### **Plan of lectures**

- 1 15.04.2015 Preliminary Discussion / Introduction
- 2 22.04.2015 Experiments (discovery of the positron, formation of antihydrogen, ...)
- 3 29.04.2015 Experiments (Lamb shift, hyperfine structure, quasimolecules and MO spectra)
- 4 06.05.2015 Theory (from Schrödinger to Dirac equation, solutions with negative energy)
- 5 13.05.2015 Theory (bound-state solutions of Dirac equation, quantum numbers)
- 6 20.05.2015 Theory (bound-state Dirac wavefunctions, QED corrections)
- 7 27.05.2015 Experiment (photoionization, radiative recombination, ATI, HHG...)
- 8 03.06.2015 Theory (single and multiple scattering, energy loss mechanisms, channeling regime)
- 9 10.06.2015 Experiment (Kamiokande, cancer therapy, ....)
- 10 17.06.2015 Experiment (Auger decay, dielectronic recombination, double ionization)
- 11 24.06.2015 Theory (interelectronic interactions, extension of Dirac (and Schrödinger) theory for the description of many-electron systems, approximate methods)
- 12 01.07.2015 Theory (atomic-physics tests of the Standard Model, search for a new physics)
- 13 08.07.2015 Experiment (Atomic physics PNC experiments (Cs,...), heavy ion PV research)

# Motivation: Laboratory Astrophysics

# Photoionization ⇔ Radiative Recombination

**Experiment: Storage Rings** 

# Highly Charged Ions / Strong EM Fields



- Ionization and Particle Production Phenomena
- Radiative Processes

#### Relativistic Energies: Galactic Cosmic Radiation (GCR)



### Charge State Distributions: Collisions between Electrons and Ions only



Charge state distribution is determined by the relative strength between the cross sections for electron impact ionization and recombination !

# Relativistic Heavy Ions Heavy Highly Charged Ions

I. Extreme Dynamic FieldsII. Extreme Static FieldsIII. Fundamental Physics

Collisional photon-matter interaction processes exhibit distinct photon polarization features

(Synchrotron Radiation, Bremsstrahlung, Recombination, Inverse Compton Scattering, Characteristic radiation, etc.)

But: the large Coulomb charge of heavy ions strongly affects the emission characteristics

Relativistic ion beams



Relativistic electron beams



**Celestial Plasmas** 





Interaction of photons and matter x-ray and gamma-regime

#### photo-effect / photo-absorption

#### **Compton-scattering**

Pair production



Incident Photon

### Photo-effect / Photo-absorption

#### Wilhelm Röntgen





first X-ray picture 1895





#### The Nobel Prize in Physics 1901

"in recognition of the extraordinary services he has rendered b discovery of the remarkable rays subsequently named after hin



#### Wilhelm Conrad Röntgen

Germany

Munich University Munich, Germany

Ь. 1845 d. 1923

#### The New-York Times.

Wednesday

February 5-1896

#### PROF. ROENTGEN'S X-RAYS

May Be Due, He Says, to Longitudinal Vibrations of Ether.

HE WRITES OF HIS GREAT DISCOVERY

#### Difference Between His and the Kathode Rays of Lenard-Some of the Substances He Has Photographed.

The preliminary communication of Prof. Wilhelm Konrad Röntgen to the Würzberg Physico-Medical Society of his discovery of a new form of radiant energy appears this week translated in full in several of the English papers. As the chief interest of men of science is centred in the question of the nature of the rays, these portions of Prof. Röntgen's paper which deal with this aspect of the subject are here reproduced in full.

The name given by Prof. Röntgen to the newly discovered form of radiant energy is X-rays. The translation appended was made by Arthur Stanton, and appears in the current number of Nature. After describing his experiments in making shadow photographs of various substances, Prof. Röntgen says:

gen mays: 1. After my experiments on the transparency of increasing thicknesses of different media. I proceeded to investigate whether the X-rays could be deflected by a prism. Investigations with water and carbon bisculphide in mica prisms of 30° showed no deviation either on the photo-prophic or the fluorescent plate. For computation, the apparatus was set up for the experiment. They were deviated 10 mm, and 30 mm, tespeci-let the case of the two prisms. With prisms of electrographic plate which events in and at most would point to a refractive index 1.05. No deviation can be observed by with the leavier metale have not as yet led to any result, occuste of their mail transparency.

any result, occuse of their small transparency and the consequent enterbling of the transmitted Tays.

and the consequent entereding of the transmitted intra. Consequent entereding of the question it is desirable to try in other way with the pre-dered bodies allow in thick inyers but little of the incident light to pass through, in consequence of serragiton and reflection. In the case of the W-rays however, such layers of powder are for equal masses of substance equally transparent with the converse such direct fines we cannot the protocorrest substance to reflection of refraction of the other and substance equally transparent with the converse such direct. Hence we cannot the other and substance reflection or refraction of the other and substance reflection of refraction of the other powder reflection or refraction of the other powder code all fine idd by the silver powder, and sinc dust already monty times employed in chemical work in all these cases if protographic action, indicated no difference in transporters, between the powder and the co-tant and the co-

in transportency terminates that lenses cannot be largent solid. It is, hence, obvious that lenses cannot be booked upon as capable of concentrating the Wrays; in effect, both an ebonite and a glass lens of large size prove to se without action. The shadow photograph of a round rod is darker

http://www.nobel.se/ physics/laureates/1901/index.html

http://www.slac.stanford.edu/pubs/beamline/beamline.html

18-12-2008



• Direct absorption of a photon by an atomic electron followed by the emission of the electron.

• Due to the conservation of energy the kinetic energy of the electron is defined by:

$$\mathbf{E}_{\mathrm{kin}} = \hbar \cdot \boldsymbol{\omega} - \mathbf{I}$$

- Recoil momentum is absorbed by the atom
- Not possible for free electrons



#### The Nobel Prize in Physics 1921

"for his services to Theoretical Physics, and especially for his discovery of the law of the photoelectric effect"



#### **Albert Einstein**

Germany and Switzerland

```
Kaiser-Wilhelm-Institut (now Max-Planck-Institut) für Physik
Berlin, Germany
```

```
b. 1879
(in Ulm, Germany)
d. 1955
```

For one electron-shell the **photo-ionisation cross-section is highest close to the threshold**, i.e. where the photon-energy equals the ionization-energy I (resonance or threshold behaviour):

$$\sigma_{\max}: \hbar \cdot \omega \approx I_{K}, I_{L}, I_{M}$$

The cross-section shows a strong dependence on the photonenergy and the nuclear charge Z :  $\sigma_p \propto \frac{Z^{4-5}}{\left(\hbar \cdot \omega\right)^{7/2}}$ 

At high photon-energies  $\hbar \cdot \omega >> I_K$  the *ionisation of s-orbitals has the highest probability* and the K-shell-ionization contributes most dominantly:

$$\sigma_{p_{n}} = \frac{1}{n^{3}} \cdot \sigma_{K} \quad \sigma_{p} = \sigma_{p_{K}} \cdot \sum_{n=1}^{\infty} \frac{1}{n^{3}} = 1.2021 \cdot \sigma_{p_{K}} \begin{vmatrix} \sigma_{p_{K}} \\ n \end{vmatrix}$$
B-12-2008

### Cross-section for photo-ionization



photo-ionization of the K-shell in the dipole approximation

$$\sigma_{p_{K}} = \frac{8}{3} \cdot \pi \cdot r^{2}_{e} \cdot \frac{2^{7} \pi \cdot (137)^{3}}{Z^{2}} \cdot \left(\frac{E_{K}}{\hbar \omega}\right)^{4} \cdot \frac{\exp(-4\kappa \cdot \operatorname{arc} \cot \kappa)}{1 - \exp(-2\pi \cdot \kappa)} \chi = \sqrt{\frac{E_{K}}{\hbar \omega - E_{K}}}$$

18-12-2008

The main ionization and recombination processes in laboratory and astro physical plasmas:

- Electron impact excitation/ionization
- Radiative Recombination
- Dielectronic Recombination

#### **Radiative Recombination/Electron Capture**



 Electron capture into a bound ionic state by emission of a photon

$$\hbar\omega = E_{\rm B} + E_{\rm KIN}$$

- Time-reversed photionization
- Only possible capture/recombination process for bare ions colliding with electrons

# Radiative Recombination (RR) / Radiative Electron Capture (REC)

### at High Energies

**REC** is the radiative electron capture of quasifree electrons

Quasifree electrons: These electrons are electrons bound to a target atom with a target binding energy  $E^{B}_{T}$  much less than the collision energy  $E^{B}_{KIN} >> E^{B}_{T}$ 

Within the **impulse approximation**, quasifree electrons are treated as free electrons.

#### **Relation between REC and Photoionization**

Relation between time reversed processes (detailed balance)

$$p_{f}^{2} \cdot g_{f} \cdot \sigma_{f \rightarrow i} = p_{i}^{2} \cdot g_{i} \cdot \sigma_{i \rightarrow f}$$

$$\sigma_{RR} = \left[\frac{E_{RR}}{\beta \gamma m_{e} c^{2}}\right] \cdot \sigma_{PI}$$

$$P_{f}: final p_{i}: init g_{f}: final p_{f}: final p_{f}:$$

The REC cross sections are equal to the RR cross section for electrons times the amount of target electrons available P<sub>f</sub>: final state, momentum

- P<sub>i</sub>: initial state, momentum
- g<sub>f</sub>: final state, statistical weight
- g<sub>i</sub>: initial state, statistical weight
- $\sigma_{\text{f->i}}$  : cross section
- $\sigma_{i\text{->}f}$  : cross section

#### **Radiative Electron Capture**

#### Non Relativistic Dipole Approximation(Stobbe 1930):

$$\sigma_{K}^{\text{REC}} = 9.1 \times 10^{-21} \left( \frac{\kappa^{3}}{1 + \kappa^{2}} \right) \frac{e^{-4arc \cot \kappa}}{1 - e^{-2\pi\kappa}} [\text{cm}^{2}]$$
with  $\kappa = \frac{V_{K}}{v} = \sqrt{\frac{E_{K}}{E_{KIN}}}$ 
The cross section for radiative recombination does only depend on orbital and collision velocity
Adiabaticity parameter  $\eta = \frac{1}{\kappa^{2}} = \frac{E_{KIN}}{E_{K}}$ 
Fast collisions:  $\eta > 1$ 
Slow collisions:  $\eta < 1$ 

### **Experiments at the Jet-Target**



Electron transfer from the target atom into the HCI

 $Z^{Q^+} + e^ Z^{(Q-1)+} + \hbar\omega + ...$ 

Total cross sections: Simply measure the amount of down-charged ions for different targets, projectiles and energies. The data must be normalized to the amount of ions stored and the target density.

### **The Jet-Target**

#### **The Jet-Target**



### Supersonic jet, operates in ultra high vacuum enviroment (10<sup>-11</sup> mbar)

A. Krämer et al, NIM B 174. 205 (2001)

### Total cross section for recombination



Data cover the Z range from 6 to 92 (BEVALAC, SIS/FRS/ESR, RHIC, CERN)

Highest energy: 33 TeV Pb<sup>82+</sup>,  $\gamma$ =168

C. R. Vane, H. F. Krause, S. Datz, P. Grafström, H. Knudsen, C. Scheidenberger, R. Schuch PRA 62, 010701(R), 2000

Universal scaling law for all ion species and energies of up to 500 MeV/u, based on the non-relativistic dipole approximation

Cancellation of retardation and relativistic kinematics

E<sub>KIN</sub>: kinetic projectile energy E<sub>K</sub>: K-shell binding energy

J. Eichler and Th. Stöhlker, Phys. Rep. 439, 1 (2007)

#### **Multipole expansion**

Hamiltonian of the photon–electron interaction:  $H = e - \vec{p} \cdot \vec{A}$ 

The matrix element for a transition of an electron from the initial state  $\Psi_i$  to the final state  $\Psi_f$  is:

$$\left|\mathbf{M}_{\mathrm{if}}\right| = \int \Psi^*_{i} p e^{ikr} \Psi_f d^3 r$$

where **p** is the momentum of the electron, and **k** is the momentum of the emitted photon

The photon wavelength is: 
$$\lambda = \frac{2\pi}{k}$$

assumptions: plane wave, vector potential, wave function:

$$A \propto e^{-i(kr-\omega t)}$$

mc

$$e^{-ikr} = 1 - ikr + \frac{(kr)^2}{2} + \dots$$

#### **Multipole expansion**

$$e^{-ikr} = 1 - ikr + \frac{(kr)^2}{2} + \dots$$



size of the atom (orbit radius)

higher order multipoles

$$\mathbf{k} \cdot \mathbf{r} \approx 1$$
 or  $\mathbf{k} \cdot \mathbf{r} \geq 1$ 

There will be higher order multipoles: Quadrupole, etc. e.g.: nuclear decay, or atoms with high Z

For higher order multipole radiation the following rules apply to the parity  $\ell$  :



electric multipole radiation

magnetic multipole radiation

### Dynamics in Strong Fields: Radiative Processes



#### **Radiative Recombination**

Electron capture into a bound ionic state by emission of a photon

$$\hbar\omega=E_{_B}+E_{_{\it KIN}}$$

Time-reversed photionization Schnopper et al., PRL 29, 898 (1972)

angular distribution 6 do/dD [barn'sr] 5. 4 3 -2 Spindler et al., 30 60 180 90 120 150 42,832 (1979) observation angle [deg]

Polarization



### Experimental REC-Spectra



### **REC Cross Sections/U**<sup>92+</sup> => $N_2$

For high-Z ions and high energies REC is the most important charge exchange process for collisions with low-Z targets

REC populates predominately s-states and in particular the 1s ground state (80%)



### **REC Photon Energy: Compton Profiles**



 $v_0$ : projectile velocity  $m_ec^2(\gamma - 1)$ : kinetic energy

#### Radiative Electron Capture Capture of Quasifree Targetelectrons



### K-REC Distribution for $Xe^{54+}$ (200 MeV/u)



Using non-relativistic wave functions, complete cancellation between retardation and Lorentz transformation occurs (verified by Anholt for 197 MeV/u Xe<sup>54+</sup> $\Rightarrow$  Be)

#### Recombination and Photoionisation of s-States

[non-relativistic theory]



#### Effects of retardation and Lorentz transformation



don't forget: relativistic angle and solid angle

transformation

# Photon Angular Distribution for REC into the K-shell (1s-state) $(U^{92+}, 310 \text{ MeV/u})$



of spin-flip transitions

### Production of high charge states and ion storage rings

### Why high velocities?



### Storage Rings /Synchrotrons/ Charge State Separators

ESR/GSI

Q+

(300 MeV/u

92 91 90 89 88 87 86 charge state Q

40

ield (%)

U90+

**U**91+

Every element in arbitrary charge state up to the heaviest bare elements are available for experiments

U92+

slit

foil

ALL WILL

### Production of Highly Charged Heavy Ions

EBIT: Trapped, stationary ions; charge state production by electron bombardment



Accelerator: Fast moving ions, charge state production by penetration through stripper targets





charge state Q

**300 MeV/u (β** ≈ 0.65)

# 200 keV electron energy (ion at rest

# Magnetic Charge Separation



### lons in storage rings – some basics

- To store ions, a set of magnetic elements such as dipole, quadrupole, ... magnets is needed which form the *lattice of the ring*
- Storage rings have a limited acceptance with respect to the *size, angular divergence,* and *momentum spread*
- The ions move on periodic orbits around the ideal trajectory ("Sollbahn"), performing *betatron oszillations*. The ratio of the ring circumference to the betatron wavelength is called *tune Q* should not be an algebraic number such as 1, 2, ... or ½, ¾ in order to avoid beam losses.



### Storage Rings: Magnetic Rigidity

$$\frac{mv^2}{r} = q\left(\vec{v} \times \vec{B}\right) \Longrightarrow \frac{mv}{q} = B \cdot r$$

r the bending radius of the magents also called  $\rho$  q the charge of the ions

v the ion velocity

m the mass of the ions

B the magnetic field

 $\frac{mv}{q} = B \cdot \rho \quad \begin{array}{l} \mbox{d.h. the magnetic rigidity (B\rho) is proportional} \\ \mbox{to the ratio momentum to charge} \end{array}$ 

Storage Ring	magnetic rigidity	Circumference
ESR	11 Tm	108 m
TSR	1.5 Tm	55 m
CRYRING	1.4 Tm	52 m
ASTRID	2 Tm	40 m

New ion storage rings were built/are in planning phase: Lanzhou, FAIR

### CRYRING

CRYRING is a small synchrotron and storage ring with electron cooling, built for research in atomic, molecular and nuclear physics



### Heavy Ion Research Facility at Lanzhou (HIRFL)



#### The ESR: fed by stable and exotic highly charged ions



magnetic rigidity: < 10 Tm

circumference: 108 m

maximum velocity: v/c = 0.7

res. gas pressure: 10 (-11) mbar

electron and stochastic cooling

acceleration, deceleration

bakable to 300 C



### Ions in storage rings – some basics

• To *accelerate, decelerate, compress* and inject the ions one needs RF-cavities (RF: radio frequency)

To store highly charged ions for a long time (at least minutes) an excellent vacuum system (UHV: ultra high vacuum) of about 10<sup>-11</sup> mbar is needed. In addition cooling is needed, the enhancement of the phase space density for the ions (*Liouville*)

### Cooling techniques: What is cooling ?

Cooling: *Enhancement of the phase space density of the ion beam*. The beam remittance get's reduced, i.e. the beam size and the angular divergence is reduced simultaneously.

### Liouville's theorem

For a given beam velocity, the emittance  $\varepsilon$  [mm x mrad] – the product of size (x) and angular divergence (transverse momentum  $\mathbf{p}\perp$ ) – is constant if there are only conservative forces.



### Cooling techniques

### One can only overcome Lioville by applying external forces

• Laser cooling

electron cooling

stochastic cooling

### The Nobel Prize in Physics 1984



#### The Nobel Prize in Physics 1984

"for their decisive contributions to the large project, which led to the discovery of the field particles W and Z, communicators of weak interaction"



Carlo Rubbia 1/2 of the prize Italy CERN Geneva, Switzerland b. 1934



Simon van der Meer 1/2 of the prize the Netherlands CERN Geneva, Switzerland b. 1925 The Nobel Prize in Physics 1984 Press Release Presentation Speech

Carlo Rubbia Autobiography Nobel Lecture Banquet Speech

Simon van der Meer Autobiography Nobel Lecture

1983

1985 🕑

(GO)

The 1984 Prize in: <u>Physics</u> Chemistry Physiology or Medicine Literature Peace Economic Sciences

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#### http://www.nobel.se/physics/laureates/1984/

# Prinziple of 'stochastic' cooling

#### Self correction of ion trajectory



Using a *pick-up probe*, the position of the ion beam can be measured at a fixed position via the induced electronic signal. A deviation of the beam from the ideal orbit can be correctd by the amplification of this signal. This *amplified signal can now be used as a correction signal which acts on the beam* at a second position via a *"kicker"*.

This method was invented for the cooling of hot antiprotons by Van der Meer. He was able to show that after a cooling time of  $\tau \propto N/C$  (N: particle number, C = Bandwidth of the amplifier) a momentum width of the beam of about  $\Delta p/p \approx 10^{-3}$ can be achieved by stochastic cooling

The Nobel Prize in Physics 1984 Simon van der Meer 1925\*, CERN,

### **Electron Cooled Ion Beams**



# **Electron Cooling**



# *Ions interact 10<sup>6</sup> 1/s with a collinear beam of cold electrons*

#### **Properties of the cold ions**

Momentum spread $\Delta p/p$  :  $10^{-4} - 10^{-5}$ Diameter2 mm

#### 'Cooling': narrowing velocity, size and divergence of the stored ions

#### **Electron cooling: Budker, 1967 Novosibirsk**





momentum exchange with 'cold', collinear e- beam. The ions get the **sharp velocity** of the electrons, small size and divergence

# Electron cooling provides



ion intensity

rel. ion velocity  $v/v_0$ 

- Brilliant ion beams
- Constant energy
- Long storage times
- Small velocity spread for all ions
- Operation of targets within the ring
- Very cold beams
- But long cooling times (10 s)

### Coulomb cooling: electron cooling

Cooling by electrons can be treated in analogy to energy loss of ions in matter



What acts against cooling ? a) "*intra beam scattering*" Ion-ion scattering

b) *Collisions* (scattering) of ions with the *residual gas atoms* 

c) *Charge exchange losses* of the ions in collisions with the residual gas atoms or cooler electrons

All processes (a, b, c) scale with Z<sup>2</sup> (Z is the nuclear charge of the stored ions)

### Intra beam scattering



### **Recombination Processes**

Charge changing collisions of ions with matter

Electron-Ion-Collisions

Radiative Recombination

### Electron Pickup Processes of HCI in Collisions with Electrons (Dynamic Processes)

#### **Radiative Recombination/Electron Capture**



• Electron capture into a bound ionic state by emission of a photon

$$\hbar\omega=E_{_{B}}+E_{_{\textit{KIN}}}$$

- Time-reversed photionization
- Only possible capture/recombination process for bare ions colliding with electrons

#### **Dielectronic Recombination/Electron Capture**



- Resonant (non-radiative) capture of an electron into a bound state
- Time-reversed Auger process
- Important charge exchange process for multielectron ions

### Beam lifetimes at ESR



# Low-energy DR of Be-like Ne<sup>6+</sup>

#### **CRYRING** experiment vs. **AUTOSTRUCTURE** theory



14th HCL Chofu