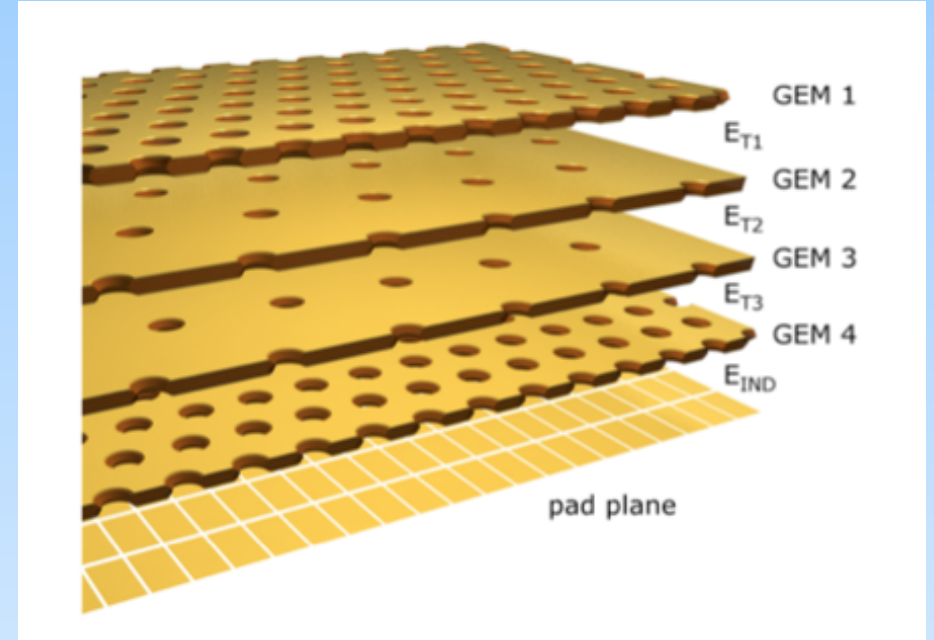
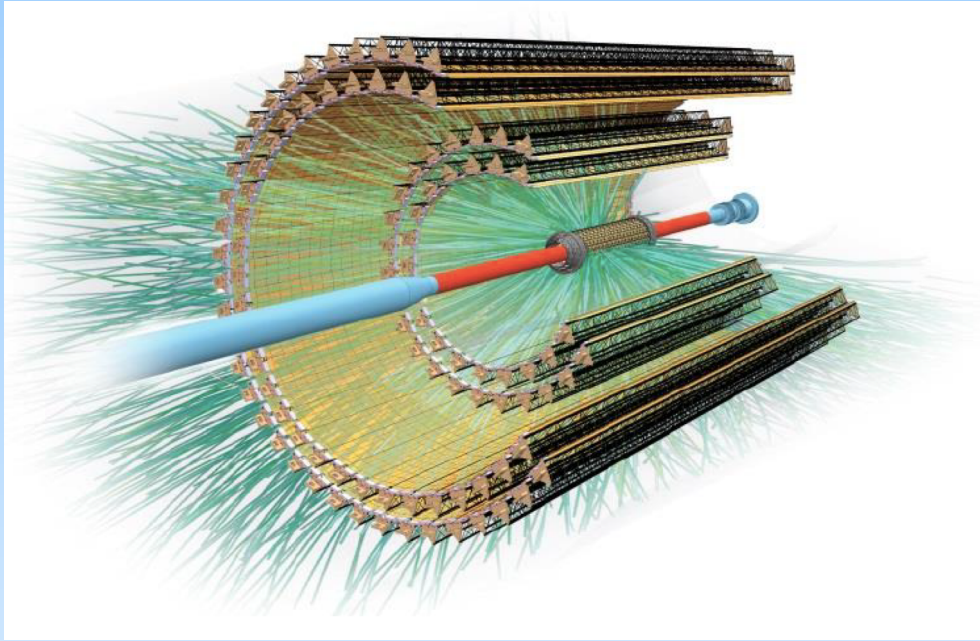




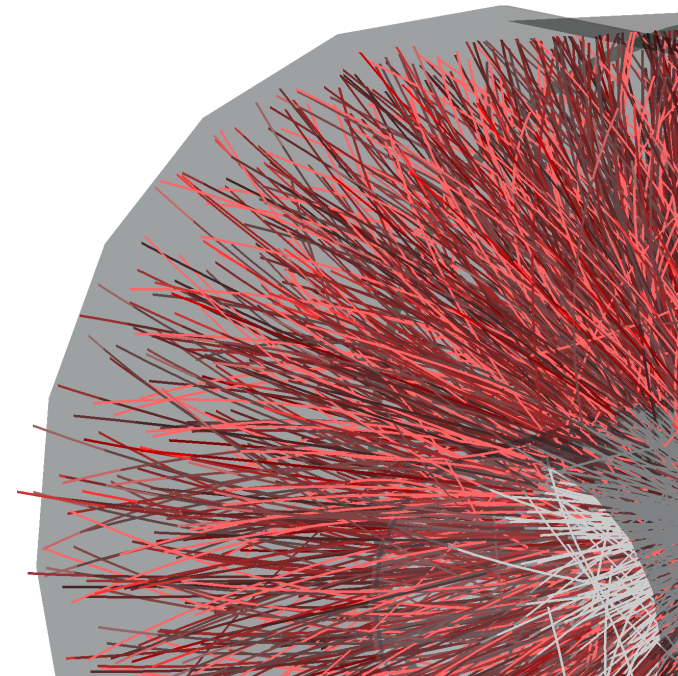
The ALICE upgrade program

Silvia Masciocchi, GSI

*EMMI NQM seminar,
GSI, November 16, 2016*



- What comes after what ALICE measured with run 1 data and what is being recorded during run 2?
- Heavy-ion collisions at the LHC in runs 3 and 4
- Upgrades to the experiment
- ALICE physics program
- Summary



Heavy ions at the LHC: run 1



year	system	$\sqrt{s_{NN}}$ (TeV)	L_{int}
2010	Pb-Pb	2.76	$\sim 10 \mu b^{-1}$
2011	pp	2.76	$\sim 250 \text{ nb}^{-1}$
2011	Pb-Pb	2.76	$\sim 150 \mu b^{-1}$
2013	p-Pb	5.02	$\sim 30 \text{ nb}^{-1}$
2013	pp	2.76	$\sim 5 \text{ pb}^{-1}$

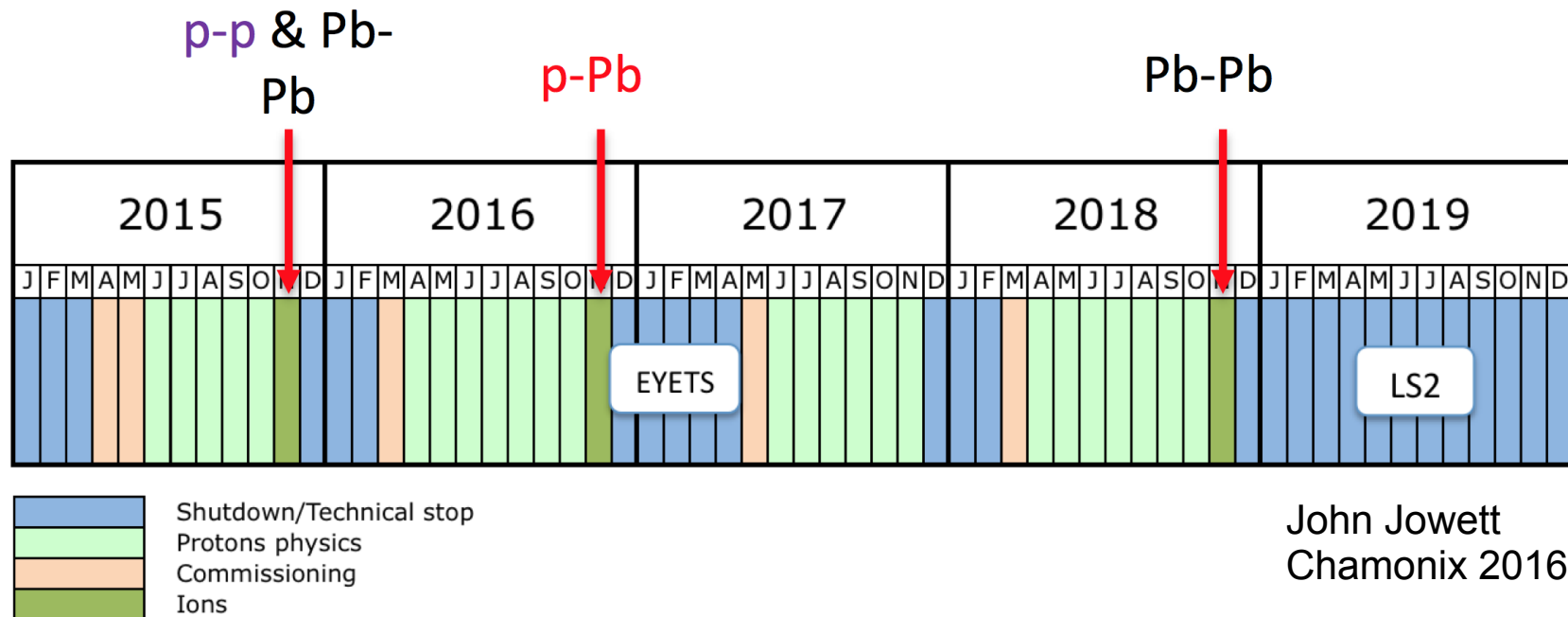
In 2011, LHC already reached the nominal Pb-Pb luminosity: $5 \times 10^{26} \text{ cm}^{-2} \text{ s}^{-1}$ (interaction rates around 5-6 kHz)

Heavy ions at the LHC: run 2



Higher collision energy: Pb-Pb at $\sqrt{s_{NN}} = 5.02$ TeV

p-Pb at $\sqrt{s_{NN}} = 5.02$ TeV and 8 TeV

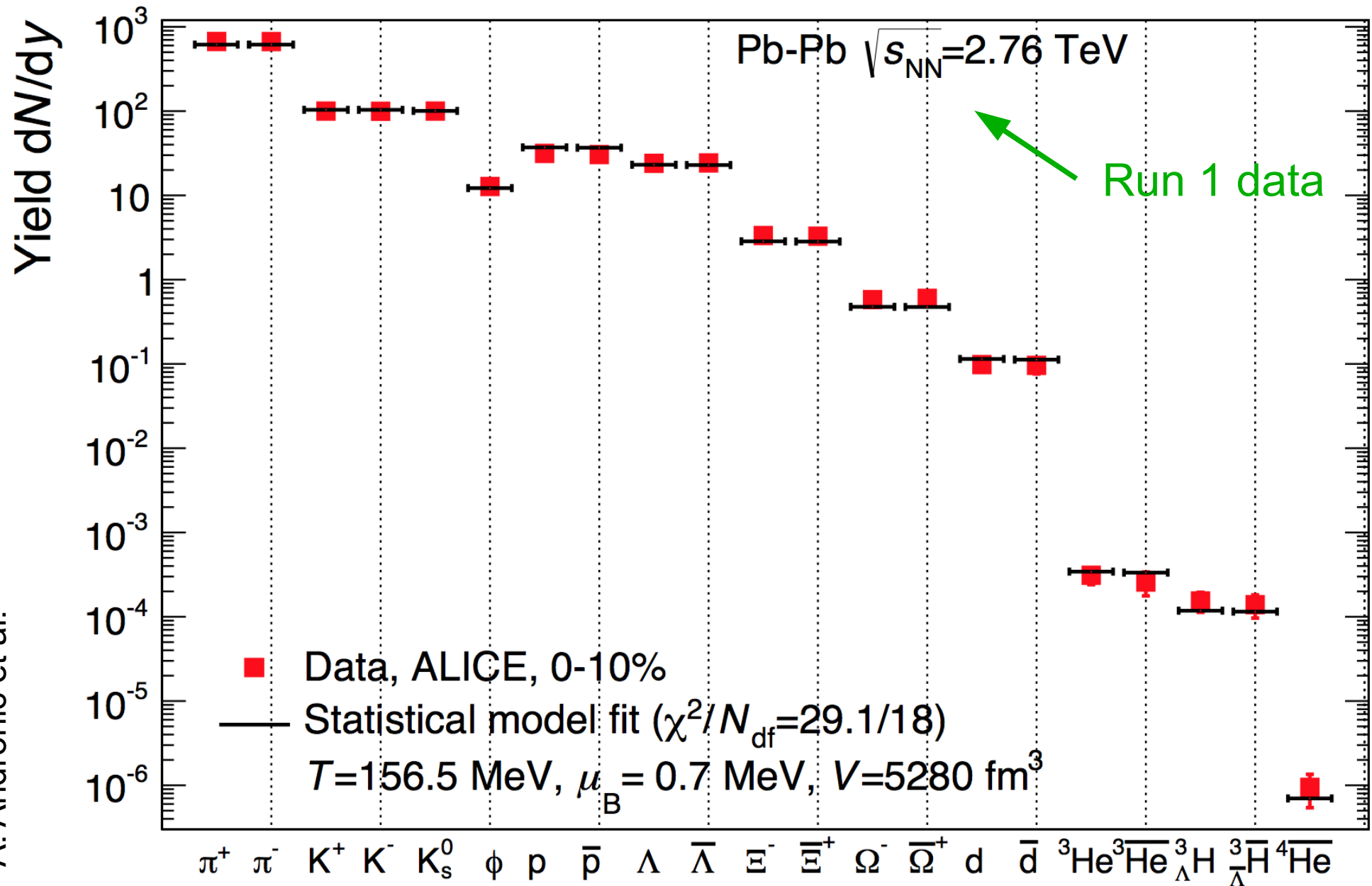


Goal of run 2 for ALICE: **$\sim 1\text{-}2 \text{ nb}^{-1}$ integrated luminosity (Pb-Pb)**
 $\times 10$ wrt run 1
Including rare triggers

- **AMAZING PERFORMANCE!**
- In 2015, luminosity exceeded the design value:
 - First it reached $10^{27} \text{ cm}^{-2}\text{s}^{-1}$ (2 x design) using the highest achieved intensity of ions in the 426 bunches filled from the injectors (PS and SPS)
 - Then reached 3 x design having up to 518 bunches in the LHC (thanks to the reduced rise time of the SPS injection kicker array)
 - Many important limits of LHC with heavy ions tested!!
- Machine already running at (safety) limits
- Luminosity leveling used at ALICE in 2015

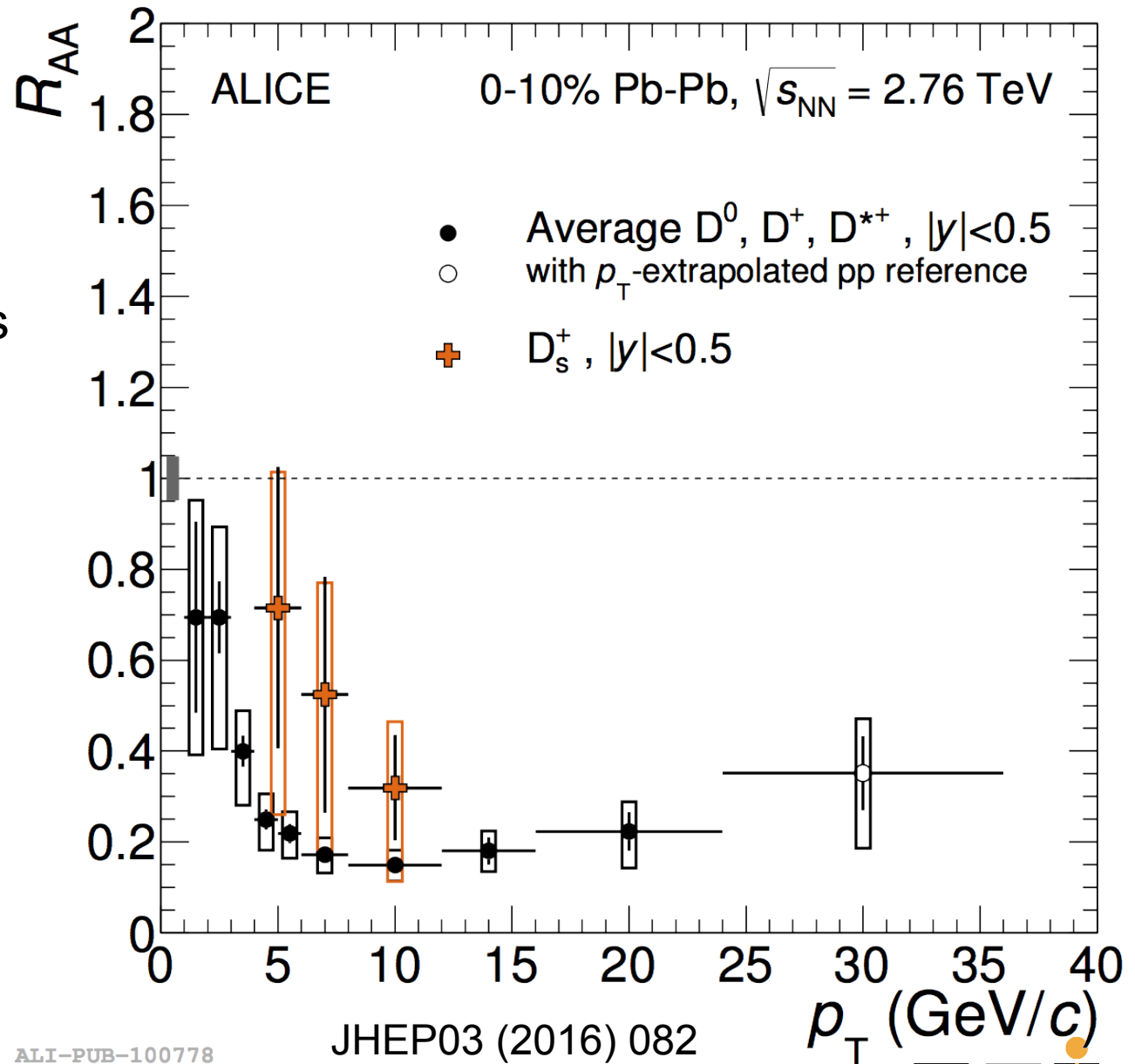
Why beyond the planned 1 nb^{-1} ?

HI at the LHC: the precision era



More statistics is needed: example 1

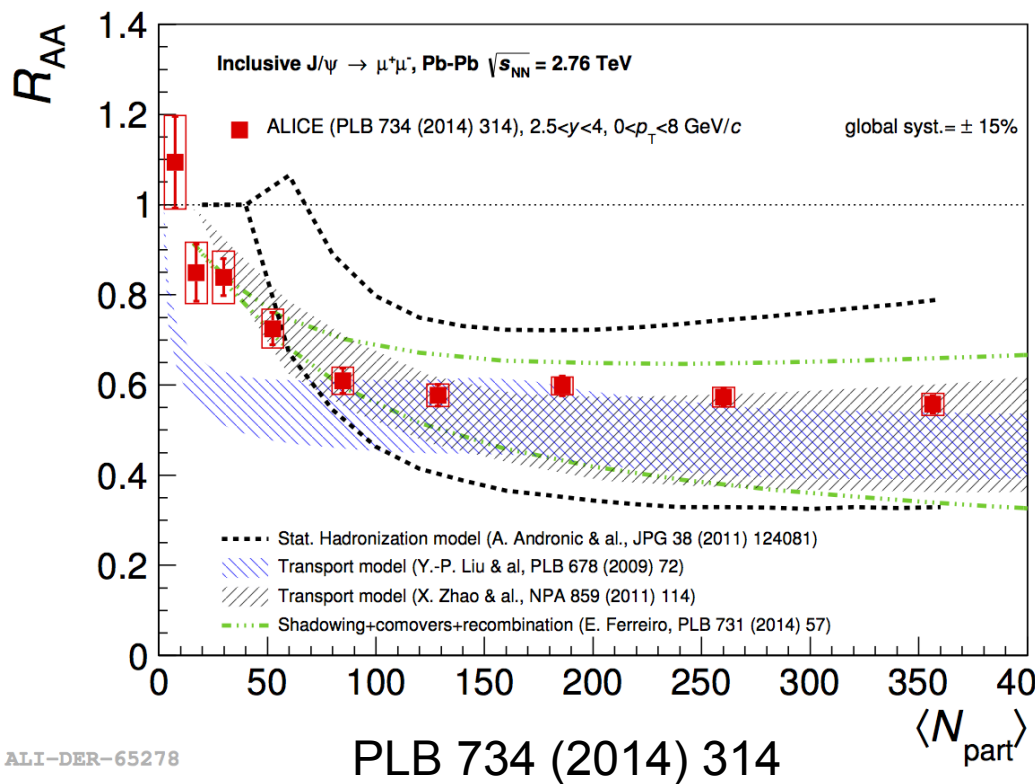
- $D_s^+ (c\bar{s})$ compared to average of $D^{0,+,*+}$
- Sensitive to strangeness production
- and to the hadronization mechanism of charm quarks
- Need statistics and precision
- Reach 0 p_T



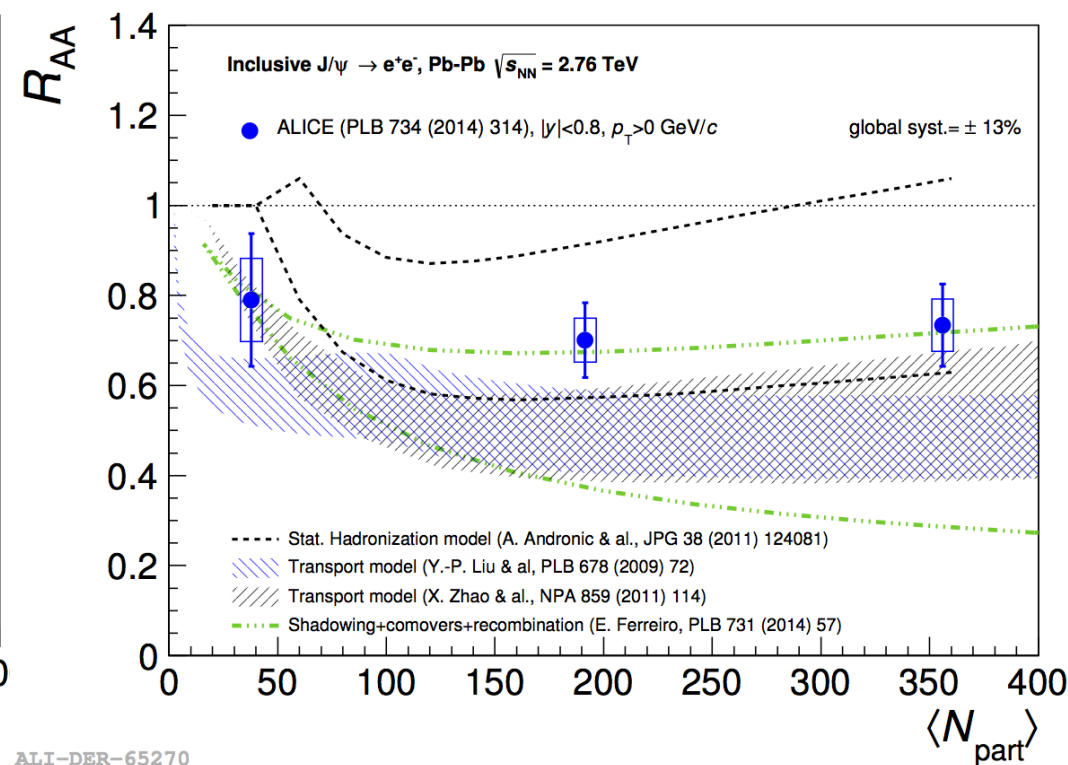
More statistics is needed: example 2

J/ ψ nuclear modification factor versus centrality, run 1 data
Comparison to statistical hadronization and two transport models

Forward rapidity



Mid rapidity

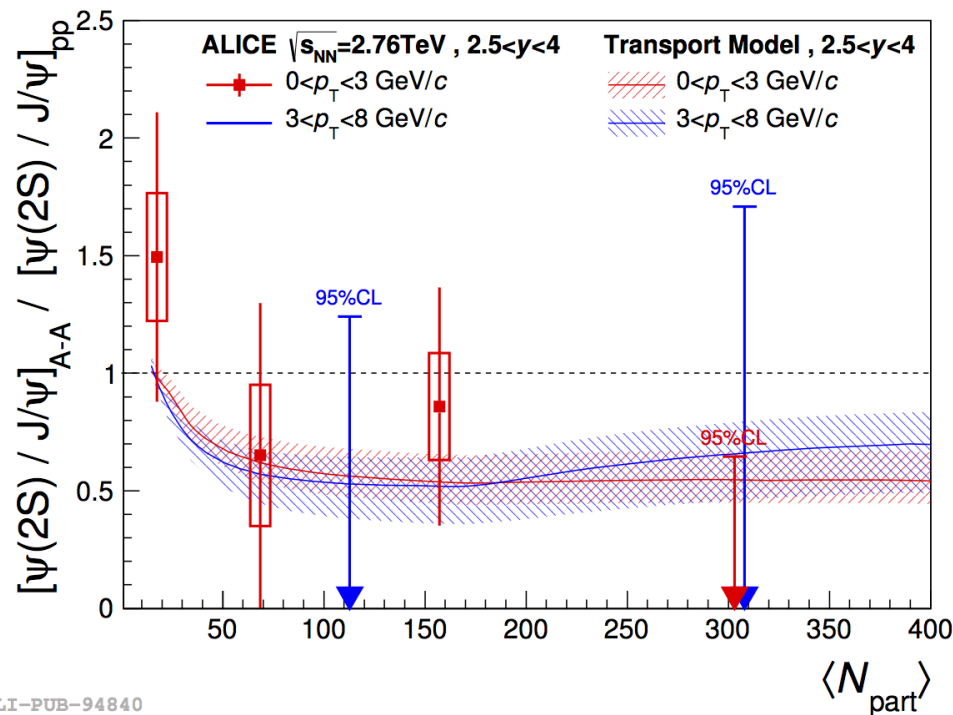


Charm production cross section needed with good precision!

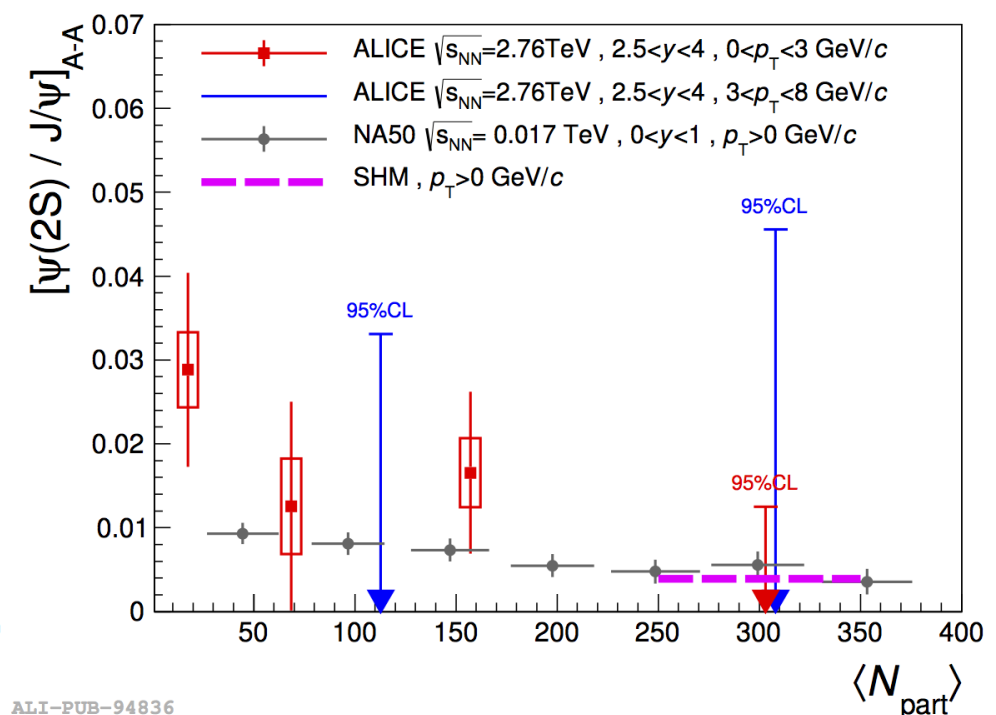
More statistics is needed: example 2

Discrimination between different charmonium production mechanisms:

- Transport models: in-medium destruction and generation
 - Statistical hadronization: generation at phase boundary
- will be possible with high statistics $\psi(2S)$ measurements



ALI-PUB-94840



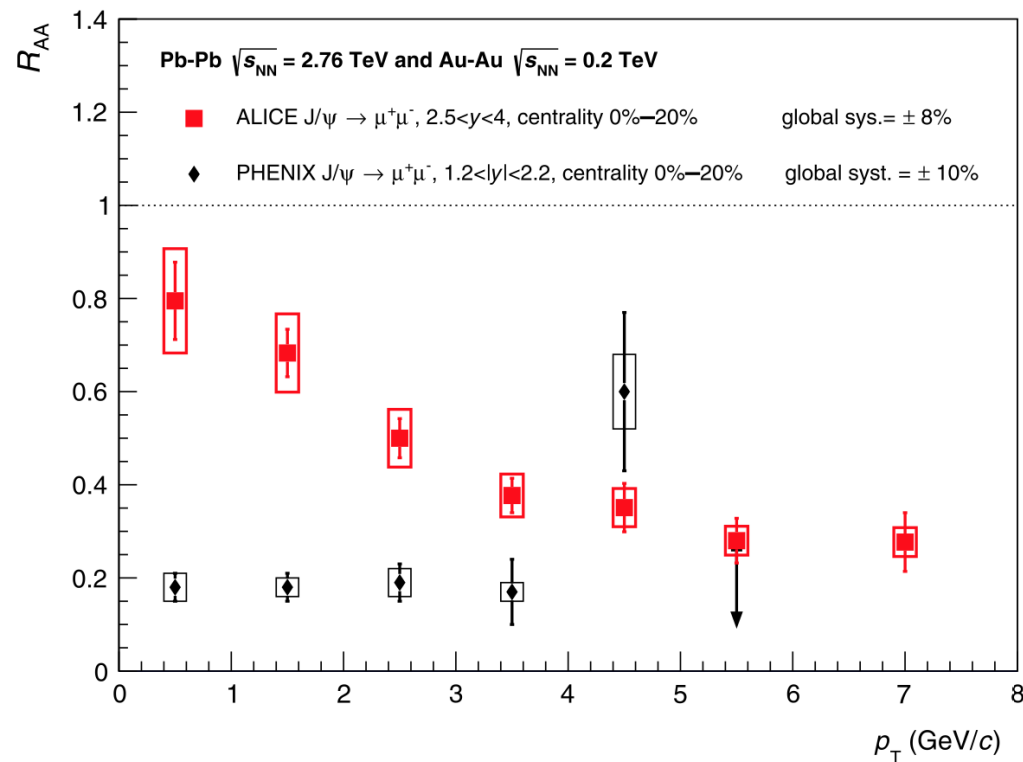
ALI-PUB-94836

limited statistics, no central collisions

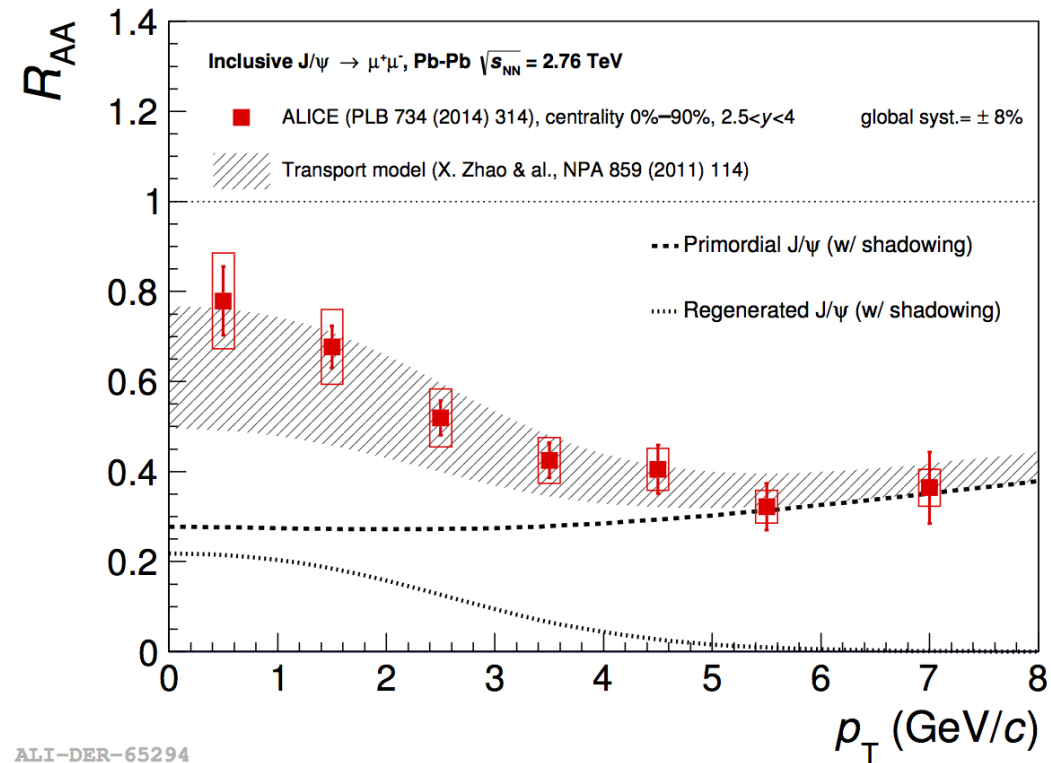
JHEP 1605 (2016) 179

Focus on low transverse momentum

... the place where most of the interesting action takes place



PLB 734 (2014) 314



ALI-DER-65294

What do we need next



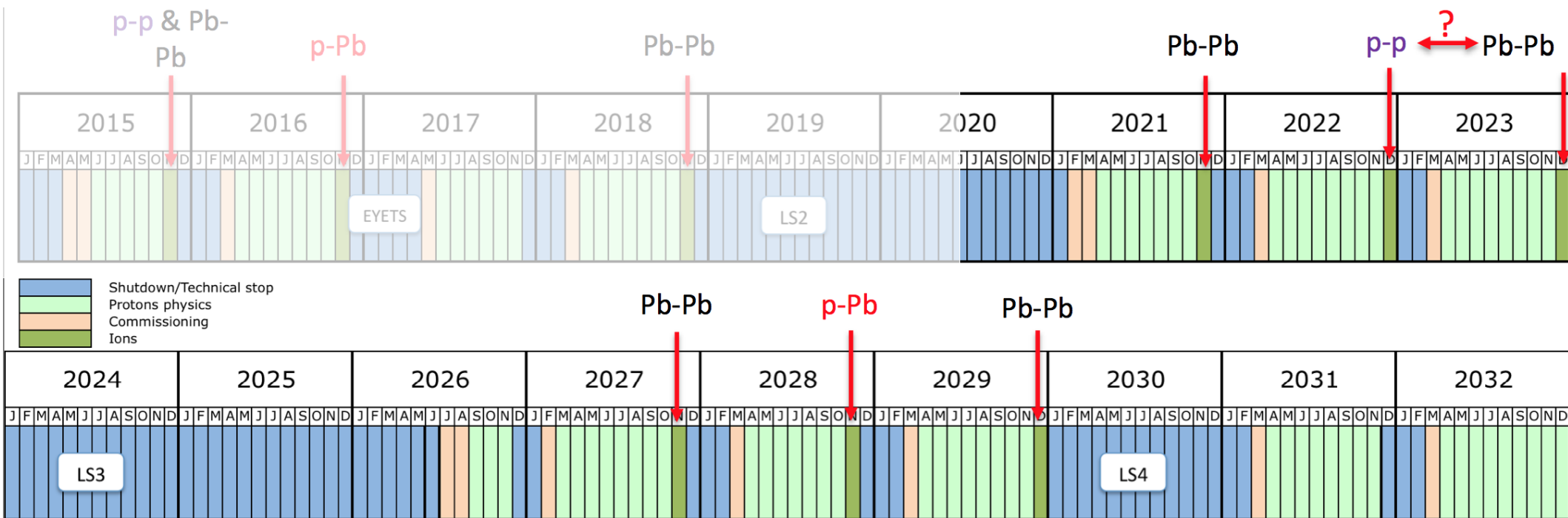
- Significantly higher integrated luminosity
→ possibly a factor 100 more than run 2 (n. events)
- Interest focused on soft probes and hard probes down to 0 p_T
→ only possible with minimum bias data

How can this be achieved?

- Higher interaction rates of heavy ions at the LHC: 50 kHz after LS2
- Minimum bias data
- Adapt detectors and data processing to allow recording of all interactions (continuous readout, online data reduction, etc)
- Optimize experimental setup for high resolution at low p_T

LHC heavy-ion beams from 2021 on

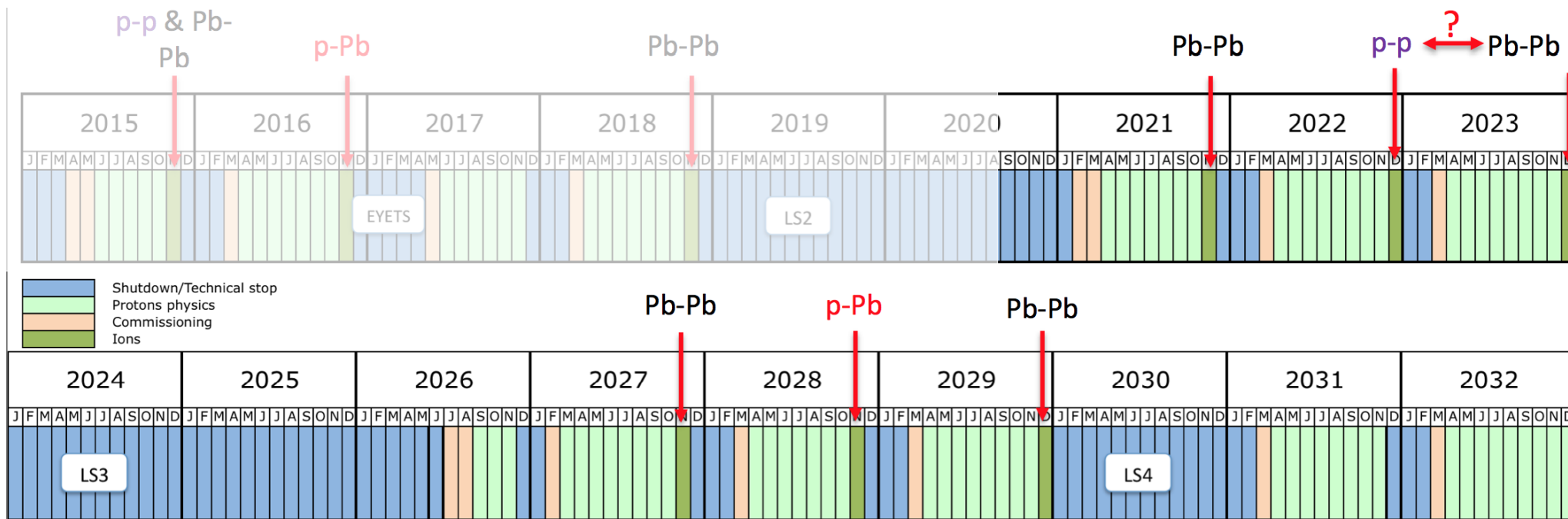
- Increase total number of lead nuclei stored in the LHC
 - Reducing bunch spacing at the PS
 - Decreasing the SPS kicker rise time
- Upgrade of LHC collimators
- Peak luminosity exceeding $6 \times 10^{27} \text{ cm}^{-2}\text{s}^{-1}$ ($\rightarrow$ 50 kHz int. rate)
- Foreseen integrated luminosity of 2.85 nb^{-1} per year



LHC heavy-ion beams from 2021 on



RUN 3



RUN 4

Goal for run 3 and 4 (2021 – 2029):

- 4 heavy-ion periods → 10 – 13 nb⁻¹ integrated luminosity
- Minimum bias → 8x10⁹ events
- Reference pp and p-Pb runs

Upgrade program: an overview



- Full replacement of the Inner Tracking System (ITS) with 7 layers of monolithic active pixels (MAPS)
 - higher resolution in all coordinates, lower material budget, higher precision in tracking and vertexing
- Replacement of the beam pipe (smaller radius)
 - lower material budget, higher precision
- Addition of a Muon Forward Tracker (MFT, pixels as ITS)
 - augment the muon spectrometer with vertexing, to strengthen all aspects of heavy-flavor physics
- Replacement of the TPC MWPC (+ gating grid) with GEM chambers and new readout electronics
 - continuous readout
- Upgrade of readout systems of all detectors
 - higher readout speed
- Development of a new online + offline system O²
 - data compression, online processing, allow 50 kHz “minimum bias”
- New trigger detectors

The LS2 ALICE upgrades

New Inner Tracking System (ITS)

- improved pointing precision
- less material -> thinnest tracker at the LHC

Muon Forward Tracker (MFT)

- new Si tracker
- Improved MUON pointing precision

MUON ARM

- continuous readout electronics

Time Projection Chamber (TPC)

- new GEM technology for readout chambers
- continuous readout
- faster readout electronics

New Central Trigger Processor

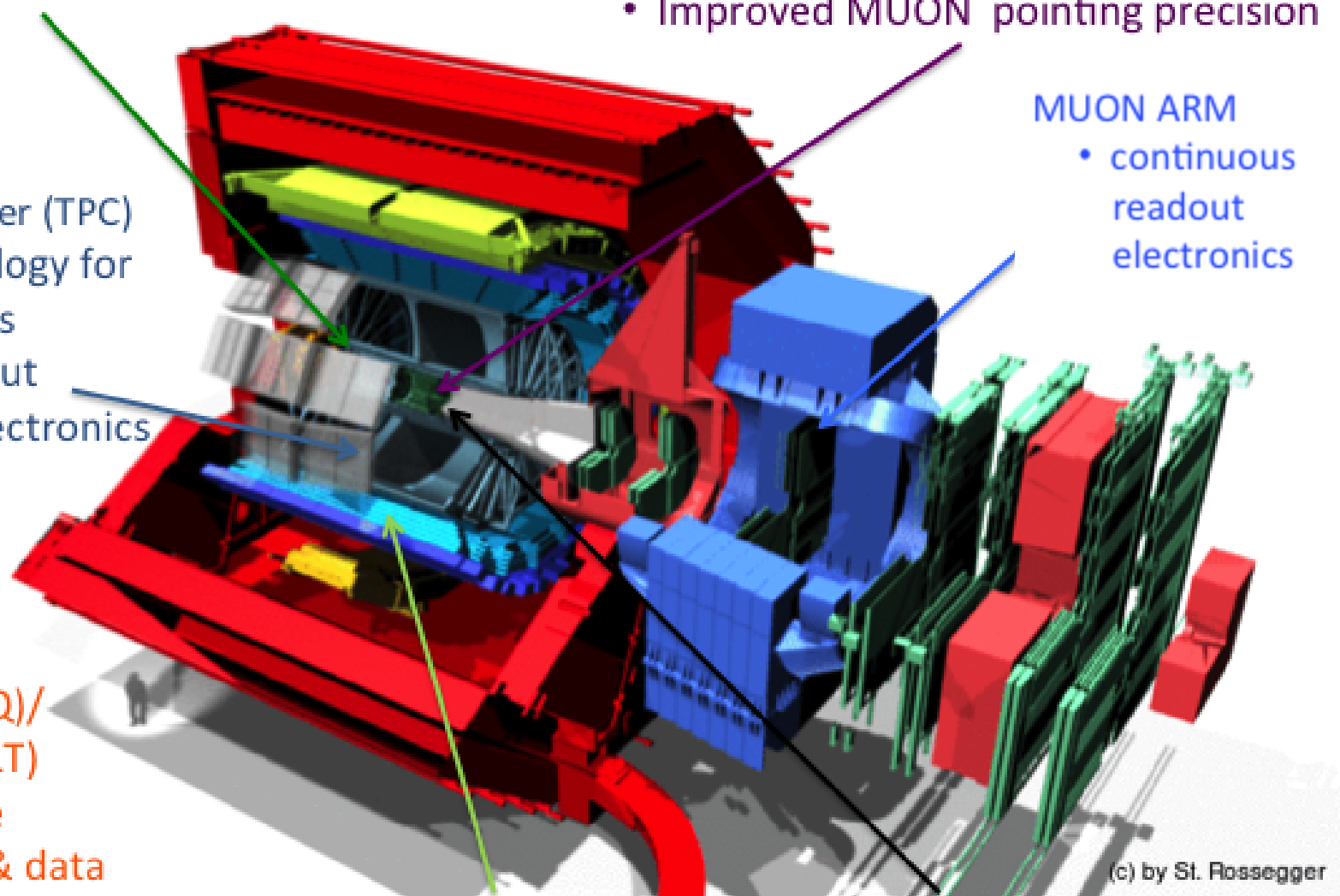
Data Acquisition (DAQ)/ High Level Trigger (HLT)

- new architecture
- on line tracking & data compression
- 50kHz PbPb event rate

TOF, TRD, ZDC

- Faster readout

New Trigger Detectors (FIT)



(c) by St. Rossegger

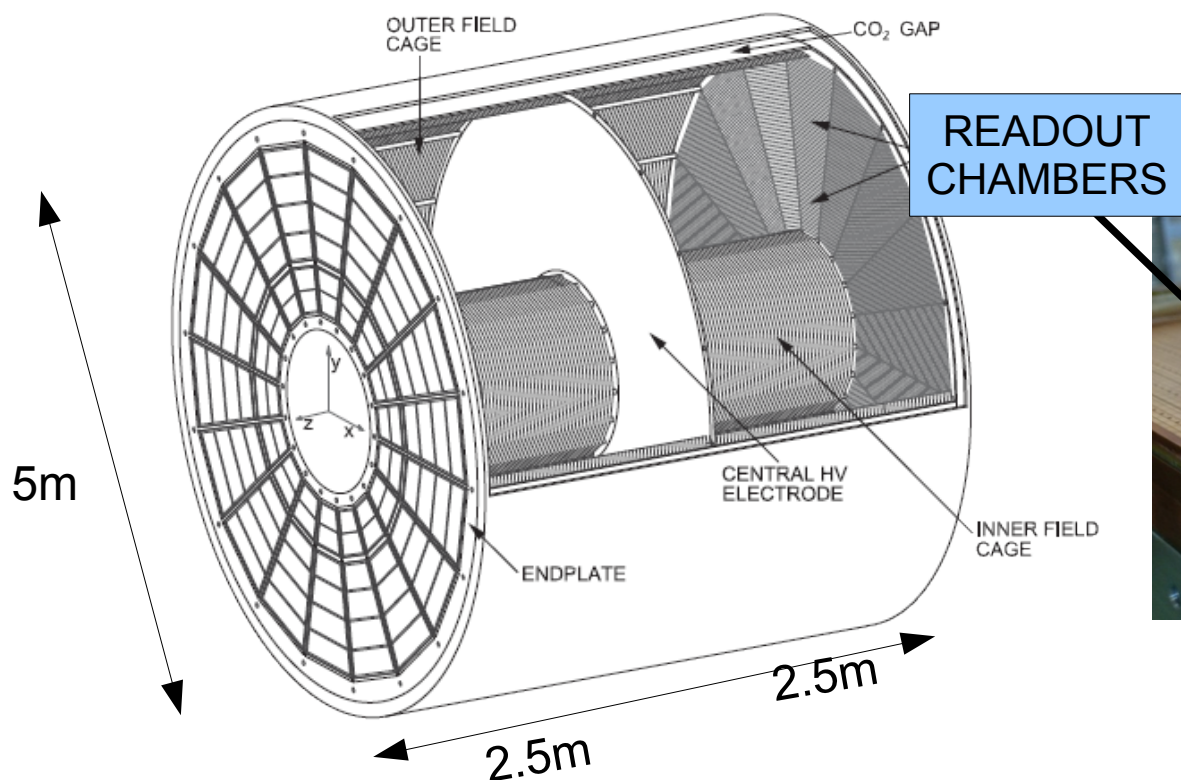
- 5 Technical Design Reports



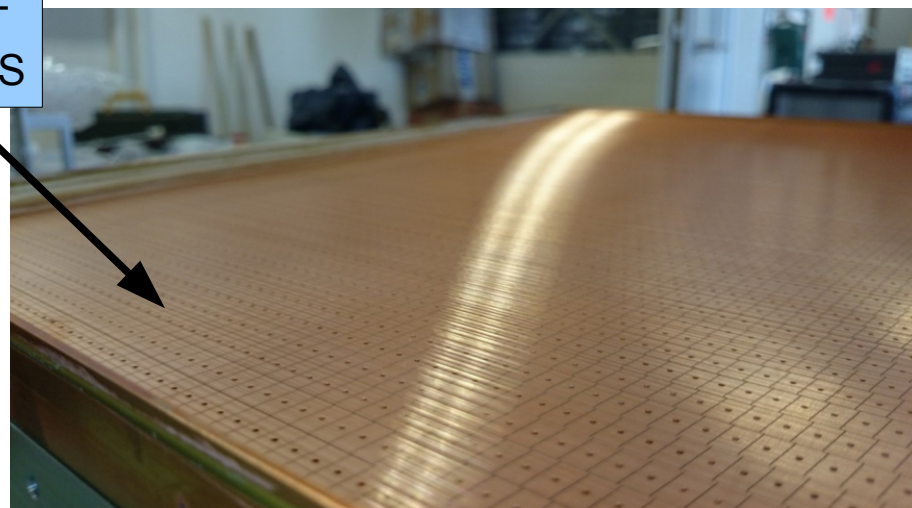
- Approved by the LHCC, the Upgrade Cost Group, the Research Board
- 4 of 5 Memorandum of Understandings signed (GSI: Readout and Trigger, TPC, O² coming soon)

ALL PROJECTS ON THE WAY

The ALICE Time Projection Chamber

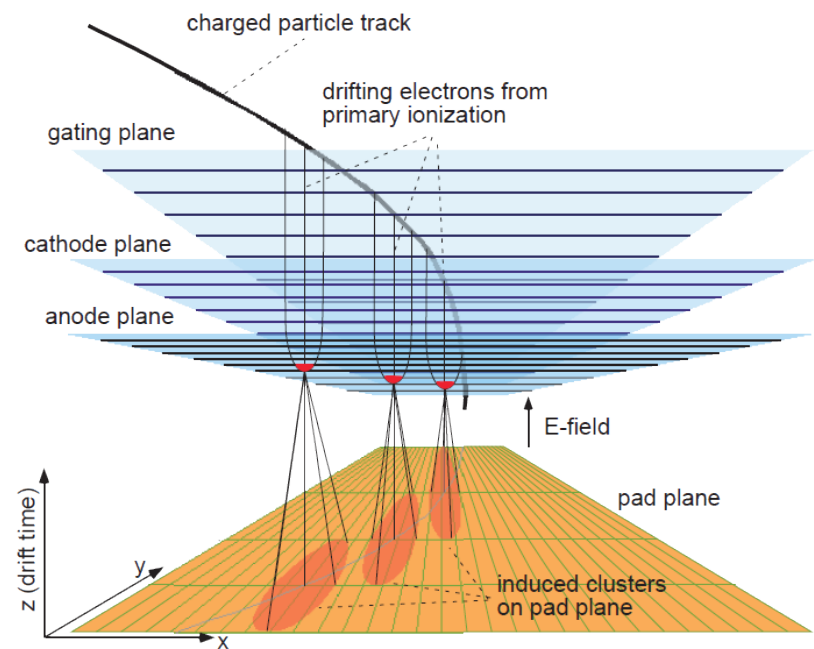
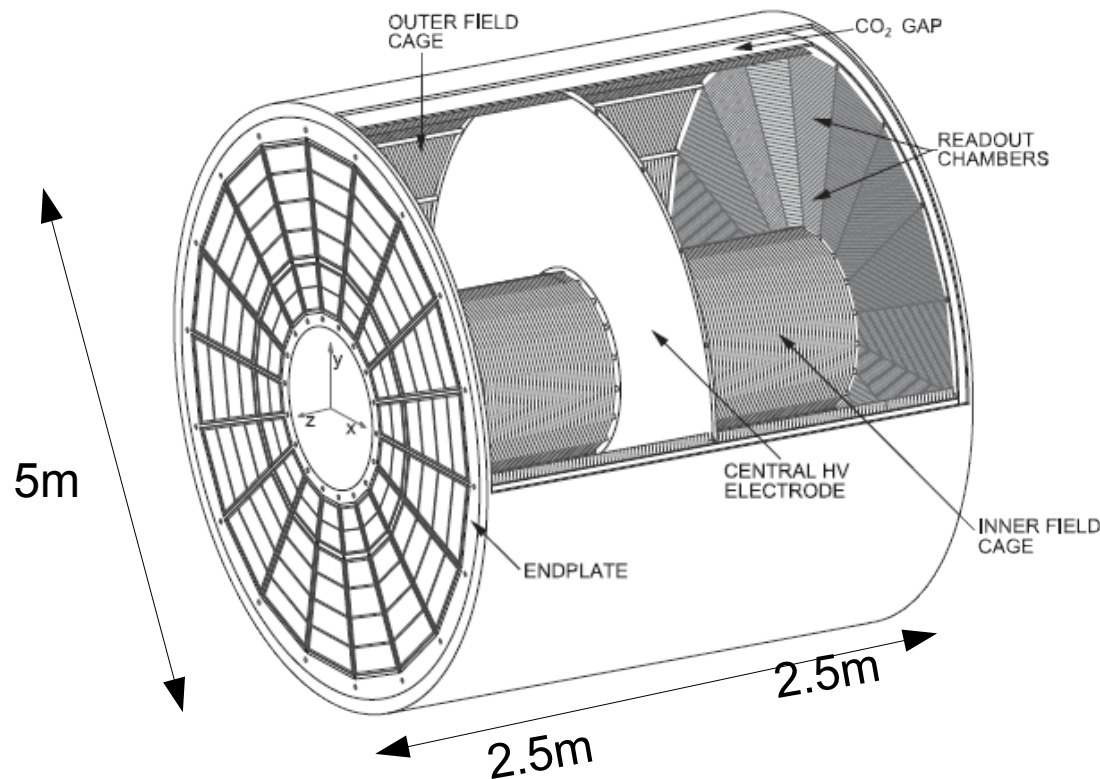


Multi-Wire Proportional Chamber



- $\sim 90 \text{ m}^3$ gas volume (Ne-CO₂(-N₂) $\rightarrow$ Ar-CO₂), equipped with **MWPC**
- Half a million readout pads
- Ions from the amplification region are prevented from reaching the drift volume by a gating grid
- Full readout time $\sim (100+200) \mu\text{s} \rightarrow$ maximum readout rate of 3 kHz

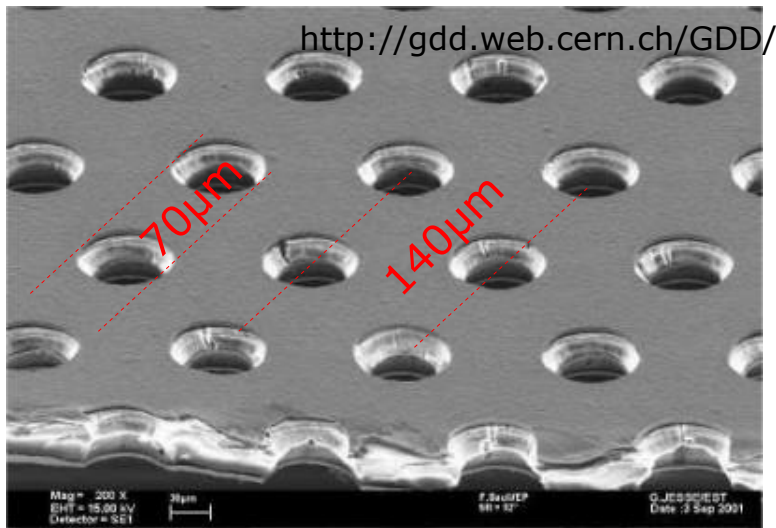
The ALICE Time Projection Chamber



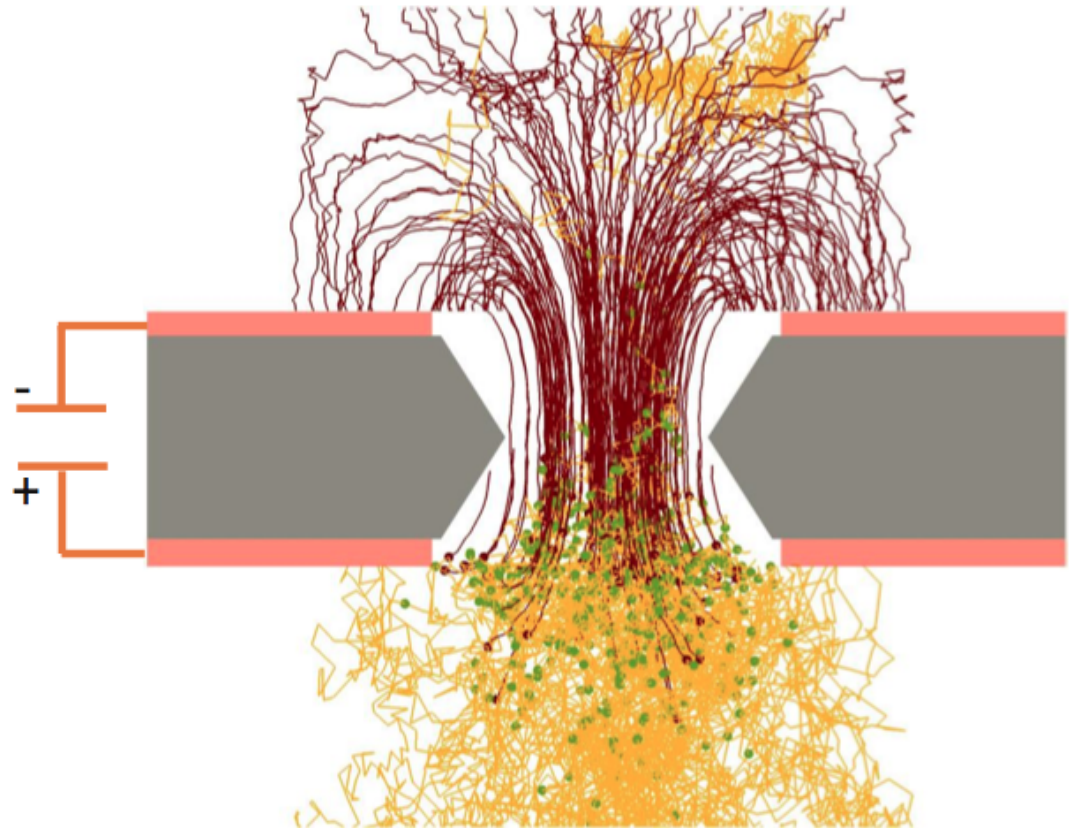
- $\sim 90 \text{ m}^3$ gas volume (Ne-CO₂(-N₂) $\rightarrow$ Ar-CO₂), equipped with **MWPC**
- Half a million readout pads
- Ions from the amplification region are prevented from reaching the drift volume by a gating grid
- Full readout time $\sim (100+200) \mu\text{s} \rightarrow$ maximum readout rate of 3 kHz

- **Continuous readout** to cope with the 50 kHz Pb-Pb interaction rate and record all minimum bias events
- New **readout** electronics: FE ASIC SAMPA:
 - direct readout mode (continuous, can be triggered)
- Signal processing at CRU – Common Readout Unit:
 - Common mode correction, zero suppression, etc
- 5 MHz ADC sampling frequency → **1-3 TBytes/s**
- Significant data compression required!

- **Continuous readout** to cope with the 50 kHz Pb-Pb interaction rate and record all minimum bias events
→ New readout chambers, no gating grid
GEM: Gas Electron Multiplier foils. Offer opportunity to reach low ion back-flow (IBF) in multiple GEM stacks

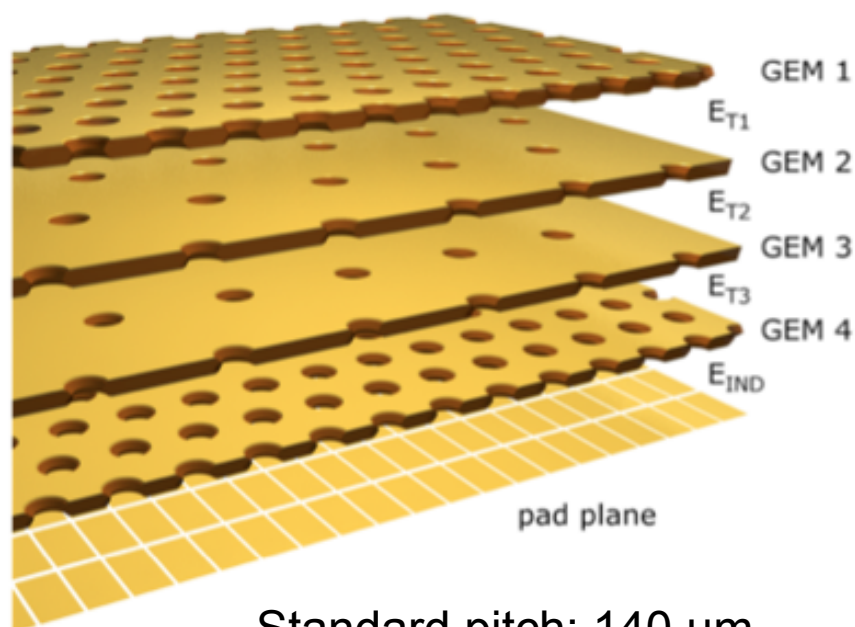


Copper coated kapton foils (50 μm)
 $\Delta U = 500\text{V} \rightarrow \sim 100\text{kV/cm}$

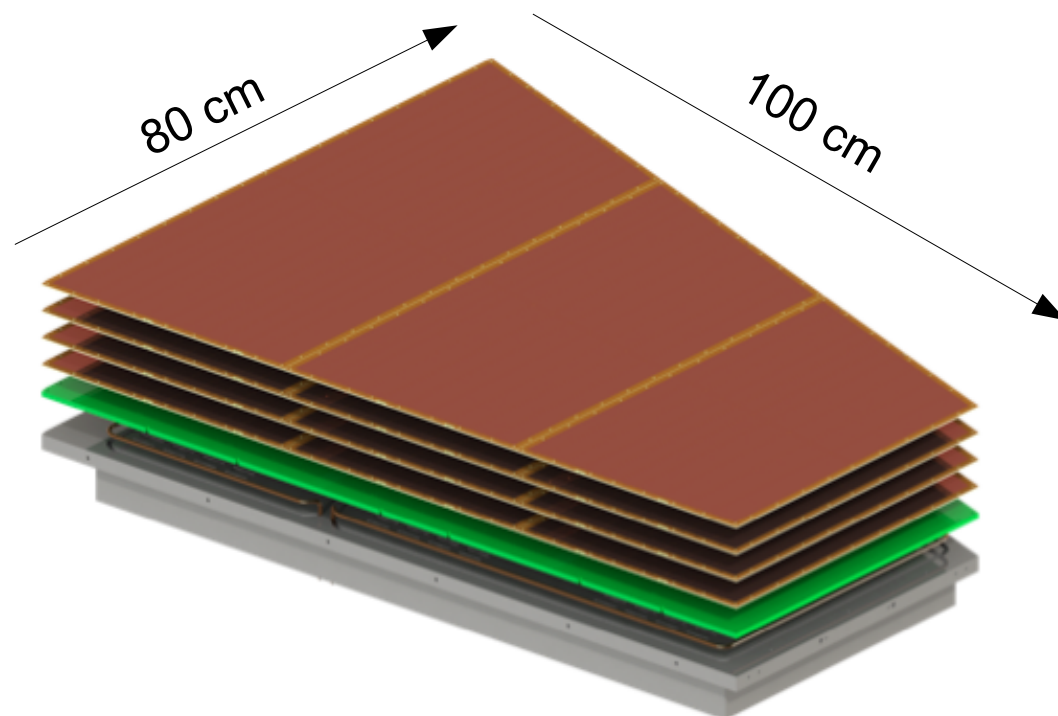


TPC upgrade: GEM chambers

- Low IBF ($<1\%$) is achieved combined 4 GEM foil
- Momentum resolution and particle identification performance is preserved: dE/dx resolution $<12\%$



Standard pitch: $140\ \mu\text{m}$
Large pitch: $280\ \mu\text{m}$

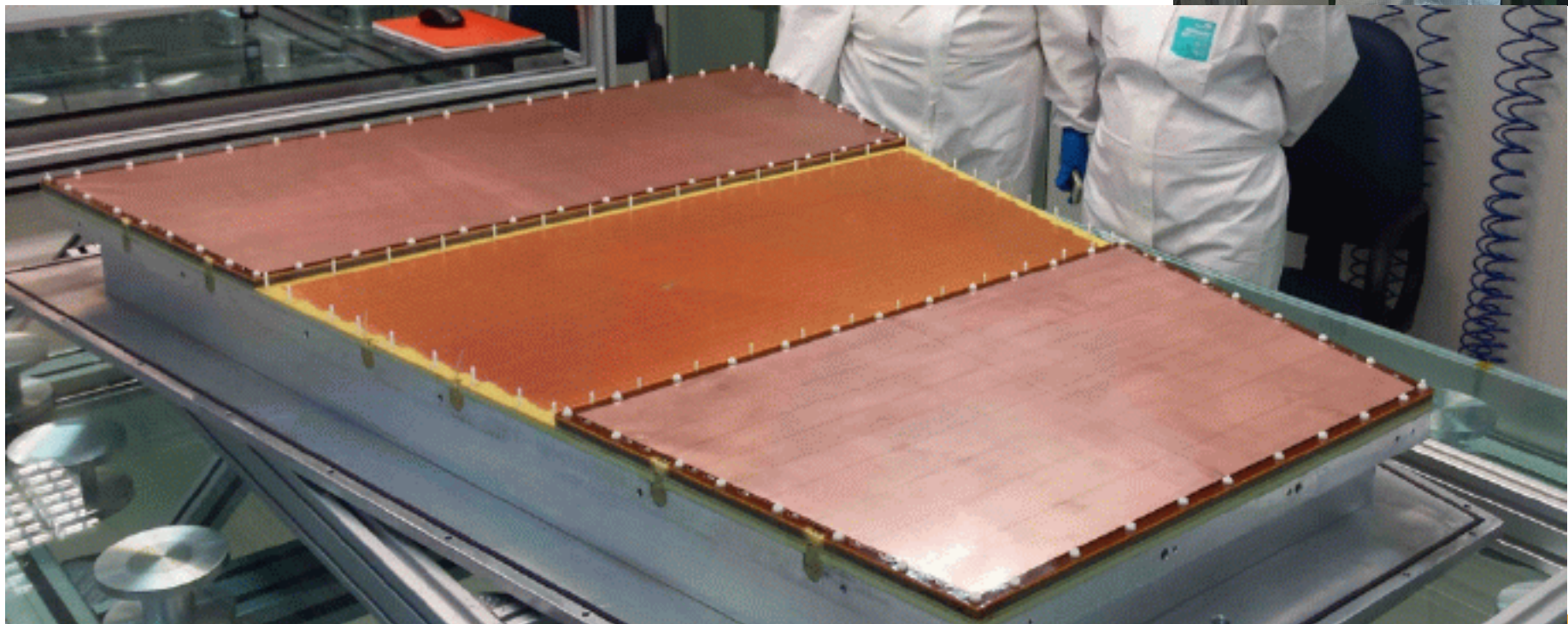
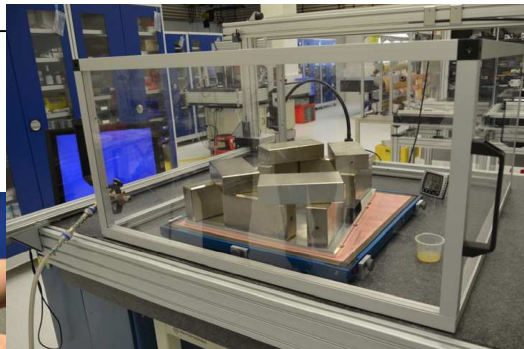
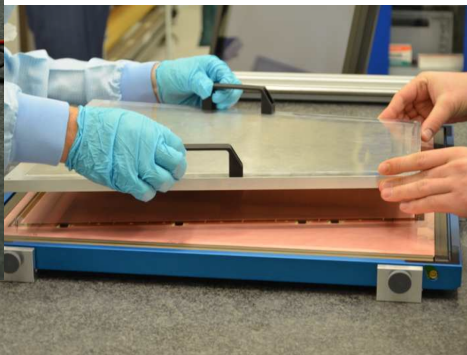
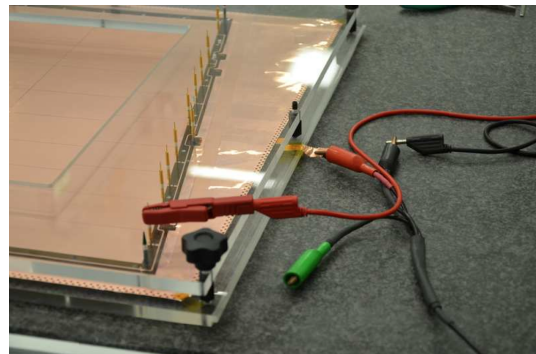


Outer Read-Out Chamber

TPC upgrade

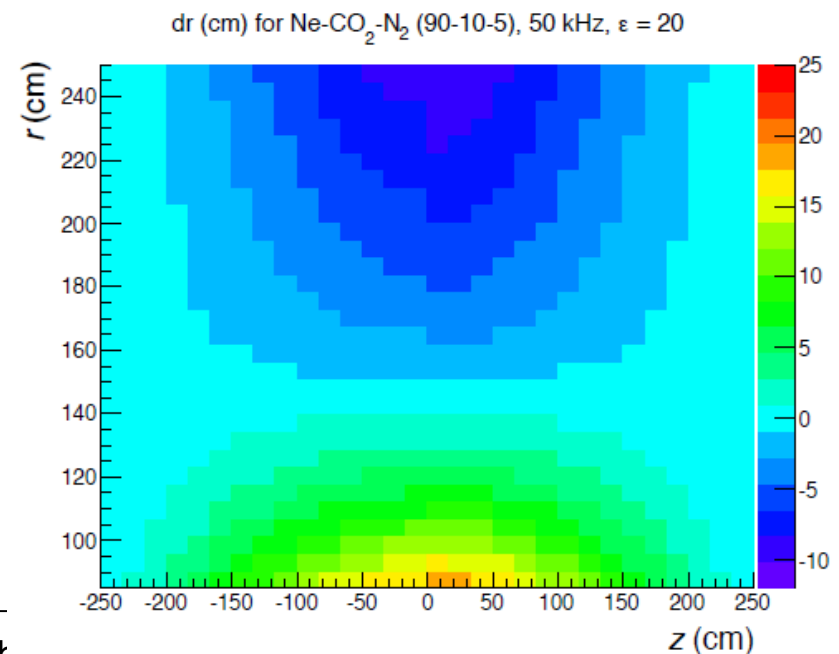


ALICE



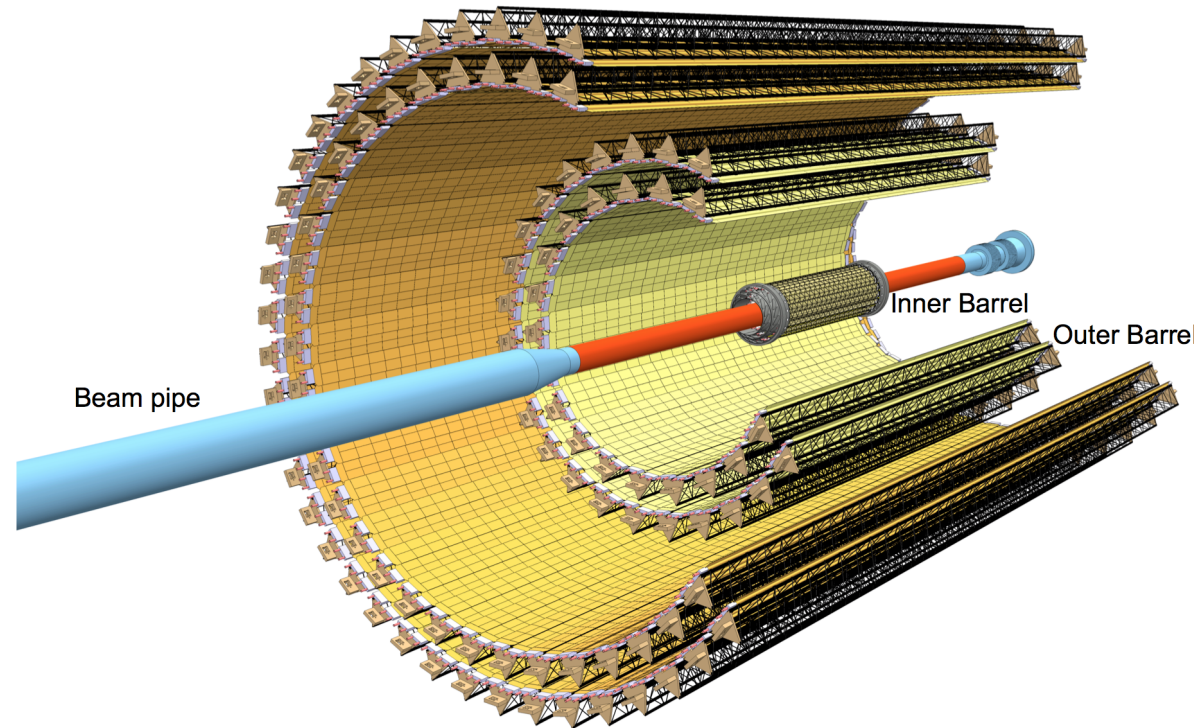
TPC upgrade: performance

- Pad Response Function optimized for MWPC: narrower for GEMs, help by diffusion → only slightly worse overall resolution (space point)
 - Slightly worse for TPC only tracks, restored for ITS-TPC tracks
 - @50 kHz Pb-Pb → on average 5 overlapping events
 - Track reconstruction efficiency and momentum resolution preserved
 - Only moderate worsening of dE/dx resolution
-
- Space-charge density from IBF produce distortions: electric field (Poisson) → space points (Langevin)
 - Distortions up to $dr \approx 20\text{cm}$ and $dr\phi \approx 8\text{cm}$
 - Fluctuations at the 3% level, dominated by event and multiplicity fluctuations
 - Online calibration of distortions. Update interval $O(5\text{ms})$
 - Strategy in place restores full performance (2nd stage)



New Inner Tracking System

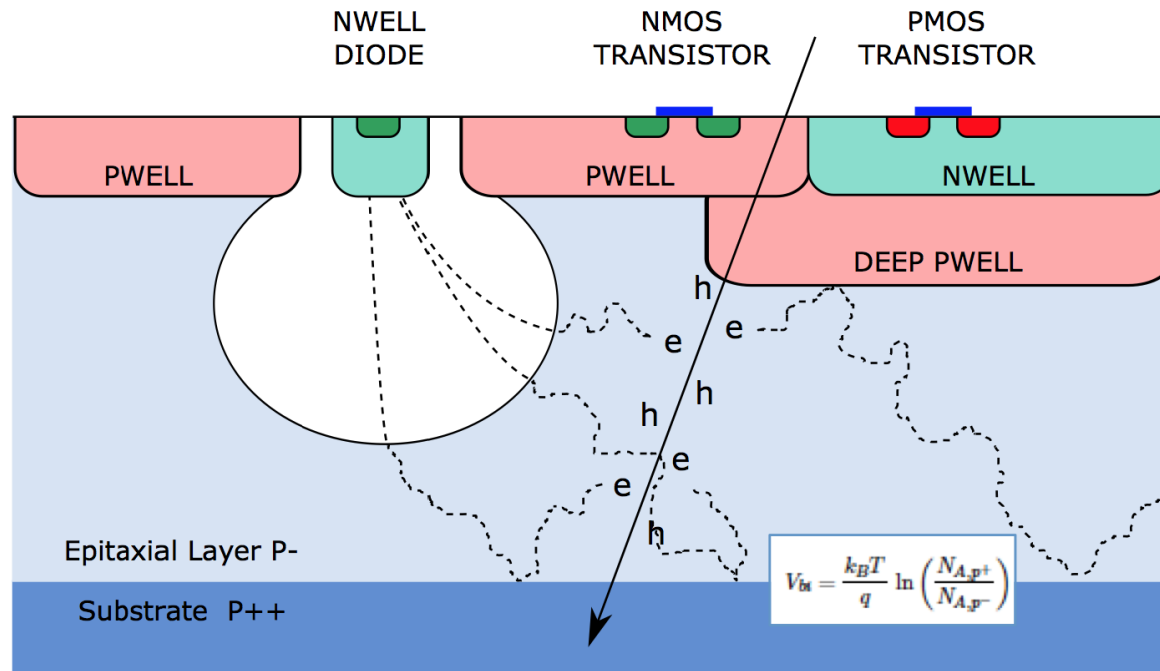
- 7-layer barrel geometry based on CMOS sensors:
~10 m², 12.5 G-pixel
- ALPIDE pixel chip
- Radius: 23 – 400 mm
(smaller beam pipe radius)
- 3 Inner Barrel layers (IB)
4 Outer Barrel layers (OB)
- $|\eta| < 1.22$ for tracks from
90% most luminous region
- Radiation load:
TID: ~ 2.7 Mrad
NIEL: $\sim 1.7 \times 10^{13}$ 1MeV n_{eq} / cm²



Ultra-light vertex detector:
material / layer:
0.3 % X_0 (IB)
1 % X_0 (OB)

ITS upgrade: ALPIDE pixel chip

CMOS pixel sensor: TowerJazz 0.18 μm CMOS image processing



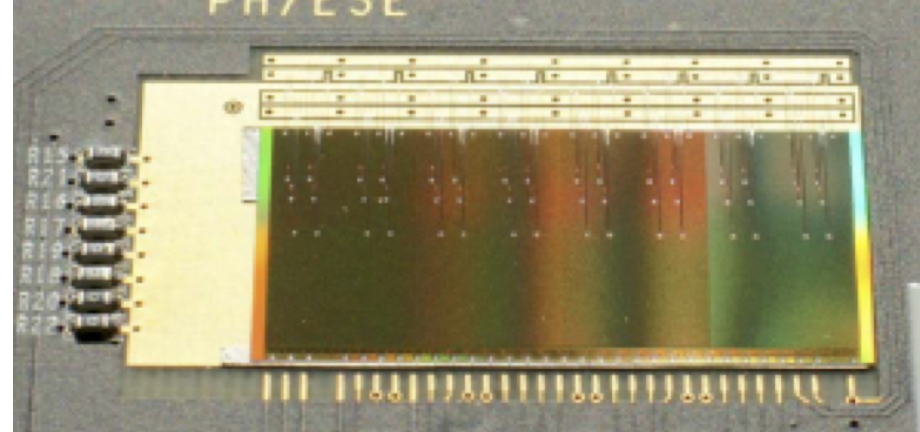
- High-resistivity ($>1 \text{ k}\Omega \text{ cm}$) p-type epitaxial layer (18-30 μm), on p-type substrate
- Small n-well diode (2 μm diameter), ~ 100 times smaller than pixel $\rightarrow$ low capacitance
- (moderate) reverse bias to substrate to increase depletion zone around n-well diode
- Deep p-well shields n-well of PMOS transistor to allow for **full CMOS circuitry** within active area

ITS upgrade: details and sources



Monolithic Active Pixel Sensors (MAPS)

- ALPIDE chip: 30 mm x 15 mm
- Pixel matrix: 1024 col x 512 rows
- Pixel pitch: 29 μm x 27 μm
- Ultra-low power: $\sim 30 \text{ mW/cm}^2$

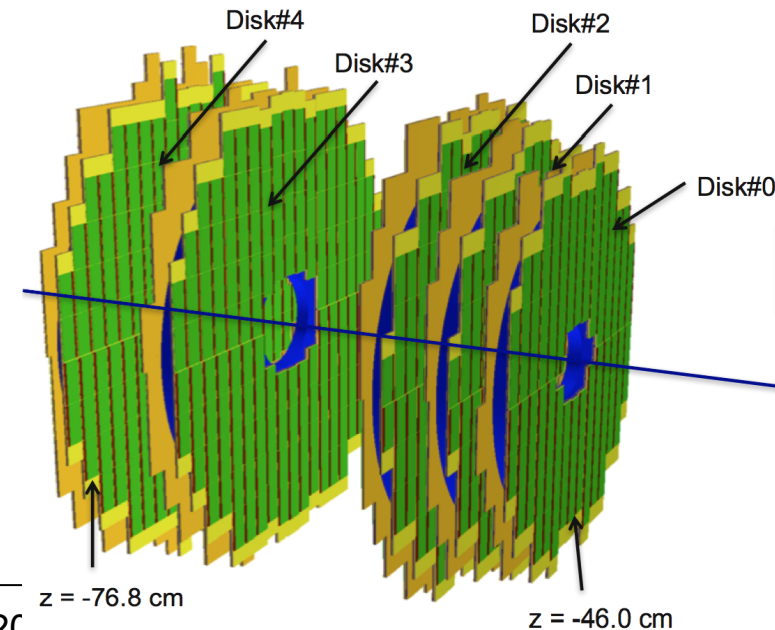


ITSU Conceptual Design Report: CERN-LHCC-2012-013

ITSU Technical Design Report: CERN-LHCC-2013-024

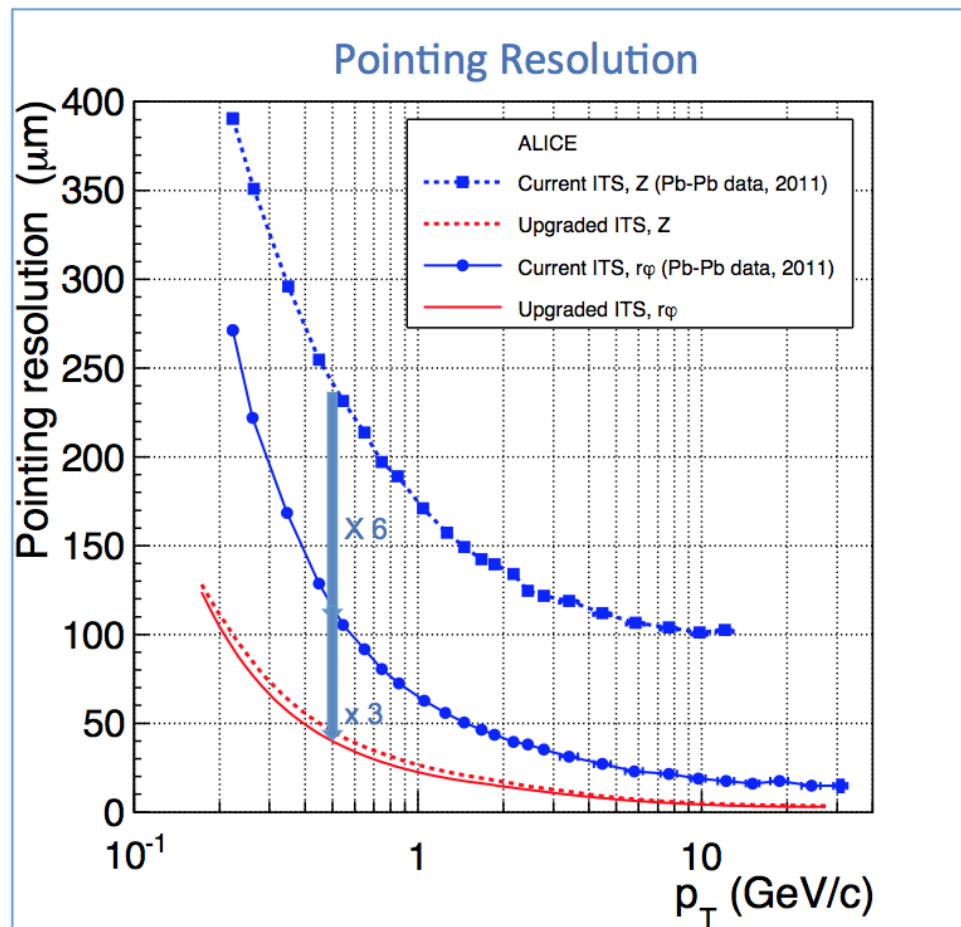
Muon Forward Tracker (MFT)

5 layers before muon absorber
same pixel sensors (0.4 m², 5% of ITS)
CERN-LHCC-2015-001

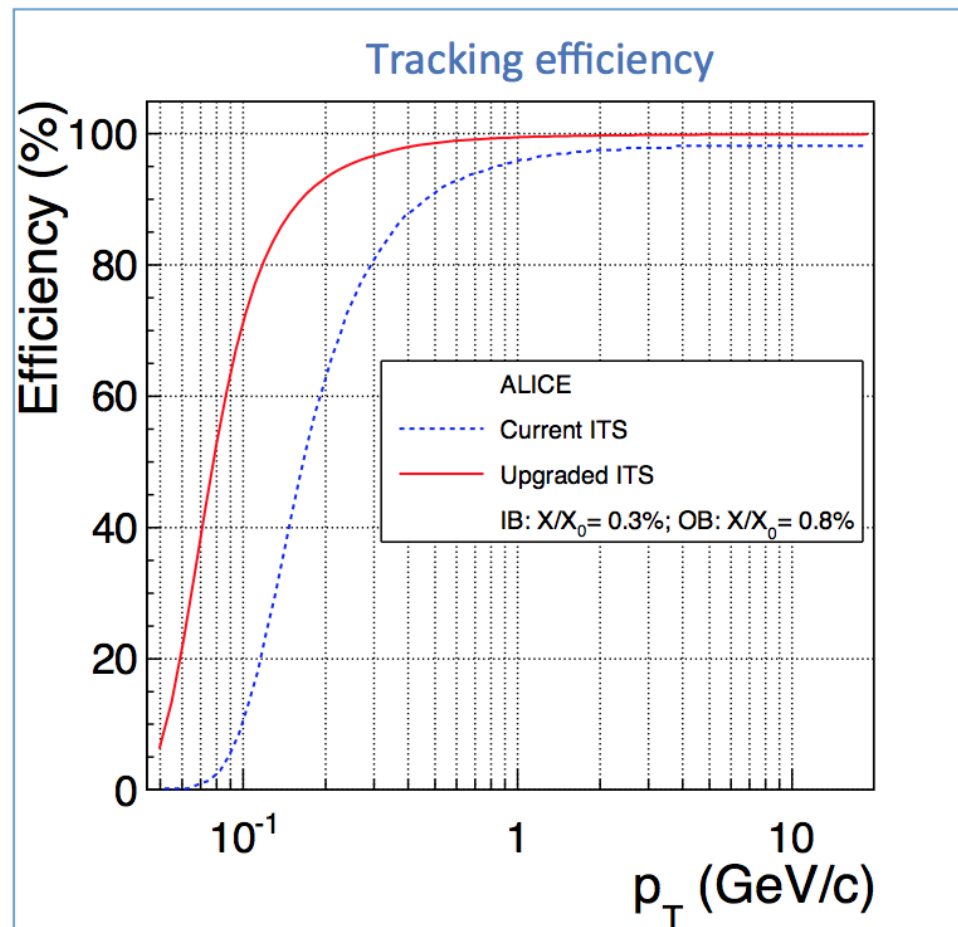


ITS: projected performance

Impact parameter resolution



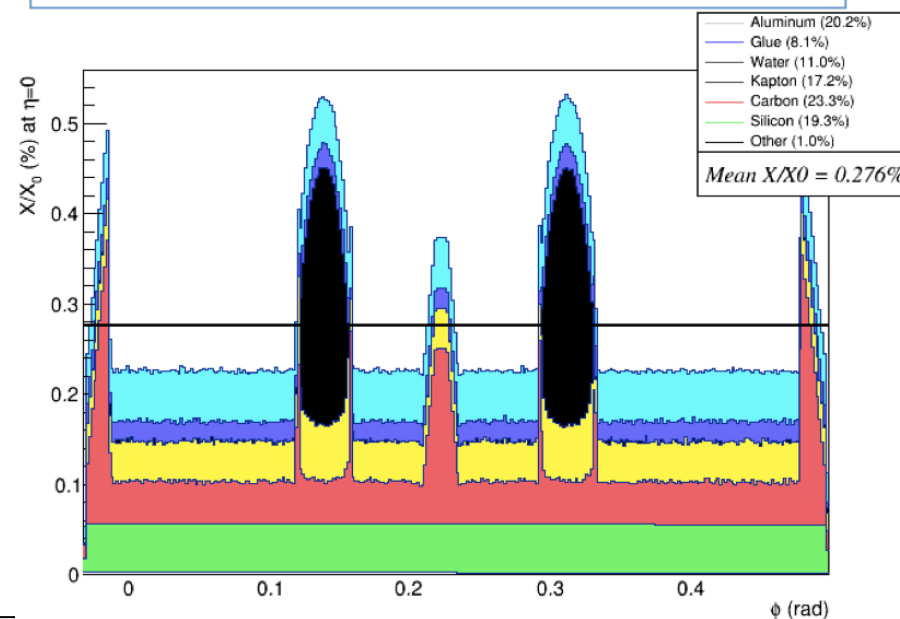
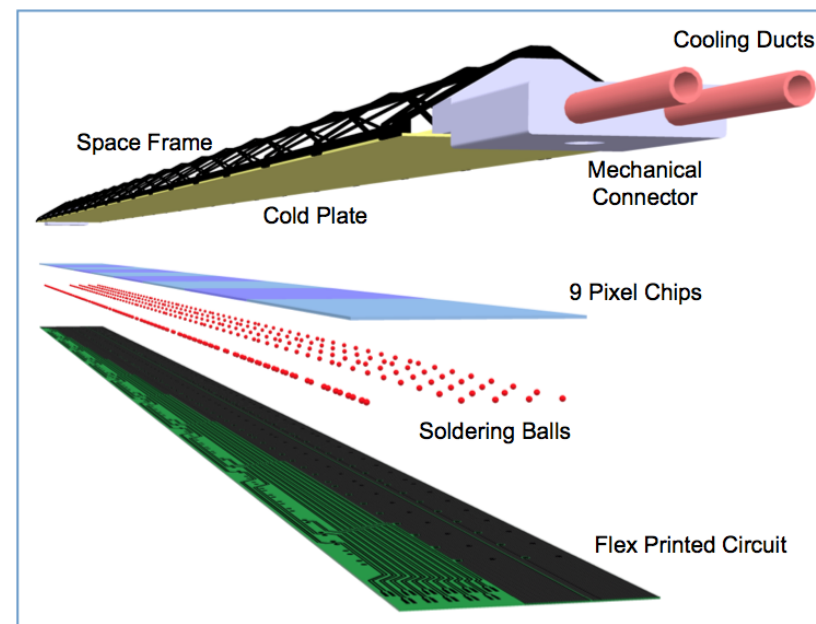
Tracking efficiency (ITS standalone)



$\sim 40 \mu\text{m}$ at $p_T = 500 \text{ MeV/c}$

Silicon sensor + readout electronics:
Only 20% of the total material budget

Active cooling



- **Open heavy flavors**

high precision measurements of R_{AA} and v_n of charm and beauty, with exclusive decay reconstruction, to study the dynamics of heavy quarks in the medium

- **Charmonium**

understand the production mechanism, infer properties of the phase transition

- **Light nuclei and exotic states**

hadronization mechanism, production of heavy and loosely bound states, search for exotica

- **Di-lepton spectrum**

thermal radiation, temperature of the medium, chiral symmetry, heavy-flavor cross section

Open heavy flavors

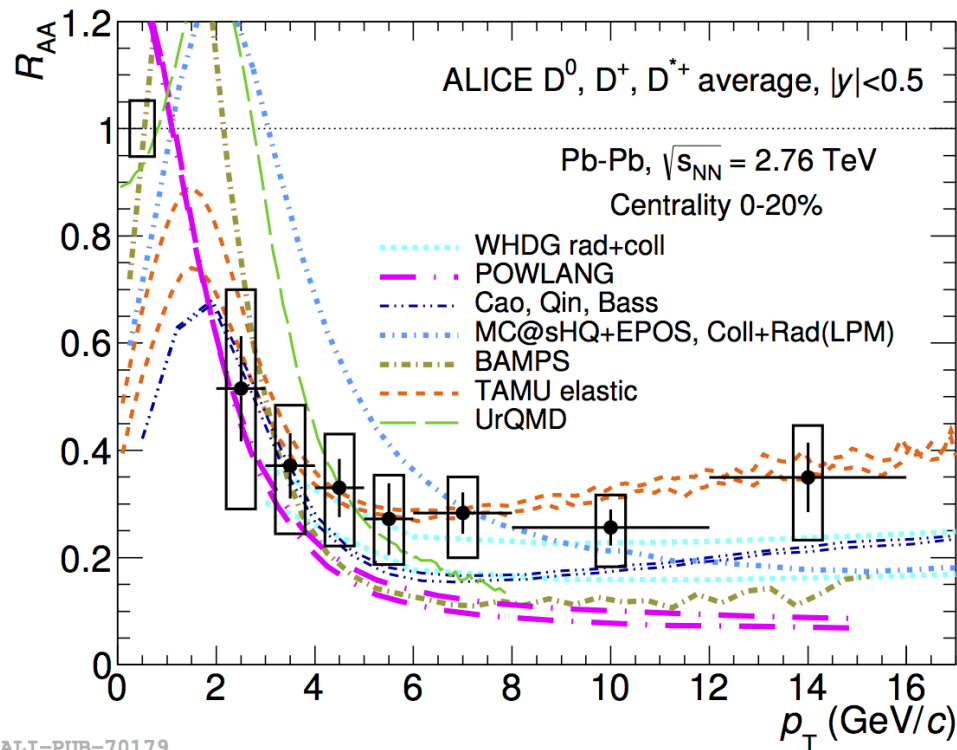
Open heavy flavor: current results

Charm

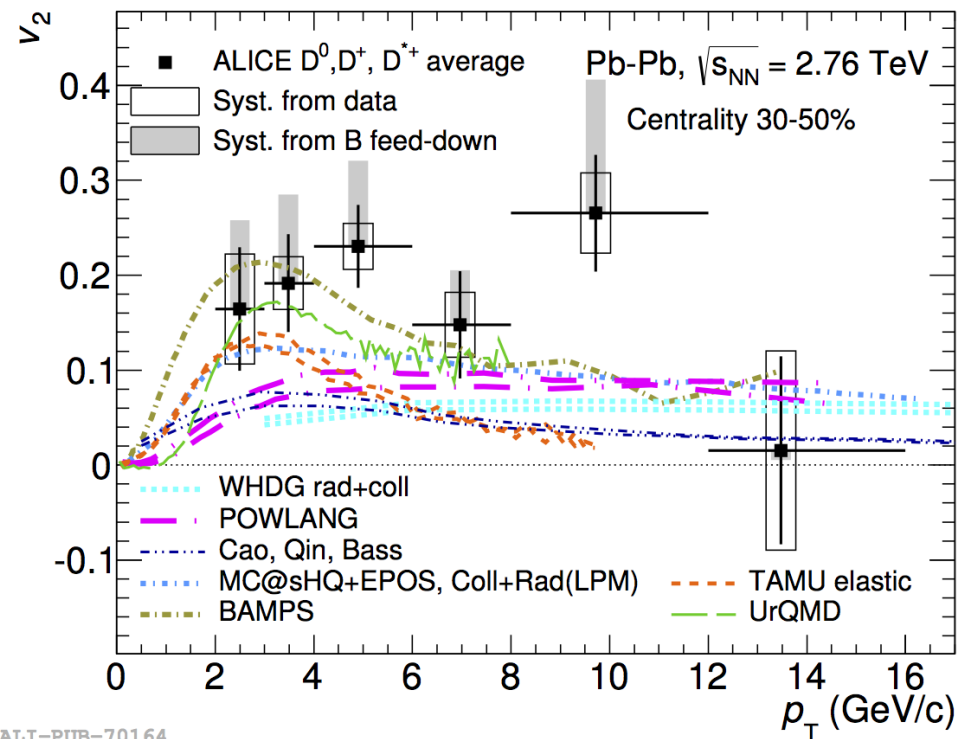
Exclusive reconstruction of hadronic decays of D mesons

$$D^0 \rightarrow K^- \pi^+, \quad D^+ \rightarrow K^- \pi^+ \pi^+, \quad D^{*+} \rightarrow D^0 \pi^+, \quad D_s^+ \rightarrow \phi \pi \rightarrow K^+ K^- \pi^+$$

Nuclear modification factor R_{AA}



Elliptic flow coefficient v_2

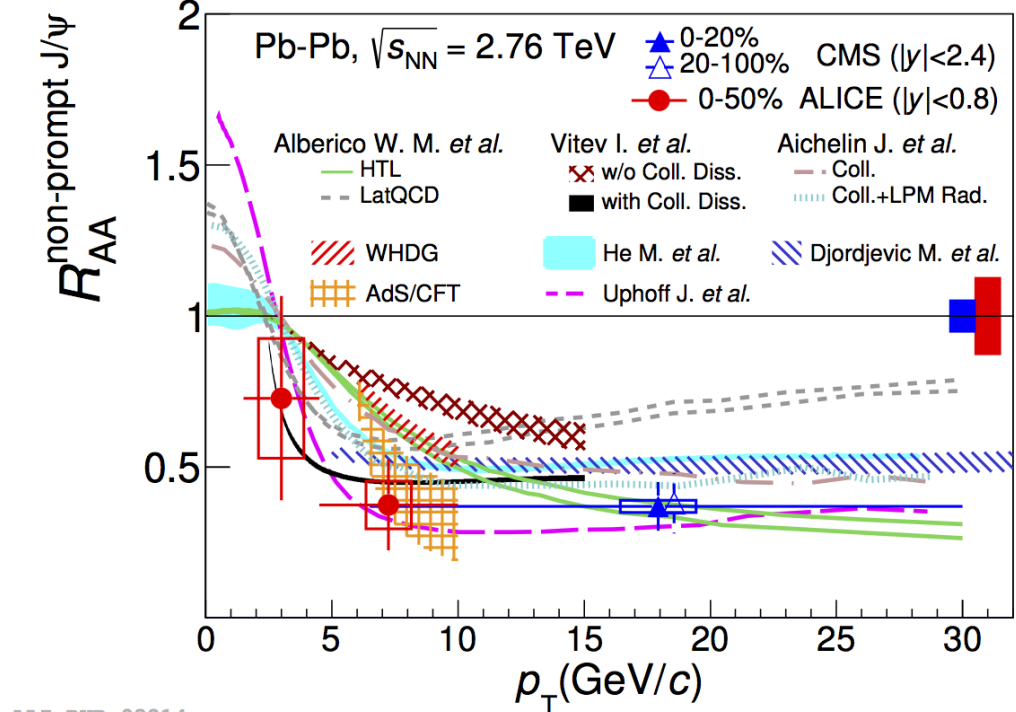
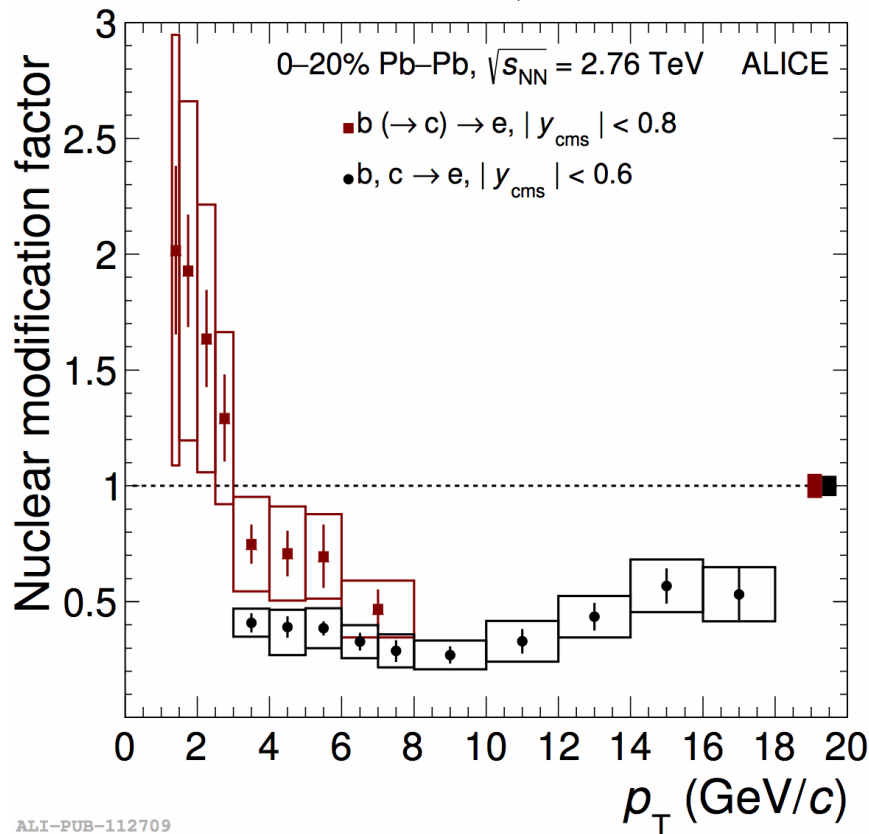


PRL 111(2013)102301
PRC90(2014)034904
JHEP03 (2016) 082

Open heavy flavor: current results

Beauty: nuclear modification factor R_{AA}

Semi-leptonic decays: electrons from beauty hadrons at mid-rapidity
and non-prompt J/ψ from beauty hadron decays



arXiv:1609.03898

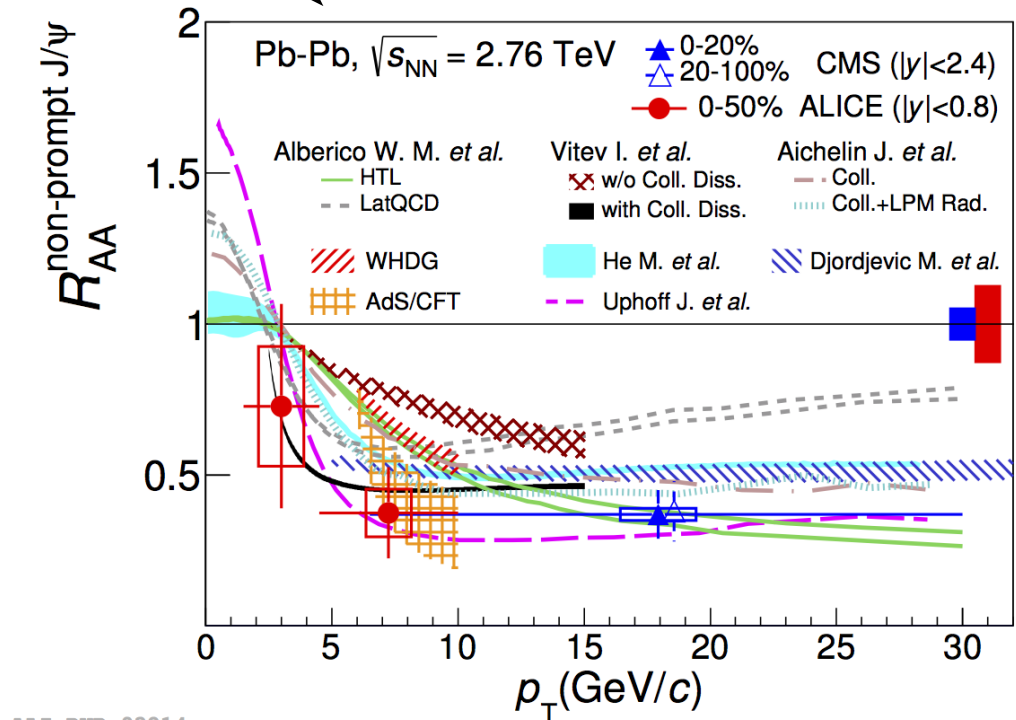
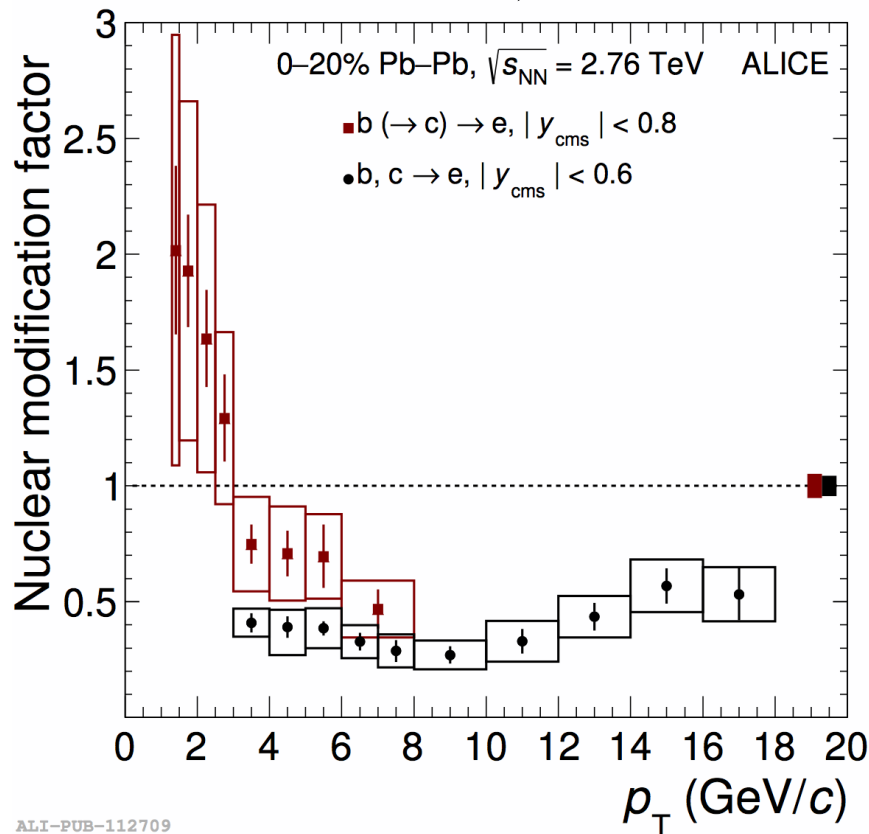
JHEP 1507 (2015) 051

Open heavy-flavor: current results

Beauty: nuclear modification factor R_{AA}

No v_2

Semi-leptonic decays: electrons from beauty hadrons at mid-rapidity
and non-prompt J/ ψ from beauty hadron decays



ALI-PUB-93214

ALI-PUB-112709

arXiv:1609.03898

JHEP 1507 (2015) 051

Study:

- Parton mass and color-charge dependence of in-medium energy loss
 - p_T and centrality dependence of R_{AA} for D and B mesons separately
- Thermalization of heavy quarks in the medium
 - Azimuthal-flow anisotropy of charm and beauty hadrons
 - Determination of the baryon-to-meson ratio for charm and beauty hadrons

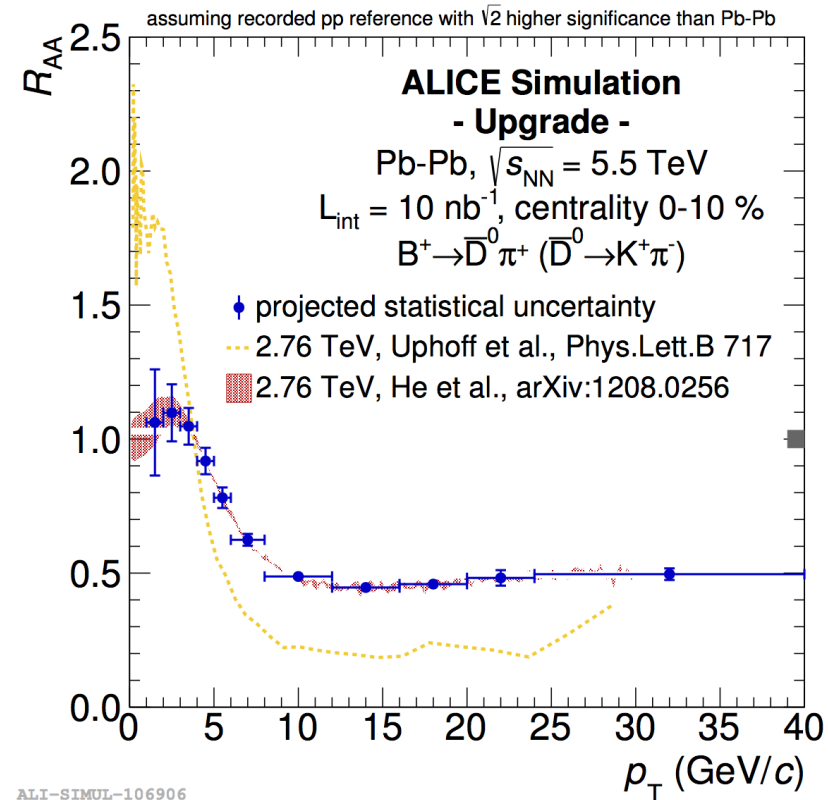
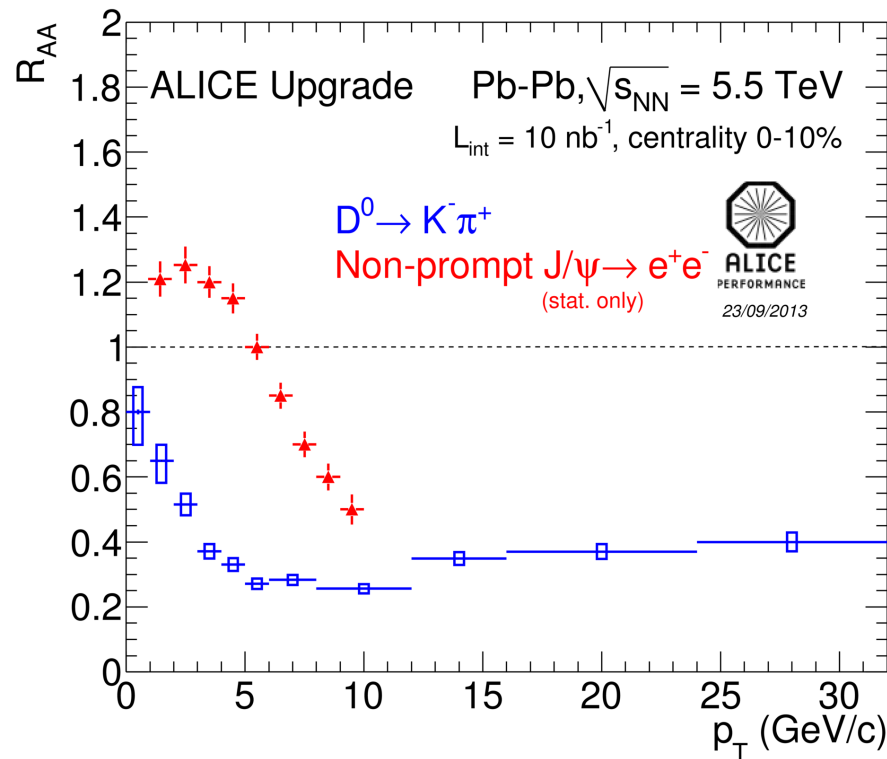
Higher precision and statistics make new channels accessible:

- $B \rightarrow D^0 + X, B^+ \rightarrow D^0 + \pi^+$
- $B \rightarrow J/\psi (ee, \mu\mu) + X$
- $B \rightarrow e/\mu + X$
- $\Lambda_c \rightarrow p K \pi$
- $\Lambda_b \rightarrow \Lambda_c \pi$

Nuclear modification factor: projections

- Integrated luminosity $10 \text{ nb}^{-1} \rightarrow 8.5 \times 10^9$ events
- Projected statistical uncertainty of the measurement of R_{AA}

CERN-LHCC-2013-024



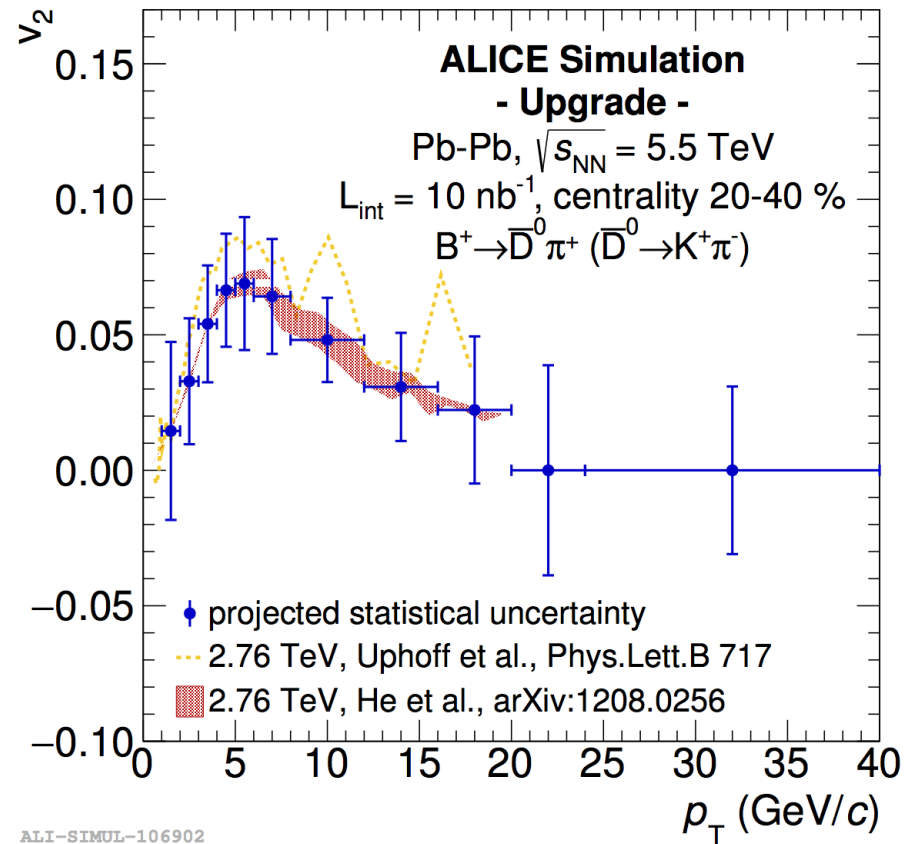
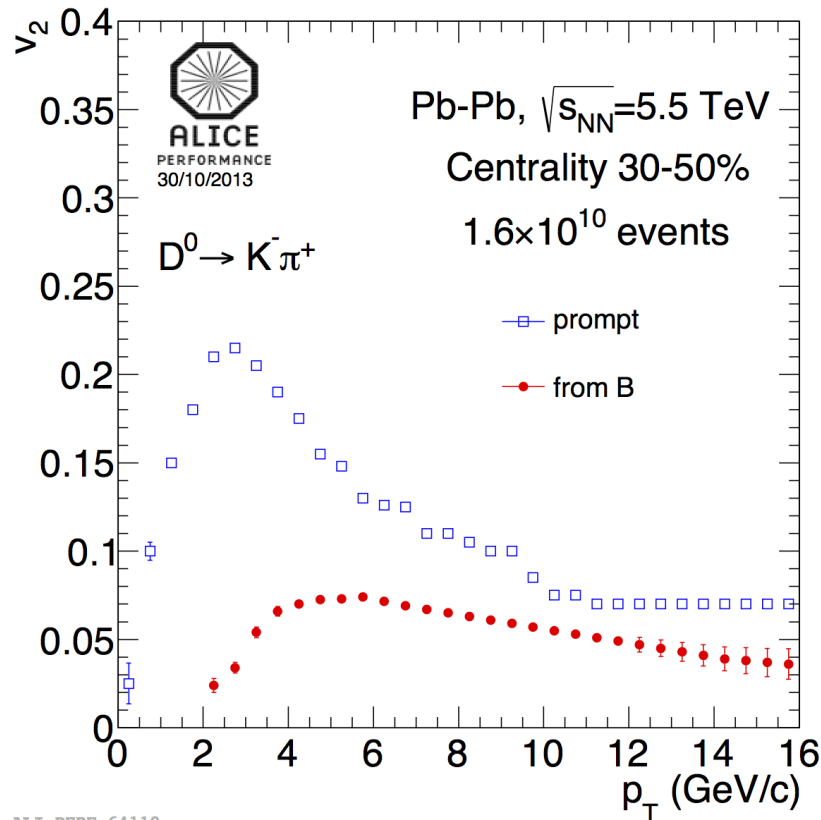
J. Stiller CERN-THESIS-2016-037

- Reach $p_T=0$, more precision in centrality dependence
- Mass dependence

Elliptic flow: projections

- Integrated luminosity $10 \text{ nb}^{-1} \rightarrow 8.5 \times 10^9 \text{ events}$

CERN-LHCC-2013-024



J. Stiller CERN-THESIS-2016-037

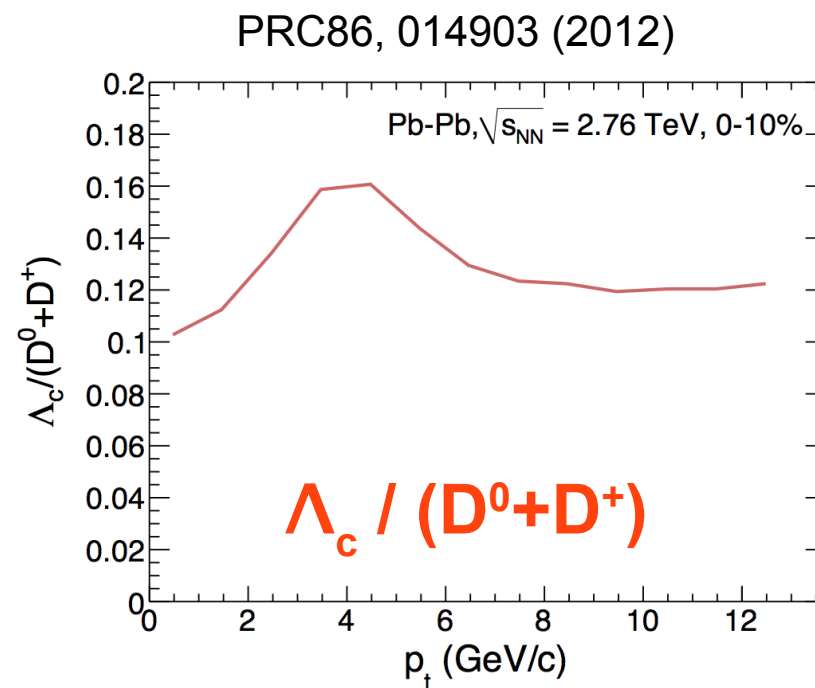
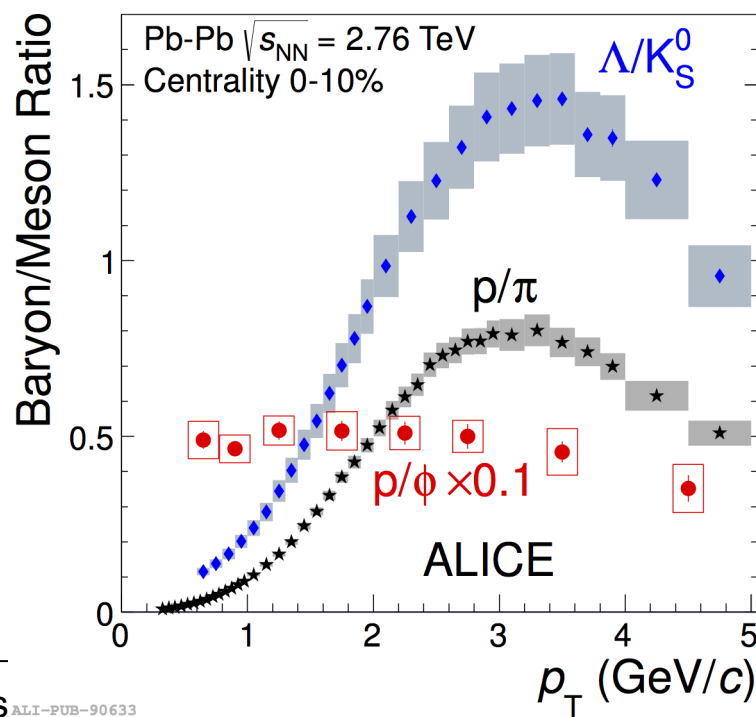
- Reach 0 (low) p_T for c (b ← new!)
- Hadronization mechanism

Hadronization

- Current hints that charm might recombine in-medium:
 - $D v_2$ (LHC) and $D R_{AA}$ (RHIC) better described with recombination?
 - $D_s R_{AA}$ larger than $D R_{AA}$?
 - J/ψ production at low p_T ?
- Can be studied via precise measurements of D_s vs D , and baryons vs mesons

Light flavors

→ Heavy: theory predictions

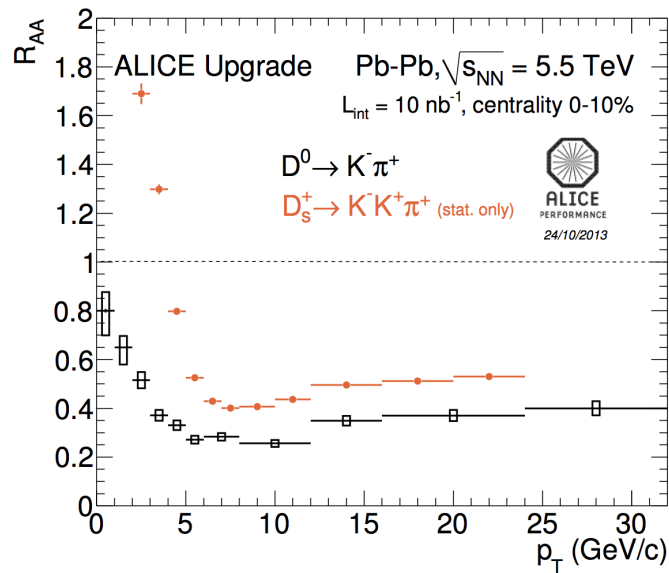


$D_s, \Lambda_c, \Lambda_b$: projections



Good precision for
 $p_T > 2 \text{ GeV}/c$

R_{AA}

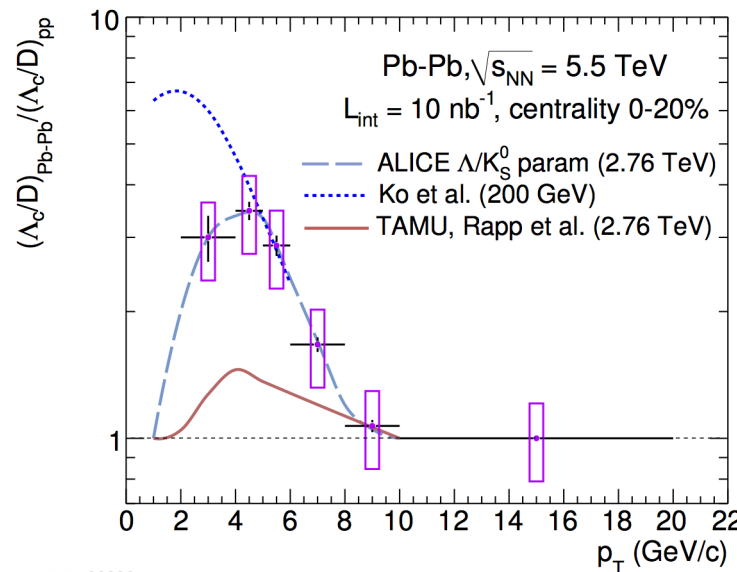


ALI-PERF-59946



Good precision for
 $p_T > 2 \text{ GeV}/c$

Λ_c / D

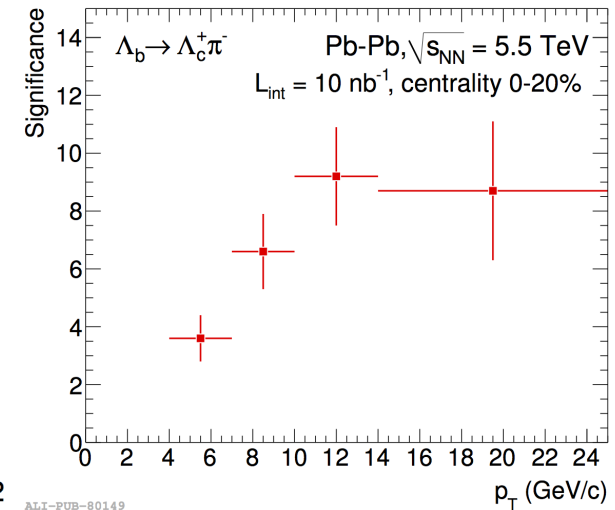


ALI-PUB-80329



Accessible for
 $p_T > 7 \text{ GeV}/c$

Λ_b significance



ALI-PUB-80149

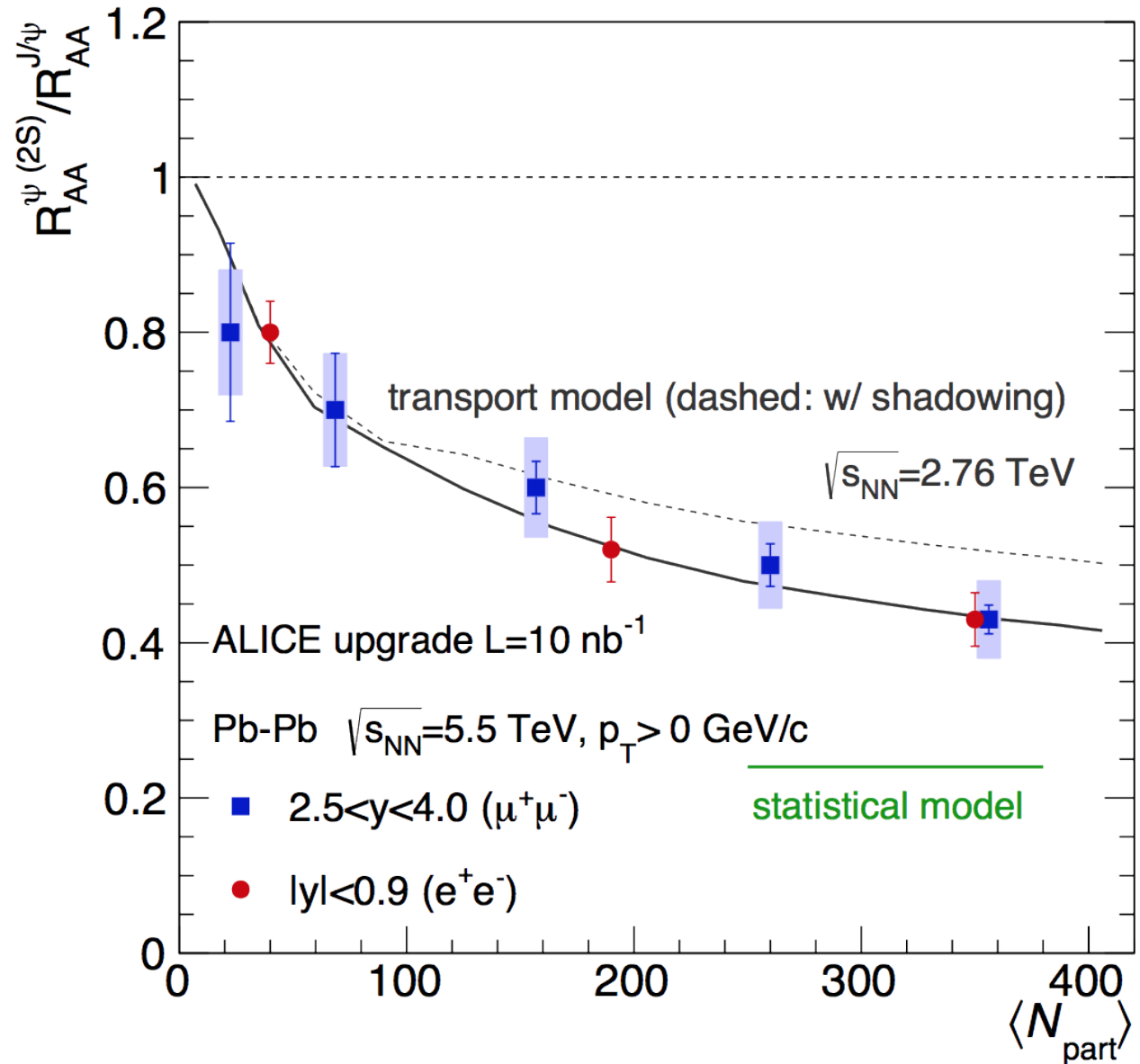
CERN-LHCC-2013-024

Charmonium

Charmonium: production mechanism



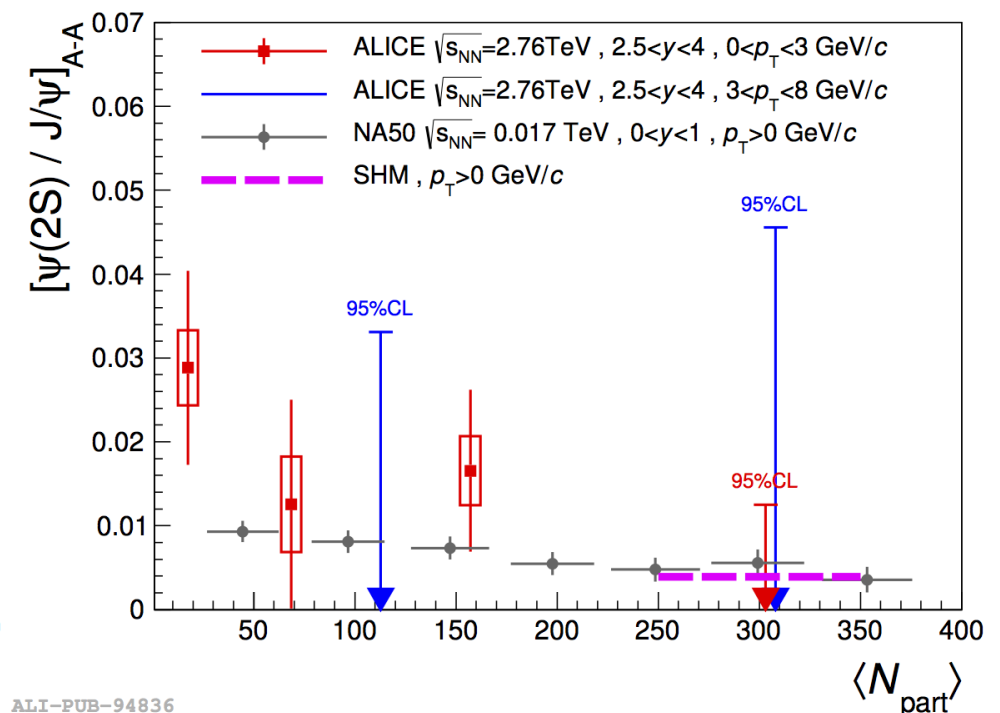
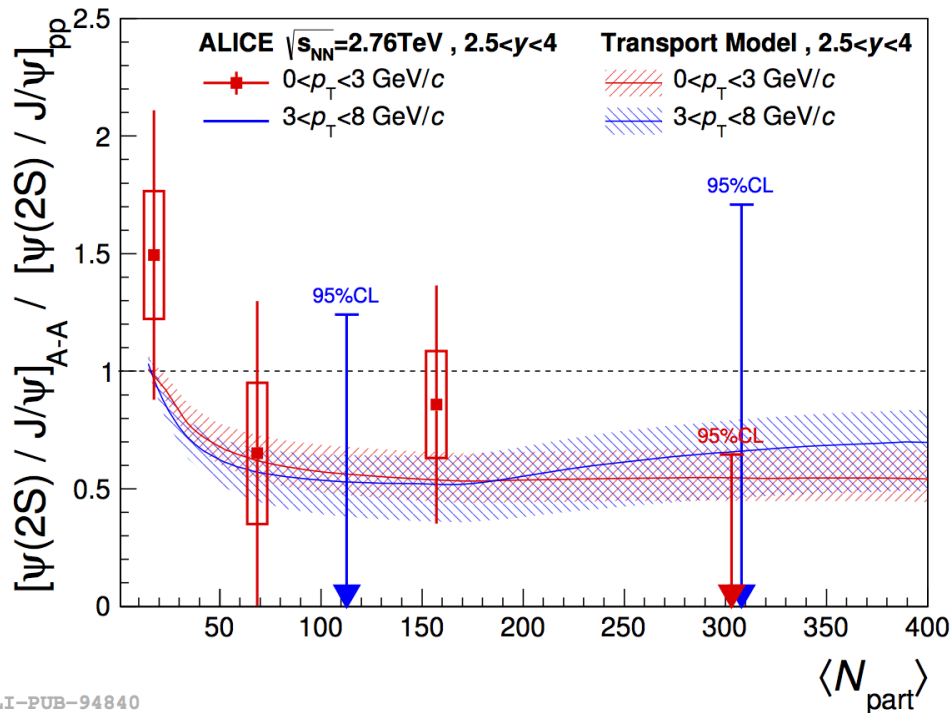
Discrimination between different charmonium production mechanisms (models) will be possible with high statistics $\psi(2S)$ measurements



J.Phys. G41 (2014) 087001
LHCC-I-022-ADD-1
EPJ C76 (2016) 3, 107

Discrimination between different charmonium production mechanisms:

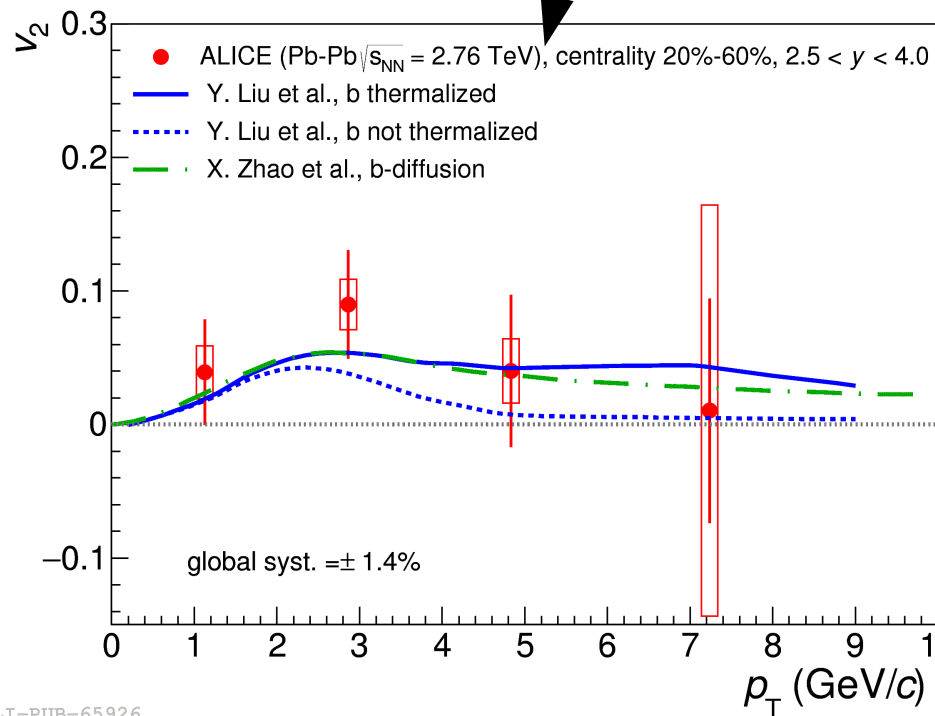
- Transport models: in-medium destruction and generation
 - Statistical hadronization: generation at phase boundary
- will be possible with high statistics $\psi(2S)$ measurements



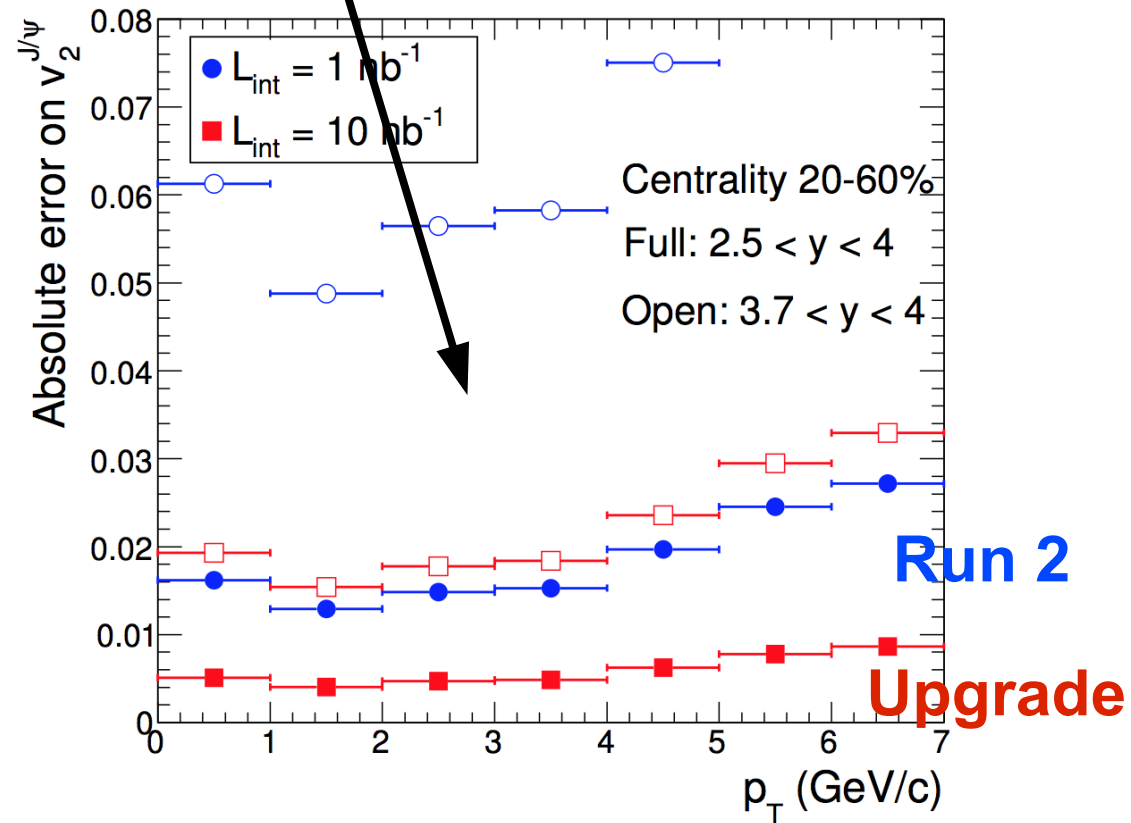
limited statistics, no central collisions

J/ψ elliptic flow

- Crucial observable to test the regeneration scenario
- Limited precision in run 1 → 10 times better precision with upgrade!



PRL 111 (2013) 162301

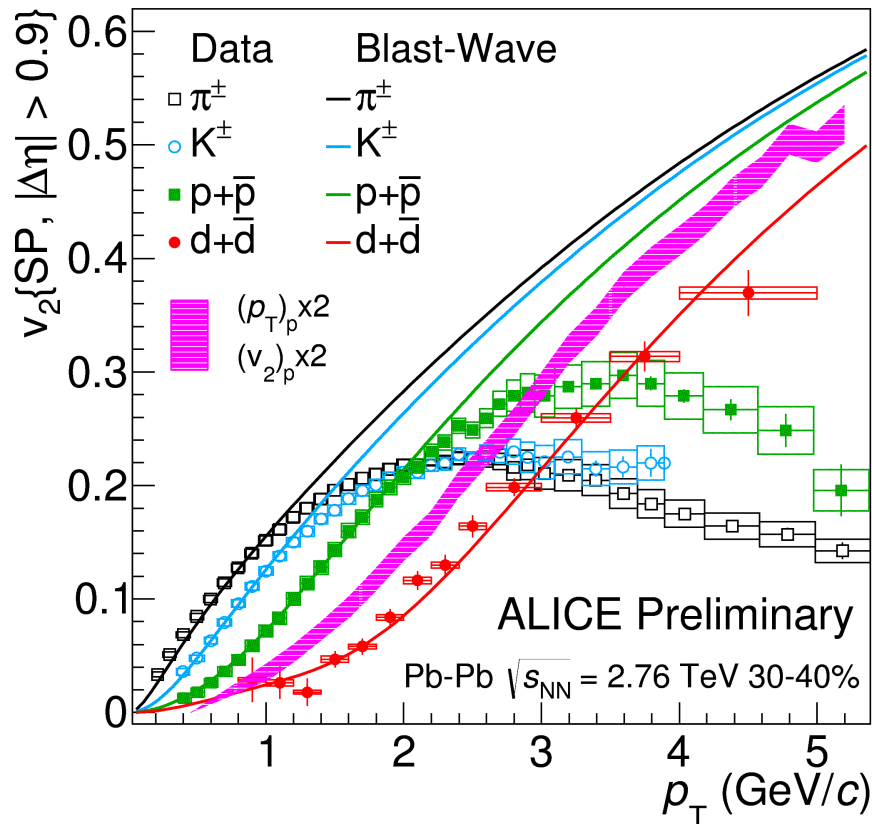


CERN-LHCC-2012-012

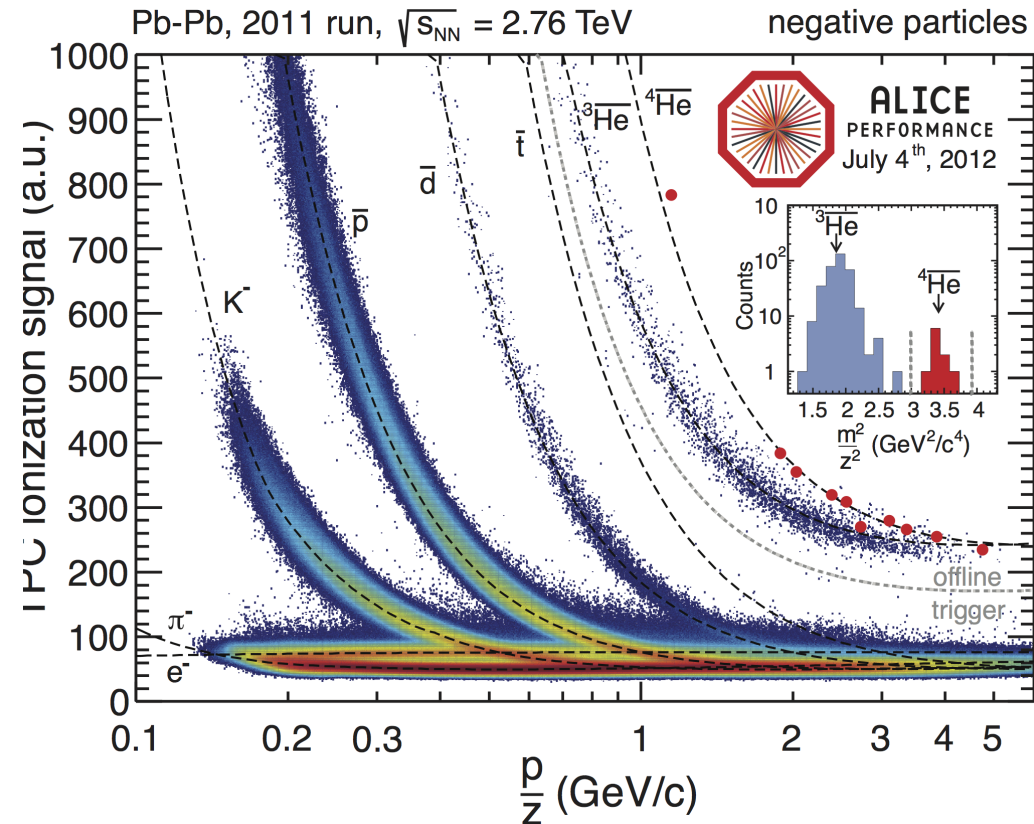
(Hyper-)nuclei, exotica

Nuclei: goals and current status

- Test production mechanisms, e.g. statistical hadronization versus coalescence
- Implications of low binding energy of these extended objects
- Explore QCD and QCD-inspired models predicting multi-baryon states



ALI-PREL-97051

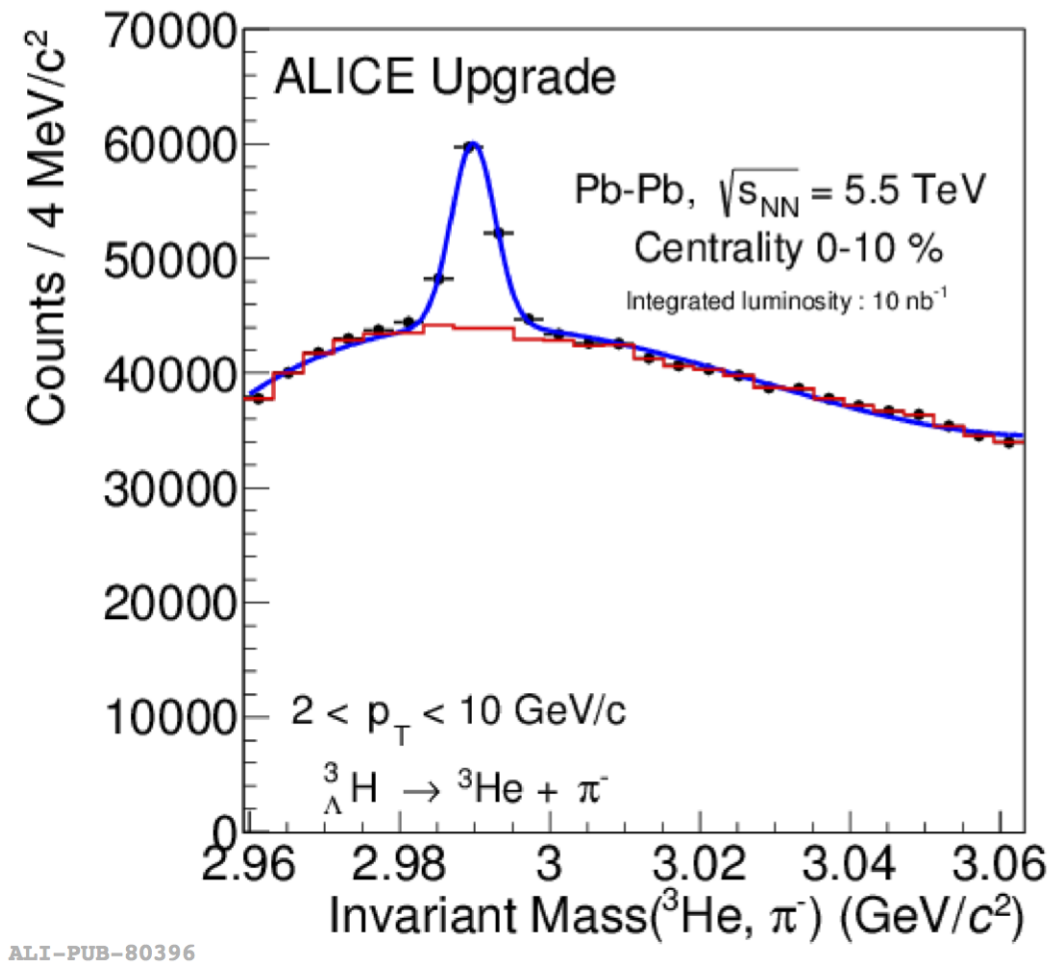


PERF-36713

Hyper-nuclei: projections

Higher statistics and resolution will allow precision measurements for hyper-triton including:

- Lifetime
- Branching ratios



CERN-LHCC-2013-024

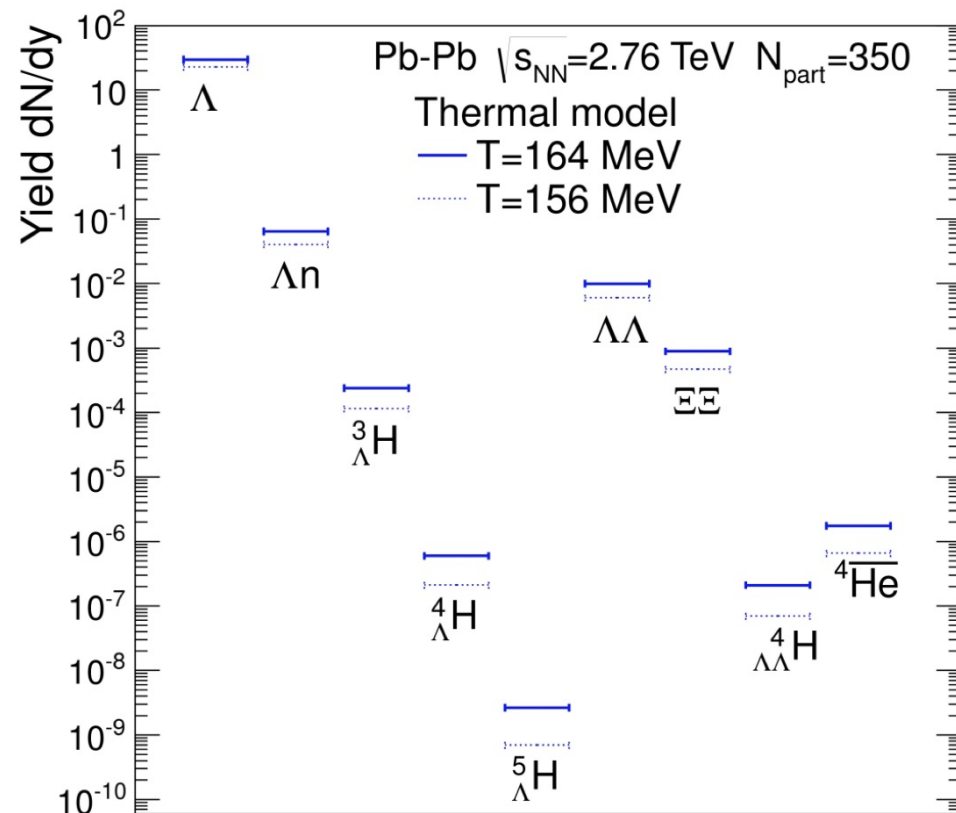
Nuclei: projections

The physics we can now do for $A=2$ and $A=3$ will become possible for

A=4

State	dN/dy [82]	B.R.	$\langle \text{Acc} \times \varepsilon \rangle$	Yield
${}^3_{\Lambda}\text{H}$	1×10^{-4}	25 % [83]	11 %	44000
${}^4_{\Lambda}\text{H}$	2×10^{-7}	50 % [83]	7 %	110
${}^4_{\Lambda}\text{He}$	2×10^{-7}	32 % [84]	8 %	130

Continue exploration of
multi-baryon states,
including exotic, unknown
ones

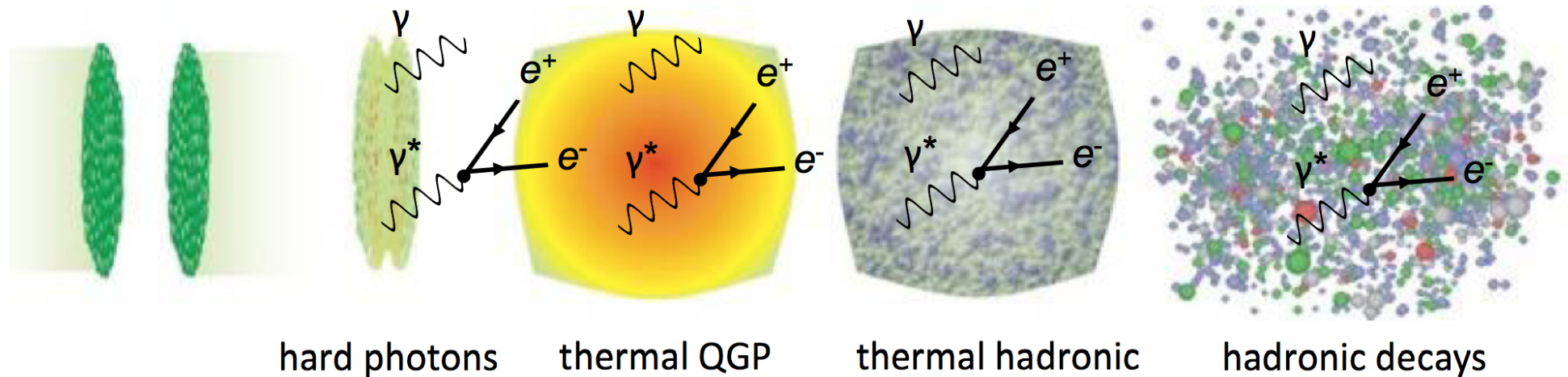


A. Andronic, priv. comm.

Di-lepton spectrum

Photons and di-leptons

- Electromagnetic **thermal radiation spectrum** of hot QCD matter
- Produced at **all stages** of the collisions
- Leave the system without Final State Interactions

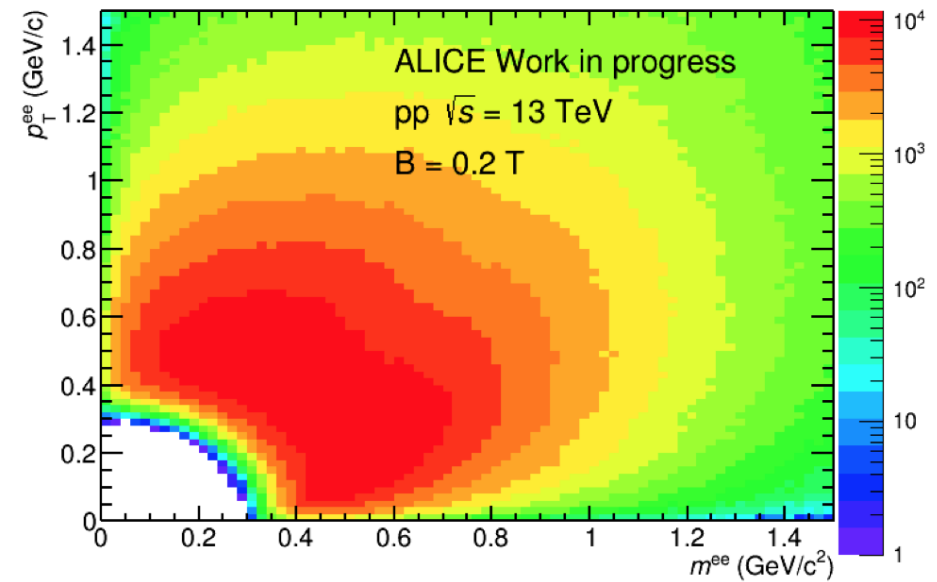
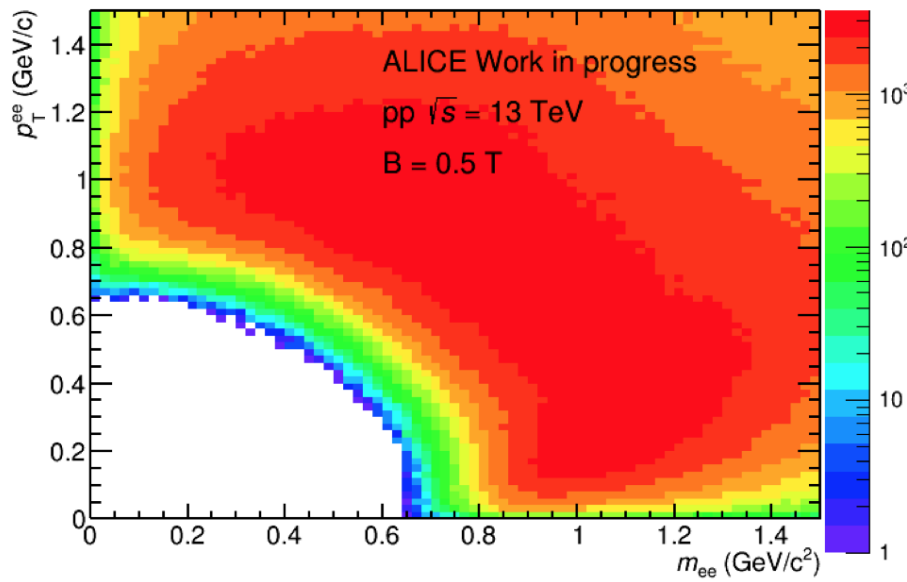


- QGP temperature
- Generation of hadron masses, chiral symmetry restoration
- Space-time evolution of the system
- Heavy-flavor production cross section

Di-leptons in run 3-4

- Significantly reduced material in **ITS**: fewer conversions and mult. scat.
- Higher resolution and efficiency at **low p_T**
- Plan for 3 nb⁻¹ recorded at ALICE **B=0.2 T** (vs 0.5 T)

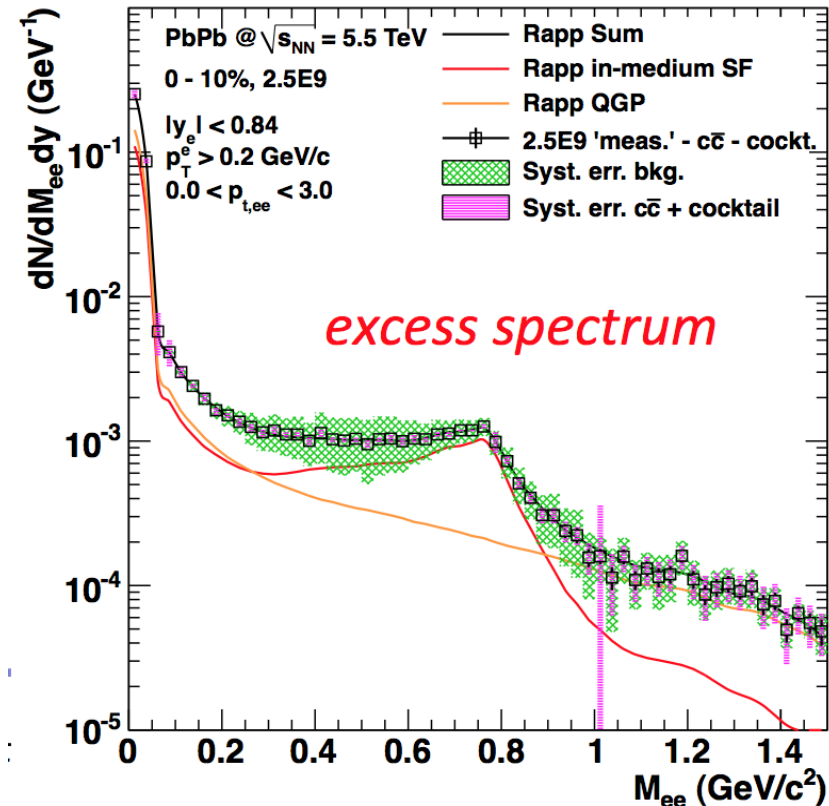
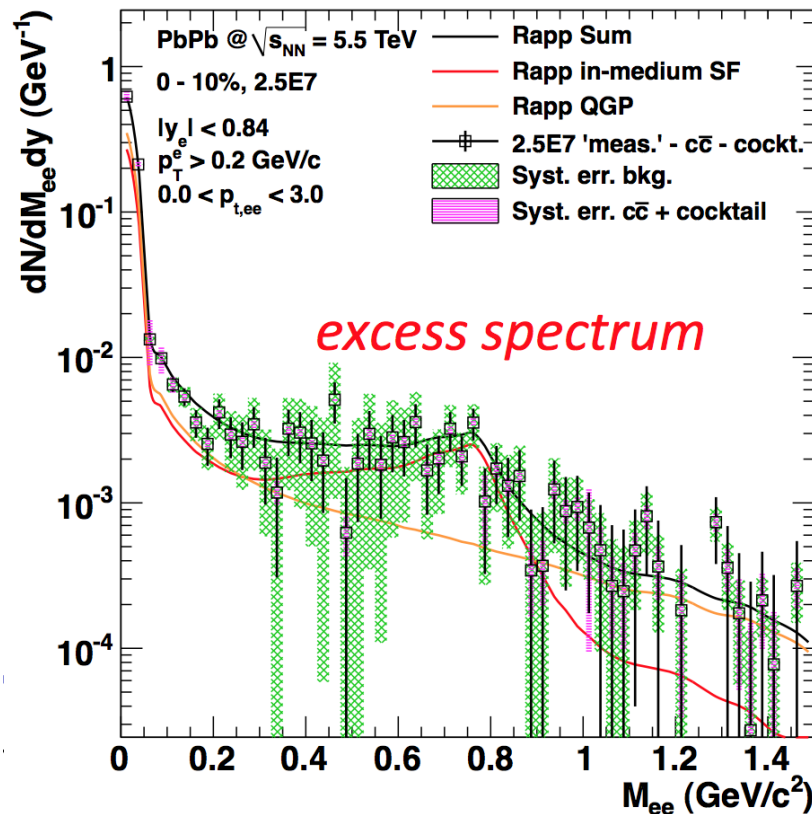
Much improved
pair acceptance



O. Vazquez Doce

Di-leptons in run 3-4

- High rate: increase statistical significance by factor 10 (continuous readout)
- Spatial resolution: reject charm via DCA cuts
- Projection: current detectors 2.5×10^7 ev $\rightarrow$ upgraded detectors: ITS, TPC 2.5×10^9 ev



Physics program: summary



- 50 kHz Pb-Pb collisions at the LHC (≥ 2021)
- New ITS and GEM TPC $\rightarrow$ continuous readout, improved spatial resolution and vertexing, comparable PID
- Faster readout and new Online-Offline system (O^2)

will provide 100 times the Pb-Pb statistics of run 2 ($10+3 \text{ nb}^{-1}$ integrated luminosity) with we will study properties of the QGP via:

- Heavy flavors
- Charmonium
- Nuclei
- Di-leptons

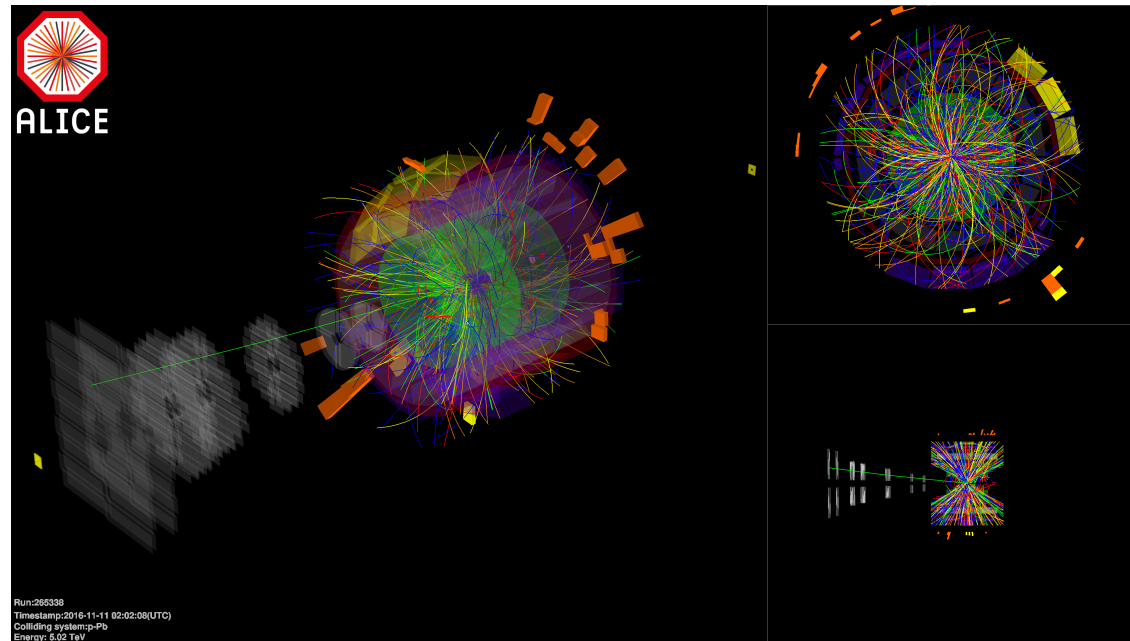
I did not discuss



- Muon forward tracker MFT CERN-LHCC-2015-001
- Readout & trigger system CERN-LHCC-2013-019
 - New trigger detectors FIT
 - New, faster readout electronics
- Online-Offline system O² CERN-LHCC-2015-006
- FOCAL
- related jet physics program

ALICE Collaboration is SUPER BUSY:

- Still publishing from run 1 data
- Full immersion in run 2 (2015-2018): data taking and preparation, analysis
- Construction of new detectors (Production Readiness Reports, test beams, etc.)

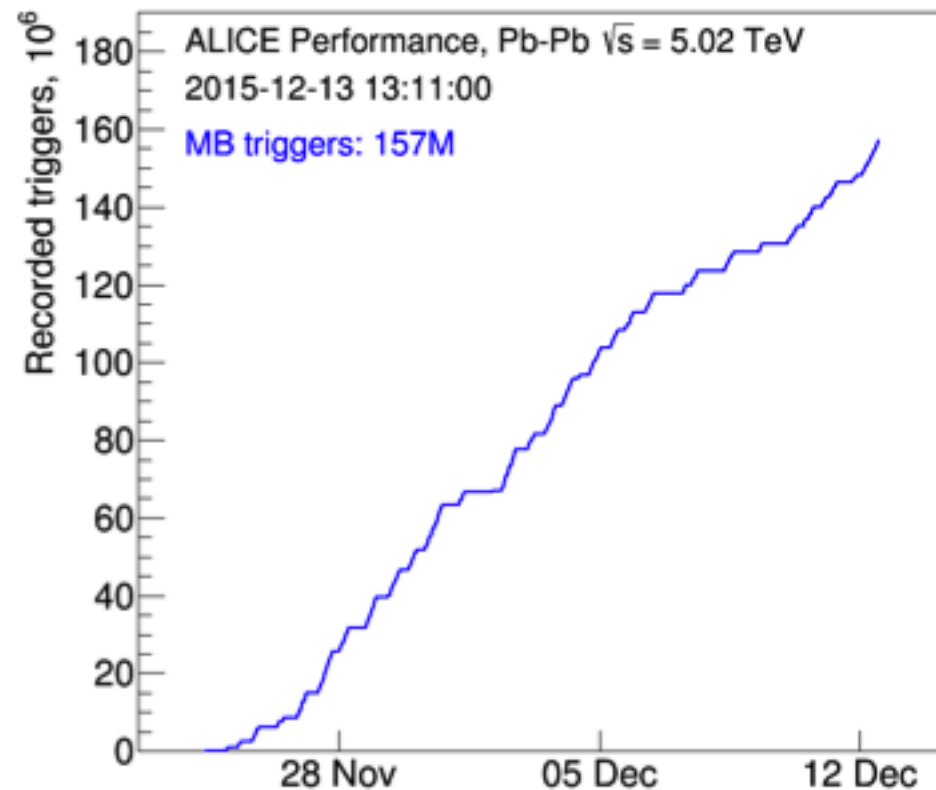
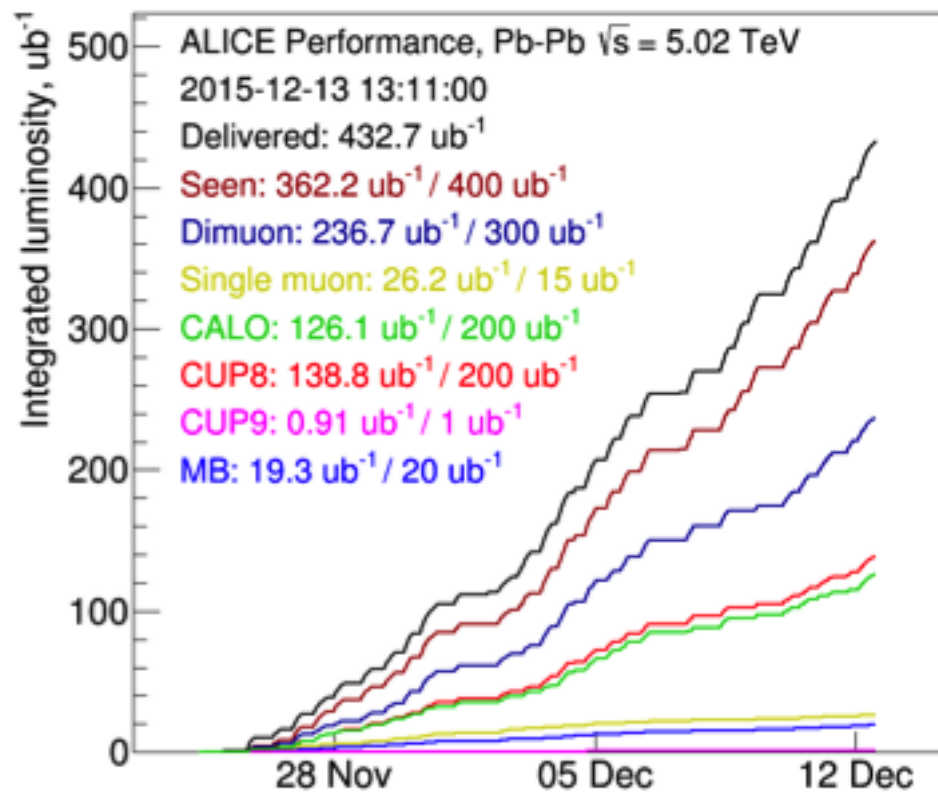


p-Pb
Nov 2016
 $\sqrt{s_{NN}} = 5.02 \text{ TeV}$

**Fantastic potential for current and future
physics program**

Spares

Pb-Pb 2015 ALICE



Pb-Pb 2015 LHC (J. Jowett)

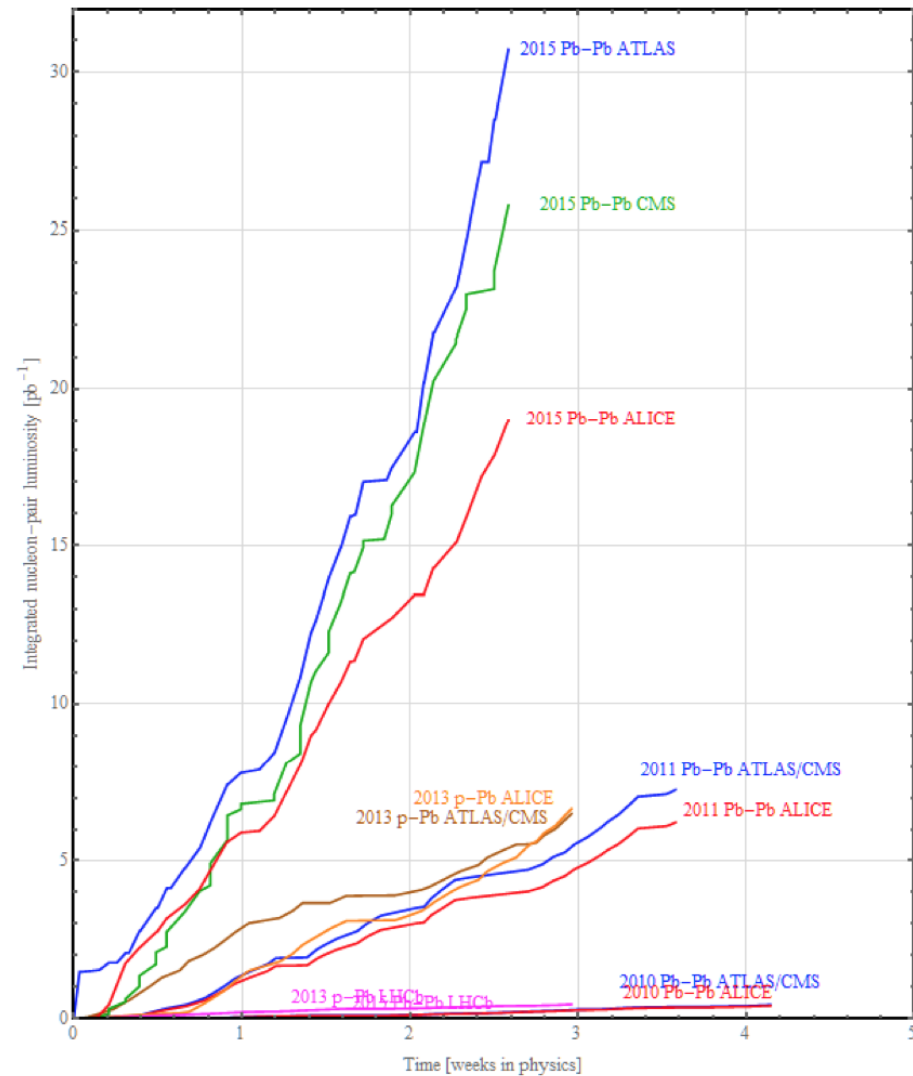
Expect to achieve LHC “first 10-year”
baseline Pb-Pb luminosity goal of
 $1 \text{ AA nb}^{-1} = 43 \text{ NN pb}^{-1}$
in Run 2 (=2015+2018)

Goal of the first p-Pb run was to match
the integrated nucleon-nucleon
luminosity for the preceding Pb-Pb
runs but it already provided reference
data at 2015 energy.

$$\sqrt{s_{NN}} = 5.02 \text{ TeV}$$

$$\Rightarrow E_b = \begin{cases} 6.37Z \text{ TeV} & \text{in Pb-Pb} \\ 4 Z \text{ TeV} & \text{in p-Pb} \end{cases}$$

But annual 1-month runs are getting
shorter and more complicated ... 2015
included p-p reference data and
included LHCb.



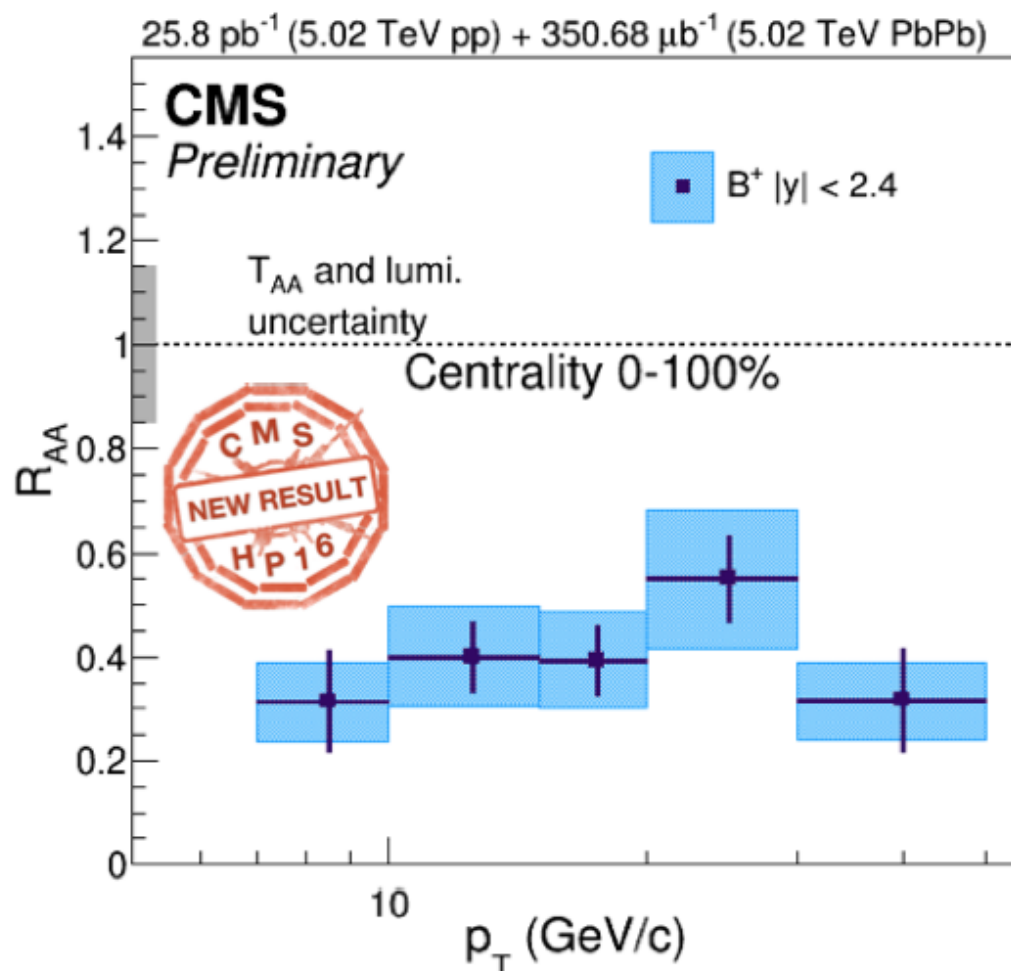
2012 pilot p-Pb run not shown (1 fill
but major physics output)



Nuclear modification factor

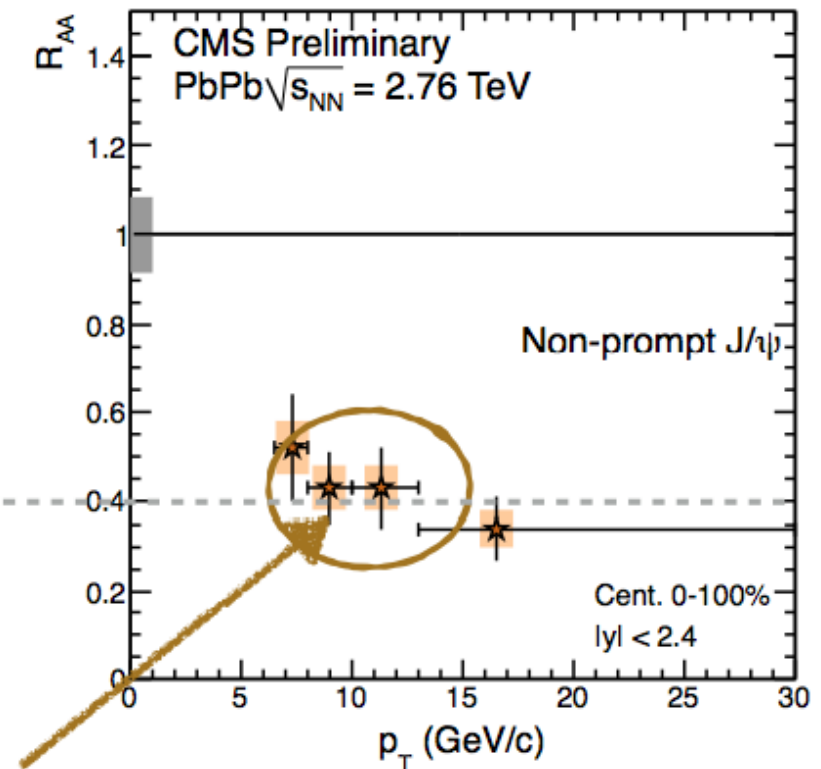
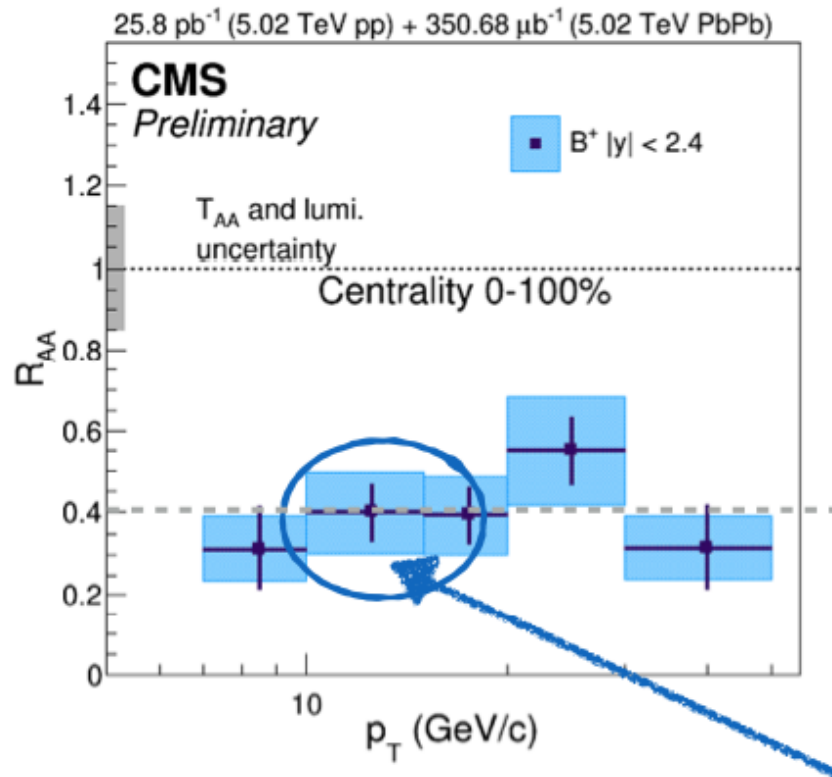


- Result derived in 5 B^+ p_T bins, from 7 to 50 GeV in $|y| < 2.4$ inclusive centrality (0~100%)
- Suppression of B meson production rate is observed in PbPb collision
- B meson $R_{AA} \sim 0.3$ to 0.6 with no obvious trend observed within statistical and systematic uncertainty



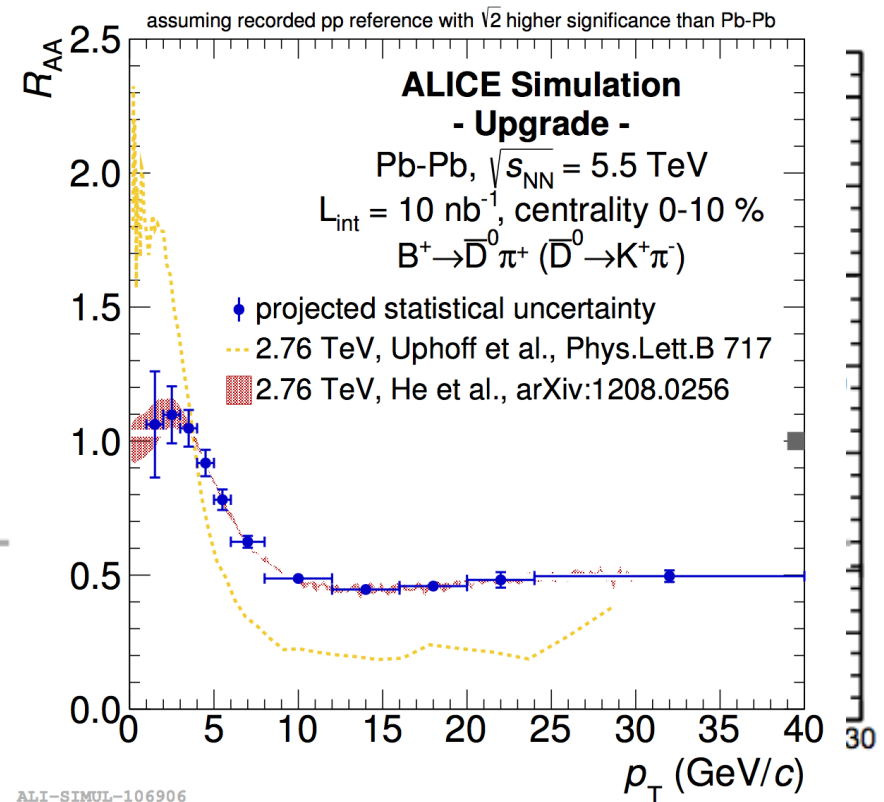
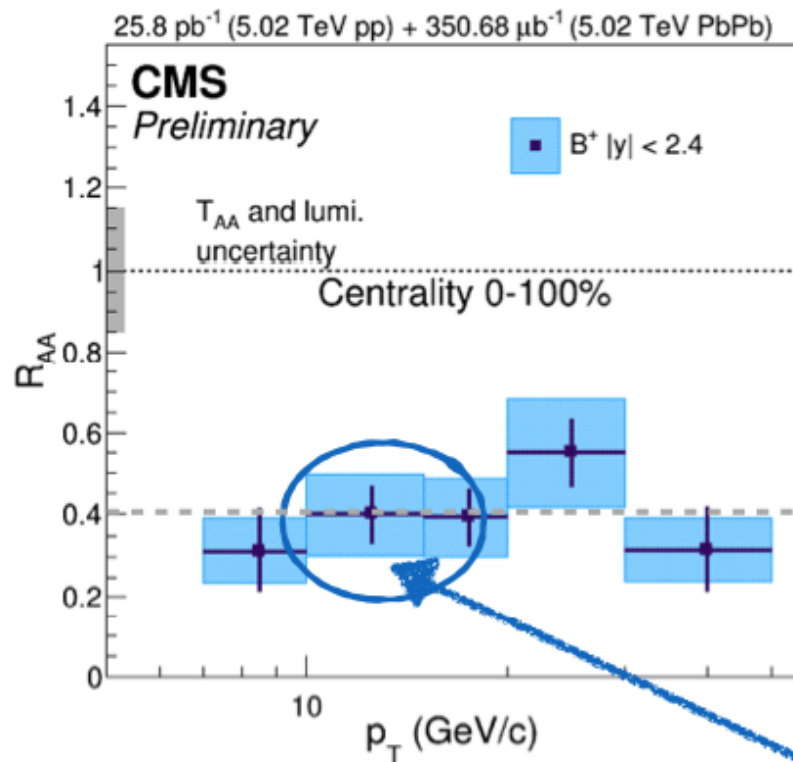
CMS-PAS-HIN-16-011

CMS-PAS-HIN-12-014



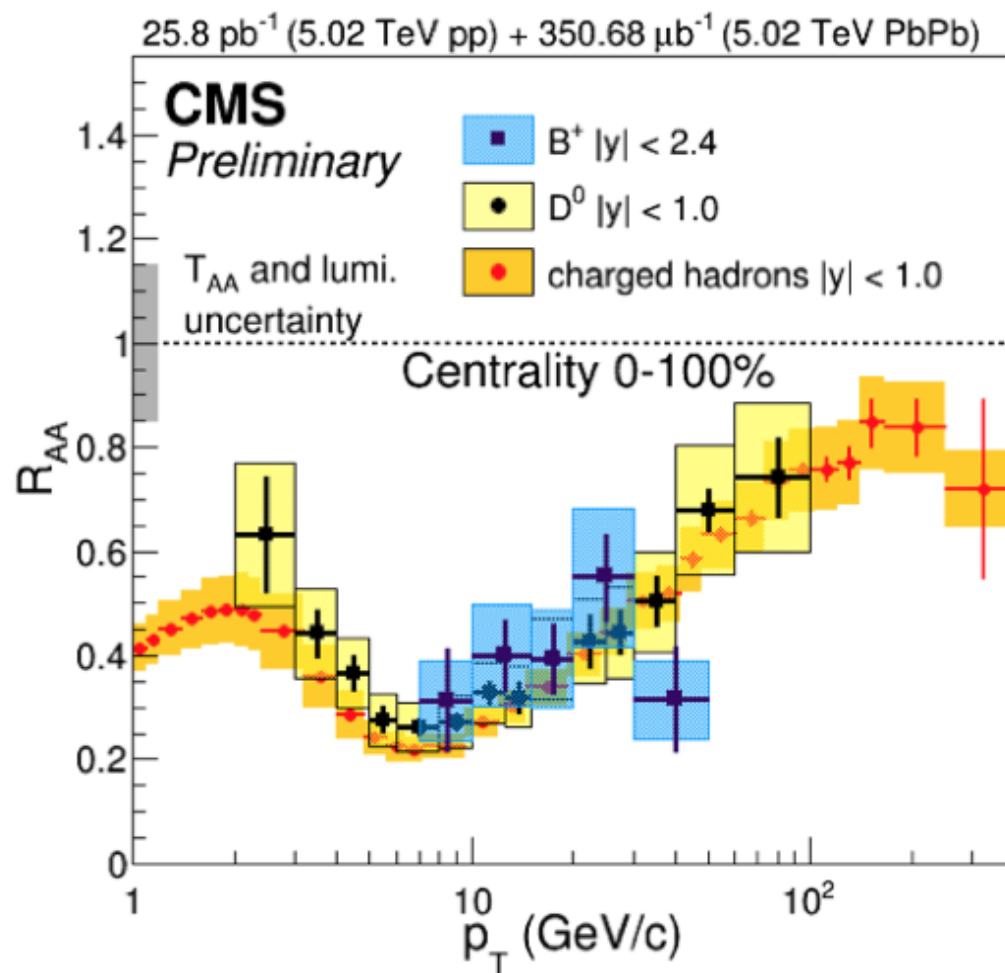
- B⁺ p_T 10~15 GeV → J/ψ p_T 8~10 GeV
- Results compatible with each other within uncertainty

CMS-PAS-HIN-12-014



- B⁺ p_T 10~15 GeV → J/ψ p_T 8~10 GeV
- Results compatible with each other within uncertainty

- Comparison with the D^0 meson [1] and charge hadron [2] R_{AA}



[1] CMS-PAS-HIN-16-001

[2] CMS-PAS-HIN-15-015