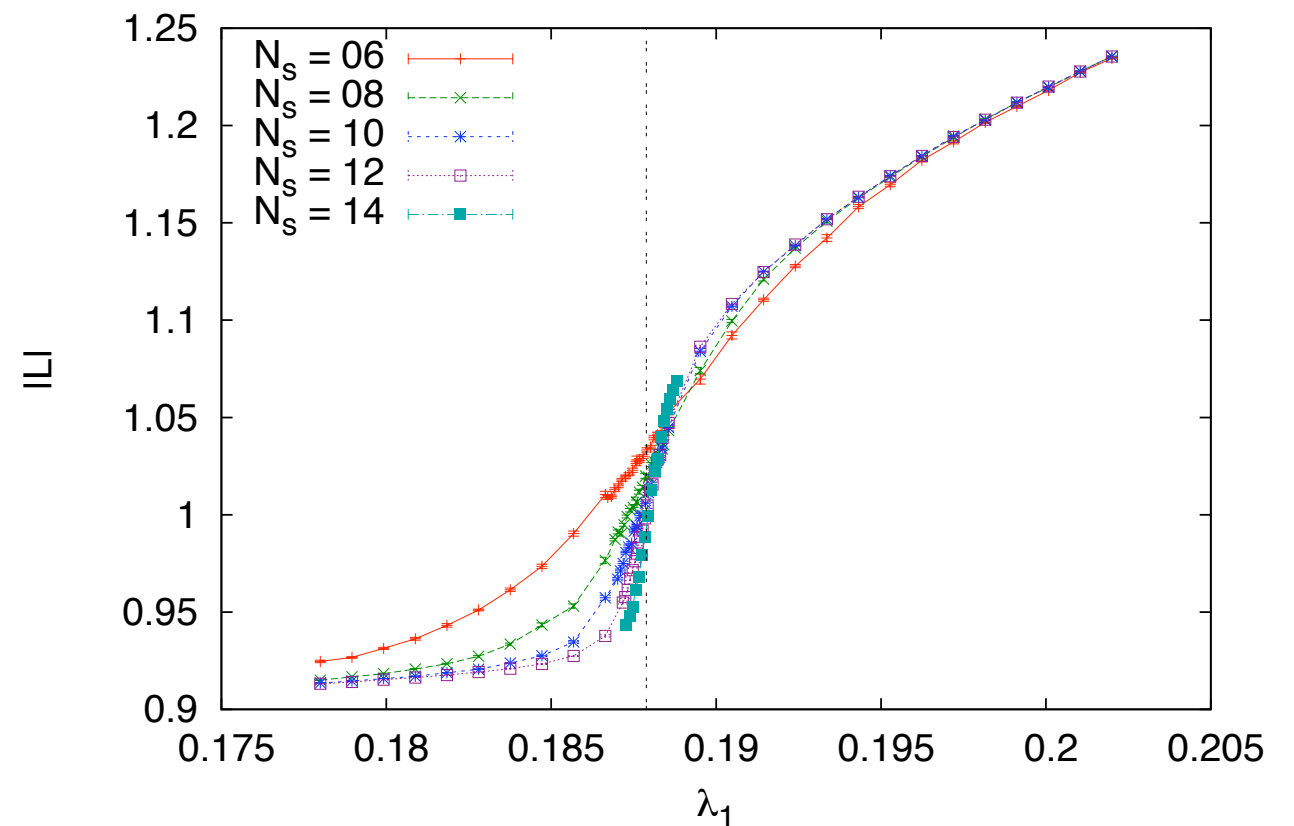
$$u = \frac{\beta}{18} + O(\beta^2) < 1 \quad \beta = \frac{2N}{g^2}$$

- larger distances between loops, higher powers of loops
- higher representations of loops
- decorations of LO graphs by additional plaquettes

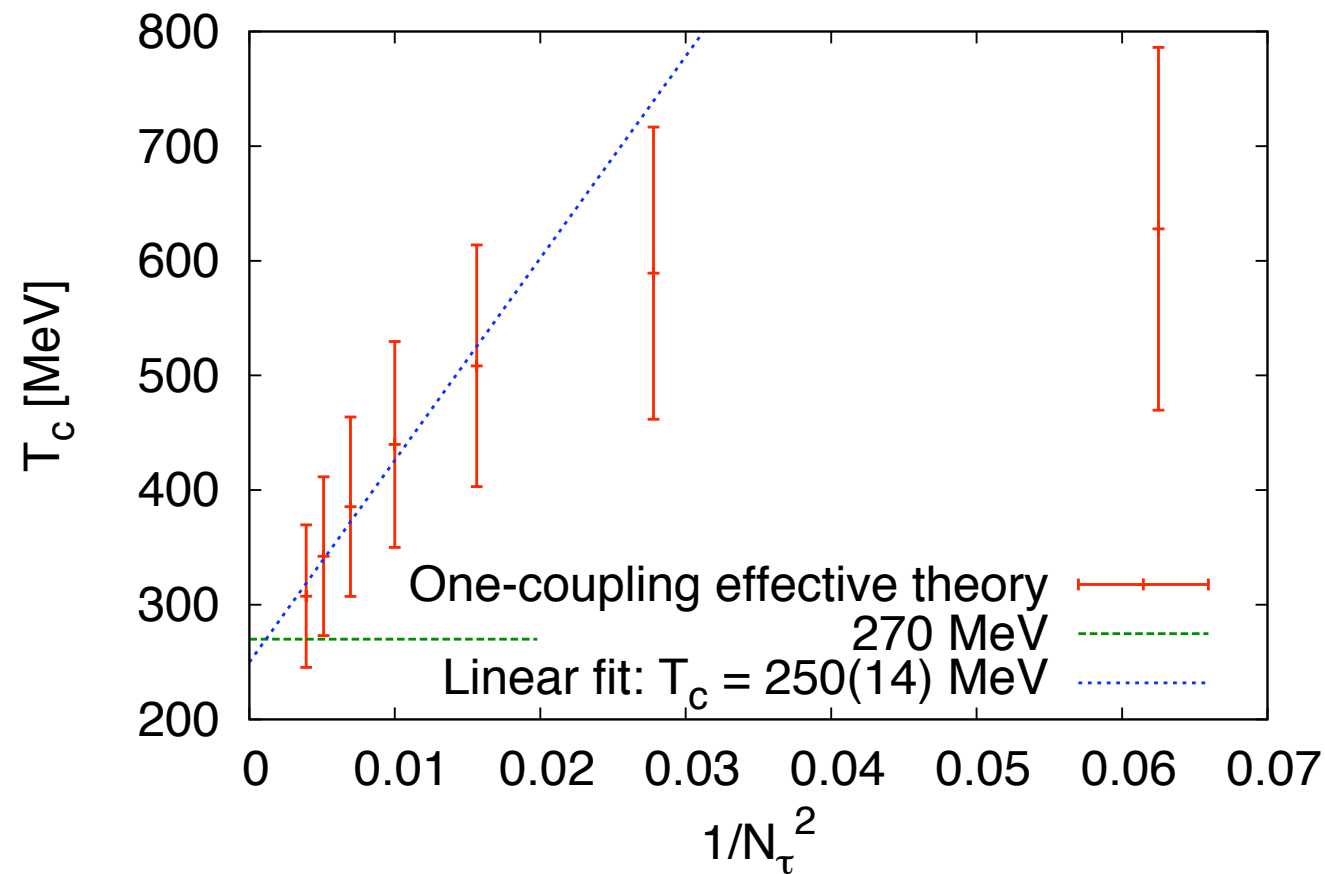
Numerical results for SU(3), one coupling



Order-disorder transition
=Z(3) breaking



Continuum limit feasible!



- error bars: difference between last two orders in strong coupling exp.
- using non-perturbative beta-function (4d $T=0$ lattice)
- all data points from one single 3d MC simulation!

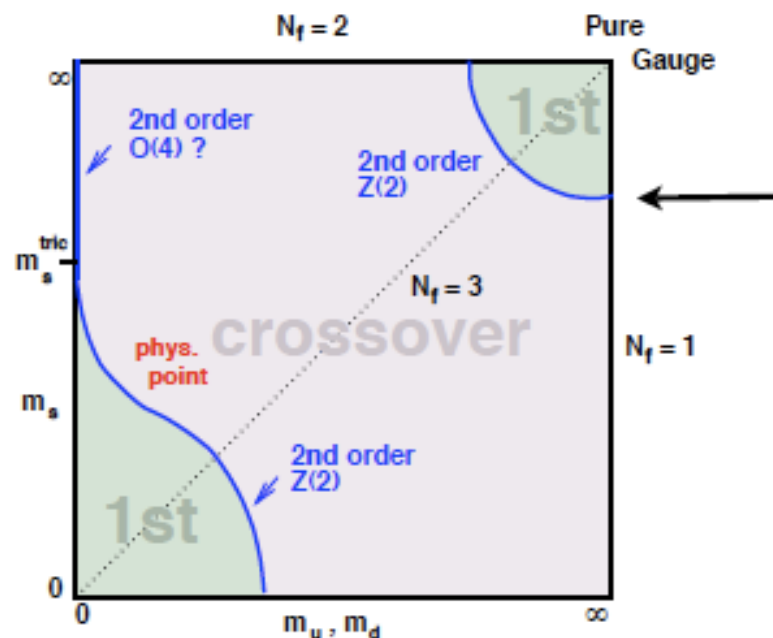
Including heavy, dynamical Wilson fermions

Expand in the *hopping parameter* $\kappa = 1/(2aM + 8)$:

$$-S_{\text{eff}} = \sum_i \lambda_i(u, \kappa, N_\tau) S_i^S - 2N_f \sum_i \left[h_i(u, \kappa, \mu, N_\tau) S_i^A + \bar{h}_i(u, \kappa, \mu, N_\tau) S_i^{\dagger A} \right]$$

Deconfinement transition for heavy quarks

NLO: $\sim \kappa^2$

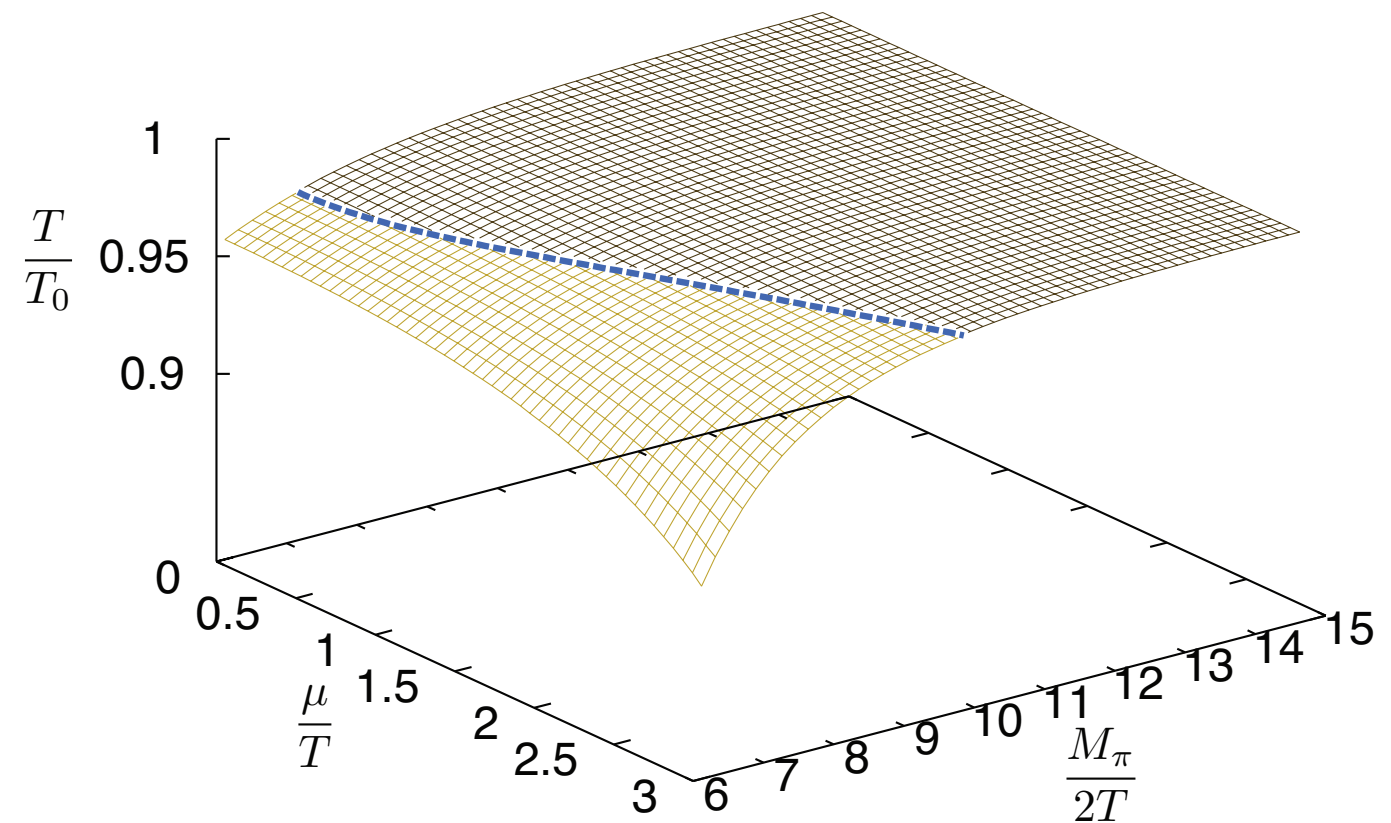
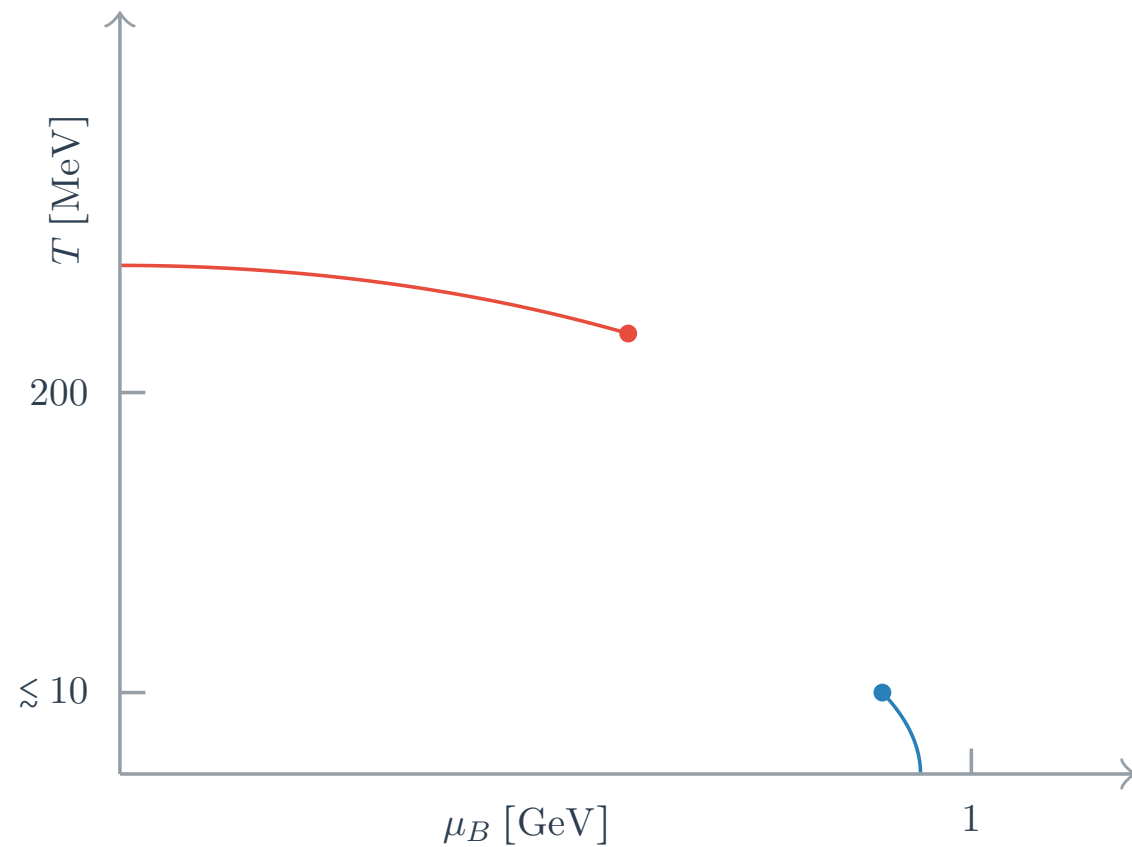


		eff. theory	4d MC, WHOT	4d MC, de Forcrand et al
N_f	M_c/T	$\kappa_c(N_\tau = 4)$	$\kappa_c(4)$, Ref. [23]	$\kappa_c(4)$, Ref. [22]
1	7.22(5)	0.0822(11)	0.0783(4)	~ 0.08
2	7.91(5)	0.0691(9)	0.0658(3)	—
3	8.32(5)	0.0625(9)	0.0595(3)	—

Accuracy $\sim 5\%$, predictions for $N_t=6,8,\dots$ available!

The fully calculated deconfinement transition

"Heavy QCD" phase diagram



Continuum:

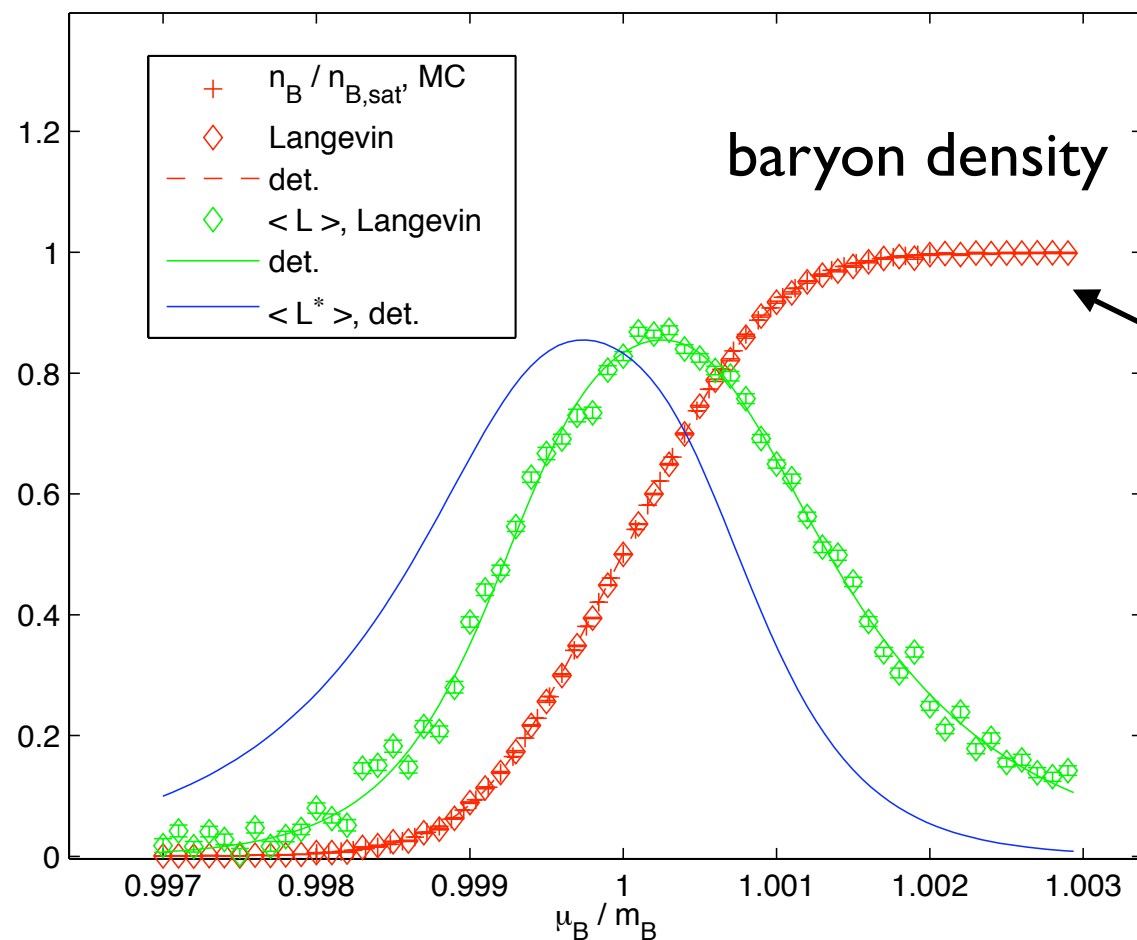
Friman, Lo, Redlich 14

Fischer, Lücker, Pawłowski 15

Fromm, Langelage, Lottini, O.P. 11

Cold and dense: onset to nuclear matter

Fromm, Langelage, Lottini, Neuman, O.P. 13

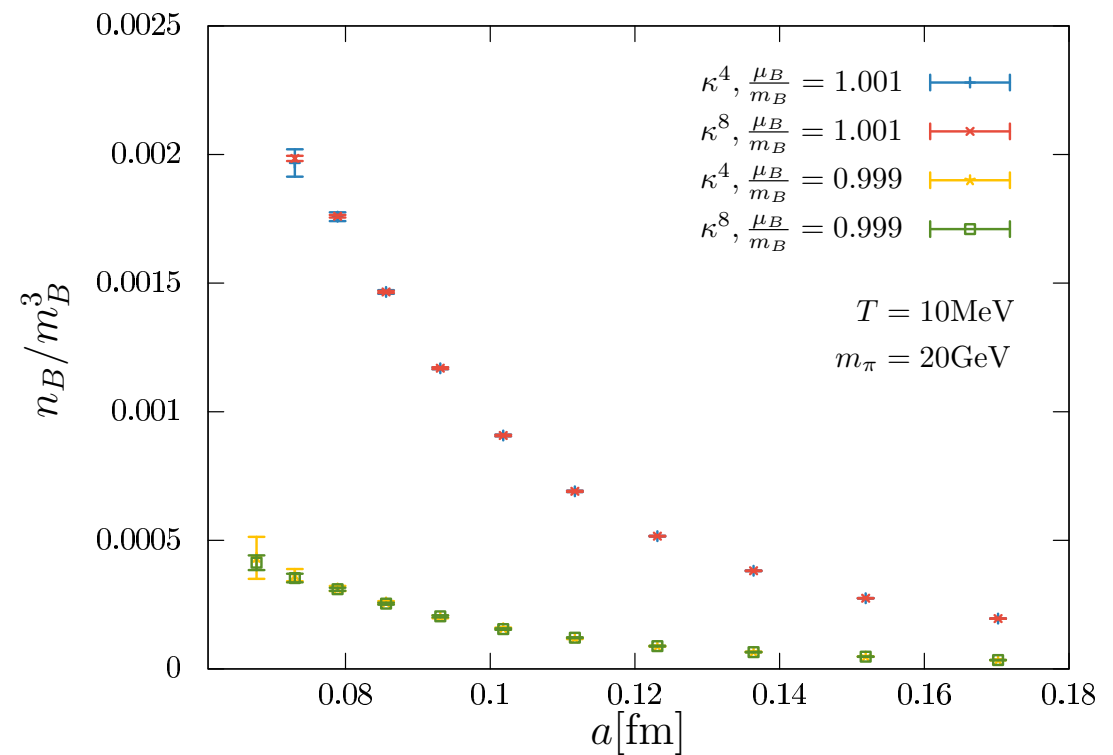
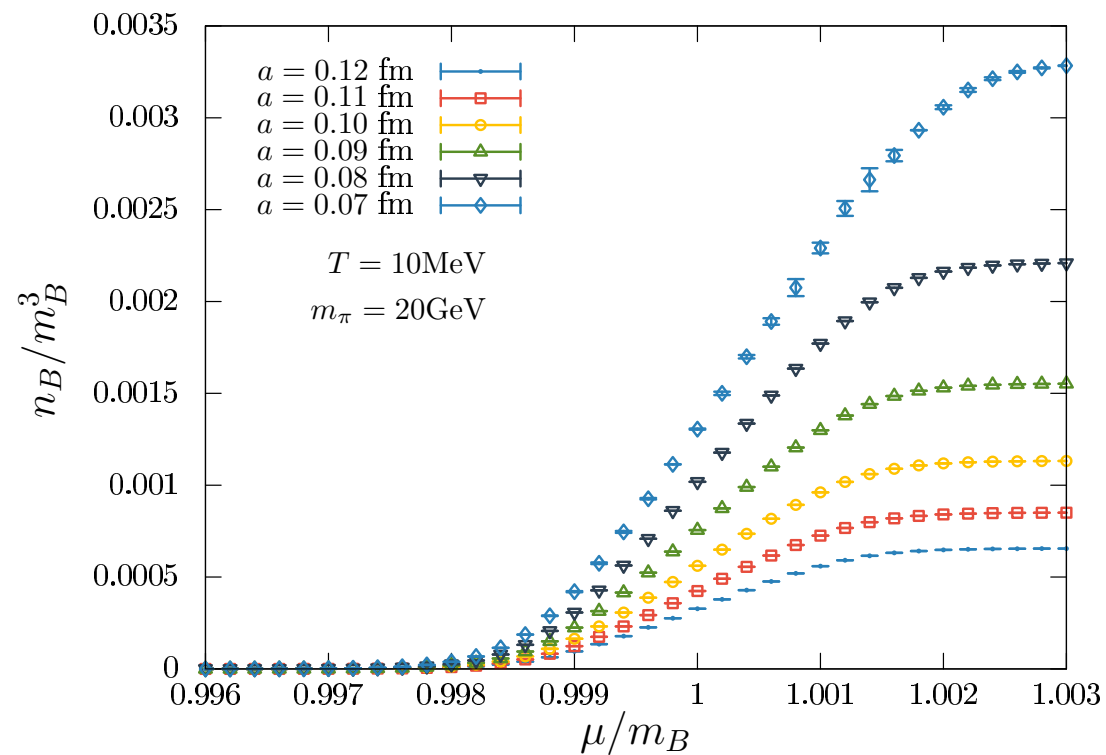


- Silver blaze property
- no dependence on chem. pot. until onset
- Lattice saturation
- Pauli principle, cut-off effect!
- Screening of Polyakov loop
- But no deconfinement!

$$m_\pi = 20 \text{ GeV}, T = 10 \text{ MeV}, a = 0.17 \text{ fm}$$

$$\beta = 5.7, \kappa = 0.0000887, N_\tau = 116$$

Continuum approach

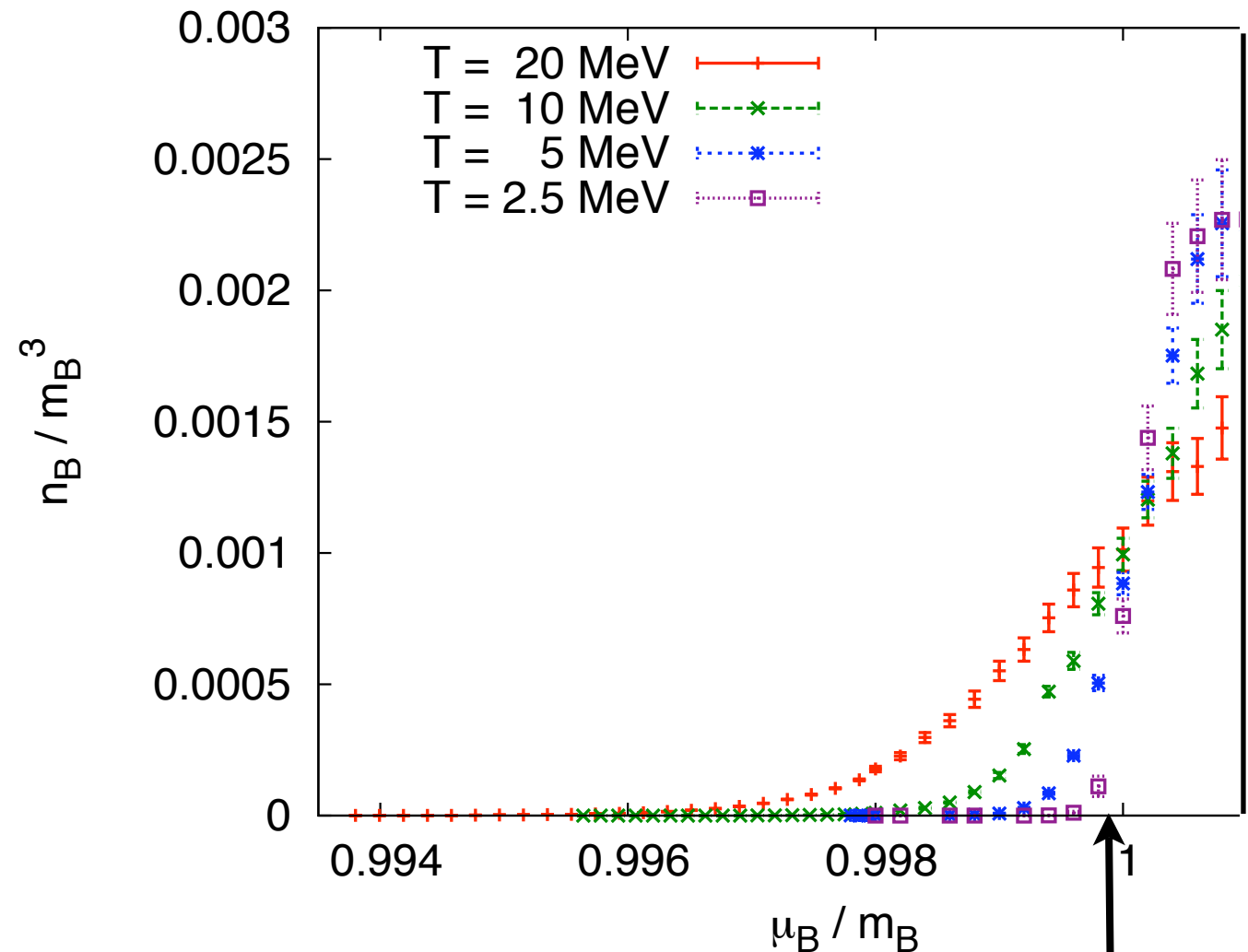


- Continuum approach $\sim a$ as expected for Wilson fermions
- Cut-off effects grow rapidly beyond onset transition
- Finer lattice necessary for larger density to avoid saturation

Cold and dense, interacting: onset to nuclear matter

continuum extrapolated

$$m_\pi = 20 \text{ GeV}$$



Effect of binding between baryons:

Binding energy per nucleon:

Transition is smooth crossover:

$$\mu_c < m_B$$

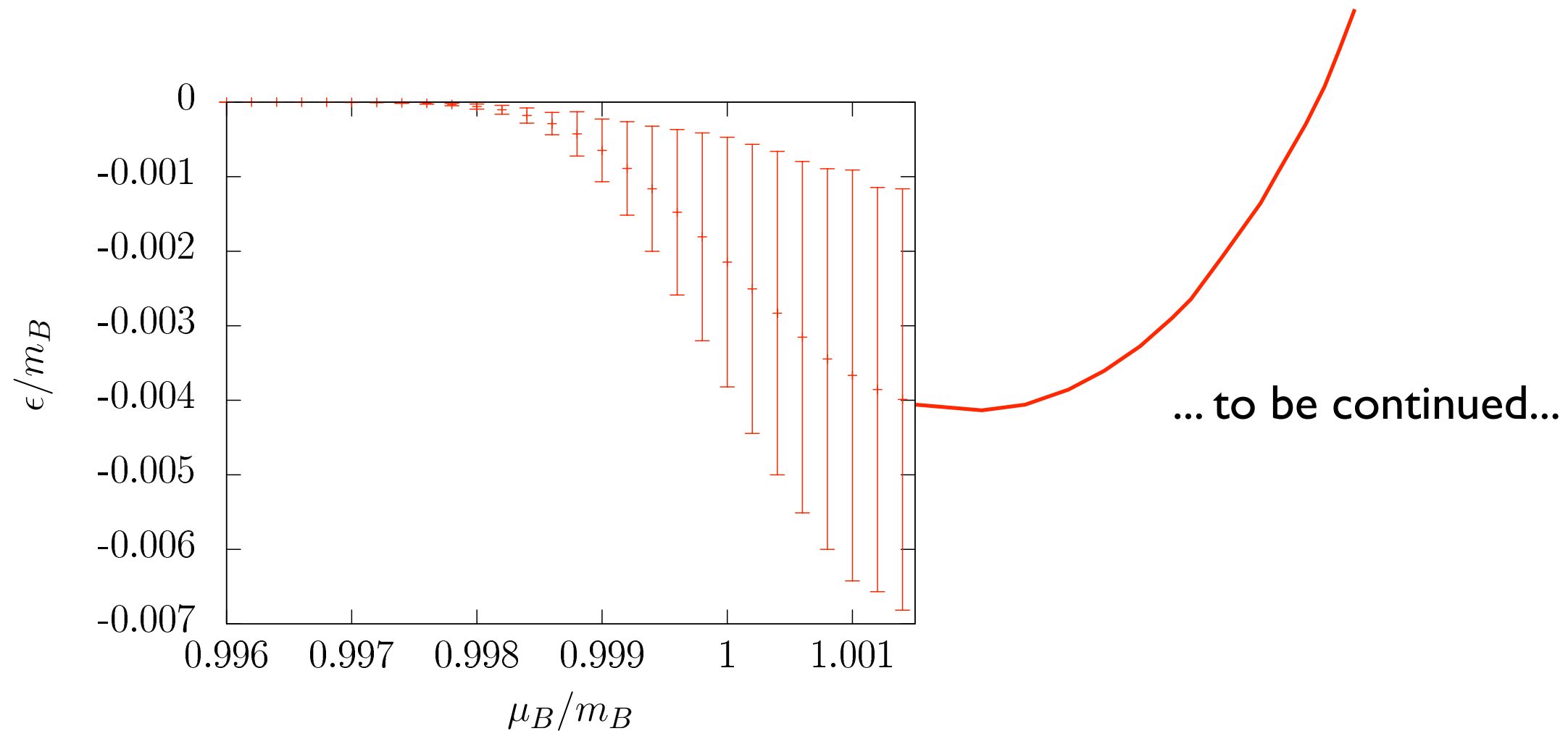
$$\epsilon = \frac{\mu_c - m_B}{m_B} \sim 10^{-3}$$

$$T > T_c \sim \epsilon m_B$$

$$\frac{\mu}{T} \sim 4000$$

Binding energy per nucleon

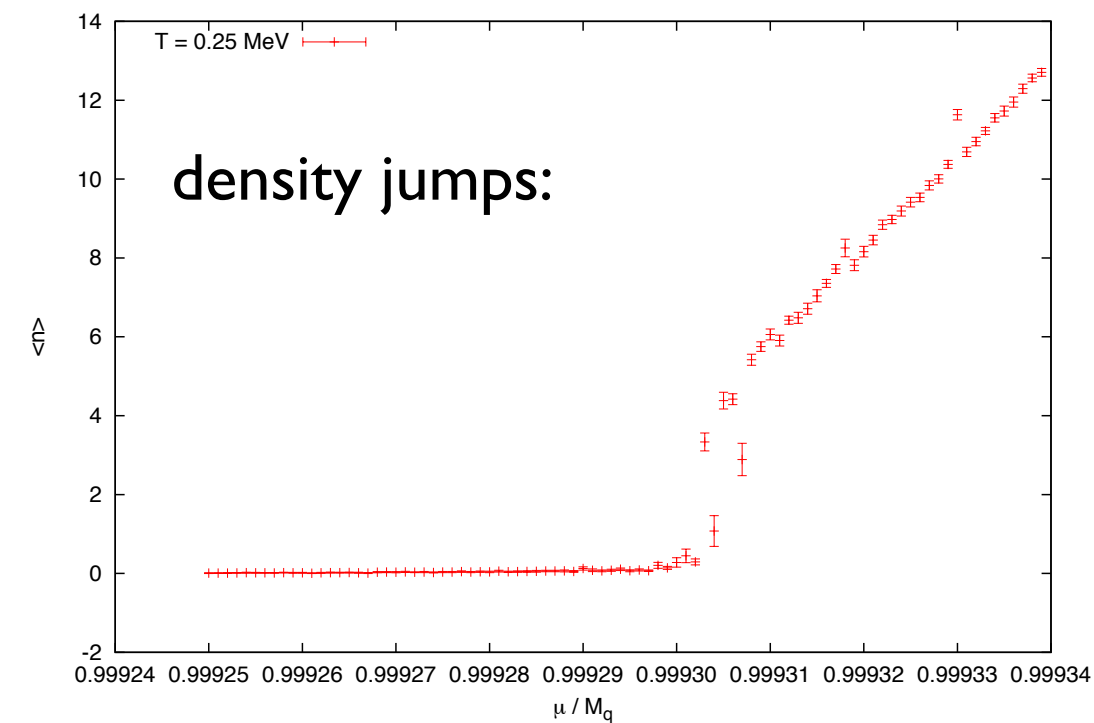
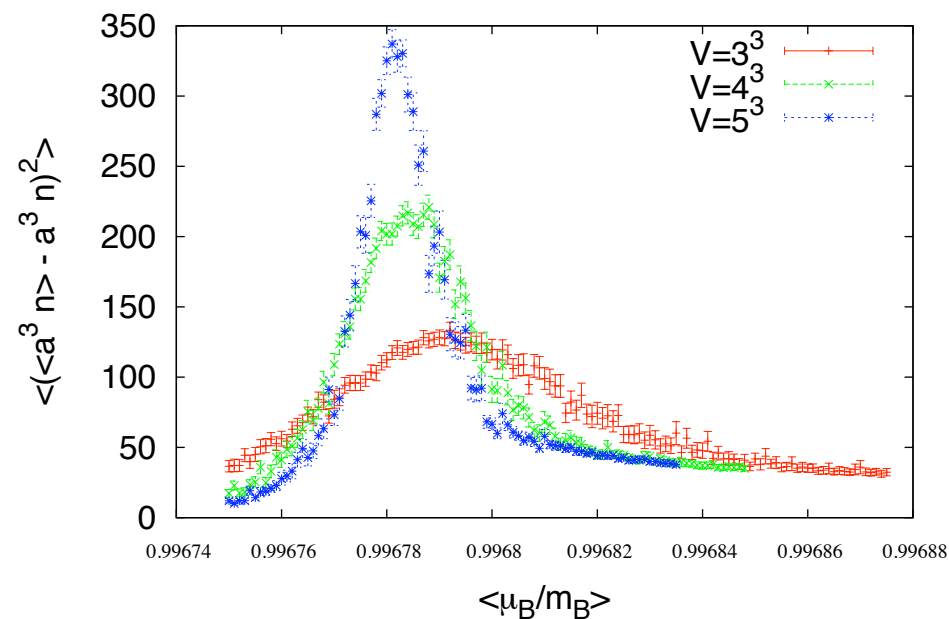
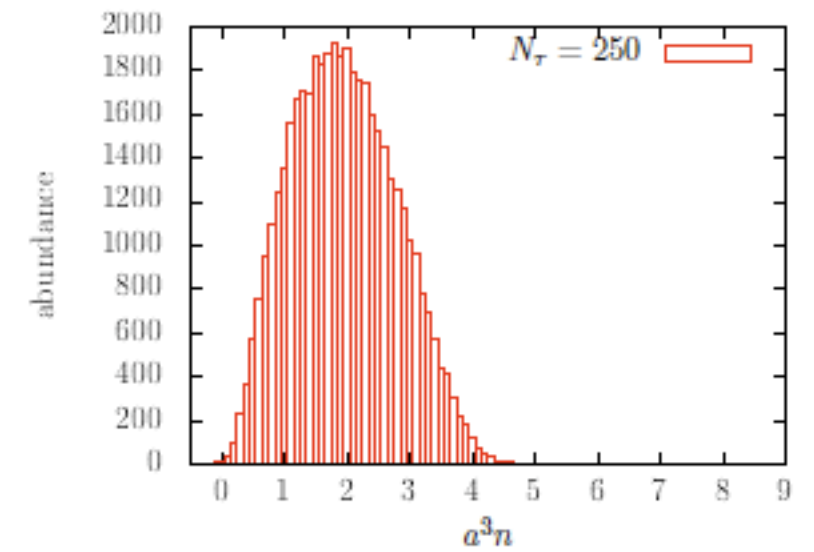
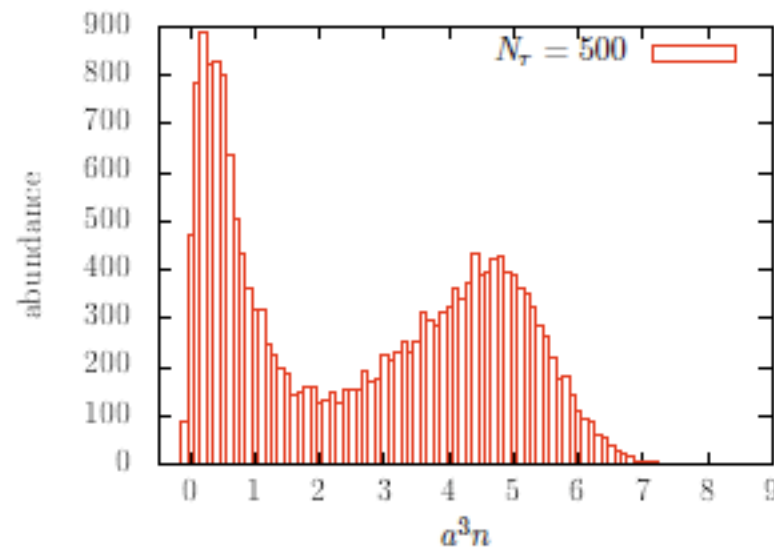
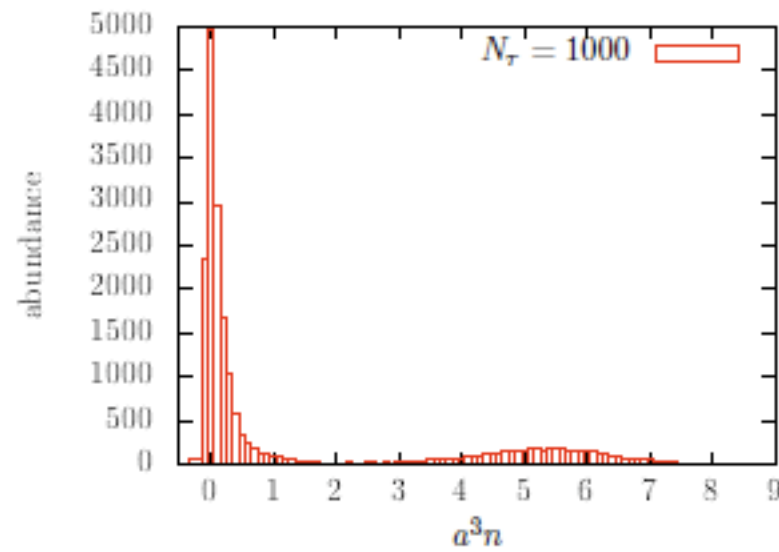
$$\epsilon = \frac{e - n_B m_B}{n_B m_B} = \frac{e}{n_B m_B} - 1$$



Minimum: access to nucl. binding energy, nucl. saturation density!

$\epsilon \sim 10^{-3}$ consistent with the location of the onset transition

Light quarks: first order transition + endpoint

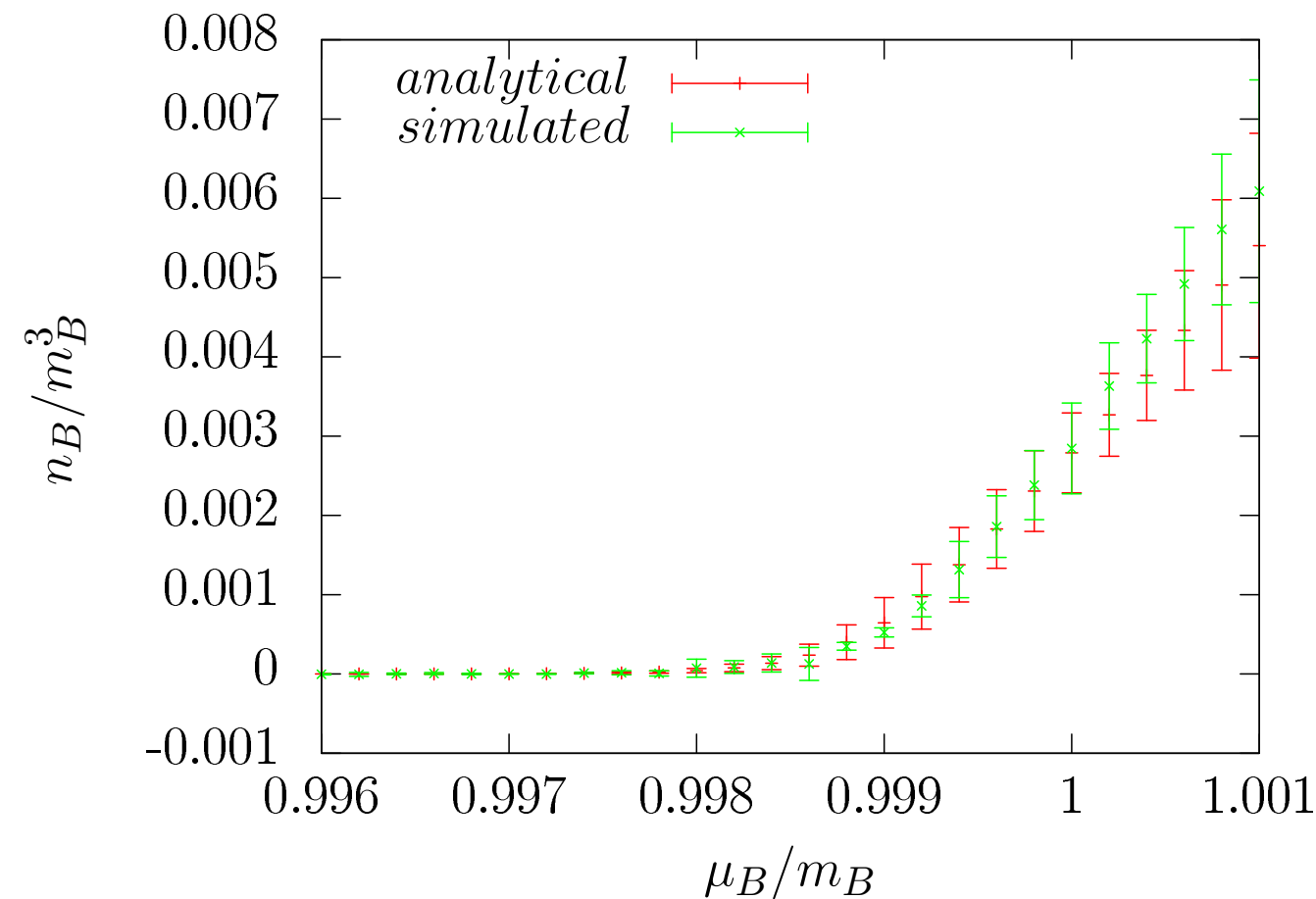


For sufficiently light quarks: $\kappa \sim 0.1$

- Coexistence of vacuum and finite density phase: 1st order
- If the temperature $T = \frac{1}{aN_\tau}$ or the quark mass is raised this changes to a crossover **nuclear liquid gas transition!!!**

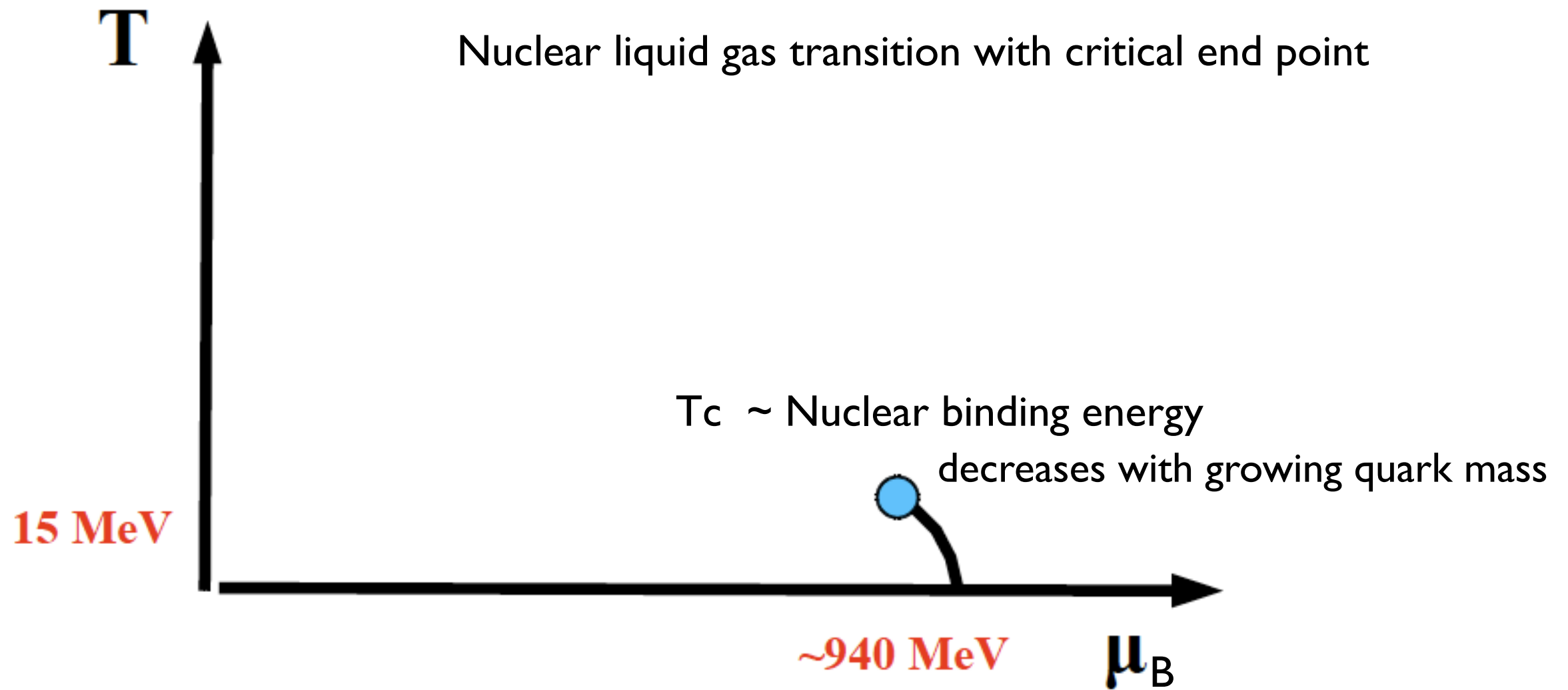
Perturbation theory possible in effective theory!

- Effective couplings small
- Linked cluster expansion in effective couplings
- Error bars systematic: difference between orders in effective action



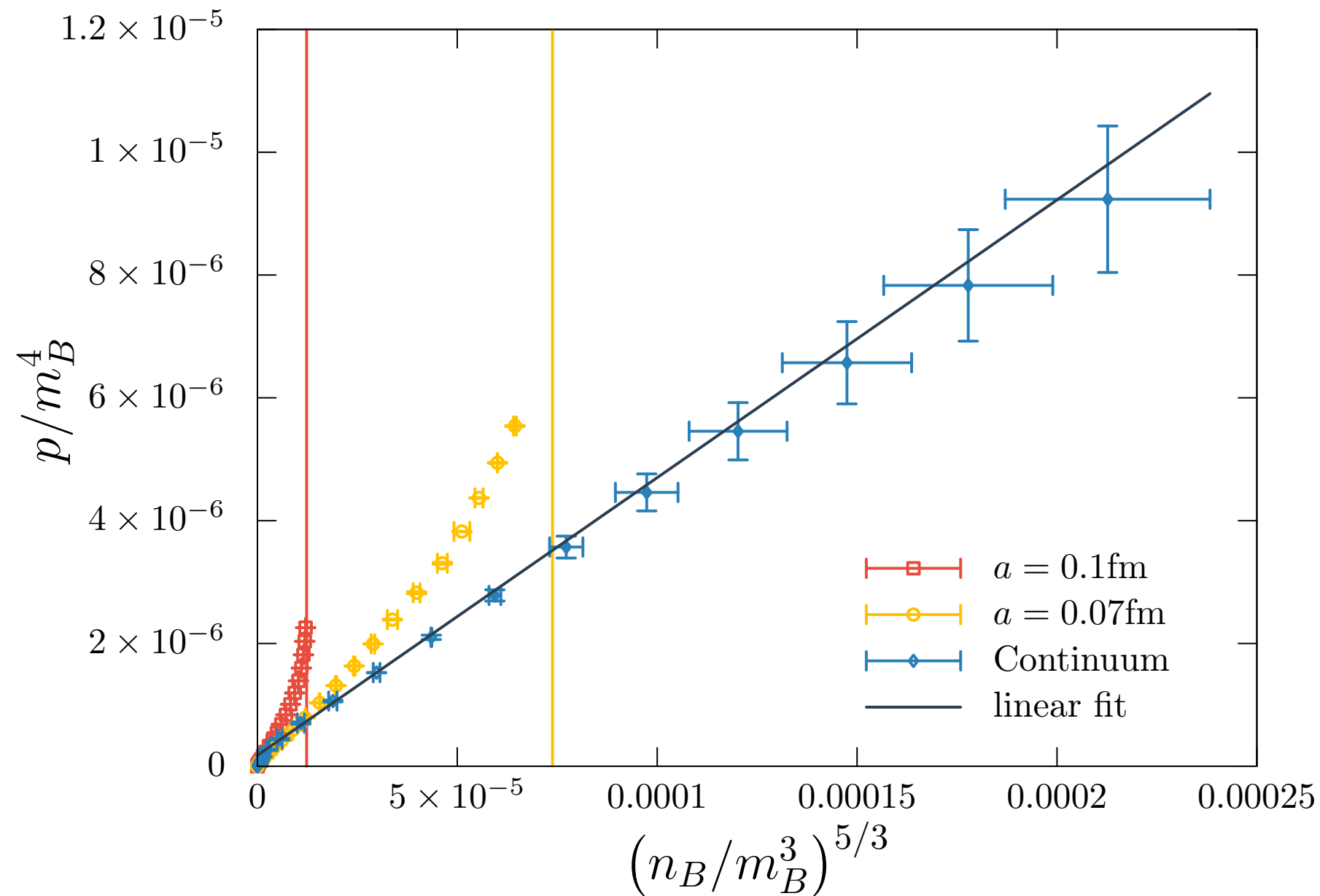
Binding energy per nucleon: shrinks with growing quark mass

$$\epsilon = -\frac{4}{3} \frac{1}{a^3 n_B} \left(\frac{z_3}{z_0} \right)^2 \kappa^2 = -\frac{1}{3} \frac{1}{a^3 n_B} \left(\frac{z_3}{z_0} \right)^2 e^{-am_M} + \dots$$



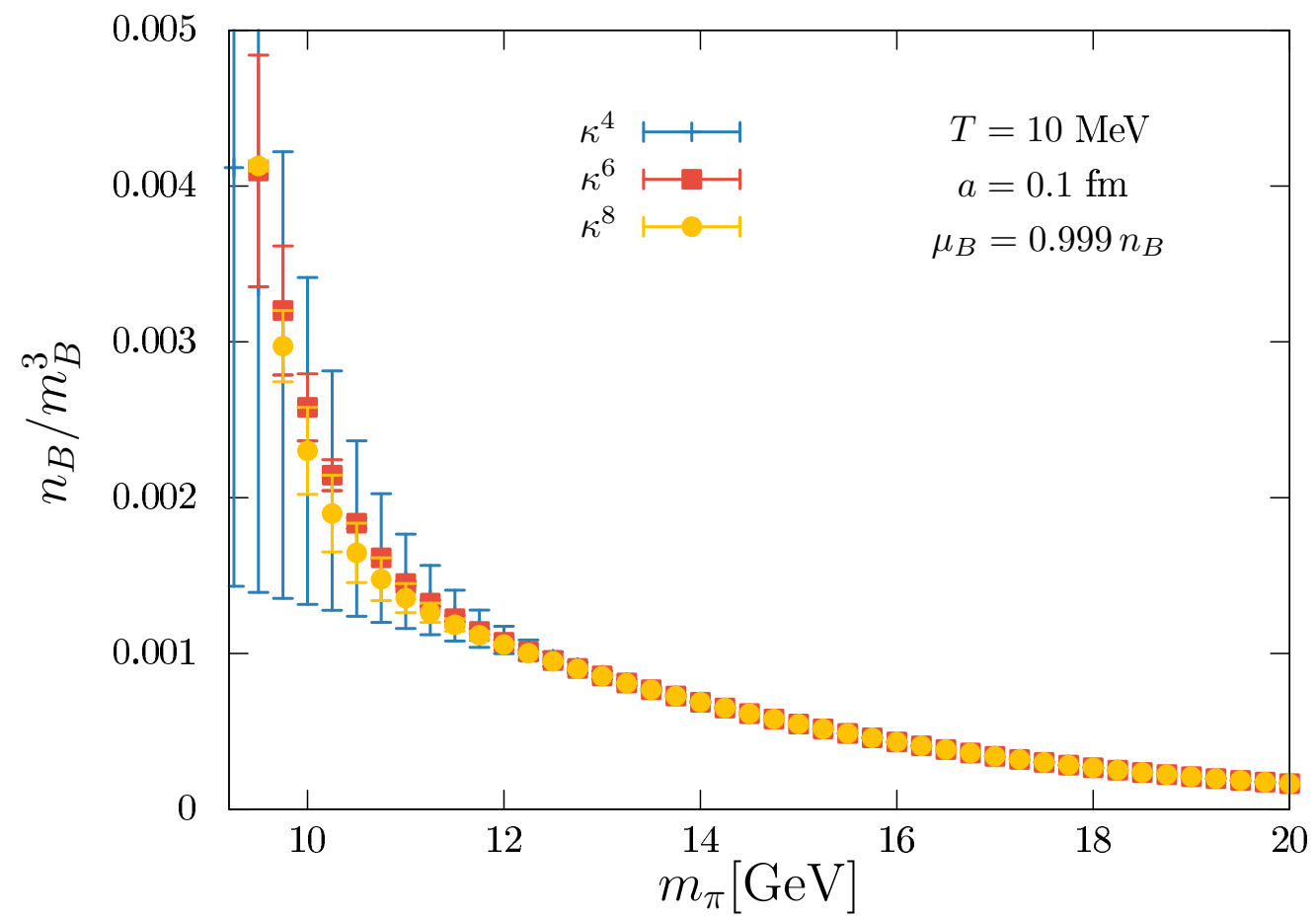
$$\epsilon = -\frac{4}{3} \frac{1}{a^3 n_B} \left(\frac{z_3}{z_0} \right)^2 \kappa^2 = -\frac{1}{3} \frac{1}{a^3 n_B} \left(\frac{z_3}{z_0} \right)^2 e^{-am_M} + \dots$$

Equation of state of heavy nuclear matter, continuum



- EoS fitted by polytrope, non-relativistic fermions!
- Can we understand the pre-factor? Interactions, mass-dependence...

Mass dependence of convergence



The effective lattice theory approach II

- Two-step treatment:

de Forcrand, Langelage, O.P., Unger
Phys.Rev.Lett. 113 (2014) 152002

- I. Calculate effective theory analytically
- II. Simulate effective theory

- Step I.: integrate over gauge links in strong coupling expansion, leave fermions

Wolff; Karsch, Mütter

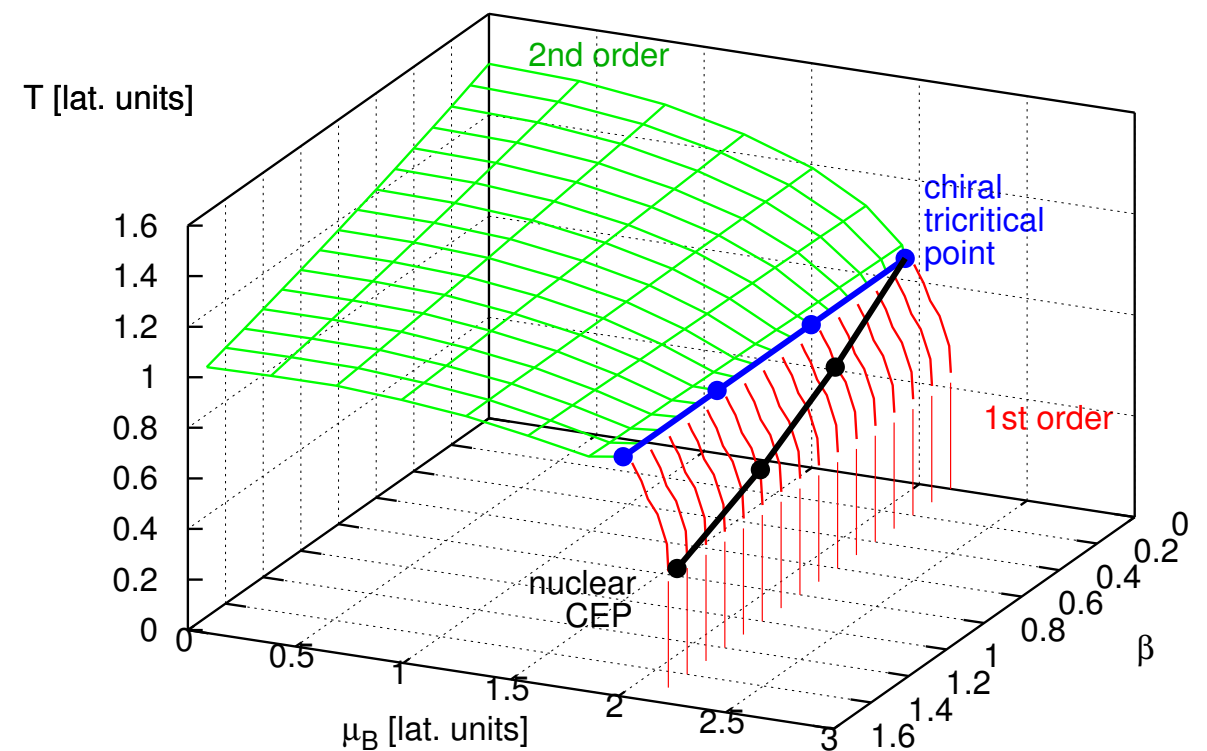
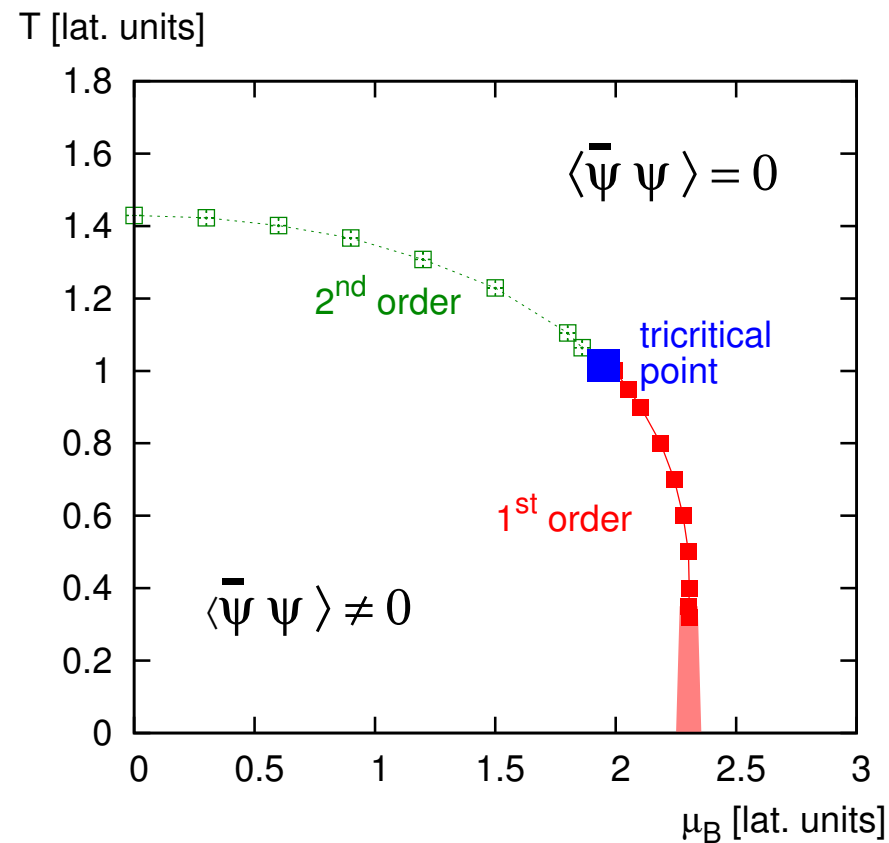
$$Z_{\text{QCD}} = \int d\psi d\bar{\psi} dU e^{S_F + S_G} = \int d\psi d\bar{\psi} Z_F \langle e^{S_G} \rangle_{Z_F}$$
$$\langle e^{S_G} \rangle_{Z_F} \simeq 1 + \langle S_G \rangle_{Z_F} = 1 + \frac{\beta}{2N_c} \sum_P \left\langle \text{tr}[U_P + U_P^\dagger] \right\rangle_{Z_F} \quad Z_F(\psi, \bar{\psi}) = \int dU e^{S_F}$$

- Result: 4d “polymer” model of QCD (hadronic degrees of freedom!)
Valid for all quark masses (also $m=0$!), at strong coupling (very coarse lattices)
- Step II: sign problem milder: Monte Carlo with worm algorithm
- Numerical simulations without fermion matrix inversion, **very cheap!**

From strong coupling limit to finite coupling

Unrooted staggered fermions: $N_f=4$

de Forcrand, Langelage, O.P., Unger 14

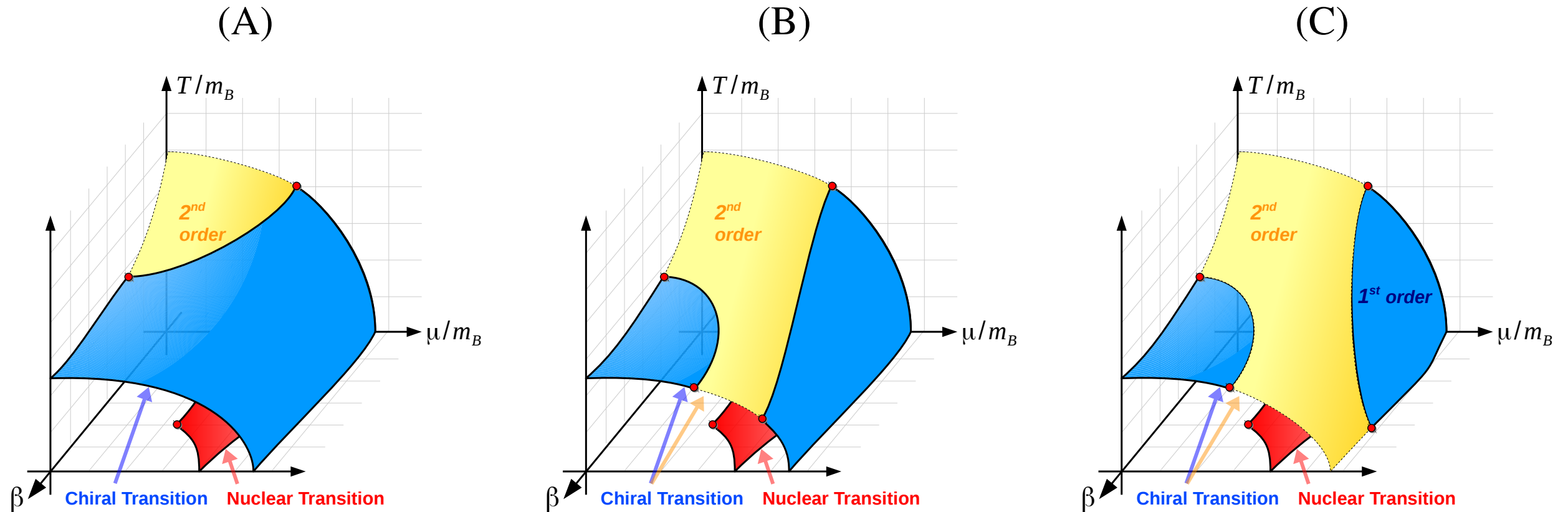


Strong coupling limit: $\beta = 0$

Including leading gauge corrections

Nucl. and chiral transition coincide!

Possibilities for continuum $N_f=4$ phase diagram:



$N_f=4$ is known to have first order transition at zero density

Conclusions

- Growing control over phase diagram in generalised parameter space:
Nf, quark mass, imaginary chemical potential, lattice spacing
- “Physical” QCD: finite T transition is crossover that **softens** with density
- Complete phase diagram + baryon matter directly from QCD for:
 - Heavy dense QCD near continuum with fully analytic methods
 - Chiral dense QCD on coarse lattices