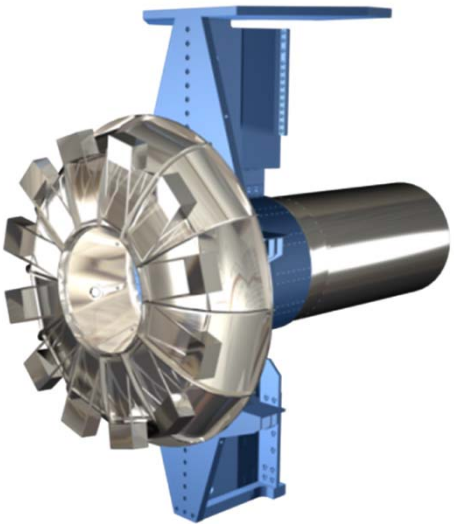
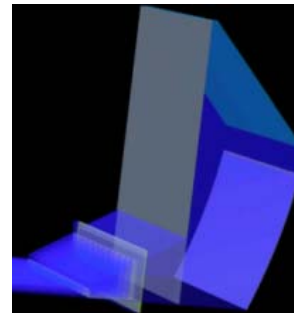
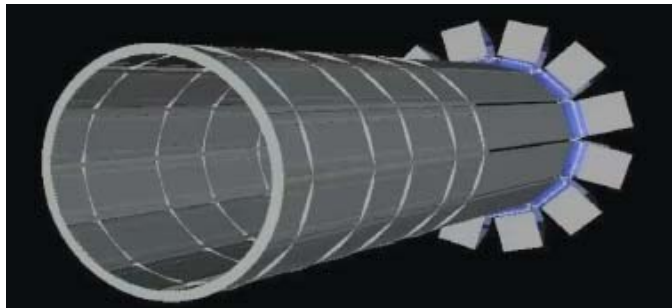
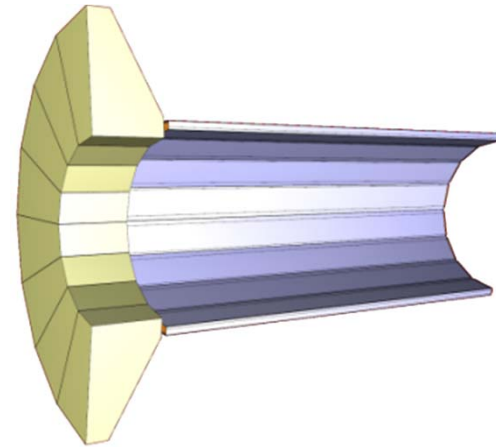


# BARREL DIRC DETECTORS

## FROM BABAR TO PANDA AND SUPERB



Jochen Schwiening



# BRIEF INTRODUCTION

## EXPERIENCE WITH DIRC: BABAR

*DESIGN, OPERATIONS, PERFORMANCE*

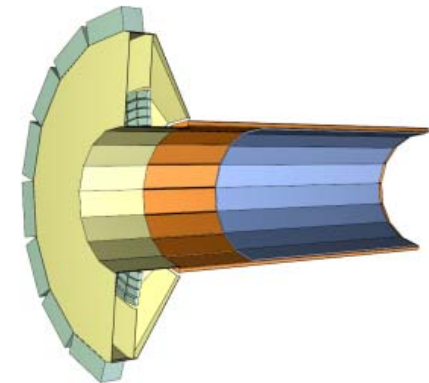
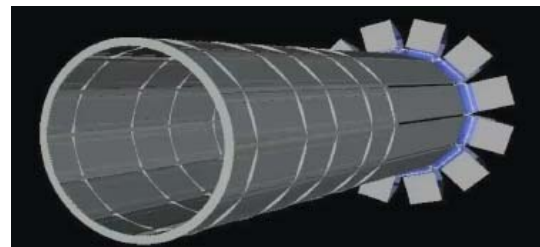
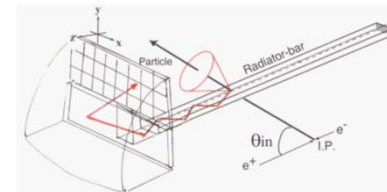
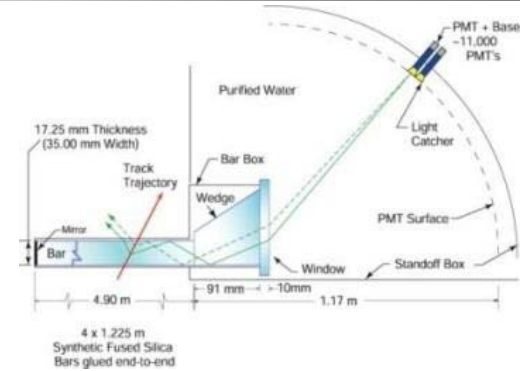
*DISASSEMBLY*

*UPGRADE R&D – FAST FOCUSING DIRC*

## FUTURE BARREL DIRCS

**SUPERB FDIRC**

**PANDA BARREL DIRC**



# DIRC CONCEPT

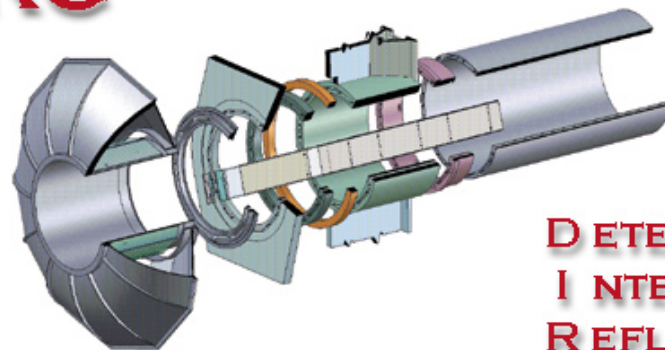
## Detection of Internally Reflected Cherenkov Light

Novel type of Ring Imaging Cherenkov detector §  
based on total internal reflection of Cherenkov light.

Used for the first time in BABAR for hadronic particle ID (8+ years in factory mode).

Recent improvements in photon detectors have motivated R&D efforts to improve the successful BABAR-DIRC and make DIRCs interesting for future experiments.

**DIRC**

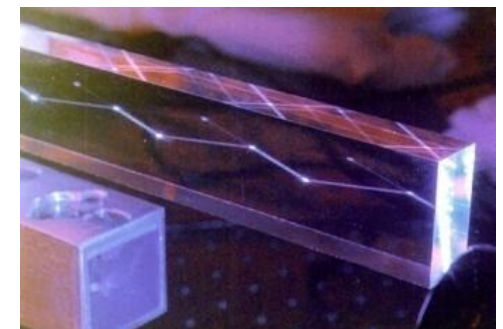
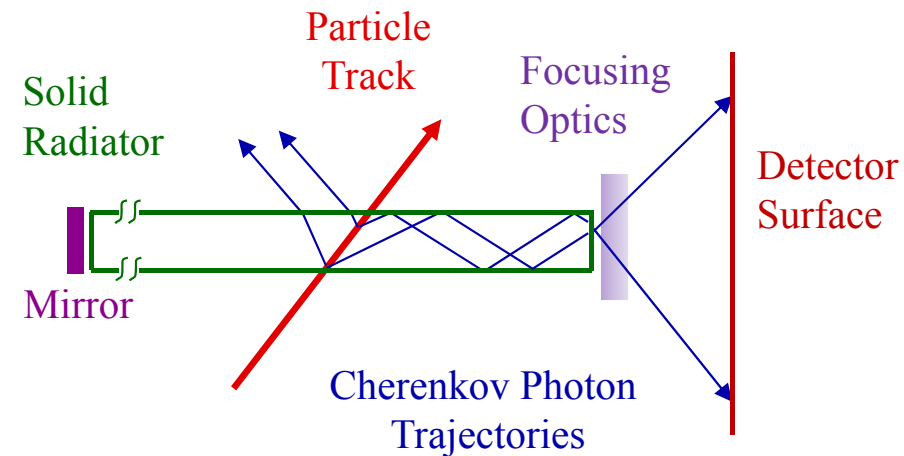


**D E T E C T I O N O F  
I N T E R N A L L Y  
R E F L E C T E D  
C H E R E N K O V L I G H T**

§B.N. Ratcliff, SLAC-PUB-6047 (Jan. 1993)

# DIRC CONCEPT

- **Charged particle** traversing radiator with refractive index  $n$  with  $\beta = v/c > 1/n$  emits **Cherenkov photons** on cone with half opening angle  $\cos \theta_c = 1/\beta n(\lambda)$ .
- For  $n > \sqrt{2}$  some photons are always **totally internally reflected** for  $\beta \approx 1$  tracks.
- **Radiator and light guide**: bar, plate, or disk made from **Synthetic Fused Silica** (“Quartz”) or fused quartz or acrylic glass or ...
- Magnitude of Cherenkov angle conserved during internal reflections (provided optical surfaces are square, parallel, highly polished)
- Mirror attached to one bar end, reflects photon back to readout end.



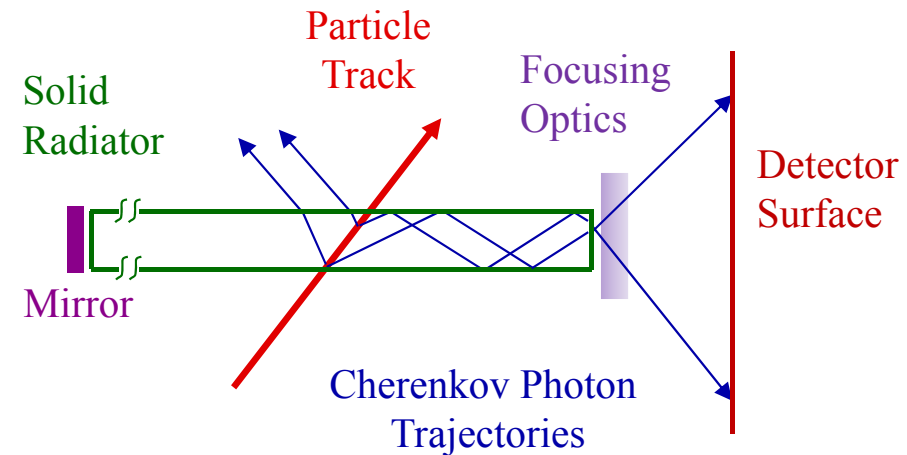
# DIRC CONCEPT

- Photons exit radiator via optional **focusing optics** into **expansion region**, detected on **photon detector array**.

- DIRC is intrinsically a **3-D device**, measuring: **x, y, and time** of Cherenkov photons, defining  $\theta_c$ ,  $\phi_c$ ,  $t_{\text{propagation}}$ .

- Ultimate deliverable for DIRC: PID likelihoods.**

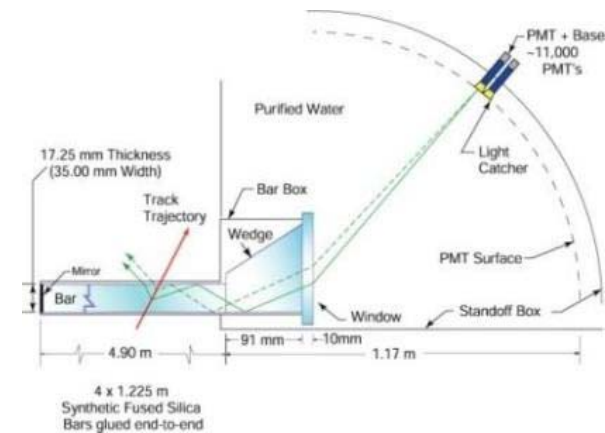
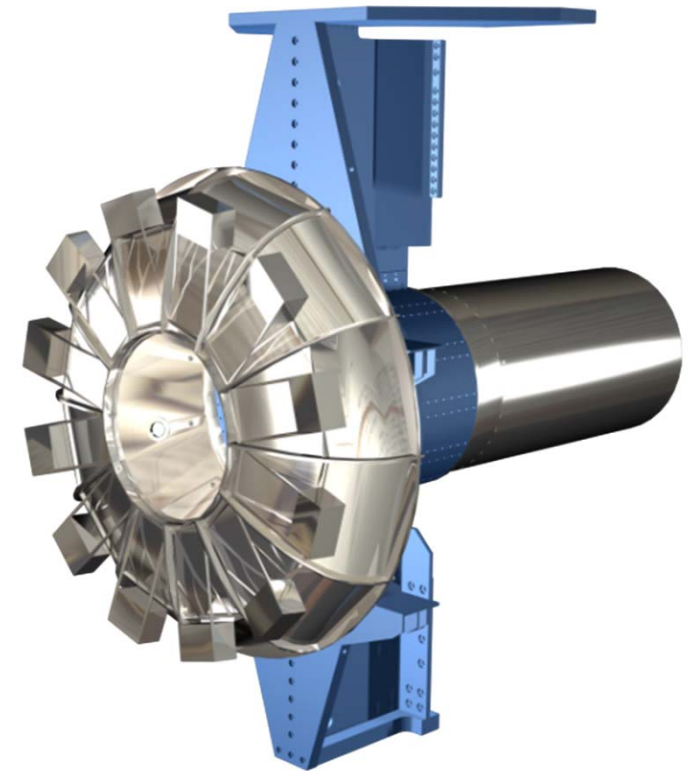
Calculate likelihood for observed hit pattern  
(in detector space or in Cherenkov space)  
to be produced by  $e/\mu/\pi/K/p$   
plus event/track background.



BABAR DIRC PMT Plane

# THE BABAR DIRC

- DESIGN
- OPERATIONS
- PERFORMANCE
- DISASSEMBLY
- UPGRADE R&D

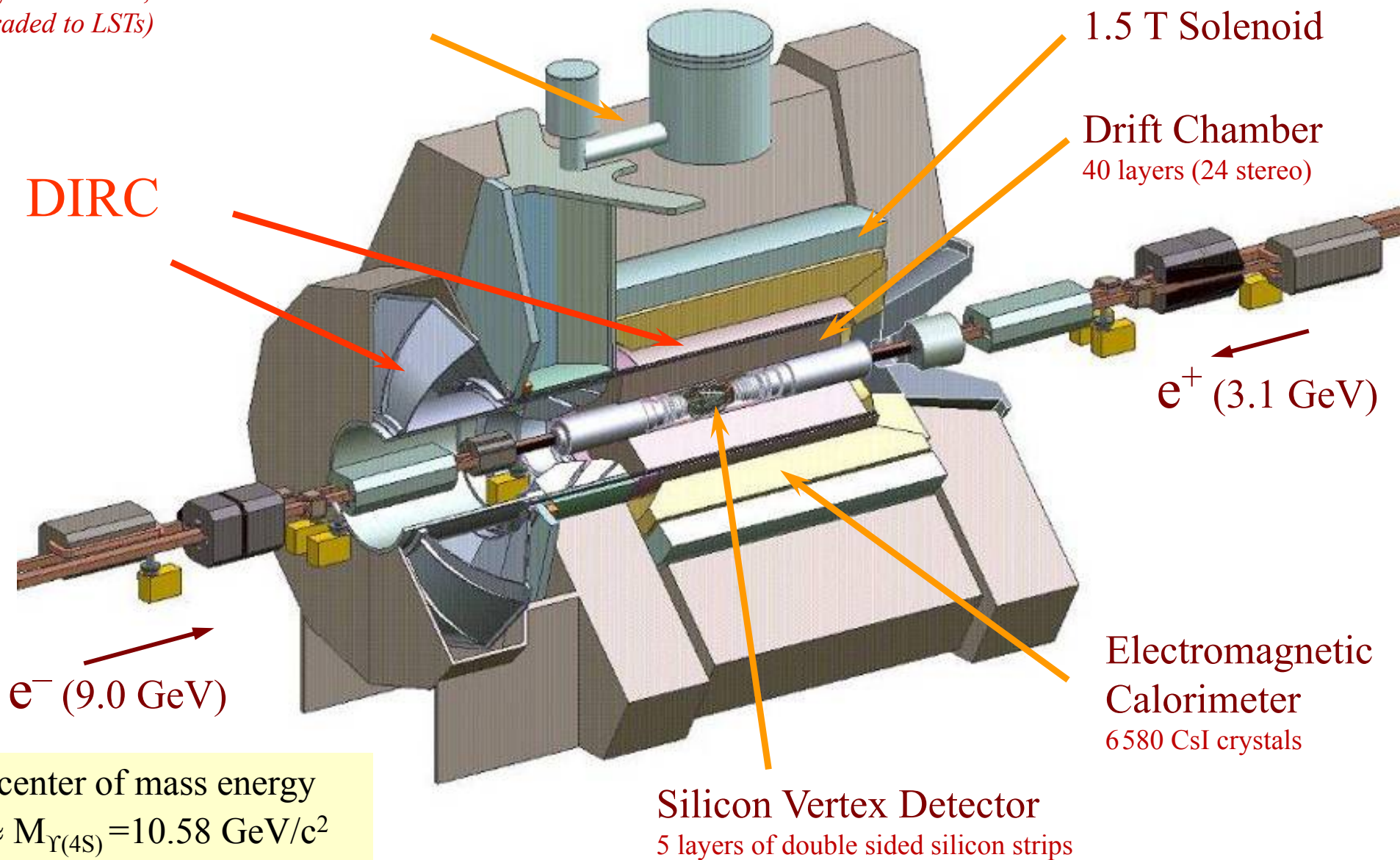


# THE BABAR DETECTOR

## Instrumented Flux Return

19 layers of RPCs,  
(upgraded to LSTs)

**DIRC**



1.5 T Solenoid

Drift Chamber  
40 layers (24 stereo)

$e^+$  (3.1 GeV)

$e^-$  (9.0 GeV)

Electromagnetic  
Calorimeter  
6580 CsI crystals

Silicon Vertex Detector  
5 layers of double sided silicon strips

center of mass energy  
 $\approx M_{\Upsilon(4S)} = 10.58 \text{ GeV}/c^2$   
 $\beta\gamma = 0.56$

# THE DIRC IN BABAR

## DIRC thickness:

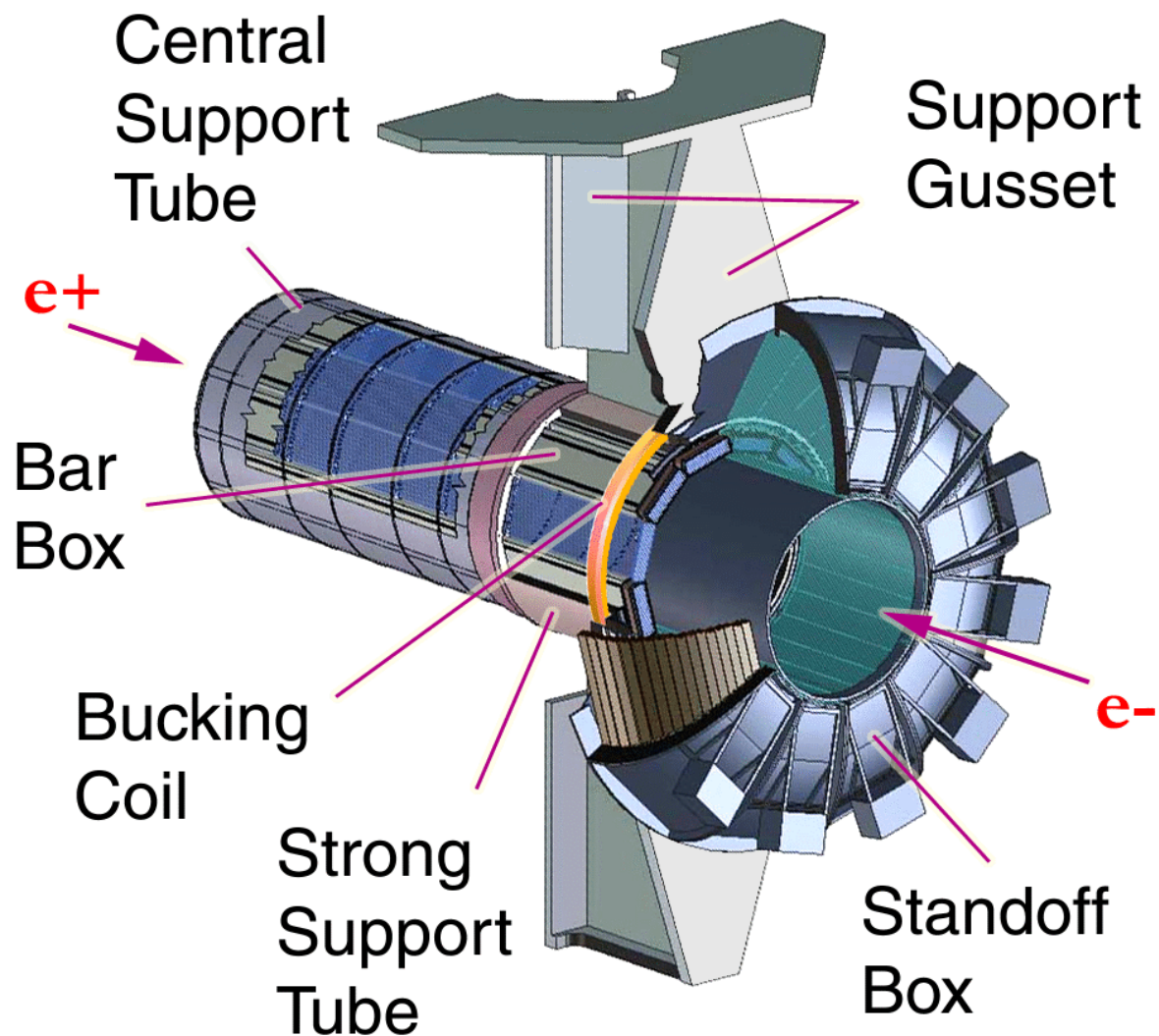
8 cm radial incl. supports  
19% radiation length  
at normal incidence

## DIRC radiators cover:

94% azimuth,  
83% c.m. polar angle

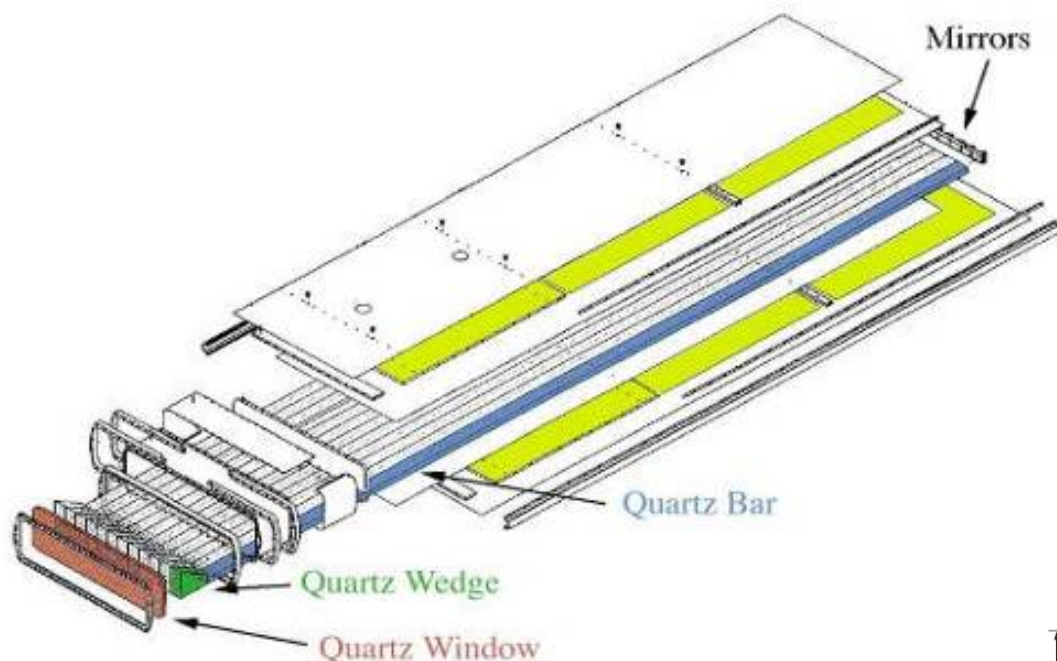
## DIRC photon detection array:

10,752 PMTs ETL 9125

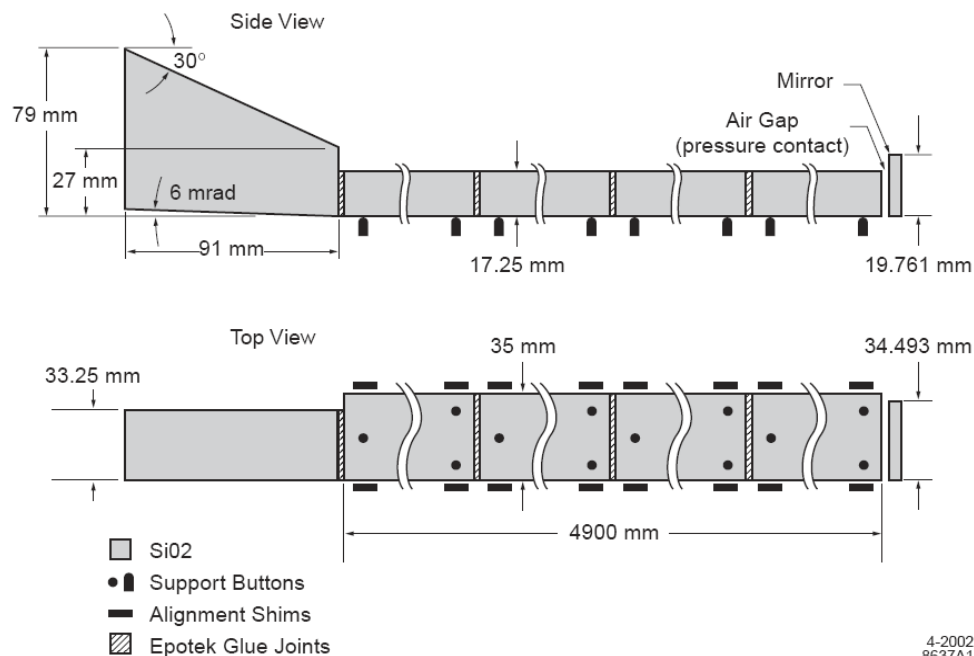




# THE DIRC IN BABAR



**Bar box:** 12 bar boxes in BABAR  
 12 long (4.9m) bars per box  
 150 $\mu$ m air gap between bars  
 dry nitrogen flow



**Long bar:** 4 short (1.225m) bars  
 Mirror on forward end  
 Wedge on readout end

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*Detailed review of BABAR DIRC:  
Nucl. Instr. Methods A 538 (2005) 281-357*

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# BABAR DIRC TIME LINE

- **1992**: first publication of DIRC concept (Blair Ratcliff).
- 1993-1996: progression of prototypes and DIRC R&D.
- **Nov 1994**: decision in favor of DIRC for hadronic PID for BABAR.
- **Nov 1998**: installed part of DIRC; start of cosmic ray run, commissioning run.
- **April 1999**: BABAR moves into beam line, added 4 more bar boxes.
- **Nov 1999**: all 12 bar boxes installed, start of first physics run.
- **April 2008**: last event recorded with BABAR.

PEP-II peak luminosity:  $12.07 \times 10^{33} \text{ cm}^{-2} \text{ sec}^{-1}$  ( $4 \times \text{design}$ )

BABAR total recorded:  $\sim 467\text{M } B\bar{B}$  pairs.

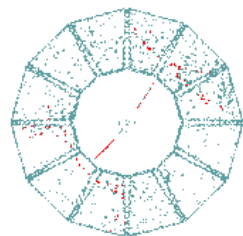
BABAR DIRC ran in factory mode for **8+ years**.

## DIRC Operations were Stable and Robust

- Calibration constants stable to typically  $rms < 0.1$  ns per year.
- No problems with water or gas systems.
- No evidence for deterioration of bar surfaces or glue boundaries.

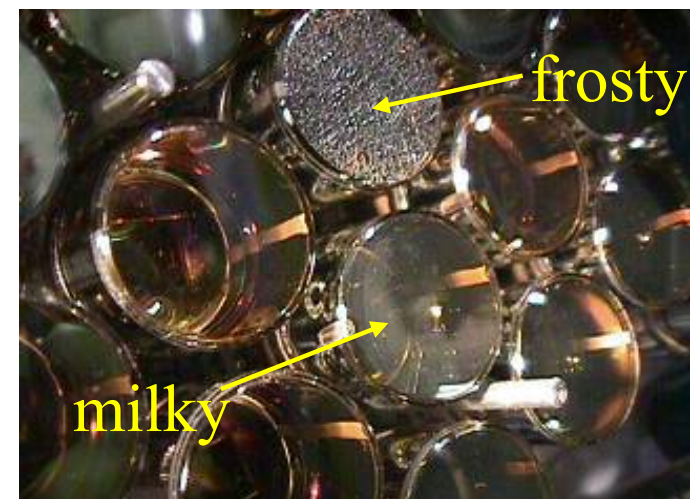
### The three most significant operational issues:

- Concerns about **PMT longevity** due to PMT window degradation;  
→ photon loss a few % level, no problem for PID performance.
- Some **damage to electronics** due to dust/dirt from civil engineering near experiment;  
→ solved by cleaning and application of conformal coating.
- Sensitivity of the DIRC to **machine background** interacting in the water of the expansion region (primarily DAQ issue);  
→ solved by adding lead shielding between beam line and DIRC inner radius and by upgrading TDCs.



## PMT front window corrosion

- Discovered after ~ 1 year immersion Oct. 99.
- Status Oct. 99: ~ 50 *frosty* tubes and ~ 2/3 *visibly milky*.
- Only front glass affected, side glass fine.



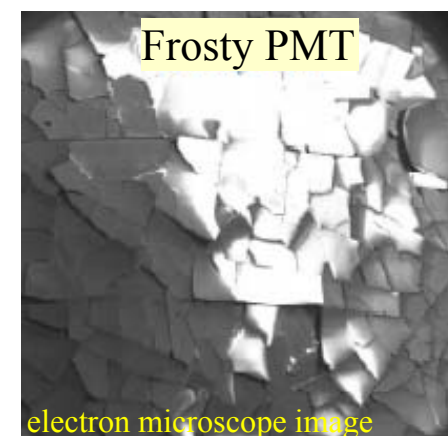
Studies →

- Strongly corroded (*frosty*) tubes are a **bad batch of PMT glass** (no zinc).
- *Milkiness* results from **sodium depletion** in near surface.

**No obvious immediate effect** (water provided good coupling) but ...

- ⇒ Worried that we might lose PMT efficiency with time.
- ⇒ or might lose vacuum in some of the ~ 50 *frosty* tubes on 10 year time scale (front window thickness: 1mm).

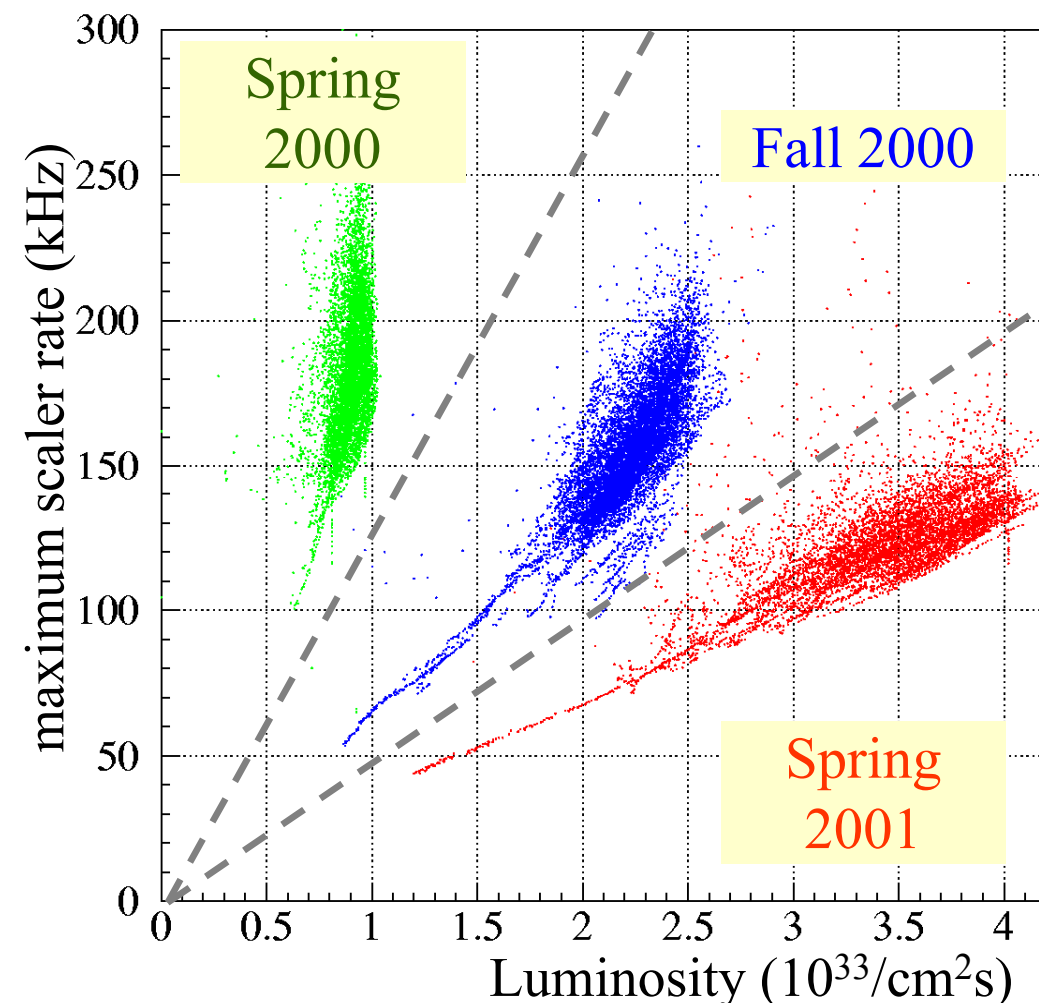
**Ultimately, until shutdown in Apr. 2008, no problems with milky or frosty PMTs observed.** (*Stay tuned for update later.*)



# DIRC BACKGROUNDS

Succession of lead shielding installed in 2000 and 2001.

Thanks to shielding, PMT rates acceptable even at  $4 \times$  design lumi.



DIRC TDC1:  $\sim 5\%$  inefficiency at 250 kHz

PMT Rate vs. Luminosity shows that lead shielding essential in protecting DIRC from few MeV photon accelerator induced background (radiative Bhabhas etc).

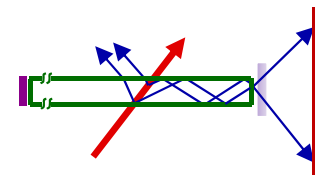
New TDC chips were installed during shutdown Fall 2002.

DIRC TDC2:  $< 5\%$  deadtime at 2.5MHz

Final TDC and shielding configuration were “background safe” at highest lumi.

## DIRC “Ring” images:

- limited acceptance for total internal reflection,
- reflection ambiguities (initial reflection up/down, left/right, reflection off mirror (and wedge)
  - up to 16 ( $\theta_c$ ,  $\phi_c$ ) ambiguities per PMT hit),



- toroidal detection surface,

→ Cherenkov ring images are distorted:

**complex, disjoint images.**

Low energy photons from accelerator hit Standoff Box.

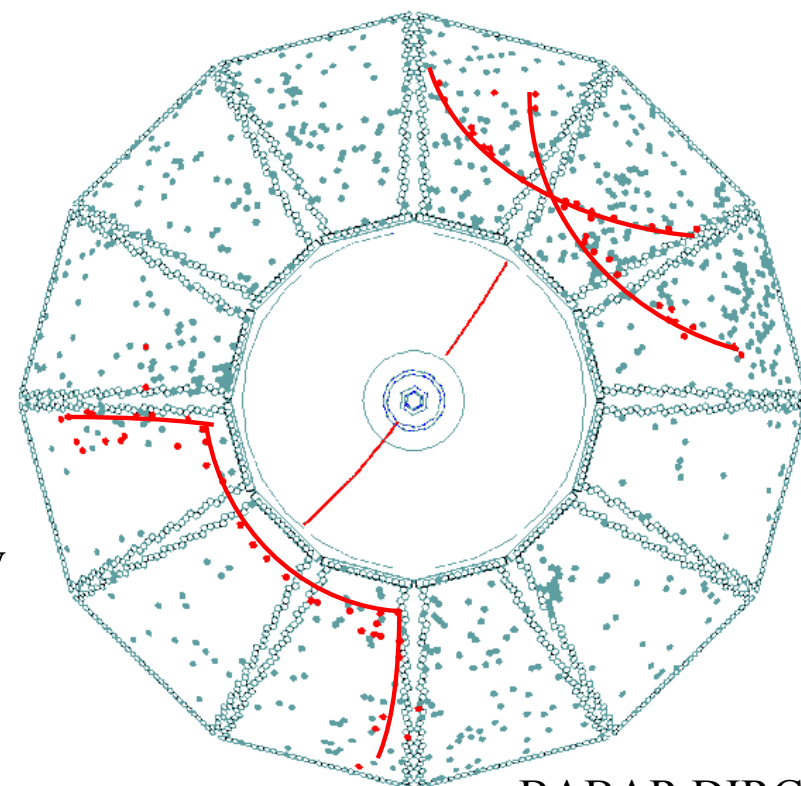
At typical luminosity that caused rates of 80-200 kHz/tube.  
*(100× the rate expected during experiment design phase.)*

80-200 kHz ⊗ 10,752 PMTs ⊗ ± 300 nsec trigger window

→ 500-1300 background hits (~10% occupancy)

compared to

50-300 Cherenkov photons



BABAR DIRC

# RECONSTRUCTION

For BABAR DIRC time information provided powerful tool to reject accelerator and event related background.

Calculate expected arrival time of Cherenkov photon based on

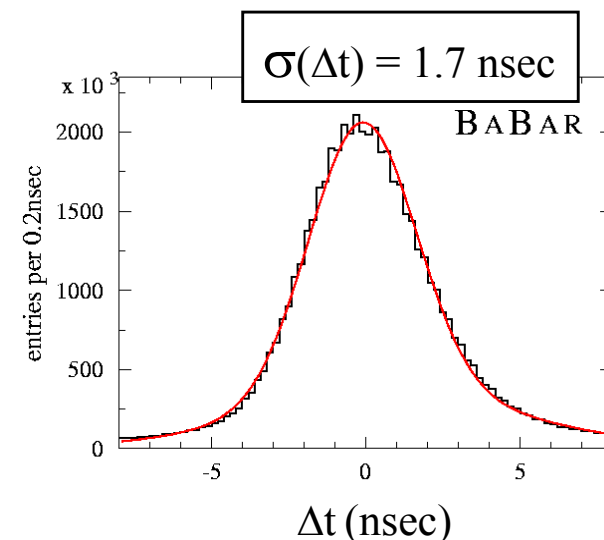
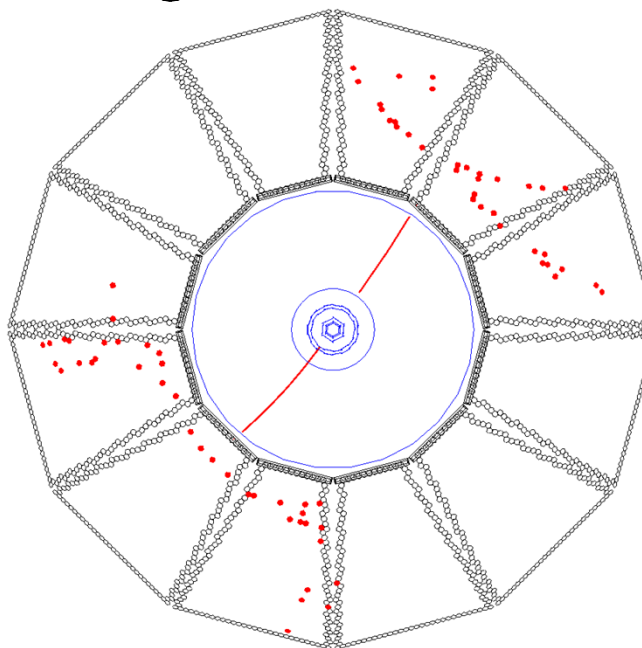
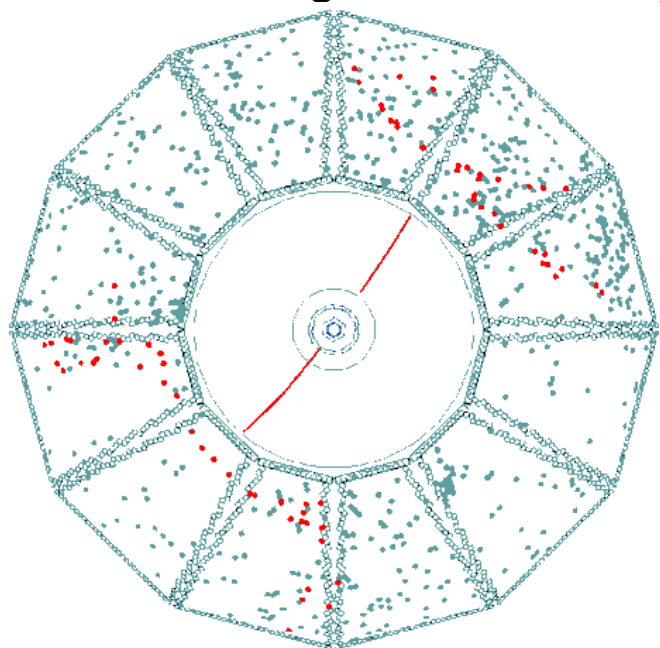
- track TOF
- photon propagation in radiator bar and in water

$\Delta t$ : difference between measured and expected arrival time

$\pm 300$  nsec trigger window  
( $\sim 500$ - $1300$  background hits/event)



$\pm 8$  nsec  $\Delta t$  window  
( $1$ - $2$  background hits/sector/event)



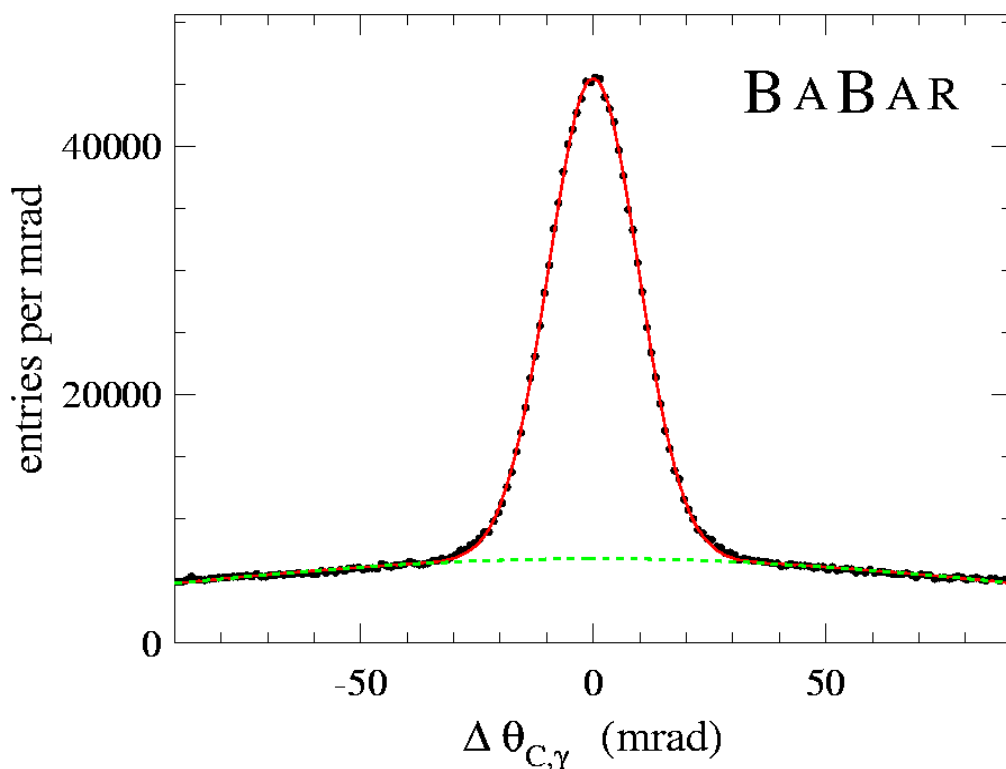
$\Delta t$  also used to determine event time for “self-triggering” of DIRC.



BABAR DIRC single photon resolution agrees with design target.

Single Photon Cherenkov angle resolution:

$\Delta\theta_{c,\gamma}$ : difference measured  $\theta_{c,\gamma}$  per photon solution and expected track  $\theta_c$  (di-muons)



$$\sigma(\Delta\theta_{c,\gamma}) = 9.6 \text{ mrad}$$

Expectation:  $\sim 9.5$  mrad

*dominated by:*

*7 mrad from PMT/bar size,*

*5.4 mrad from chromatic term,*

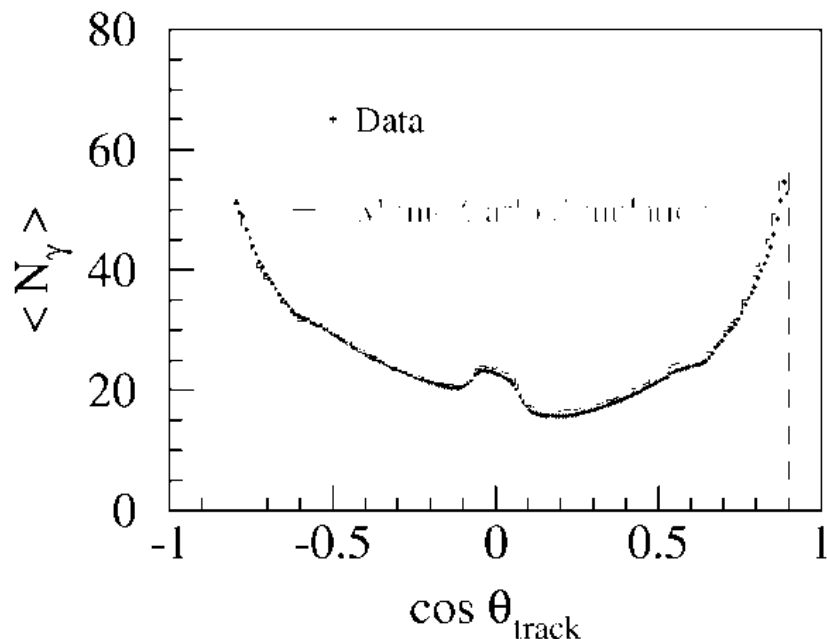
*2-3 mrad from bar imperfections.*

$\sim 10\%$  **Background** under  $\Delta\theta_{c,\gamma}$  peak:

combinatoric background, track overlap, accelerator background,

$\delta$  electrons in radiator bar, reflections at fused silica/glue interface, ...

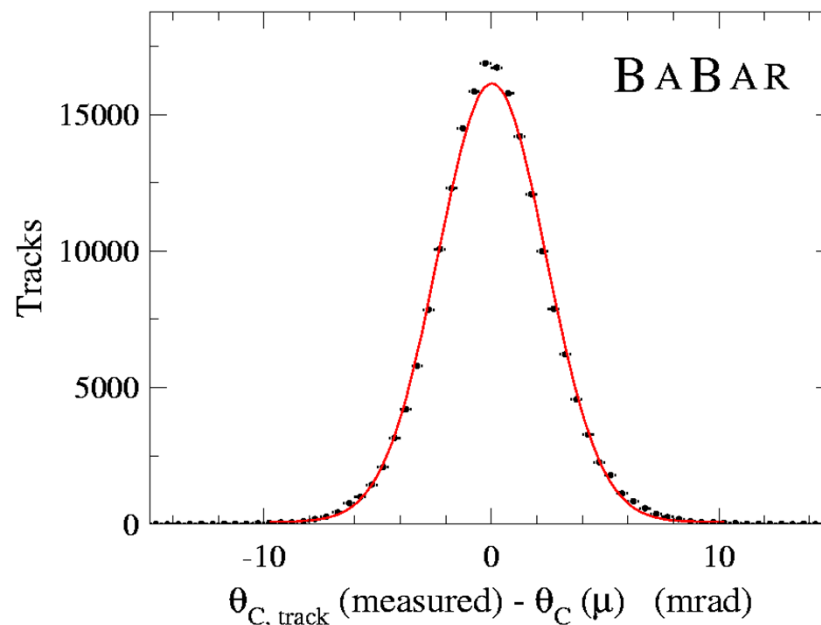
Number of Cherenkov photons  
per track (di-muons) vs. polar angle:



Between 20 and 60 signal photons per track.

Very useful feature in BABAR environment:  
higher momentum correlated with  
larger polar angle values  
→ more signal photons,  
better resolution ( $\sim 1/\sqrt{N}$ )

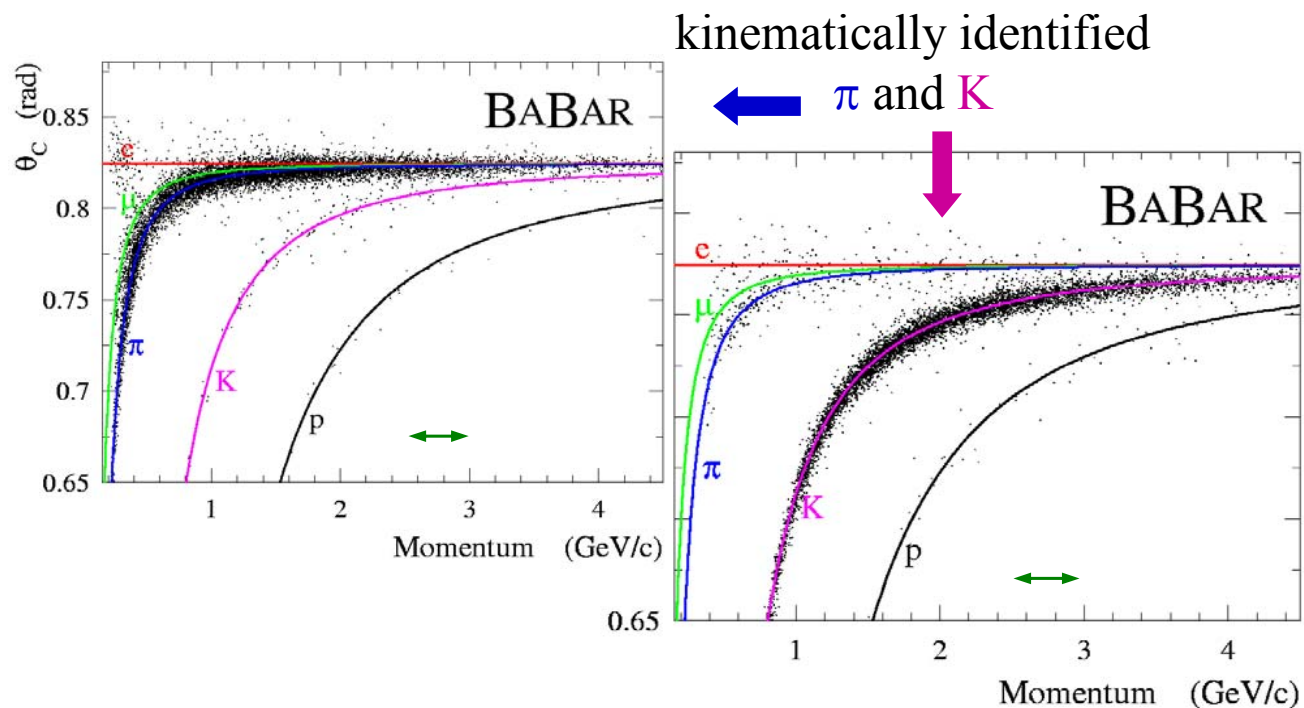
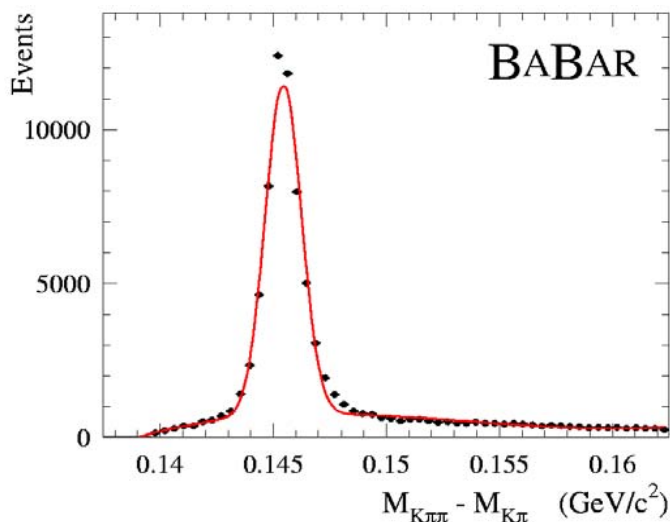
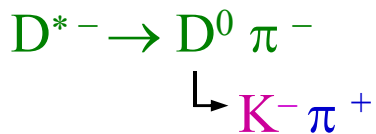
Resolution of Cherenkov angle fit  
per track (di-muons):



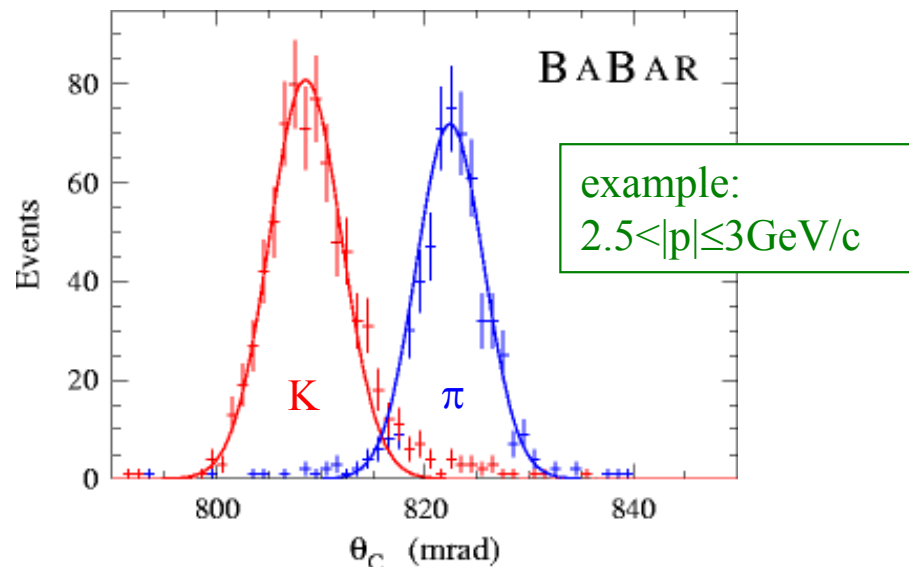
$$\sigma(\Delta\theta_c) = 2.4 \text{ mrad}$$

Track Cherenkov angle resolution is  
within  $\sim 10\%$  of design.

# PERFORMANCE EXAMPLE



- Select  $D^0$  candidate control sample with mass cut ( $\pm 0.5 \text{ MeV}/c^2$ )
- $\pi$  and  $K$  are kinematically identified
- calculate selection efficiency and mis-id
- Correct for combinatorial background (avg. 6%) with sideband method.



## DIRC likelihood

Calculate unbiased likelihood for observed PMT signals to originate from  $e/\mu/\pi/K/p$  track or from background.

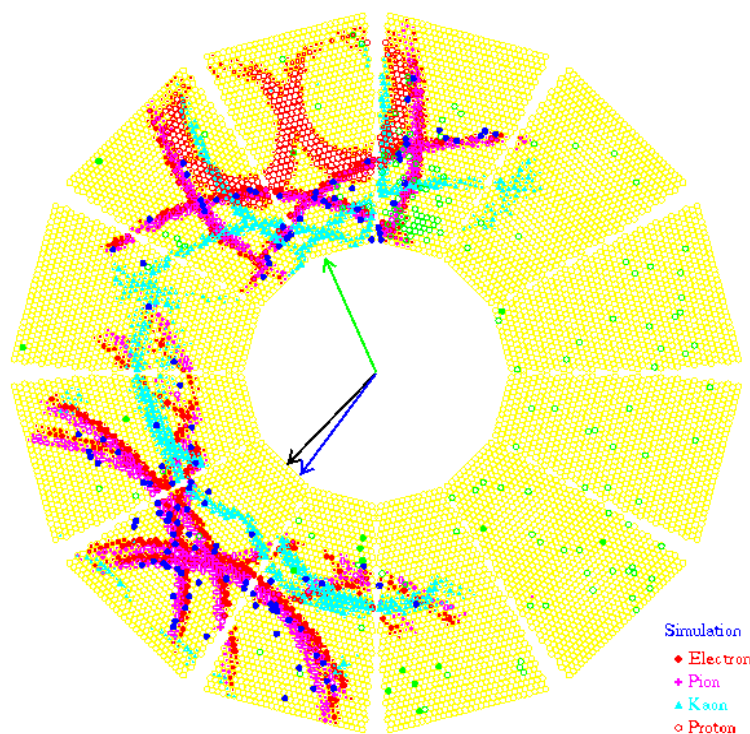
$$(\text{Likelihood: Pdf}(\theta_c) \otimes \text{Pdf}(\Delta t) \otimes \text{Pdf}(N_\gamma))$$

Two complementary reconstruction algorithms:

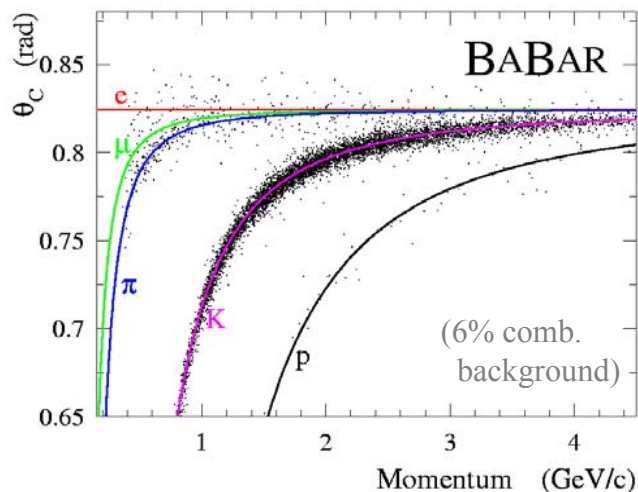
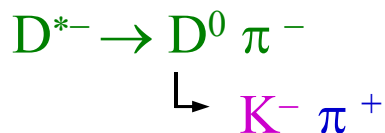
- iterative process to maximize event likelihood, full correlation of all tracks;
- individual track fit provides  $\theta_c$ ,  $\sigma(\theta_c)$ , number of signal/background photons.

Reflection ambiguities: for BABAR  $\Delta t$  cut reduced these from up to 16 to typically 2-3

Particle ID is based on log likelihood differences of the five hypotheses.



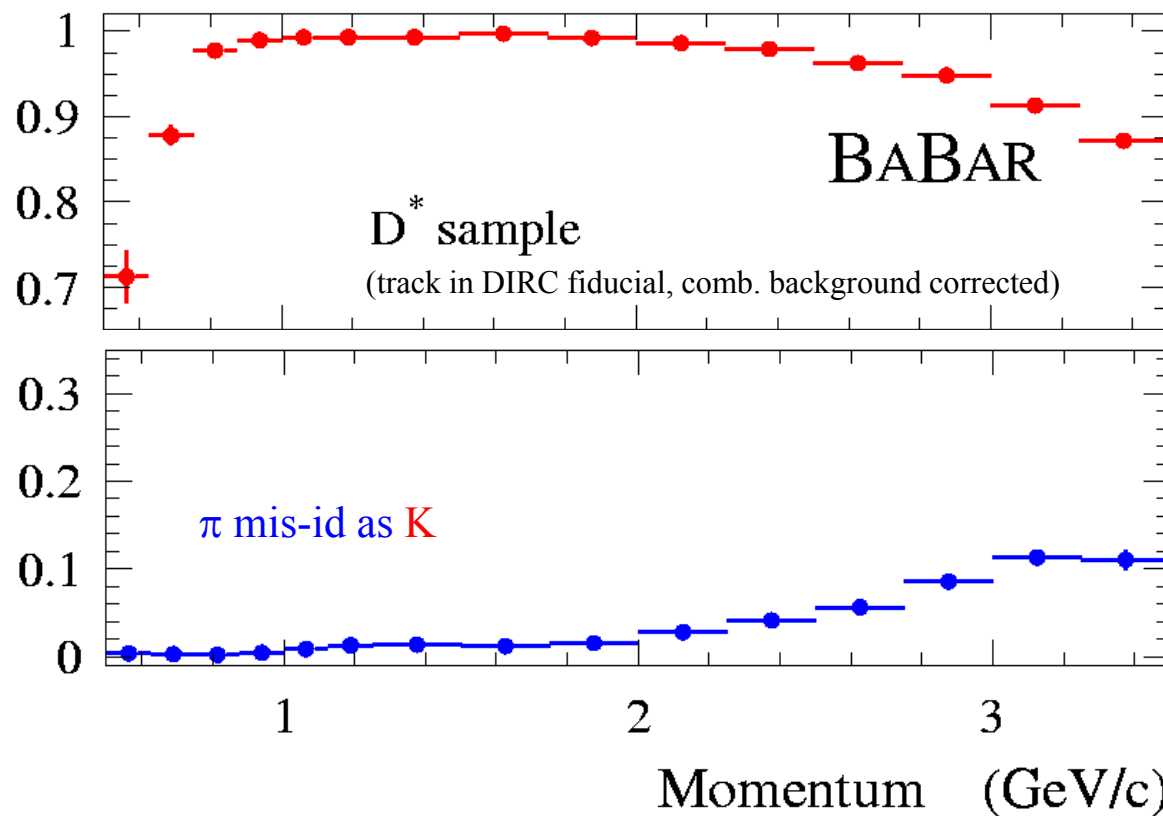
*Example: Comparison of real event to simulated response of BABAR DIRC to  $e/\pi/K/p$ .*



Kaon selection efficiency  
typically above 95%  
with mis-ID of 2-10%  
between 0.8-3 GeV/c.

Kaon selection efficiency for

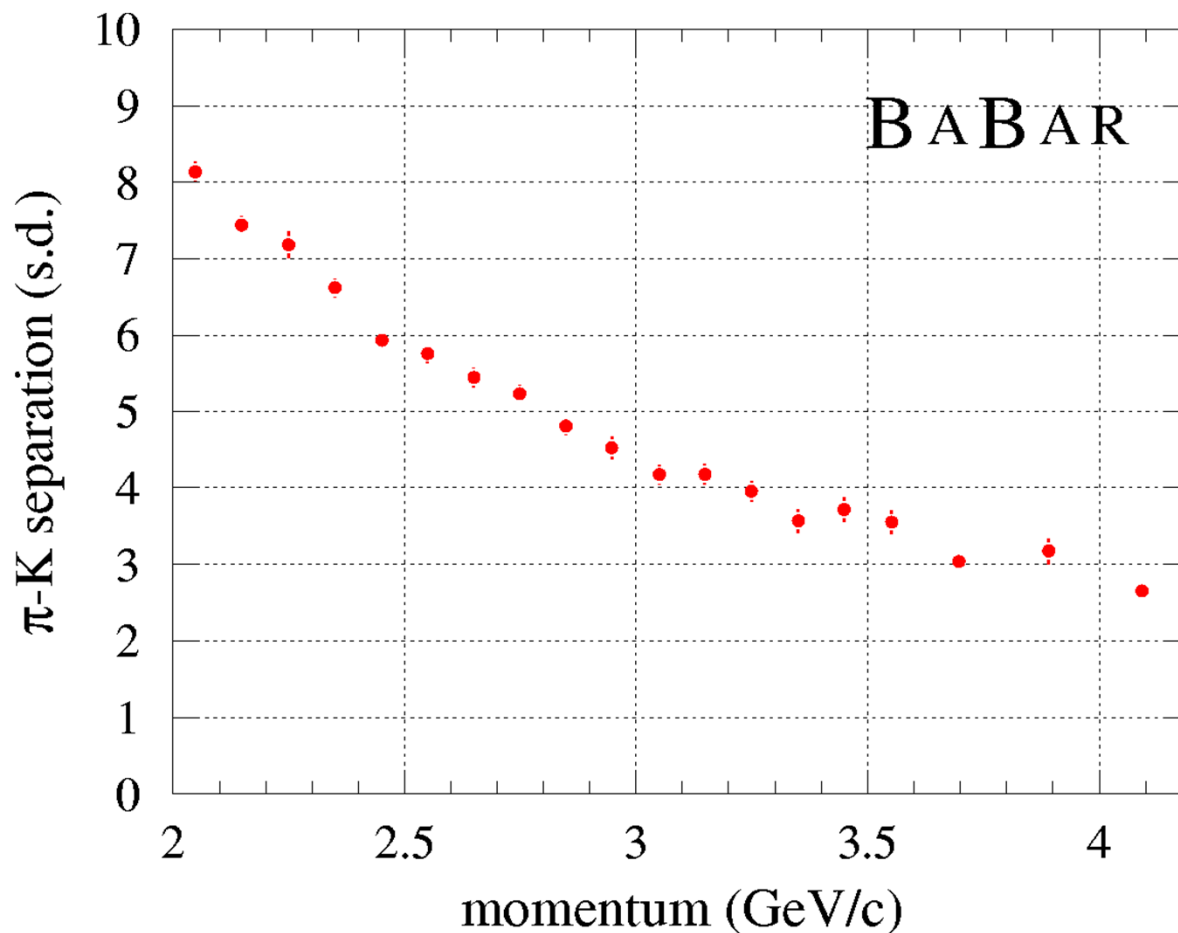
$$\mathcal{L}_{K^-} > \mathcal{L}_{\pi^-}$$



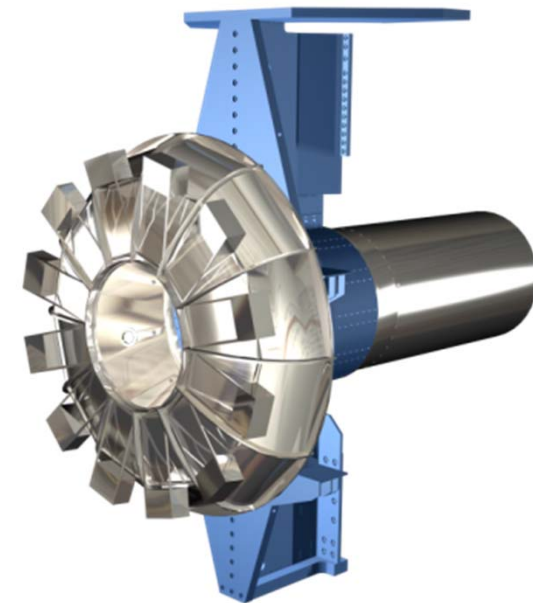
$\pi/K$  separation power:

Measure Cherenkov angle resolution as function of track momentum for pions and kaons, kinematically identified in  $D^*$  decays.

→ about  $4.3\sigma$  separation at  $3\text{GeV}/c$ , close to  $3\sigma$  separation at  $4\text{GeV}/c$



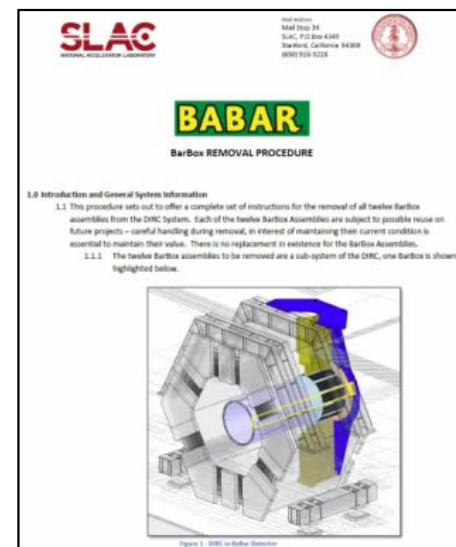
- The DIRC well matched to asymmetric B-factory environment, capable of  $\pi$ -K separation for momenta up to  $\sim 4$  GeV/c.
- Eight+ years of experience in PEP-II/BABAR B-factory mode: **DIRC very reliable, robust, easy to operate.**
- After 8+ years **no evidence of bar surface quality deterioration or radiation damage** to bars or glue; 98% of channels and pixels fully functional to the end.
- Machine backgrounds up to 300 kHz/PMT at  $12 \cdot 10^{33}/\text{cm}^2 \cdot \text{s}$  no problem for reconstruction due to good timing resolution.
- Lead shielding and new TDC chips, installed in 2002, kept DIRC working safely at **four times design luminosity.**
- Single photon time and Cherenkov angle resolution and photon yield **close to nominal.**
- Track Cherenkov angle resolution within 10% of design.
- **DIRC plays significant role** in almost all BABAR physics analyses published to date.



# BABAR DIRC DISASSEMBLY

October 2010: DIRC disassembly – procedure planned out in detail.

Open stand-off box, inspect and protect bar boxes and PMTs, remove inner cylinder, remove doors, remove bar boxes, mechanical support.



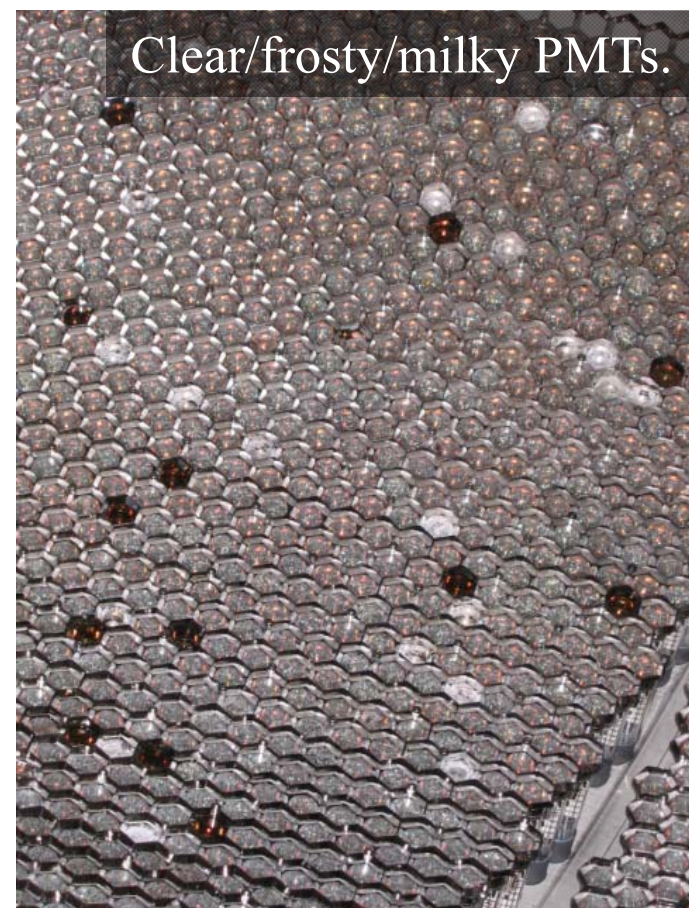
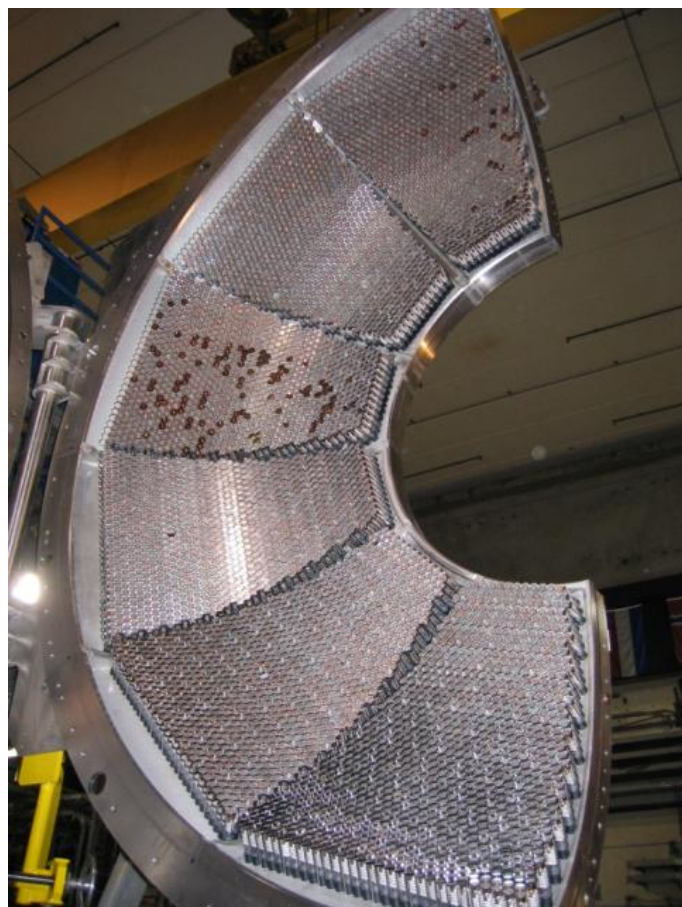


# BABAR DIRC DISASSEMBLY

Inspection of PMTs shows that almost in air all PMT faces now appear “frosty.”

Some un-corroded PMT faces, clustered in some sectors (different glass).

Note that frosty PMTs performed just as well as non-frosty.



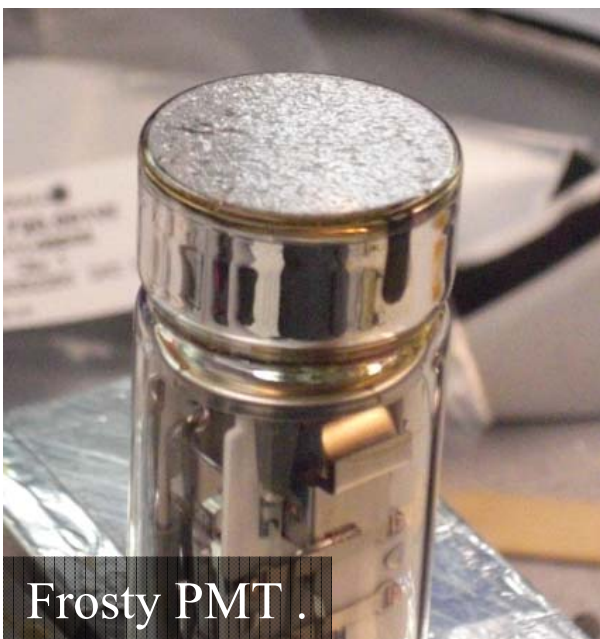
# BABAR DIRC DISASSEMBLY

Frosty/milky appearance of front glass window for most DIRC PMTs.

Water provided good coupling, PMT glass looked transparent in water.

PMT performance (PDE) still good  
(J. Va'vra test with glued front glass)

Three frosty/milky PMTs.



Frosty PMT.

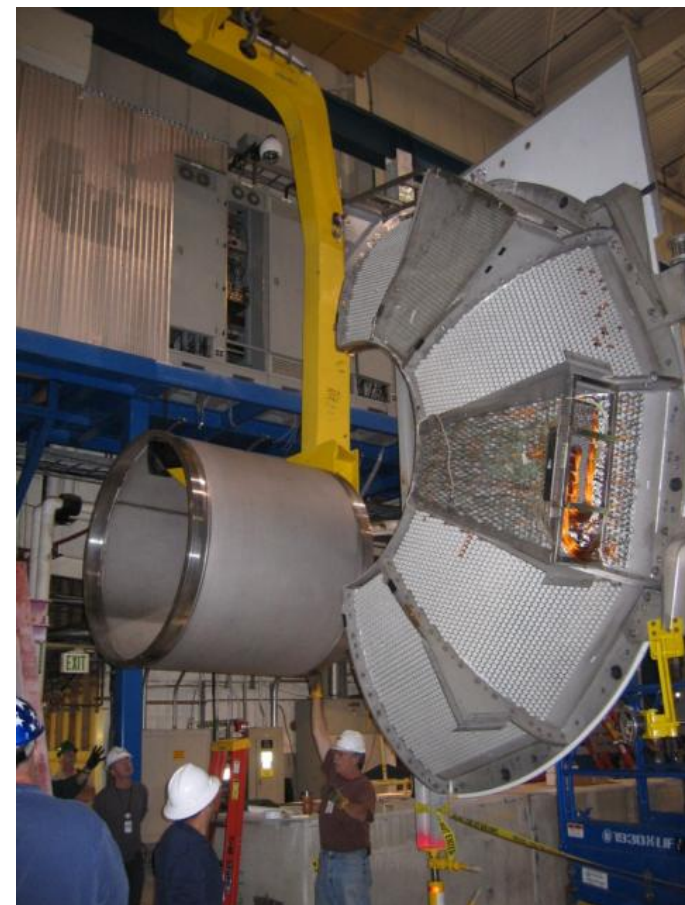
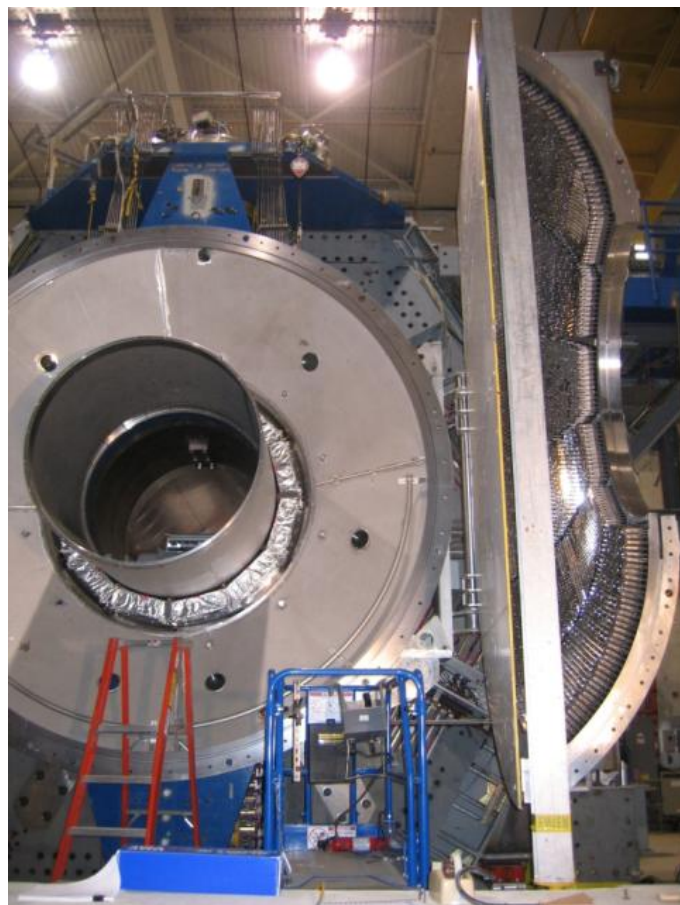


Drop of glue.



Glued glass window.

Removal of inner cylinder.

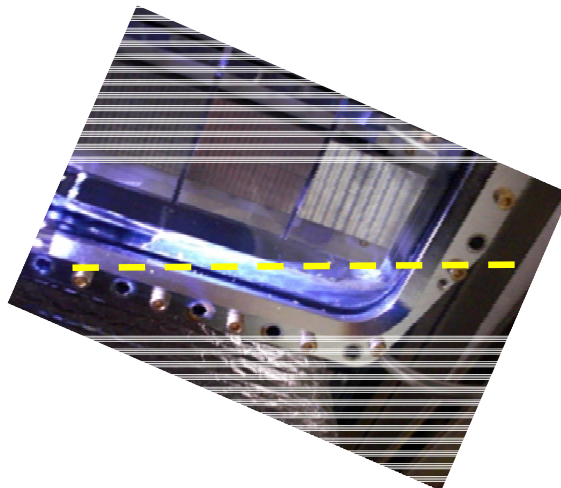


# BABAR DIRC DISASSEMBLY

How do you remove bar boxes? Very carefully.

No indication of pollution or rust in tracks.

Water stain in corner of window of one bar box (consistent with small water leak observed via gas humidity following initial installation).



# BABAR DIRC DISASSEMBLY

All 12 bar boxes in storage.

Visual inspection using bright light shows no damage to bars or glue bonds.

(Consistent with detailed detector analysis results.)



Sunny day in January 2011:  
Strong support tube moved to storage.



All DIRC components now in storage, ready for trip to SuperB in Italy.

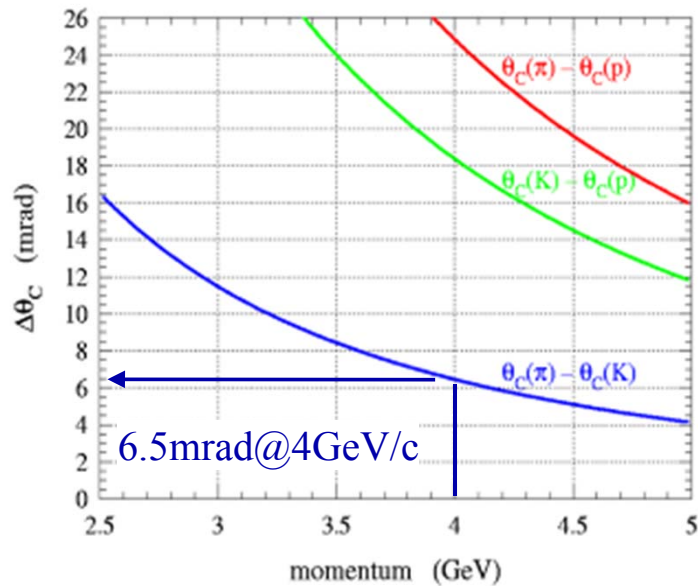
The DIRC approach was very successful in BABAR.

As early as 2000 R&D efforts underway to improve future DIRCs.

- Make DIRC less sensitive to background
  - decrease size of expansion volume;
  - use photon detectors with smaller pixels and faster timing;
  - place photon detector inside magnetic field.
- Investigate alternative radiator shapes (plates, disks)
- Push DIRC  $\pi/K$  separation by improving single-photon  $\theta_C$  resolution
  - focusing optics to reduce bar size contribution;
  - smaller pixels to reduce pixel size contribution;
  - mitigate effect of dispersion using fast timing, filters, etc.

→ R&D for SuperB – Belle II – PANDA – and beyond

# IMPROVING DIRC RESOLUTION



PID performance driven by Cherenkov angle ( $\theta_C$ ) resolution.  
 Required resolution defined by refractive index of radiator.

Example:  $\pi/K$  separation in synthetic fused silica  $\langle n \rangle \approx 1.473$   
 → 6.5 mrad  $\pi/K$  difference in  $\theta_C$  at 4 GeV/c;  
 → need  $\sim 2.2$  mrad resolution for 3 s.d. separation.

Cherenkov angle resolution determined by single photon resolution (scales with  $1/\sqrt{N_\gamma}$ ) and correlated terms (mult. scattering, etc).

**R&D ideas to push DIRC 3 s.d.  $\pi/K$  separation limit to higher momenta than BABAR.**

BABAR-DIRC Cherenkov angle resolution: **9.6 mrad** per photon → **2.4 mrad** per track

Limited in BABAR by:

- size of bar image       $\sim 4.1$  mrad      ----->
- size of PMT pixel       $\sim 5.5$  mrad      ----->
- chromaticity ( $n=n(\lambda)$ )       $\sim 5.4$  mrad      ----->

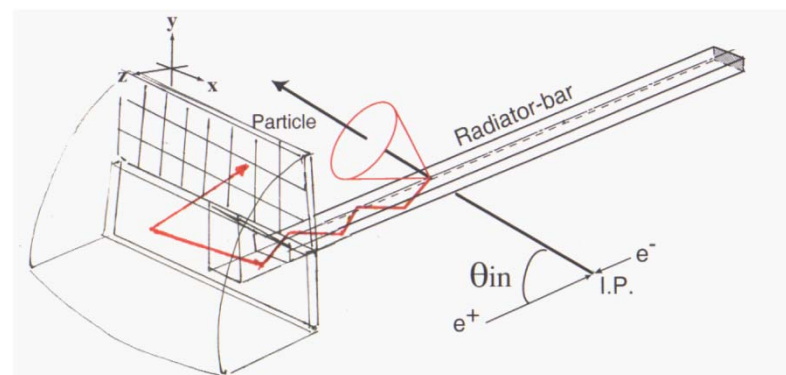
Could be improved for future DIRCs via:

- focusing optics
- smaller pixel size
- better time resolution

SUPERB &  
 PANDA  
 DIRC Designs

**9.6 mrad** -----> **4-5 mrad** per photon → **< 1.5-2 mrad** per track

- In 2000 plans existed for a “Super B-Factory” at SLAC.
  - Super-PEP-II upgrade of accelerator and IR for 100x higher luminosity.
  - Super-BABAR upgrade to further improve physics performance and allow safe operation at high luminosity.
- BABAR DIRC group started R&D to push DIRC  $\pi/K$  separation power to higher momentum and reduce sensitivity to backgrounds.
- DIRC R&D effort at SLAC (led by J. Va’vra) concentrated on:
  - using **focusing mirror** to reduce contribution of bar size to  $\theta_c$  resolution;
  - mitigating effect of **chromatic dispersion** using **fast photon timing**.
  - **decreasing volume of stand-off box** by factor 10;
- Started with R&D on compact multi-pixel photon detectors, progressed with multi-bar prototypes in cosmic ray telescope facility and test beams, including complex readout electronics R&D.
- Resulted in FDIRC design for SuperB in Italy.





## Main criteria for selection

- **Timing resolution**

timing resolution  $\sigma_t < 200\text{ps}$  required for chromatic correction (for BABAR-DIRC bar length)

- **Pixel size**

small pixels allow reduction of size of expansion region without compromising angular resolution

- **Single photon efficiency**

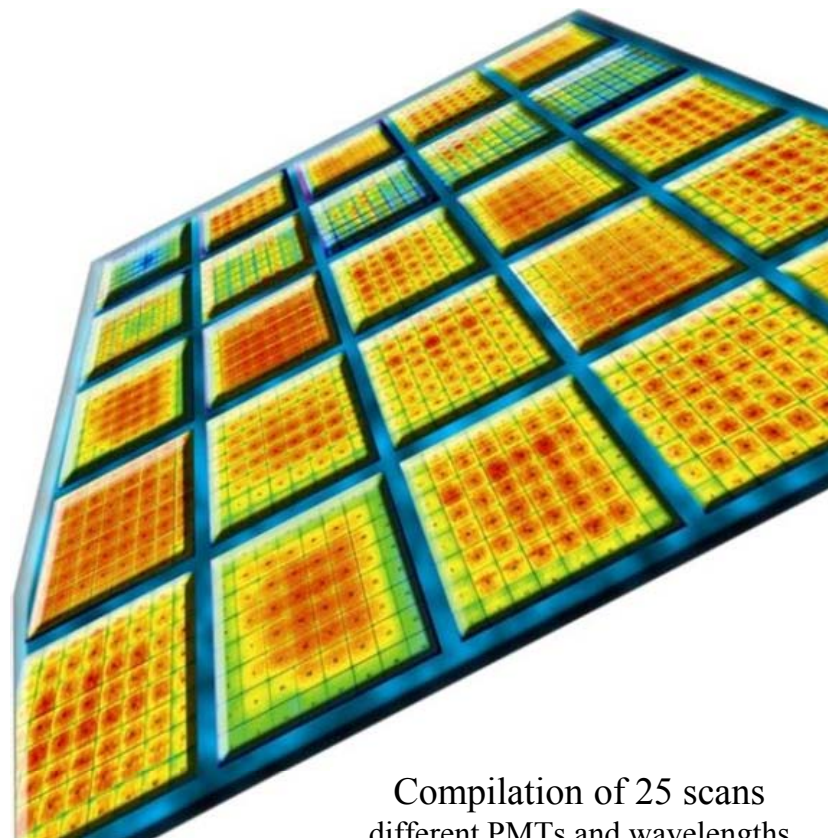
need quantum efficiency  $\sim 20\text{-}30\%$  and  $>70\%$  packing efficiency to match BABAR-DIRC photon yield

## Main candidates

- **Burle 85011-501 MCP-PMT**
- Burle 85011-430 MCP-PMT
- Burle 85021-600 MCP-PMT
- **Hamamatsu H-8500 Multianode PMT**
- Hamamatsu H-9500 Multianode PMT

## Measure timing resolution, uniformity, and cross talk

- PiLas laser diodes (35ps FWHM,  $\lambda = 407 / 635 \text{ nm}$ )
- Scan PiLas across PMT face using motion-controlled x&y stage (typical step size 200-500 $\mu\text{m}$ )



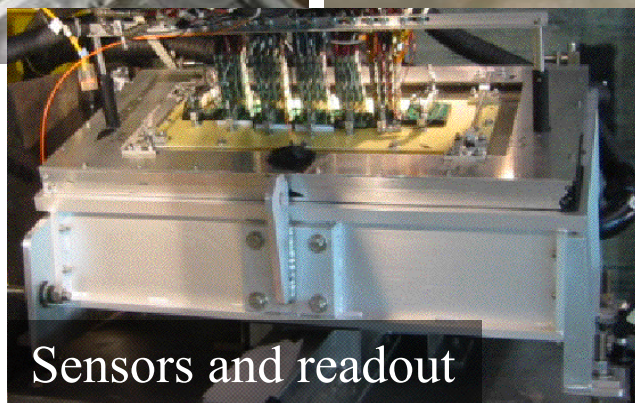
Compilation of 25 scans  
different PMTs and wavelengths



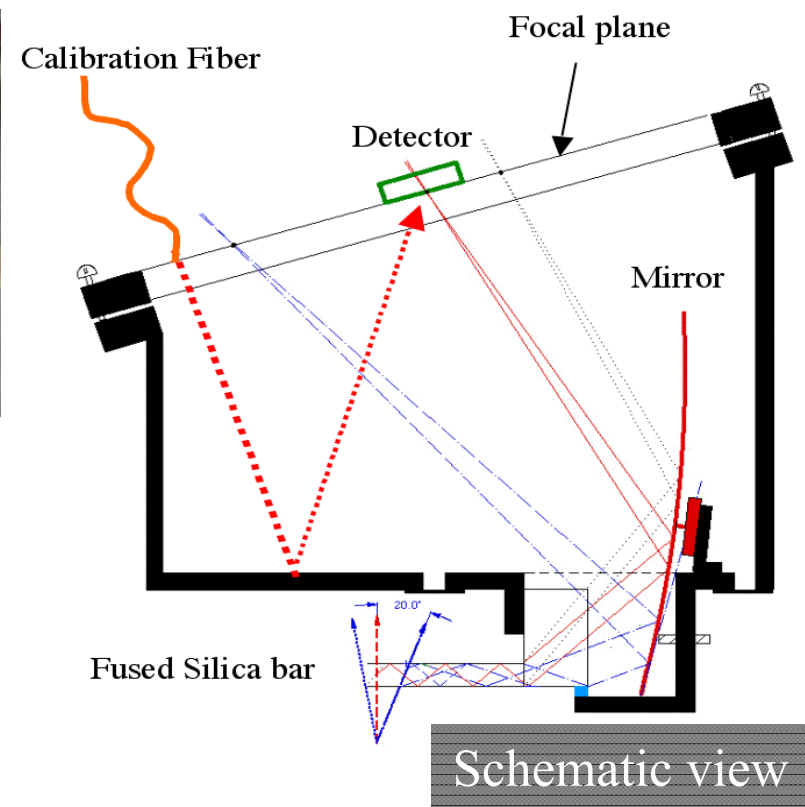
Focusing mirror in oil



Radiator bar



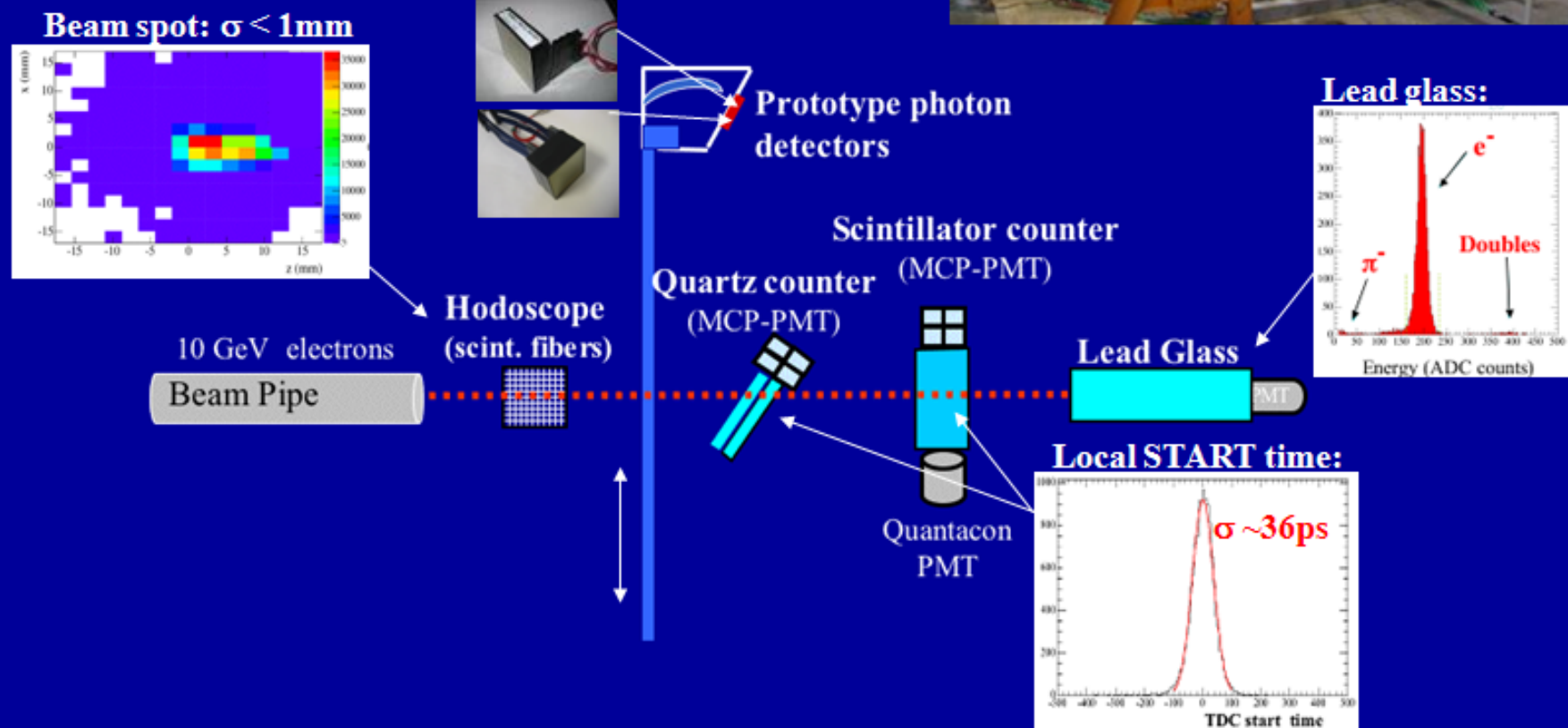
Sensors and readout



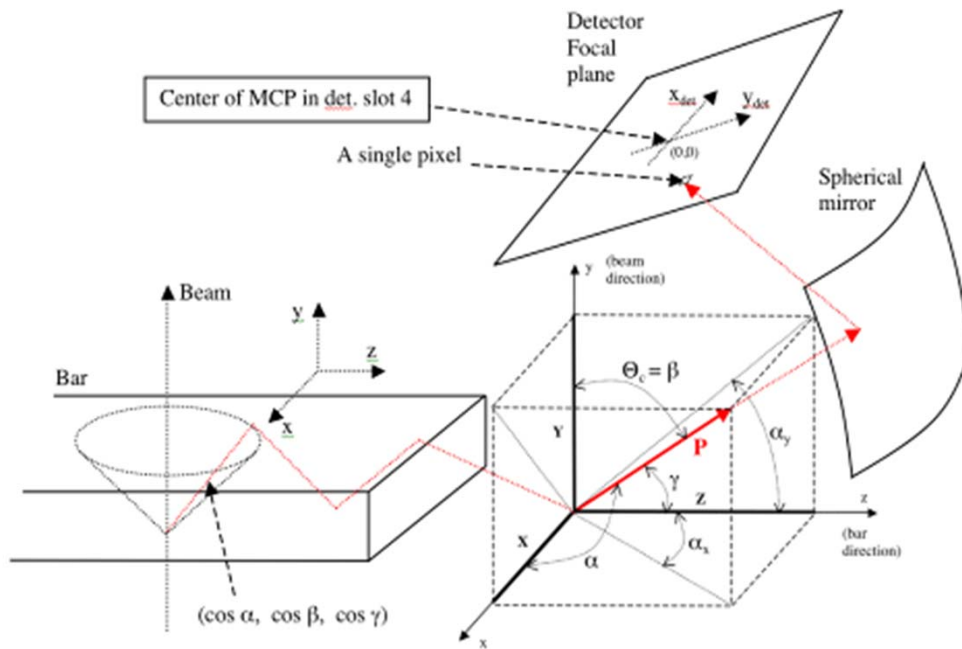
Schematic view

- **Radiator:**
  - 1.7 cm thick, 3.5 cm wide, 3.7 m long fused silica bar (spares from BABAR DIRC).
- **Optical expansion region:**
  - filled with a mineral oil to match the fused silica refraction index (KamLand oil).
  - include optical fiber for the electronics calibration (PiLas laser diode).
- **Focusing optics:**
  - a spherical mirror with 49cm focal length focuses photons onto a detector plane.

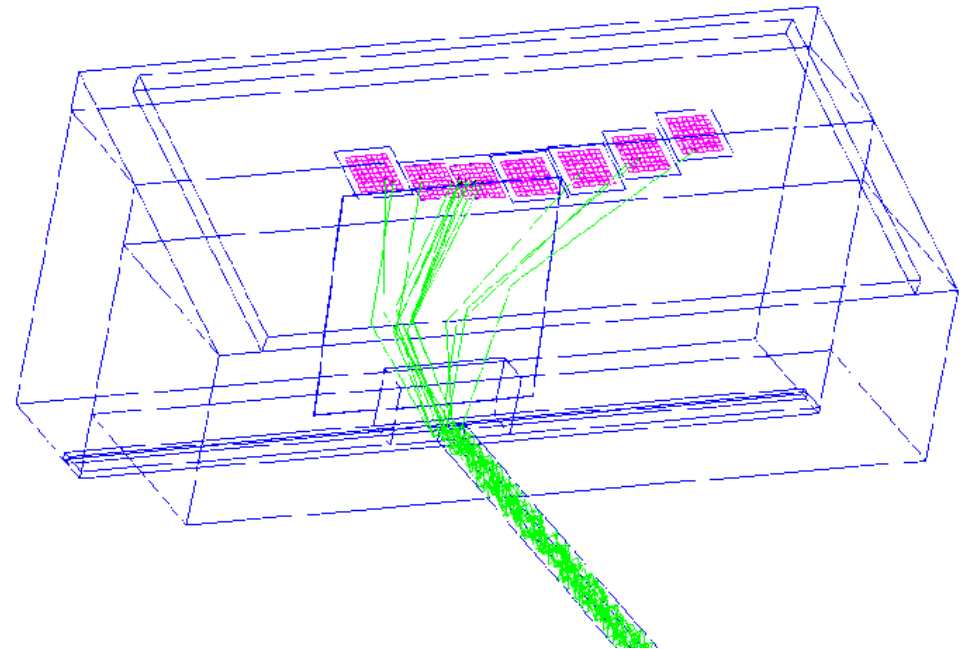
- SLAC 10 GeV/c electron beam in End Station A
- Beam enters bar at 90° angle
- Prototype is movable to 7 beam positions along bar
- Time start from the LINAC RF signal, but correctable with a local START counter
- SLAC-built amplifier and constant fraction discriminator
- TDC is Phillips 7186 (25ps/count), CAMAC readout



Prototype coordinate systems:



Geant 4 simulation of the prototype:

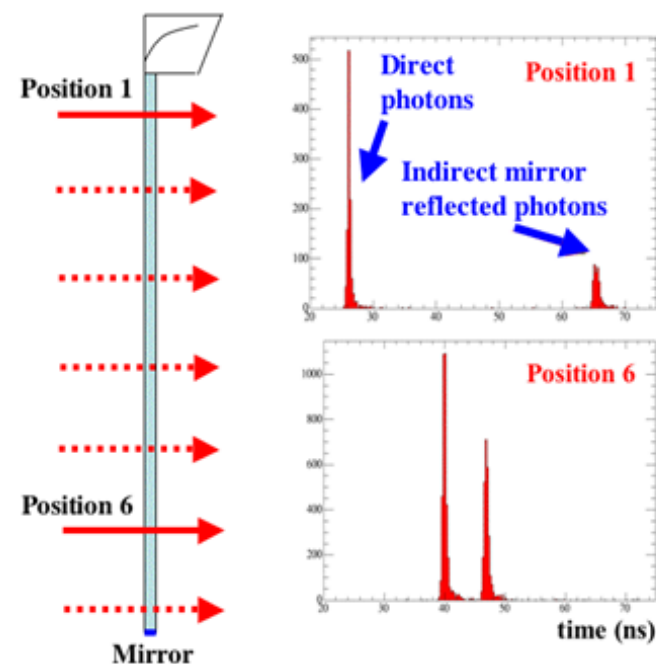


Approach similar to BABAR DIRC (and current PANDA Barrel DIRC approach)

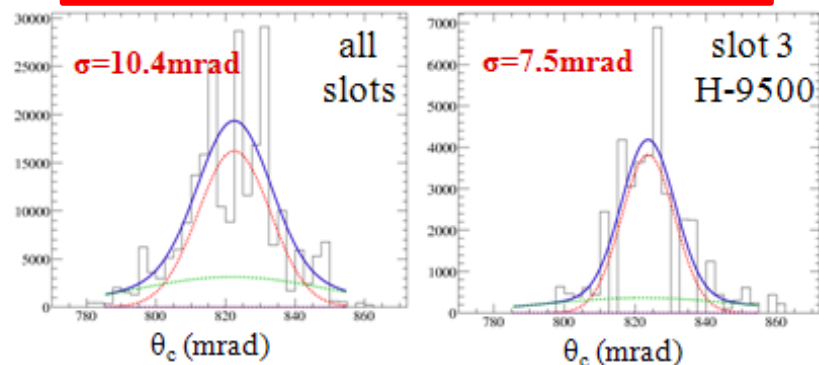
- Each detector pixel determines these photon parameters for average  $\lambda$  :  
 $\theta_c$ ,  $\cos \alpha$ ,  $\cos \beta$ ,  $\cos \gamma$ , Photon path length, time-of-propagation, number of photon bounces.
- Full **GEANT4** simulation to obtain the photon track parameters for each pixel.  
 (it is checked by a ray-tracing software)

- 10 GeV/c electron beam data
- approx. 7.7M triggers, 560k good single  $e^-$  events
- ~ 200 pixels instrumented
- Ring image is most narrow in the 3 x 12 mm pixel detector (H-9500 in slot 3)

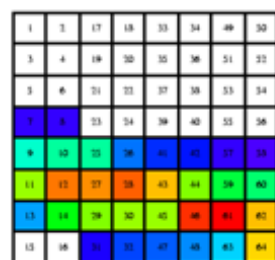
## Cherenkov photons in time domain



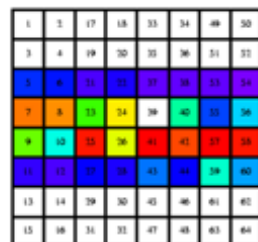
## Cherenkov photons in $\theta_c$ domain



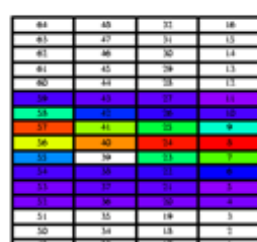
## Cherenkov photons in pixel domain



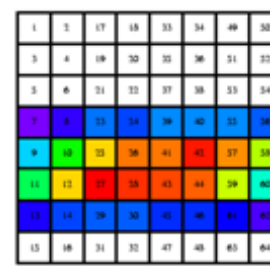
Burle 85011-501



Hamamatsu H-8500 Hamamatsu H-9500



Burle 85011-501



Burle 85011-501



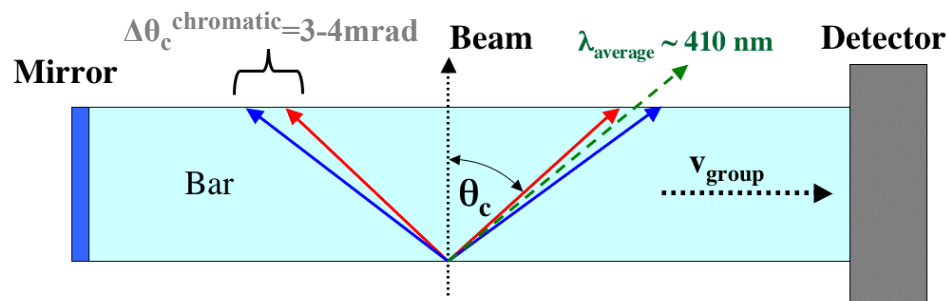
Burle 85011-501

Cherenkov angle production controlled by  $n_{\text{phase}}$  ( $\cos \theta_c = 1/(n_{\text{phase}}\beta)$ ):

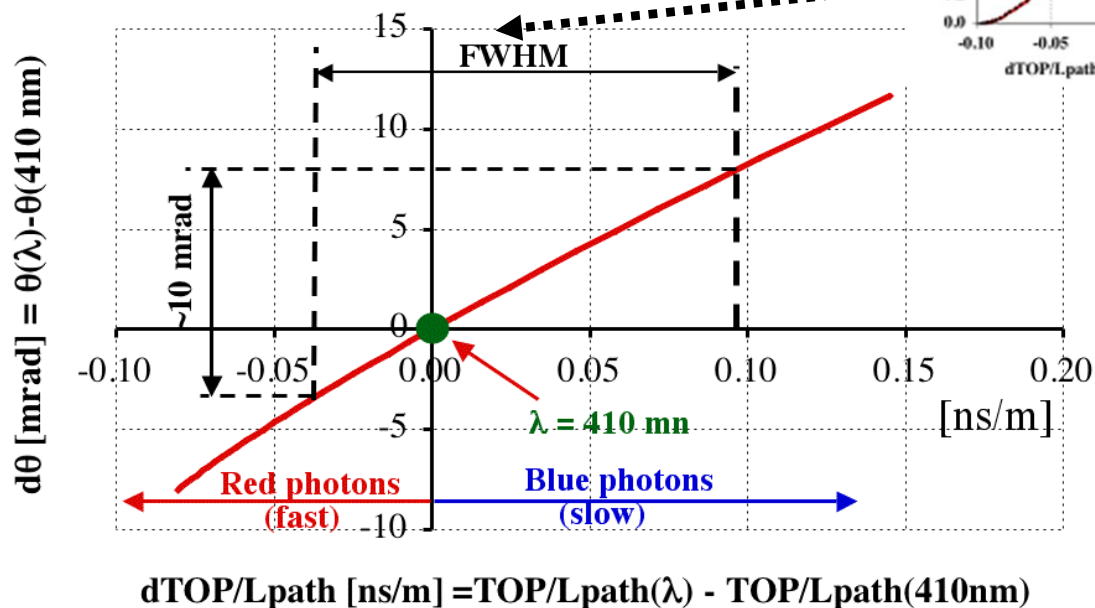
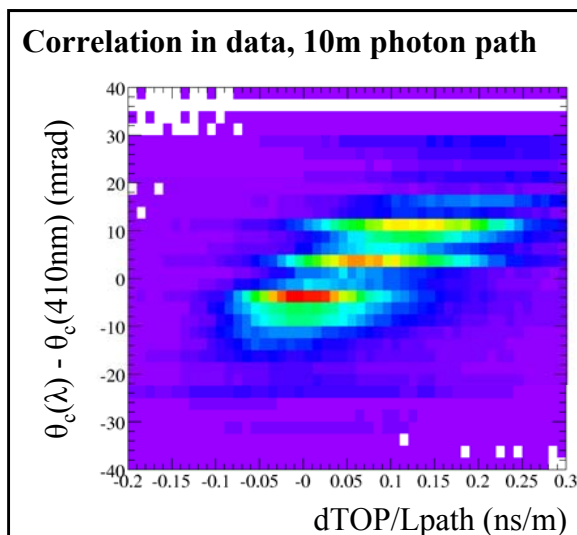
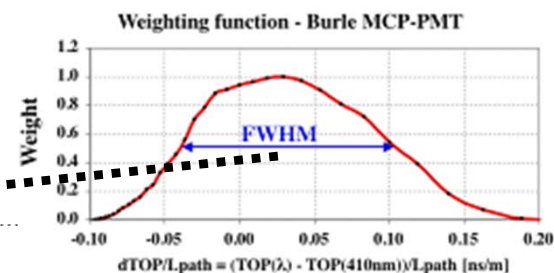
$$\theta_c(\text{red}) < \theta_c(\text{blue})$$

Propagation of photons is controlled by  $n_{\text{group}}$  ( $v_{\text{group}} = c_0/n_{\text{group}} = c_0/[n_{\text{phase}} - \lambda \cdot dn_{\text{phase}} \cdot d\lambda]$ ):

$$v_{\text{group}}(\text{red}) > v_{\text{group}}(\text{blue})$$



Principle of chromatic correction by timing:

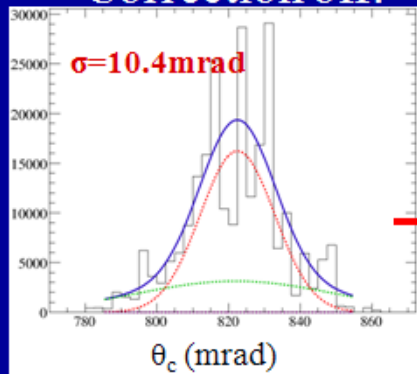


TOP =  
time of  
propagation  
of photon  
in the bar

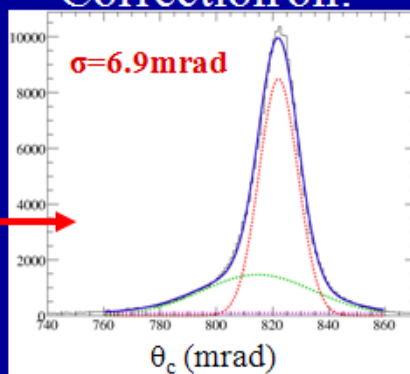
$$\text{TOP}/L_{\text{path}} = 1/v_{\text{group}}(\lambda)$$

All pixels:

Correction off:



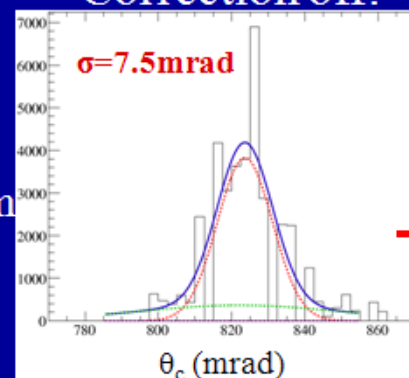
Correction on:



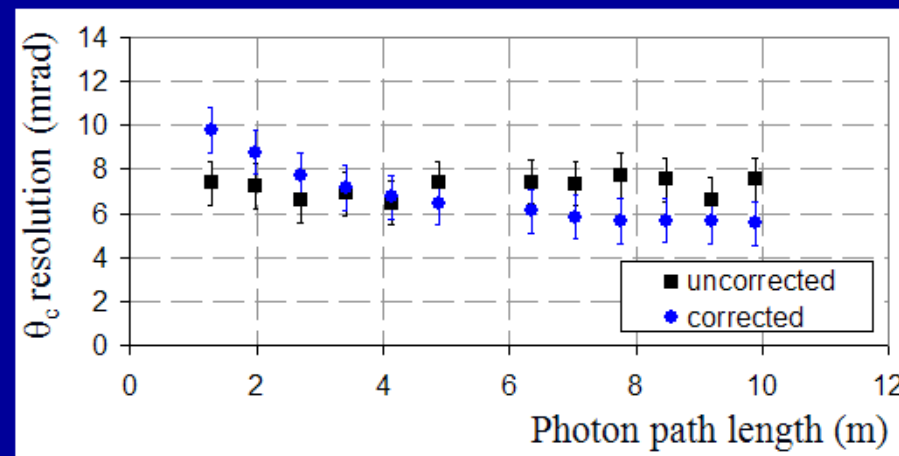
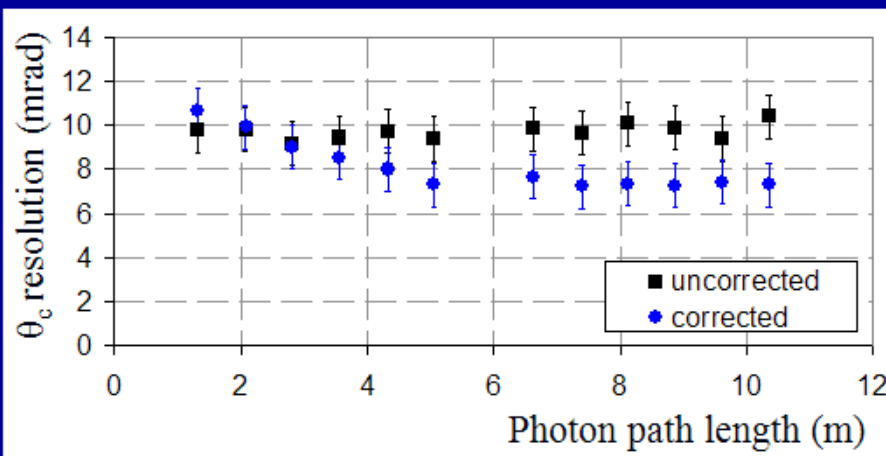
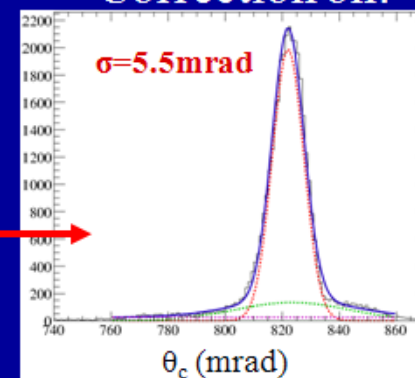
Position 1  
 $L_{\text{path}} \approx 10 \text{ m}$

3mm pixels only:

Correction off:

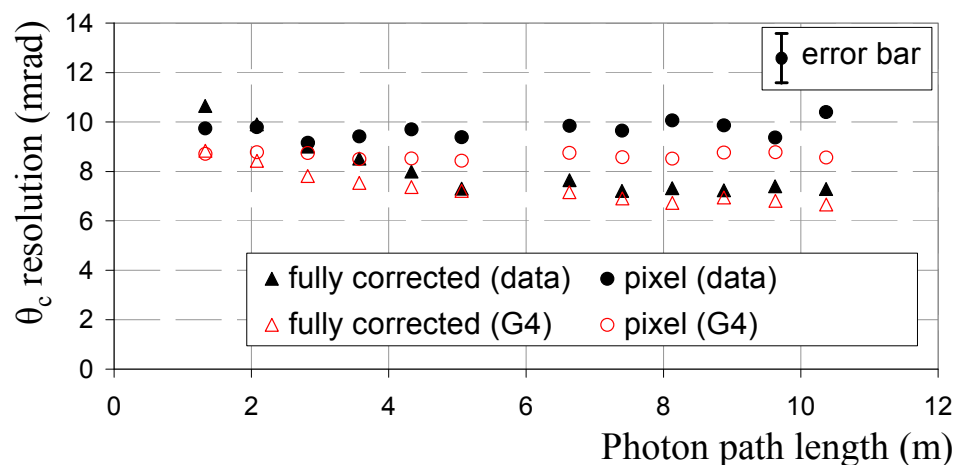


Correction on:

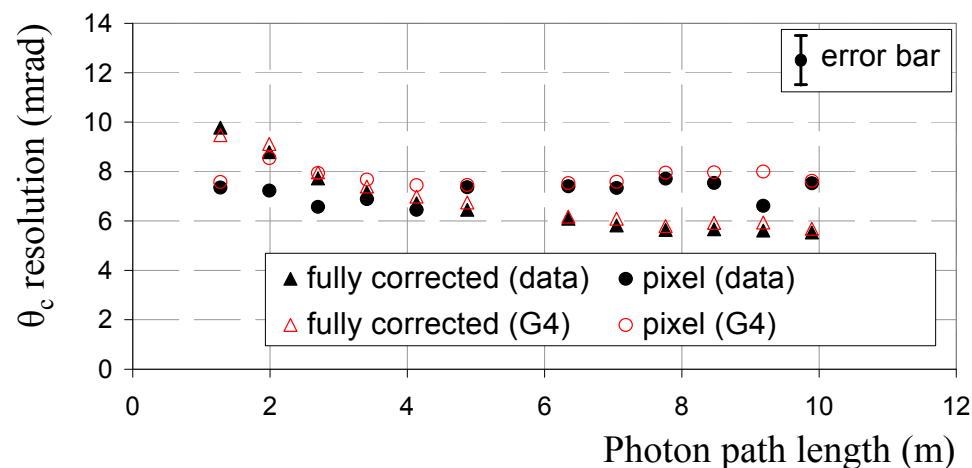


- The chromatic correction starts working for  $L_{\text{path}} > 2\text{-}3$  meters due to a limited timing resolution of the present photon detectors.
- Holes in the uncorrected distributions are caused by the coarse pixelization, which also tends to worsen the resolution. In the corrected distributions this effect is removed because of the time correction.
- Smaller pixel size (3mm) helps to improve the Cherenkov angle resolution.

$\theta_c$  resolution - all pixels:



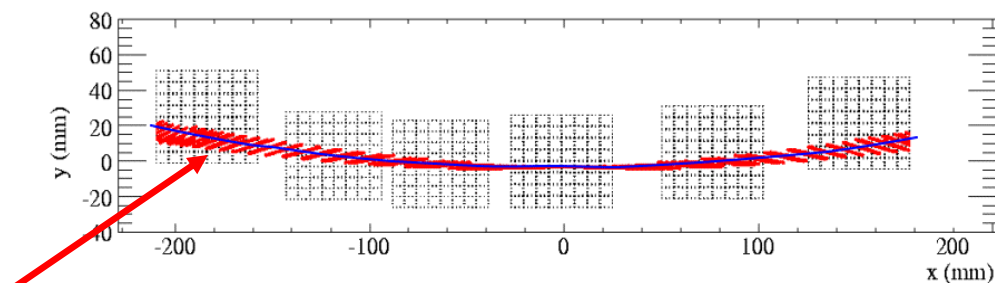
$\theta_c$  resolution - 3mm pixels only:



■ Main contributions to the  $\theta_c$  resolution:

- chromatic smearing:  $\sim 3-4$  mrad
- 6mm pixel size:  $\sim 5.5$  mrad
- optical aberrations of this particular design:

grows from 0 mrad at ring center to 9 mrad in outer wings of Cherenkov ring  
(this effect is caused by the spherical focusing mirror in the present design)





- R&D of photon detectors identified Hamamatsu H-8500 and H-9500 as well as Burle 85011 MCP-PMTs as good sensor candidates for SuperB.
- Small pixels allow compact expansion volume, reducing sensitivity to accelerator-induced background.
- Photon yield consistent with BABAR DIRC.
- Focusing mirror improved single-photon  $\theta_C$  resolution.
- Demonstrated that the chromatic error of  $\theta_C$  can be corrected using fast timing.  
(First RICH detector which has been able to do this.)
- Single-photon  $\theta_C$  resolution 5.5 – 7 mrad after chromatic correction for long paths (consistent with G4 simulation).
- **Successful proof of principle for SuperB.**



*J. Benitez, I. Bedajane, D.W.G.S. Leith, G. Mazaheri,  
B. Ratcliff, K. Suzuki, J. Schwiening, J. Uher,  
L.L. Ruckman, G. Varner, and J. Va'vra,  
SLAC-PUB-12236 and NIMA 595 (2008) 104*

# FUTURE DIRC DETECTORS

- **SUPERB** DETECTOR IN ITALY

- FDIRC

- **PANDA**

- BARREL DIRC → *more detail in Carsten's talk*

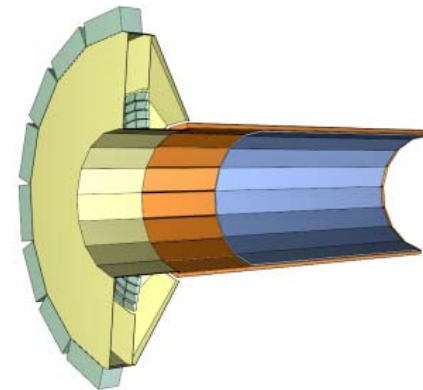
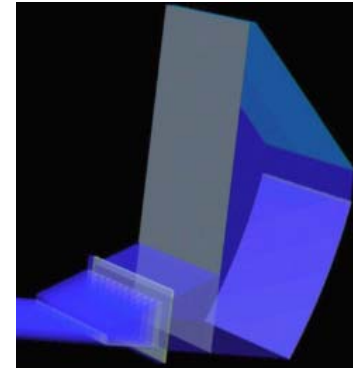
- ENDCAP DISK DIRC → *see Michael's talk*

- **BELLE II** DETECTOR AT SUPERKEKB IN JAPAN

- TOP COUNTER → *see Kazuhito's talk*

- WASA (COSY), LHCb UPGRADE, EIC & PHENIX UPGRADES, ETC

→ *see talks by Klaus, Thierry*





Italian priority flagship project, approved Dec. 2010, 250M€ recently released for machine.

## Physics Goals

Exploration of CKM parameters at 1% precision.

New physics in search for CP violation in D decays,  
in search LFV in tau decays,  
in search CP violation in tau decays.

Sensitivity to New Physics phenomena  
up to energies  $\sim 30$  TeV  
(beyond LHC energies)

*Physics white paper*  
*arXiv:1008.1541*  
*Detector CDR*  
*arXiv:0709.0451*

## Focusing DIRC (FDIRC):

barrel PID system for SuperB detector in Italy (Frascati/Tor Vergata).

## Important constraint:

BABAR DIRC bar boxes will be reused, readout outside magnetic field.

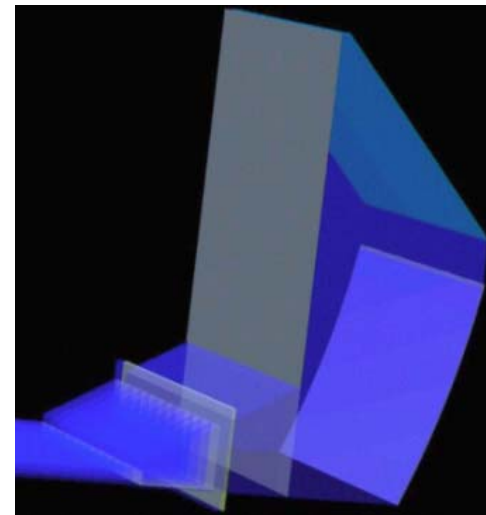
Expect much higher backgrounds at  $10^{36}/\text{cm}^2 \cdot \text{s}$  (100 times BABAR luminosity)

→ decrease size of expansion volume (main source of background in BABAR DIRC).

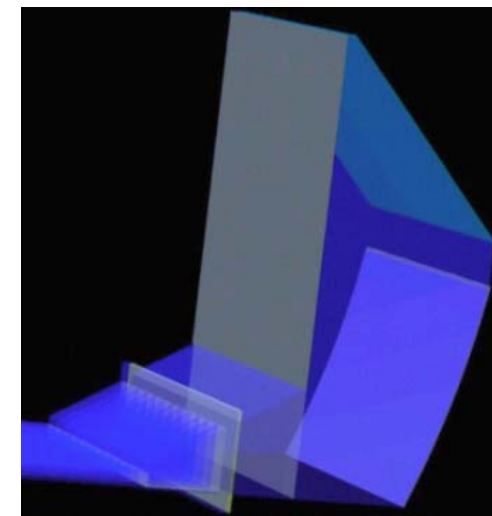
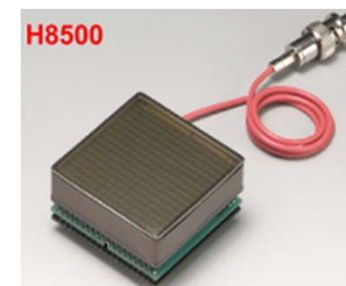
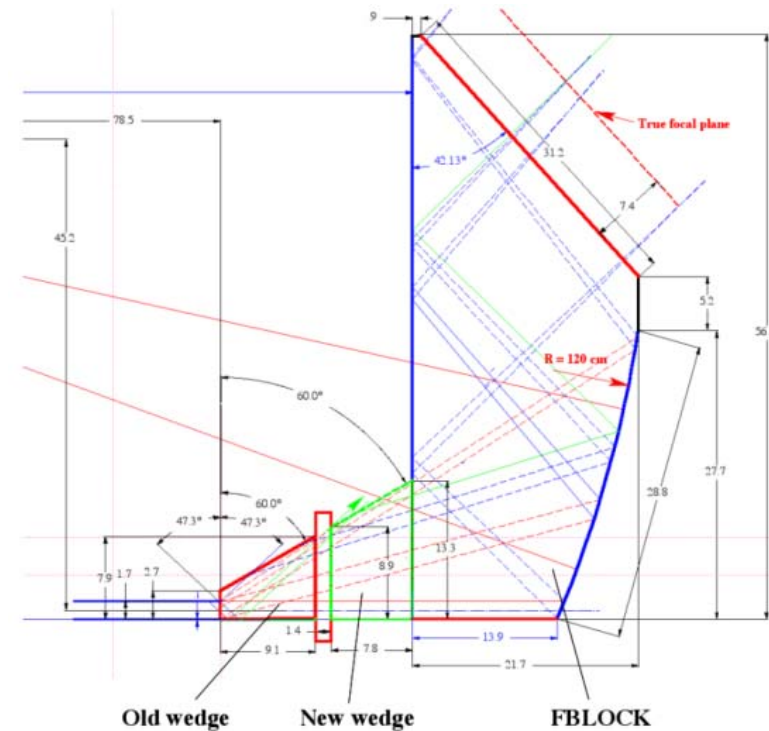
Design based on FDIRC R&D at SLAC (proof of principle); **new optics and electronics**

## **Complete redesign of the photon camera** (SLAC-PUB-14282)

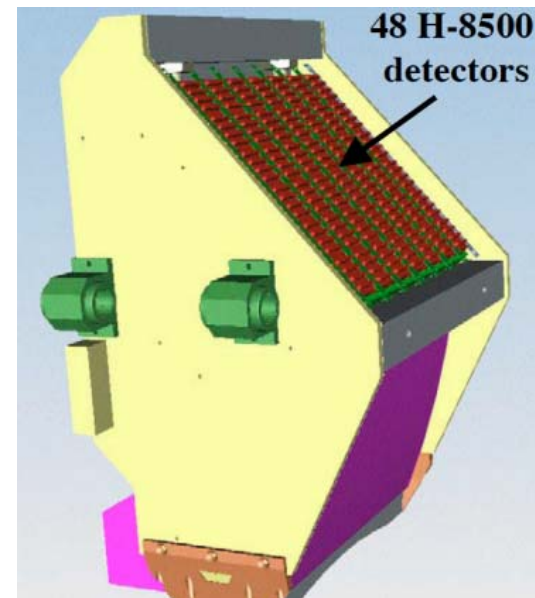
- True 3D imaging using:
  - 25× smaller volume of the photon camera
  - 10× better timing resolution to detect single photons
- Optical design is based entirely on Fused Silica glass
  - avoid water or oil as optical medium



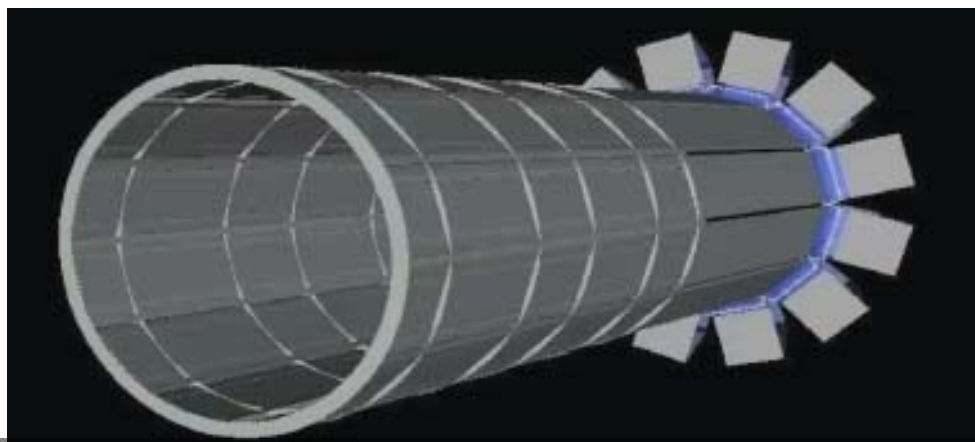
- Photon camera design (FBLOCK):
  - Initial design by ray-tracing (SLAC-PUB-13763)
  - Geant4 model now (SLAC-PUB-14282 – RICH 2010 talk)
  - Focusing block from Corning 7980 sythetic fused silica
- Main optical components
  - New wedge (old bar box wedge was not long enough)
  - Cylindrical mirror to remove bar thickness
  - Double-folded mirror optics to provide access to detectors
- Photon detectors: highly pixilated H-8500 MaPMTs
  - Total number of detectors per FBLOCK: 48
  - Total number of detectors: 576 [12 FBLOCKs]
  - Total number of pixels:  $576 \times 32 = 18,432$



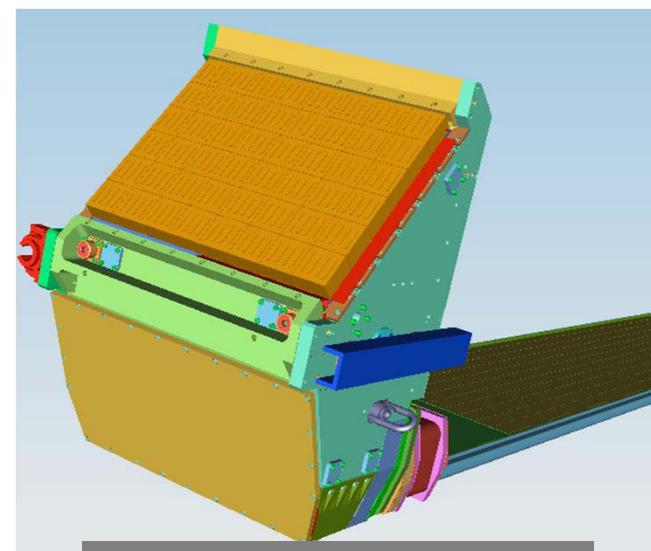
- FDIRC design parameters
  - Timing resolution per photon:  $\sim 200$  ps
  - Cherenkov resolution per photon: 9-10 mrad
  - Cherenkov angle resolution per track: 2.5-3.0 mrad
  - Cherenkov angle determined from 2D spatial coordinates
  - Time primarily used to correct chromatic dispersion
- Members of the SuperB PID system
  - USA: SLAC, Maryland, Cincinnati
  - France: LAL, LPNHE
  - Italy: Bari, Padova
  - Russia: Novosibirsk



*All SuperB FDIRC  
figures thanks to  
J. Va'vra,  
N. Arnaud*



Photon cameras at the end of bar boxes in Geant4



Mechanical design

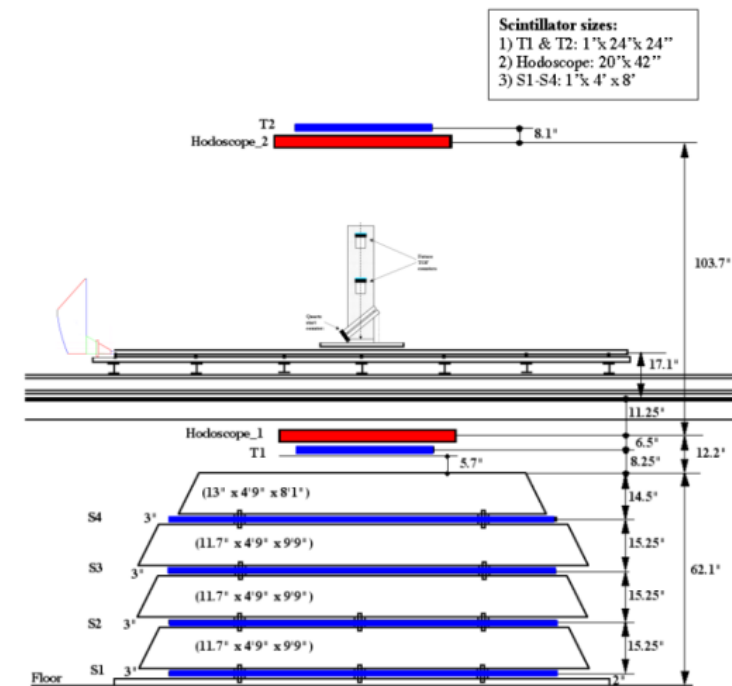
- FDIRC prototype to be tested this summer in the SLAC Cosmic Ray Telescope
- Activities
  - Validation of the optics design
  - Mechanical design & integration
  - Front-end electronics

TDC: 70 ps resolution; rate: a few MHz/pixel

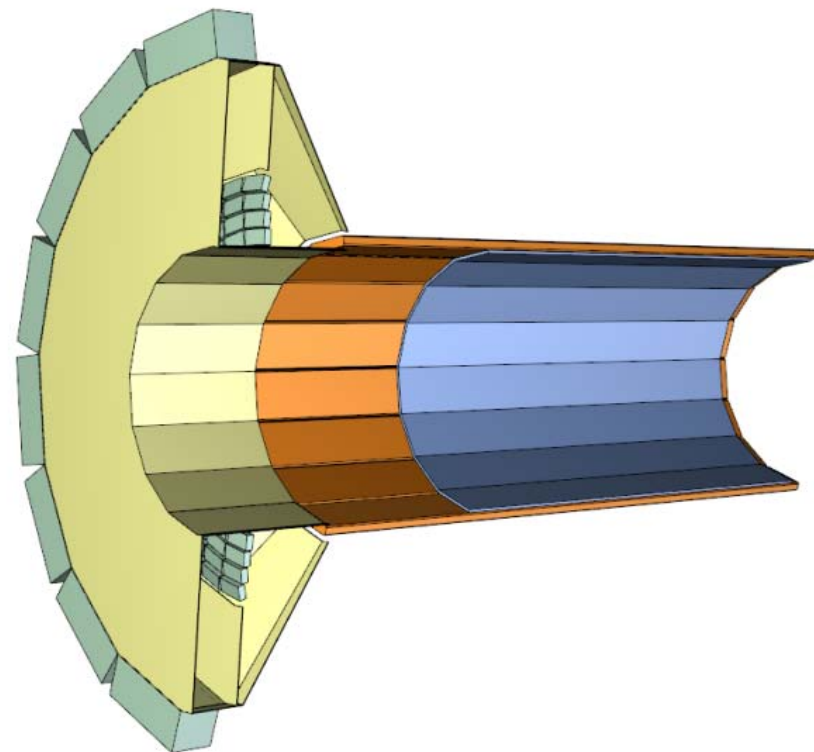
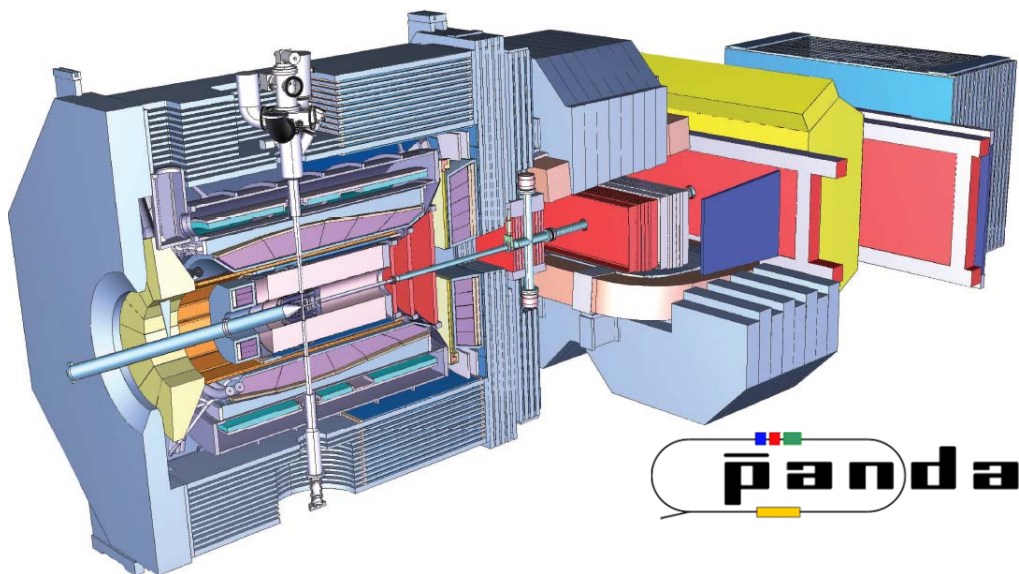
  - Simulation: background, reconstruction...
- Design to be frozen for the TDR (early 2012)
- Main future challenge
  - Move from R&D to construction phase!

SLAC Cosmic Ray Telescope & FDIRC prototype

J.V., 1.31.2011  
Not to scale



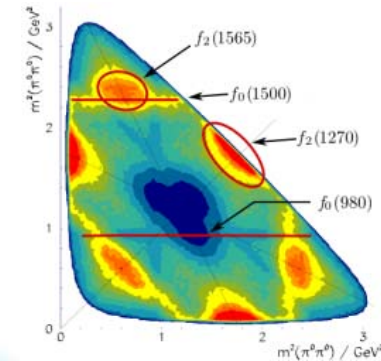
**PANDA:** Anti-Proton **AN**ihilation at **DA**rmstadt (450 physicists, 17 countries)  
future experiment at FAIR facility at GSI (*about an hour south from here*).



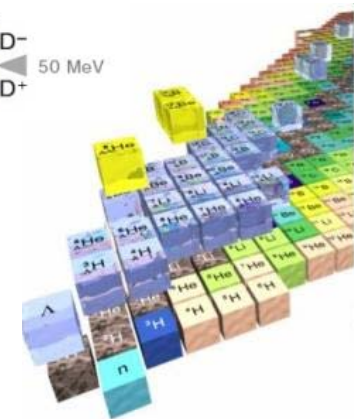
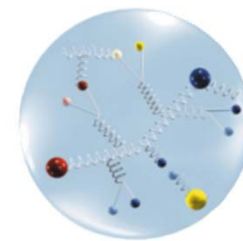
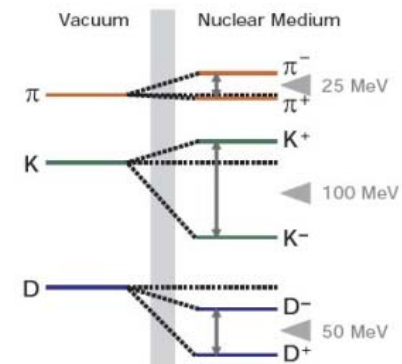
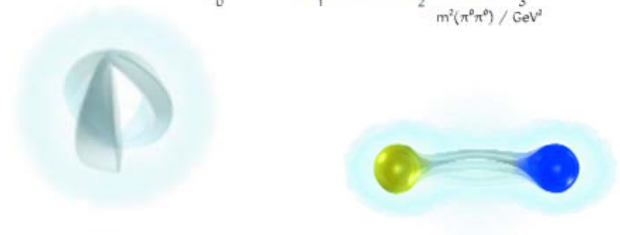


## Study of QCD with Antiprotons

- **Charmonium Spectroscopy**
  - Precision Spectroscopy
  - Study of Confinement Potential
  - Access to all these puzzling X, Y and Z
- **Search for Exotics**
  - Look for Glueballs and Hybrids
  - Gluon rich environment → high discovery potential
  - Disentangle Mixing via PWA
- **Hadrons in Medium**
  - Study in-medium modification of Hadrons
- **Nucleon Structure**
  - Generalized Parton Distribution
  - Timelike Form Factor of the Proton
  - Drell-Yan Process
- **Hypernuclear Physics ... and more**



K. Götzen  
TROIA'09



## Facility for Antiproton and Ion Research at GSI near Darmstadt, Germany

GSI: German National Lab for Heavy Ion Research



Existing GSI

p-Linac

SIS18

UNILAC

- Hadron Structure and Dynamics
- Nuclear and Quark Matter
- Physics of Super-heavy Elements
- Nuclear Structure and Astrophysics
- Atomic Physics, Plasma Physics, Materials Research, Radiobiology, ...
- Accelerators and Detectors

100m

Future Facility

SIS 100/300

CBM

HESR

Rare Isotope Production

Super FRS

PANDA

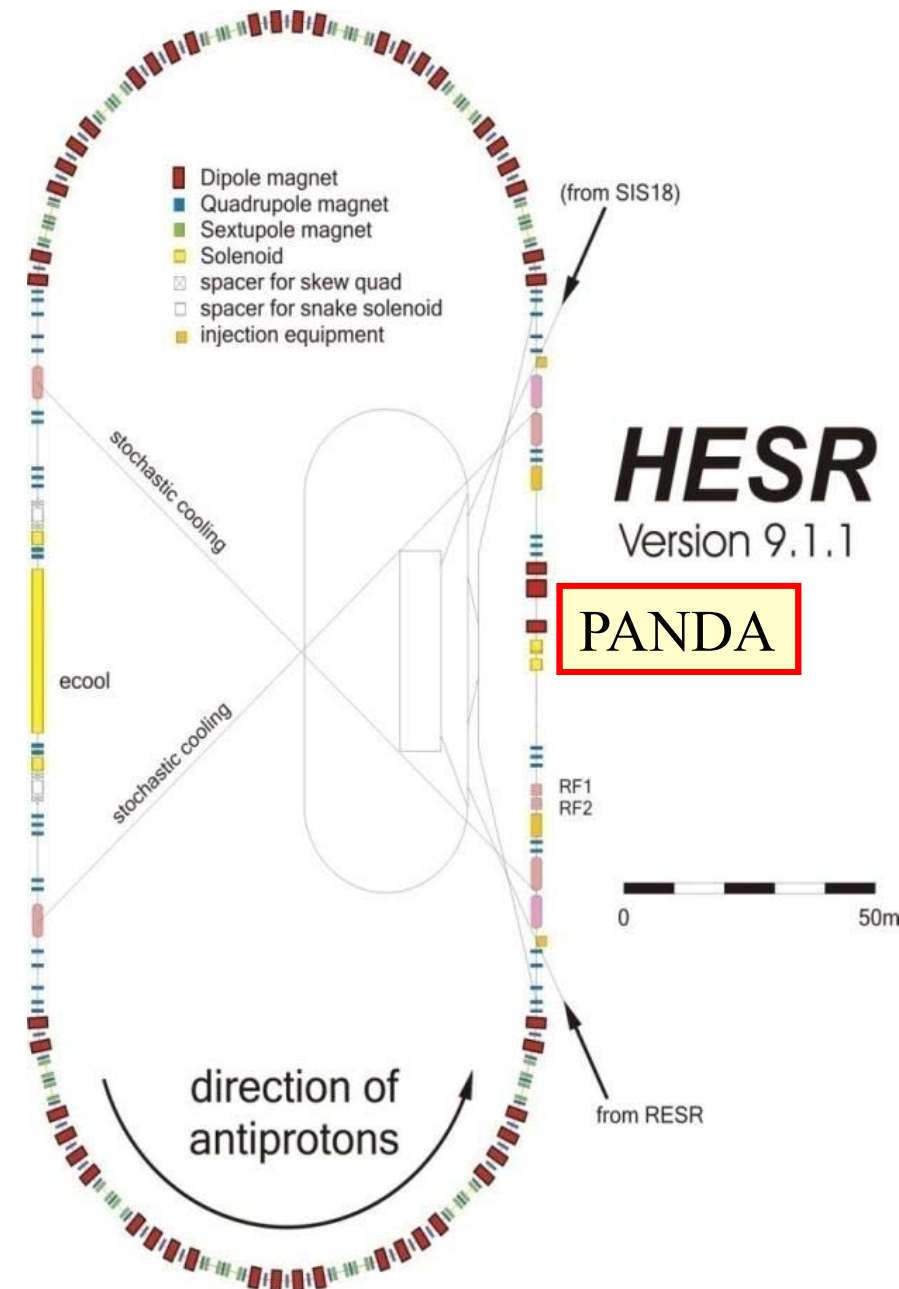
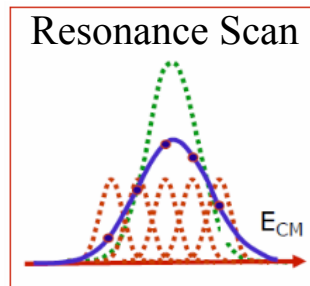
Plasma/  
Atom Physics

RESR/CR

FLAIR

NESR

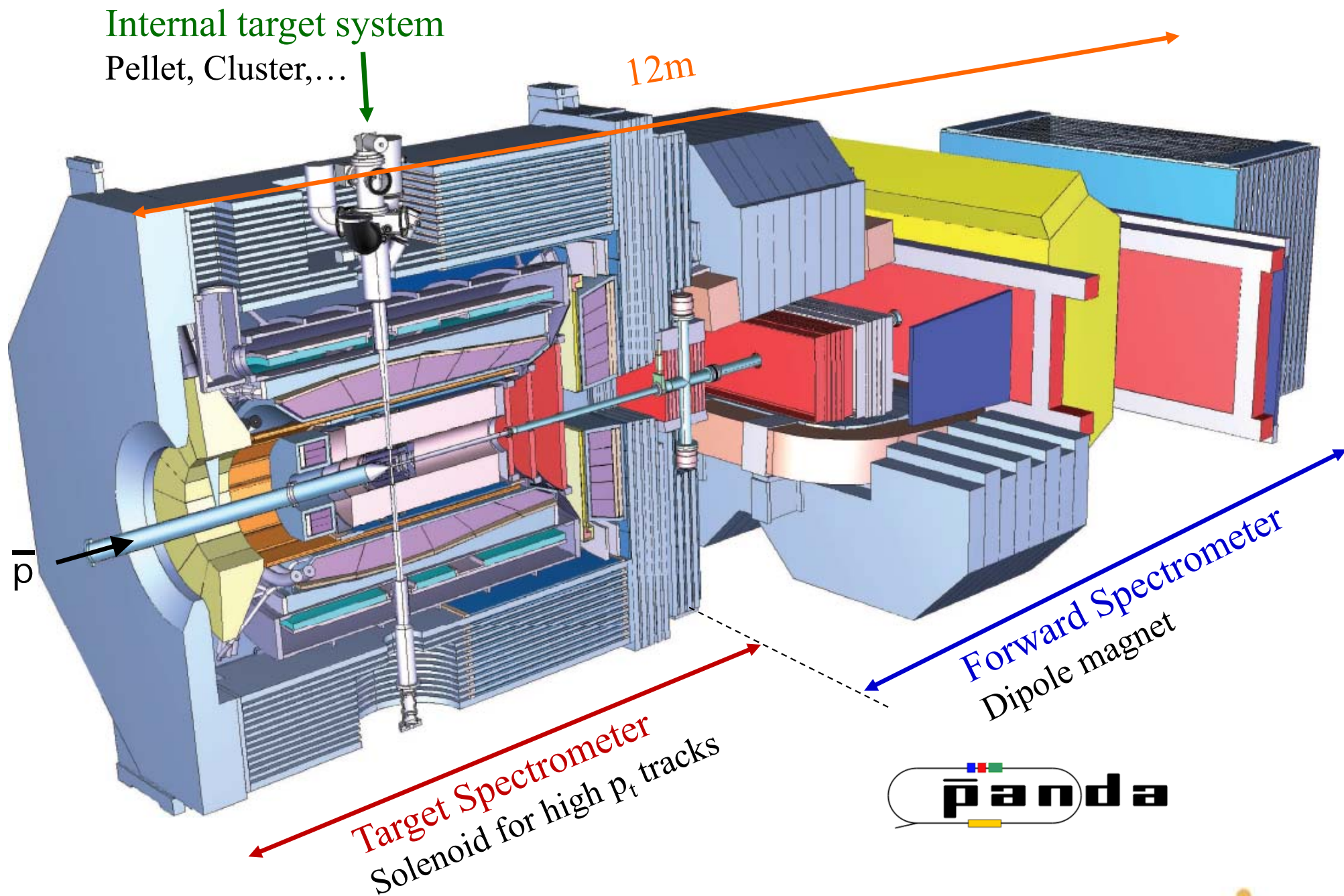
- Average production rate:  $2 \times 10^7/\text{sec}$
- $p_{\text{beam}} = 1.5 \dots 15 \text{ GeV}/c$
- $N_{\text{stored}} = \text{up to } 1 \times 10^{11} \text{ p}^-$
- Internal Target
- Beam Cooling (Electron & Stochastic)
- High Resolution Mode  
(up to 8.9 GeV/c)
  - $\delta p/p \approx 10^{-5}$
  - $L = 10^{31} \text{ cm}^{-2}\text{s}^{-1}$
- High Luminosity Mode
  - $\delta p/p \approx 10^{-4}$
  - $L = 2 \times 10^{32} \text{ cm}^{-2}\text{s}^{-1}$

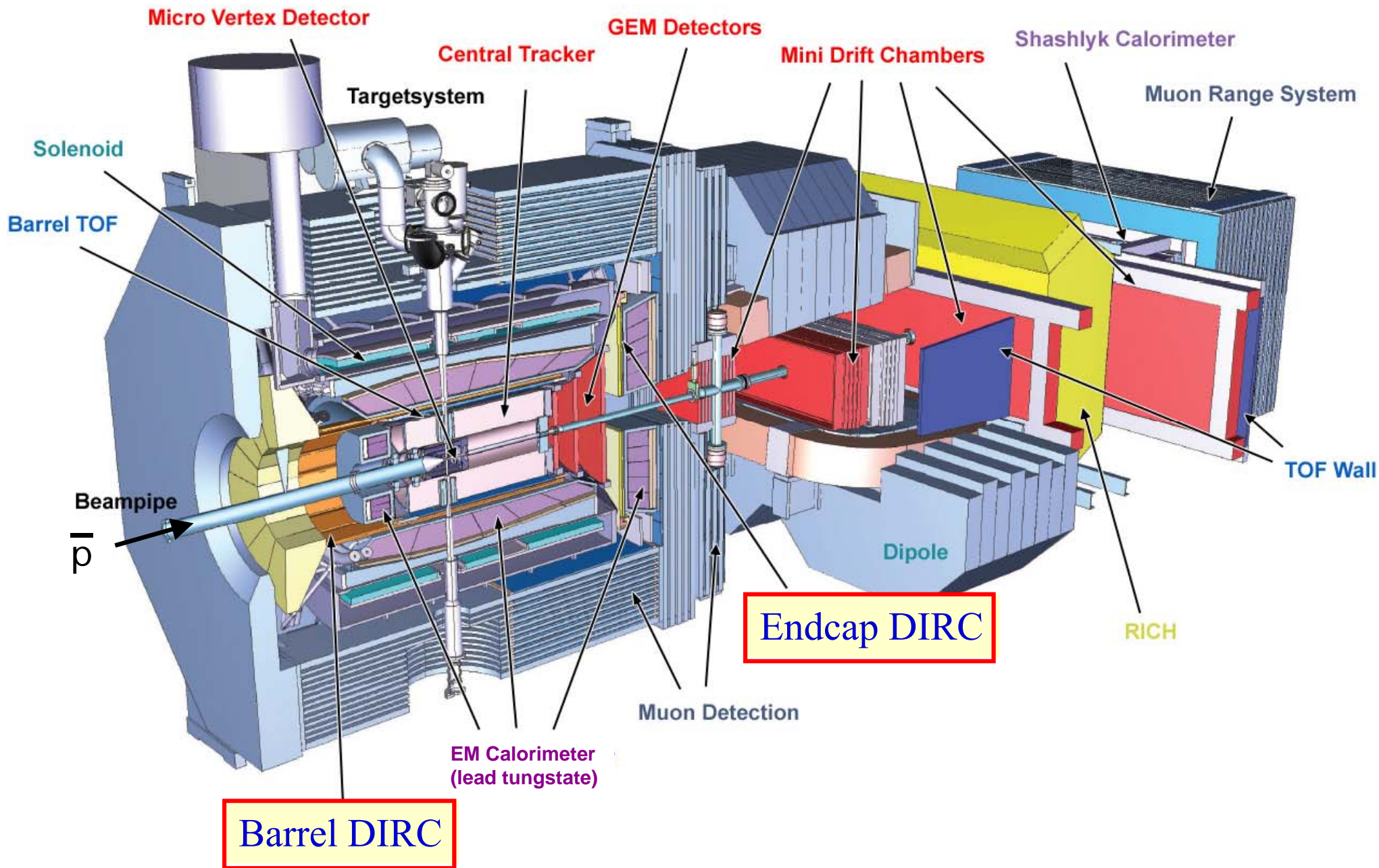


- At present a group of **460 physicists** from **54 institutions** and **16 countries**

**Austria – Belaruz – China – France – Germany – India – Italy – The Netherlands – Poland – Romania – Russia – Spain – Sweden – Switzerland – U.K. – U.S.A.**

Basel, Beijing, Bochum, IIT Bombay, Bonn, Brescia, IFIN Bucharest, Catania, IIT Chicago, AGH-UST Cracow, JGU Cracow, IFJ PAN Cracow, Cracow UT, Edinburgh, Erlangen, Ferrara, Frankfurt, Genova, Giessen, Glasgow, GSI, FZ Jülich, JINR Dubna, Katowice, KVI Groningen, Lanzhou, LNF, Lund, Mainz, Minsk, ITEP Moscow, MPEI Moscow, TU München, Münster, Northwestern, BINP Novosibirsk, IPN Orsay, Pavia, IHEP Protvino, PNPI St.Petersburg, KTH Stockholm, Stockholm, Dep. A. Avogadro Torino, Dep. Fis. Sperimentale Torino, Torino Politecnico, Trieste, TSL Uppsala, Tübingen, Uppsala, Valencia, SINS Warsaw, TU Warsaw, AAS Wien



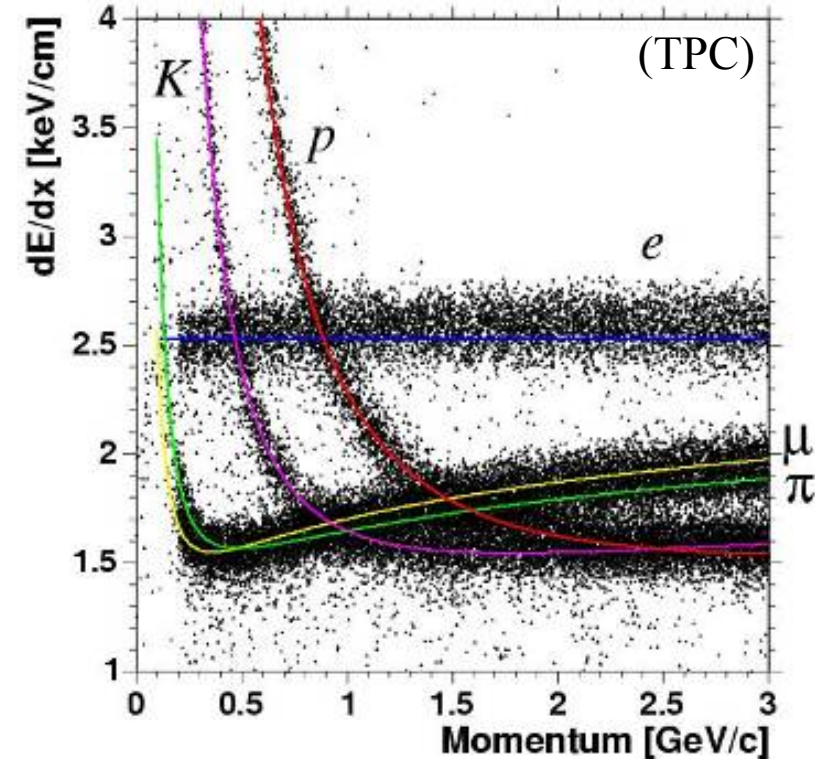


## PANDA PID Requirements

- Particle identification essential tool.
- Momentum range **200 MeV/c – 10 GeV/c**.
- Several PID methods needed to cover entire momentum range.

## PID Processes

- **Cherenkov radiation**  
*Radiators: synthetic fused silica*
- **Energy loss**  
*GEM TPC or Straw Tubes*
- **Time of flight:**  
*Scintillating Tiles*
- **Electromagnetic showers:**  
*EMC for  $e$  and  $\gamma$*



## DIRC detector advantages

- Thin in radius and radiation length.
- Moderate and uniform amount of material in front of EM calorimeter.
- Fast and tolerant of background.
- Robust and stable detector operations.

G. Ahmed<sup>1</sup>, A. Britting<sup>2</sup>, P. Bühler<sup>1</sup>, E. Cowie<sup>3</sup>,  
 V. Kh. Dodokhov<sup>4</sup>, M. Düren<sup>5</sup>, D. Dutta<sup>6,a</sup>,  
 W. Eyrich<sup>2</sup>, K. Föhl<sup>5</sup>, D. I. Glazier<sup>7</sup>,  
 A. Hayrapetyan<sup>5</sup>, M. Hoek<sup>3</sup>, R. Hohler<sup>6</sup>,  
 A. Lehmann<sup>2</sup>, D. Lehmann<sup>6</sup>, R. Kaiser<sup>3</sup>, T. Keri<sup>3</sup>,  
 P. Koch<sup>5</sup>, B. Kröck<sup>5</sup>, J. Marton<sup>1</sup>, O. Merle<sup>5</sup>,  
 R. Montgomery<sup>3</sup>, K. Peters<sup>6</sup>, S. Reinicke<sup>2</sup>,  
 G. Rosner<sup>3</sup>, B. Roy<sup>6,a</sup>, G. Schepers<sup>6</sup>, L. Schmitt<sup>6</sup>,  
 C. Schwarz<sup>6</sup>, J. Schwiening<sup>6</sup>, B. Seitz<sup>3</sup>,  
 C. Sfienti<sup>6,b</sup>, K. Suzuki<sup>1</sup>, F. Uhlig<sup>2</sup>,  
 A. S. Vodopianov<sup>4</sup>, D. P. Watts<sup>7</sup>, W. Yu<sup>5</sup>

<sup>1</sup> Stefan Meyer Institut für subatomare Physik, Austrian Academy of Sciences, A-1090 Vienna, Austria.  
<sup>2</sup> Physikalisches Institut Abteilung IV, University of Erlangen-Nuremberg, D-91058 Erlangen, Germany.  
<sup>3</sup> Department of Physics & Astronomy, Kelvin Building, University of Glasgow, Glasgow G12 8QQ, United Kingdom.  
<sup>4</sup> Laboratory of High Energies, Joint Institute for Nuclear Research, 141980 Dubna, Russia.  
<sup>5</sup> II. Physikalisches Institut, University of Giessen, D-35392 Giessen, Germany.  
<sup>6</sup> GSI Helmholtzzentrum für Schwerionenforschung GmbH, Planckstrasse 1, 64291 Darmstadt, Germany.  
<sup>7</sup> School of Physics, University of Edinburgh, Edinburgh EH9 3JZ, United Kingdom.  
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<sup>b</sup> present address: Institut für Kernphysik, University of Mainz, D-55128 Mainz, Germany.

## PANDA: two DIRC detectors

- Barrel DIRC – similar to BABAR DIRC with several improvements.

PID goal:  $3\sigma$   $\pi/K$  separation for  $p < 3.5$  GeV/c.

- Novel endcap Disc DIRC ( $3\sigma$   $\pi/K$  separation up to 4 GeV/c).

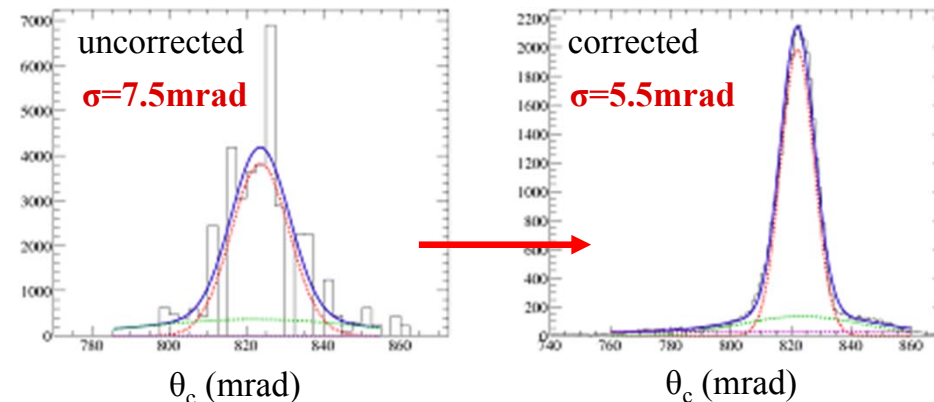
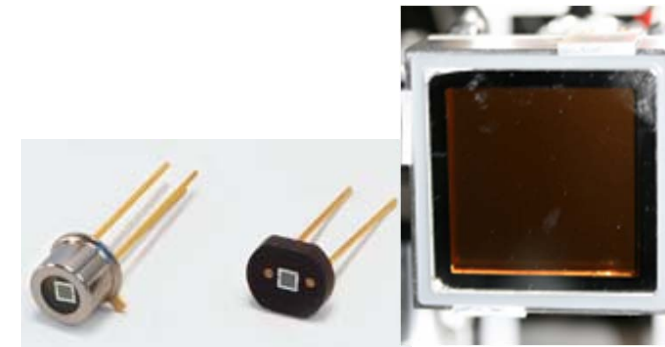
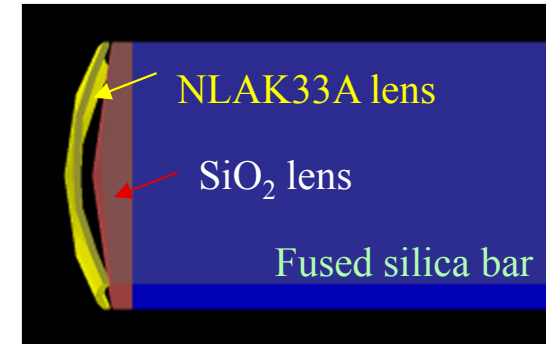
## Institutions currently involved in the two DIRCs

- Dubna, Edinburgh, Erlangen, Gießen, Glasgow, GSI, Mainz, Vienna.



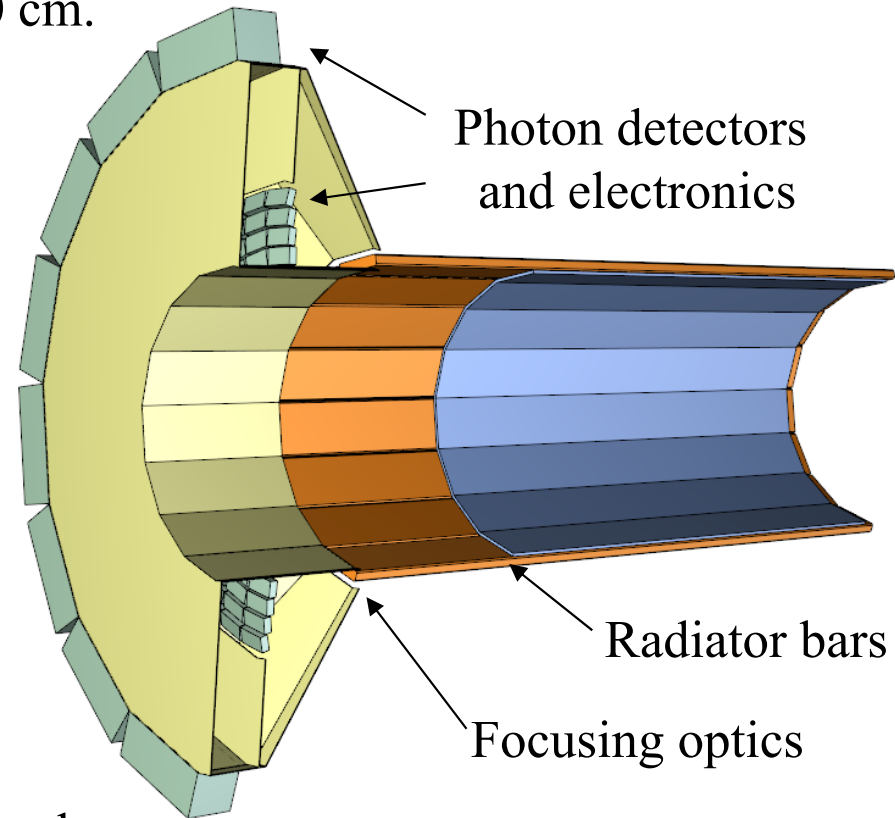
## How do we plan to improve on the successful BABAR-DIRC design for PANDA?

- **Focusing optics** remove bar size contribution from Cherenkov angle resolution term.  
**Lens or mirror focusing on flat detector surface.**
- **Compact multi-pixel photon detectors** allow smaller expansion region, make DIRC less sensitive to background.  
**MCP-PMTs, MAPMTs, gAPDs potential candidates.**
- **Fast photon detection** ( $\sigma_{\text{TTS}} \approx 100\text{--}200\text{ps}$ ) allows correction of chromatic dispersion.  
**Proof-of-principle shown in 2007 by Focusing DIRC at SLAC.**



## Current PANDA Barrel DIRC baseline design:

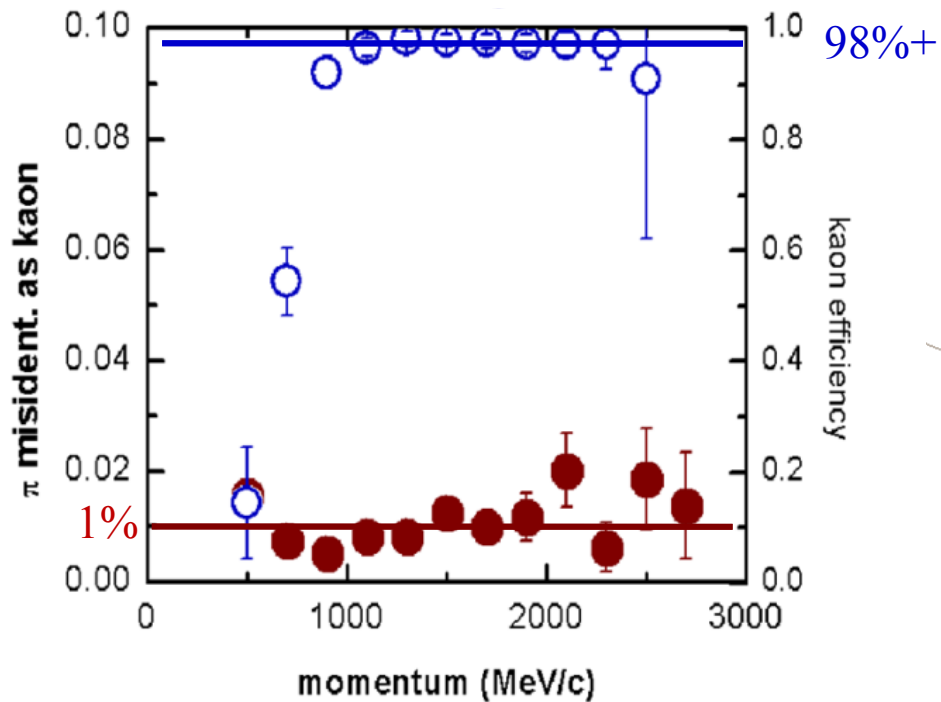
- Barrel radius  $\sim 50$  cm; expansion volume depth: 30 cm.
- 80 radiator bars, synthetic fused silica  
17mm (T)  $\times$  33mm (W)  $\times$  2500mm (L).
- **Focusing optics:** doublet lens system.
- **Compact photon detector:**  
30 cm oil-filled expansion volume,  
10-15,000 channels of MCP-PMTs.
- **Fast photon detection:**  
fast TDC plus ADC (or ToT) electronics.
- **Expected performance:**  
Single photon Cherenkov angle resolution: 8-9 mrad.  
Number of photoelectrons per track:  $>20$ ;  
PID: at least 3 standard deviations  $\pi/K$  separation from 0.5 GeV/c up to 4 GeV/c.



Still investigating several design options (*see Maria's talk on Wednesday*):  
mirror focusing, radiator plates, photon detection outside magnetic field.

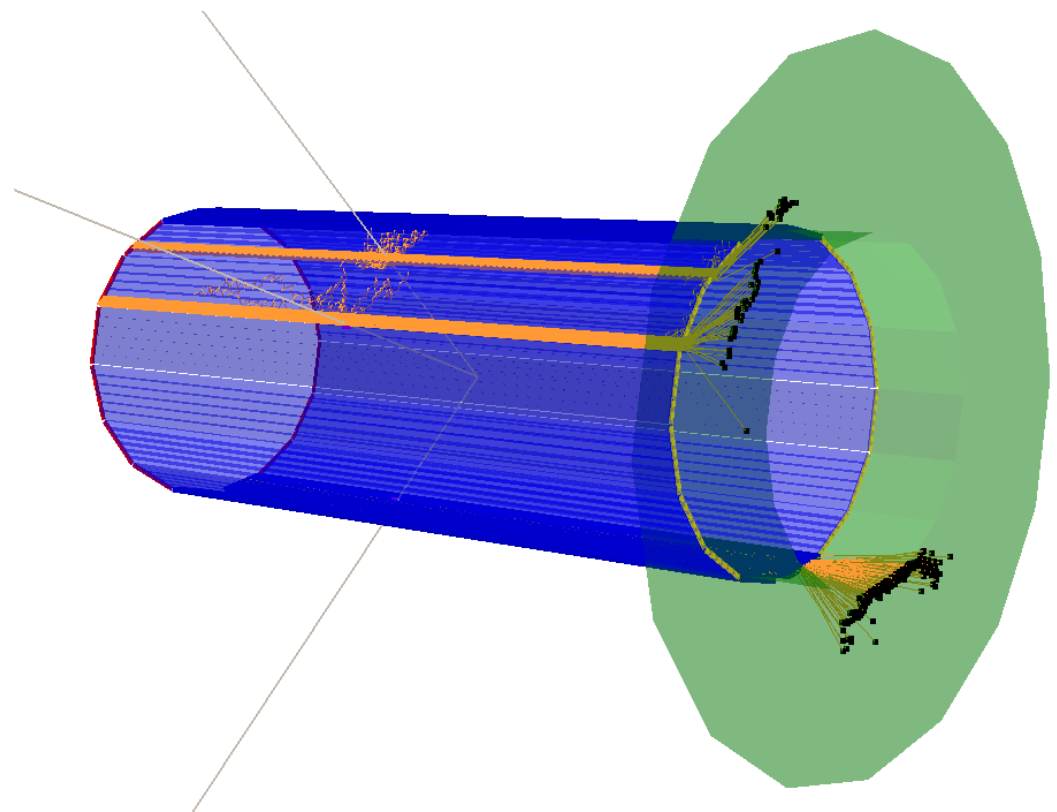
## Expected PID performance example.

$$p\bar{p} \rightarrow J/\Psi \Phi \quad \sqrt{s} = 4.4 \text{ GeV}/c^2$$



*(Based on early design version.  
Updated study has started.)*

Geant Cherenkov photon tracking in event display.



## Examples of ongoing detector R&D projects for PANDA DIRC

- Photon detectors
  - Uniformity, gain, photo-detection efficiency
  - Rate tolerance, lifetime
- Radiators
  - Radiation hardness
  - Fabrication quality assurance
  - Gluing, assembly
- Readout
  - Amplification
  - Digitization (TDC, ADC, ToT, waveform sampling)
- Optics
  - Lightguides, lenses, mirrors, chromatic correction (software and hardware)
- Software
  - Simulation, reconstruction

*Multiple presentations  
on R&D projects  
related to PANDA-DIRC  
this year at RICH 2010:  
E. Cowie, M. Hoek,  
A. Lehmann, J. Marton,  
C. Schwarz, J.S.*

**Barrel and disk detector prototypes in test beams  
at GSI, Jülich, CERN, and DESY since 2008.**

Production of large pieces (bars, plates, disk segments), **mechanical tolerances** on flatness, squareness and parallelism with **optical finish** and sharp corners.  
 → difficult, expensive, few qualified vendors worldwide.

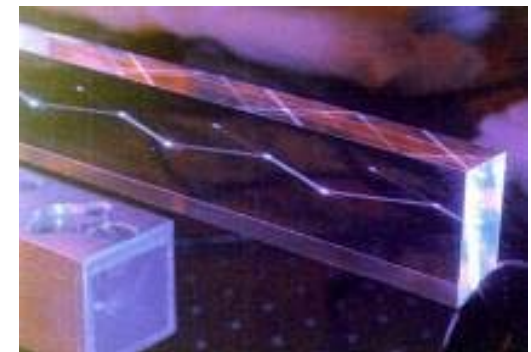
BABAR-DIRC used bars polished to  $5 \text{ \AA rms}$ , non-squareness  $< 0.25 \text{ mrad}$   
 successfully done for BABAR, need to qualify/retrain vendors 10+ years later.

Can relax some of those specs for PANDA DIRCs due to shorter photon paths.

Technological advances may help PANDA DIRCs:

Extrusion of long bars, Magnetorheological Finishing (MRF), etc.

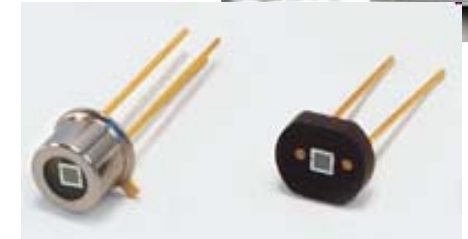
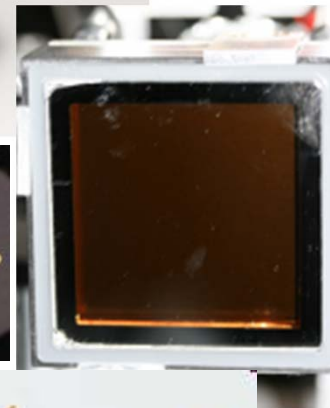
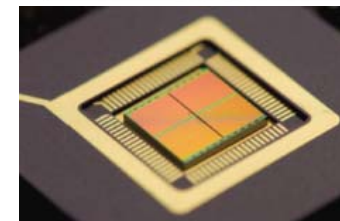
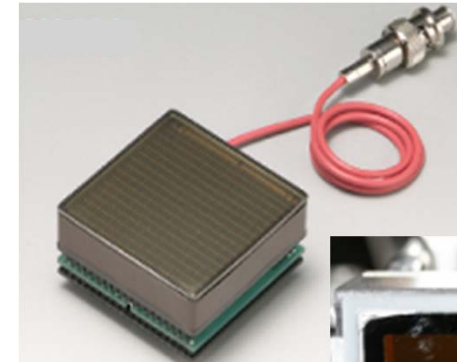
Have started discussions with potential vendors in Europe and USA,  
 obtained several prototype bars, measuring surfaces and angles at GSI.



Yesterday you already heard a lot about the sensor challenge for PANDA.

Briefly: Barrel DIRC is asking a lot of **fast compact multi-pixel photon detectors**

- Single photon sensitivity, low dark count rate;
- Reasonably high photo detection efficiency;
- $\sigma_{\text{TTS}} \approx 100$  ps;
- Few mm position resolution;
- Operation in 0.9-1.1 T magnetic field;
- Tolerate rates of 0.2 MHz/cm<sup>2</sup>;
- Long lifetime:  $\sim 0.5$  C/cm<sup>2</sup>/yr at 10<sup>6</sup> gain.



No currently available sensor matches all criteria;

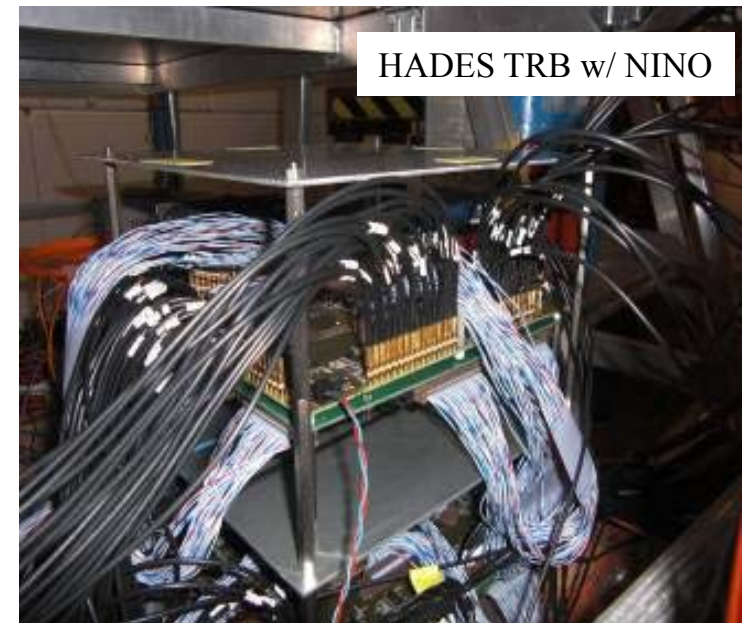
promising candidates: MCP-PMTs, MAPMTs, SiPM/gAPDs, ...

Testing detectors and readout electronics with DIRC prototypes in **test beams**

or with fast **pico-second laser pulsers** (PicoQuant, PiLas) on test bench.

## Electronics design demanding

- Signal rise time typically few hundred picoseconds.
- 10-100x preamplifiers needed.
- High bandwidth 500MHz – few GHz (optimum bandwidth not obvious).
- Pulse height information required for  $< 100$  ps timing (time walk correction), also desirable for 100-200 ps timing.  
ADC / time over threshold / waveform sampling / ...
- PANDA will run trigger-less.
- Example:  
HADES TRB board with NINO TOF add-on  
in GSI test beam in 2009, updated TOF add-on  
will be in 2011 test beams at GSI and CERN.  
*→ more detail in Michael's talk tomorrow*
- Significant development effort ahead.



## PANDA Barrel DIRC:

fast focusing DIRC inspired by BABAR-DIRC;

important improvements (compact readout, focusing, fast timing).

## R&D activities (many common efforts with PANDA Disc DIRC):

radiator quality, focusing optics, photon detectors, readout electronics, fast timing, chromatic correction, simulation, reconstruction, and more.

## Key challenges:

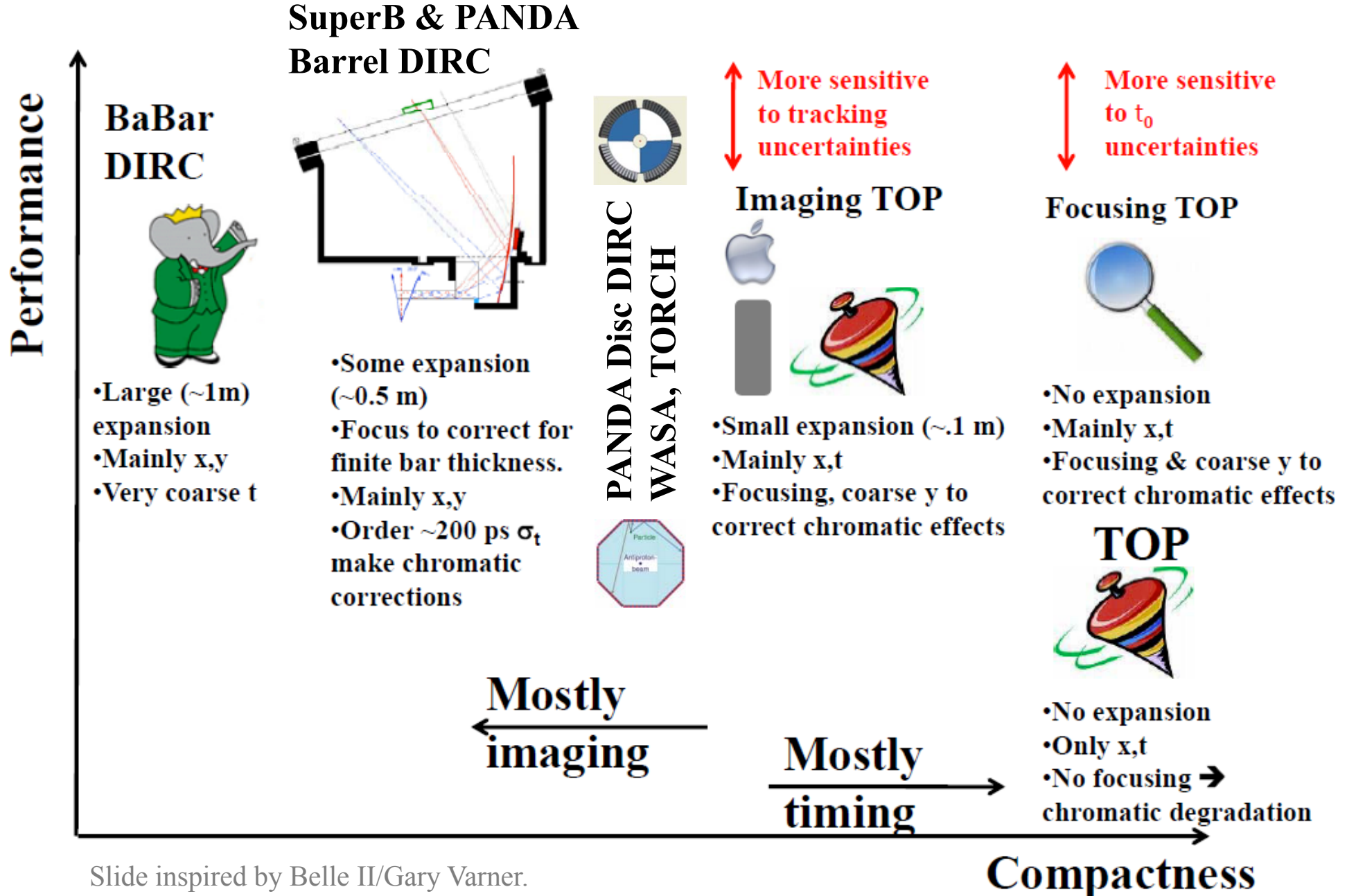
- **Pico-second timing** with single photons in environment with 1 C/cm<sup>2</sup>/yr and 1 T.  
→ Discussing solutions with industry, testing prototypes in lab.
- **Cherenkov radiator** (bars or plates) production and assembly.  
→ Started discussion with potential vendors, purchased prototype pieces.
- **Design** of detector optics and reconstruction software.  
→ Developing simulation framework (Geant and ray-tracing).

## Test beams and pico-second laser pulsers:

essential tools for qualifying bars, sensors, and electronics.

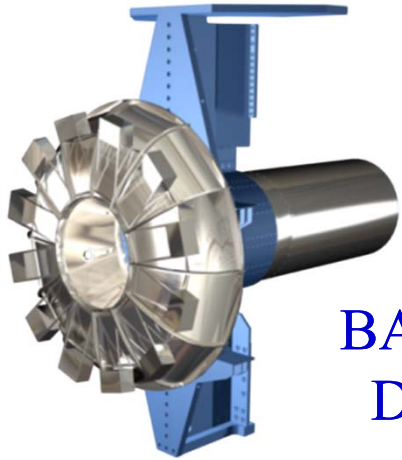


# DIRC COUNTER SUMMARY



Slide inspired by Belle II/Gary Varner.

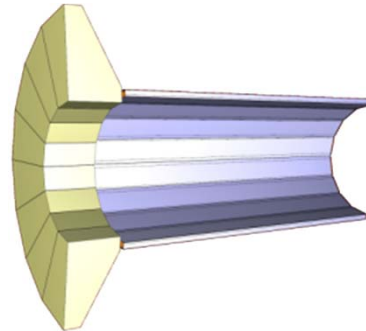
# DIRC COUNTER SUMMARY



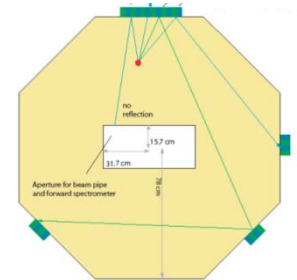
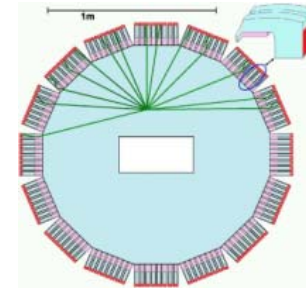
BABAR  
DIRC

First of its kind – excellent performance, easy to operate,  
essential ingredient in most BABAR publications.

... plus CEARA @ WASA  
TORCH @ LHCb, ...



PANDA DIRCs



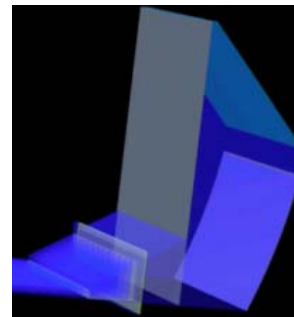
Barrel DIRC: focusing, compact version of BABAR DIRC.

Disk DIRC: first endcap DIRC, design decision soon.

## Belle II TOP



First DIRC with plate geometry.  
Cherenkov angle from excellent  
timing plus 2 coarse space points.



## SuperB FDIRC

Focusing optics and fast timing  
using existing BABAR DIRC bars.  
Cherenkov angle from space points,  
timing for chromatic correction.

# THANK YOU

Thanks to the organizers for the opportunity to give this talk.

Thanks to my current and former colleagues  
on **PANDA** and **BaBar/SuperB** for help with the talk.

G. Ahmed<sup>1</sup>, A. Britting<sup>2</sup>, P. Bühler<sup>1</sup>, E. Cowie<sup>3</sup>,  
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C. Sfienti<sup>6,b</sup>, K. Suzuki<sup>1</sup>, F. Uhlig<sup>2</sup>,  
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