

# Key Experiments

10 June 2015

# Plan of lectures

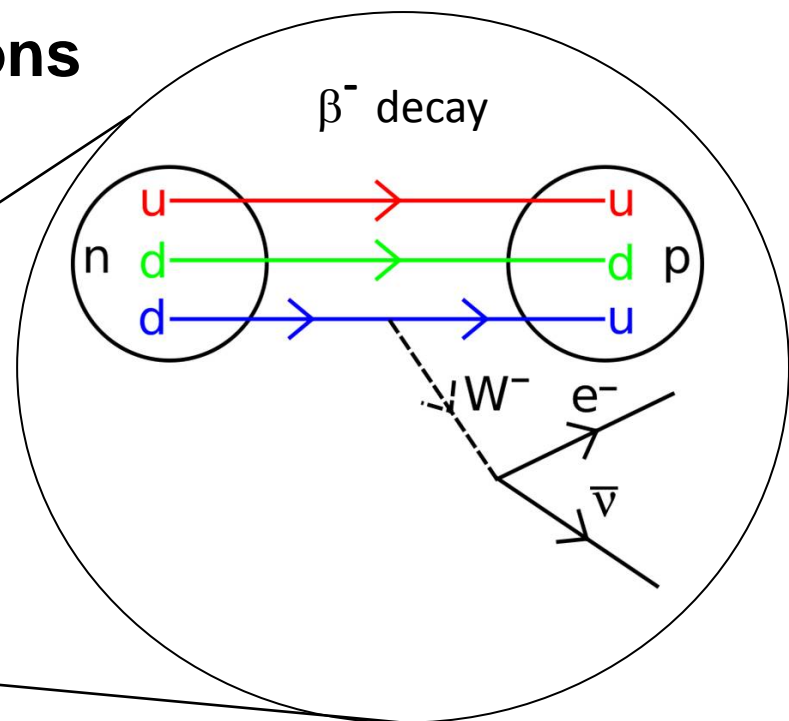
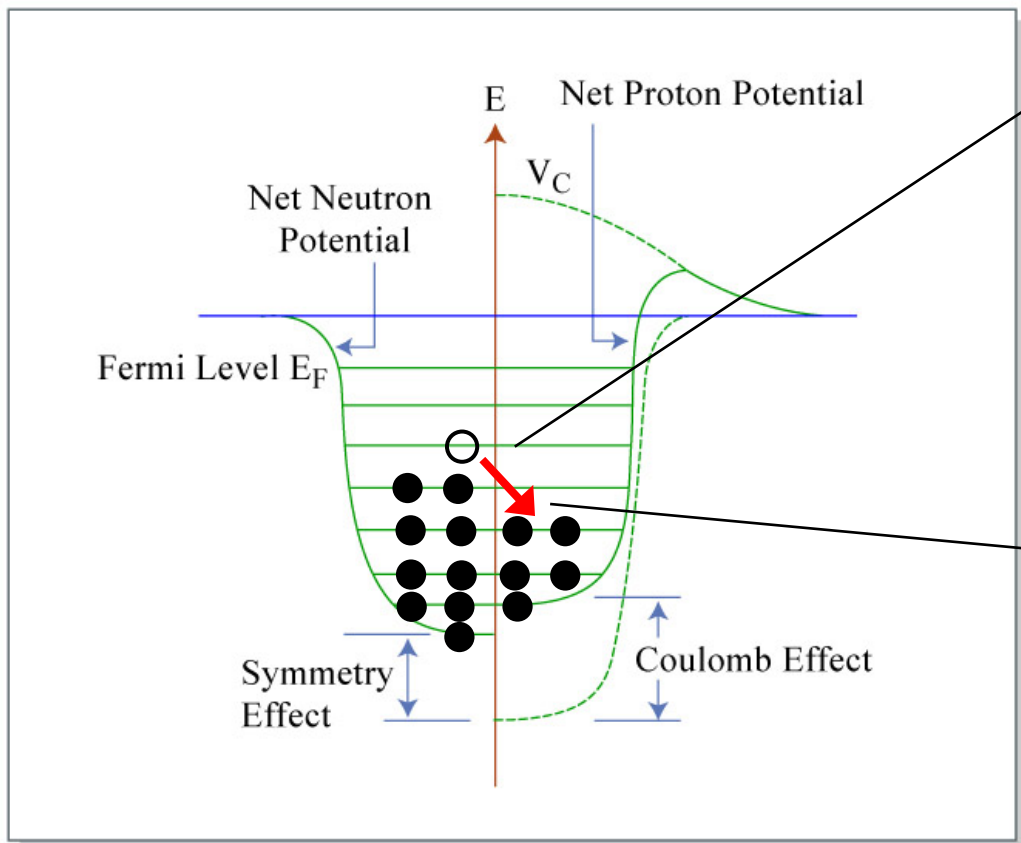
- 1 15.04.2015 Preliminary Discussion / Introduction
- 2 22.04.2015 Experiments (discovery of the positron, formation of antihydrogen, ...)
- 3 29.04.2015 Experiments (Lamb shift, hyperfine structure, quasimolecules and MO spectra)
- 4 06.05.2015 Theory (from Schrödinger to Dirac equation, solutions with negative energy)
- 5 13.05.2015 Theory (bound-state solutions of Dirac equation, quantum numbers)
- 6 20.05.2015 Theory (bound-state Dirac wavefunctions, QED corrections)
- 7 27.05.2015 Experiment (photoionization, radiative recombination, ATI, HHG...)
- 8 03.06.2015 Theory (description of the light-matter interaction)
- 9 10.06.2015 Experiment (Kamiokande, cancer therapy, ....)
- 10 17.06.2015 Experiment (Auger decay, dielectronic recombination, double ionization)
- 11 24.06.2015 Theory (interelectronic interactions, extension of Dirac (and Schrödinger) theory for the description of many-electron systems, approximate methods)
- 12 01.07.2015 Theory (atomic-physics tests of the Standard Model, search for a new physics)
- 13 08.07.2015 Experiment (Atomic physics PNC experiments (Cs,...), heavy ion PV research)

# Content of this Lecture

- Kamiokande experiment
  - Neutrino oscillations
  
- Hadron therapy

# Beta decay: Neutron is converted to proton

## Shell model for neutrons and protons

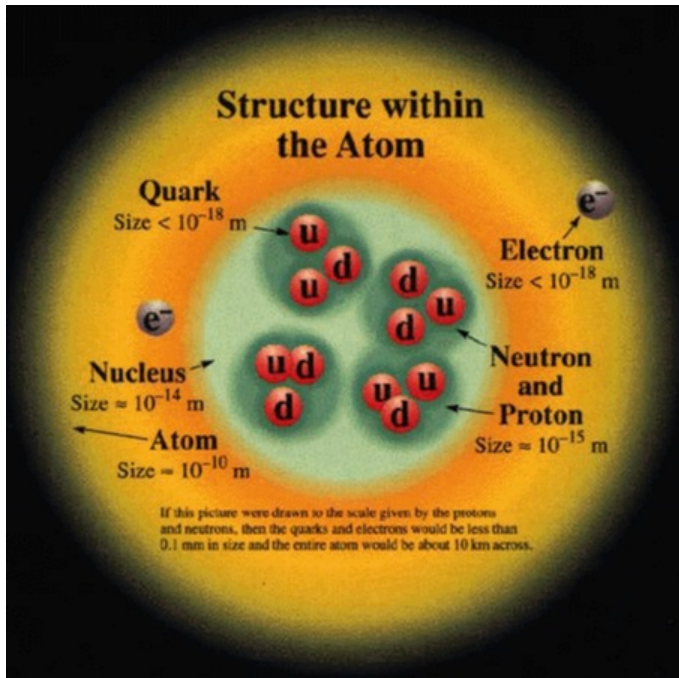


**Beta decay results in a higher binding energy of the nucleus.**

$\beta^+$  decay ( $p \rightarrow n$ ) also possible.

**Electron/Positron and Neutrino are emitted.**

# Reminder: Standard Model



## BOSONS

force carriers  
spin = 0, 1, 2,...

Unified Electroweak spin = 1	Mass GeV/c <sup>2</sup>	Electric charge	Strong or color spin = 1	Mass GeV/c <sup>2</sup>	Electric charge
$\gamma$ photon	0	0	<b>g</b> gluon	0	0
$W^-$	80.22	-1			
$W^+$	80.22	+1			
$Z^0$	91.187	0			

## FERMIONS

matter constituents  
spin = 1/2, 3/2, 5/2,...

Leptons spin = 1/2			Quarks spin = 1/2		
Flavor	Mass GeV/c <sup>2</sup>	Electric charge	Flavor	Approx. Mass GeV/c <sup>2</sup>	Electric charge
$\nu_e$ electron neutrino	$< 7 \times 10^{-9}$	0	<b>u</b> up	<b>0.005</b>	2/3
<b>e</b> electron	0.000511	-1	<b>d</b> down	<b>0.01</b>	-1/3
$\nu_\mu$ muon neutrino	$< 0.0003$	0	<b>c</b> charm	<b>1.5</b>	2/3
<b><math>\mu</math></b> muon	0.106	-1	<b>s</b> strange	<b>0.2</b>	-1/3
$\nu_\tau$ tau neutrino	$< 0.03$	0	<b>t</b> top (initial evidence)	<b>170</b>	2/3
<b><math>\tau</math></b> tau	1.7771	-1	<b>b</b> bottom	<b>4.7</b>	-1/3

# Lepton type (number) is conserved

Leptons are divided into three **lepton families**: the electron and its neutrino, the muon and its neutrino, and the tau and its neutrino.



Electron number	+1: $e^-; \nu_e$	-1: $e^+; \bar{\nu}_e$
Myon number	+1: $\mu^-; \nu_\mu$	-1: $\mu^+; \bar{\nu}_\mu$
Tau number	+1: $\tau^-; \nu_\tau$	-1: $\tau^+; \bar{\nu}_\tau$

	muon		muon neutrino		electron		$e^-$ antineutrino
equation:	$\mu$	$\rightarrow$	$\nu_\mu$	+	$e^-$	+	$\bar{\nu}_e$
electron number:	0	=	0	+	1	+	-1
muon number:	1	=	1	+	0	+	0
tau number:	0	=	0	+	0	+	0

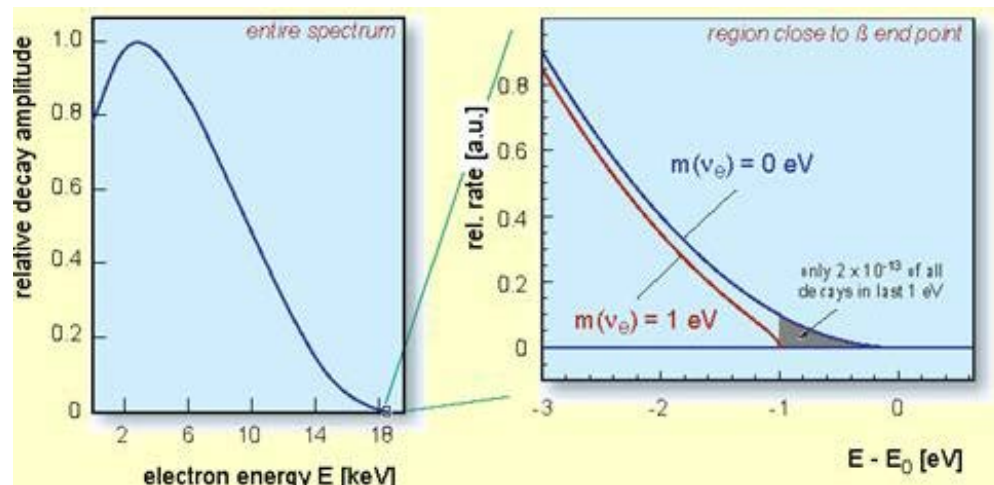
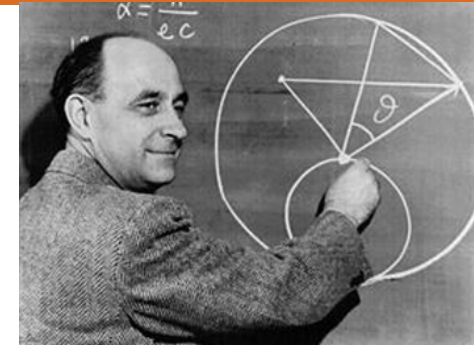
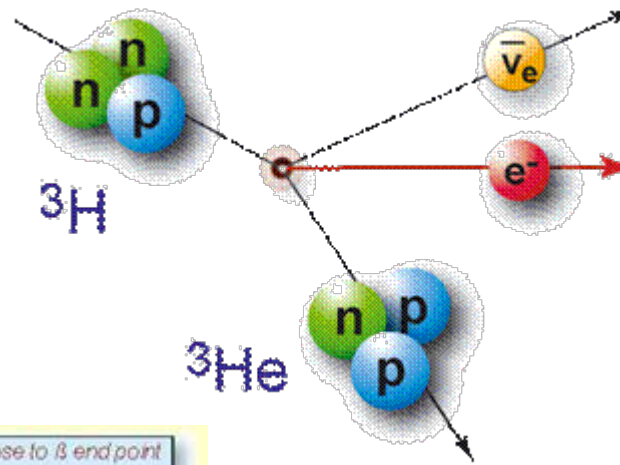
# Neutrinos: Many questions are still open!

Type	<a href="#">Elementary particle</a>
Statistics	<a href="#">Fermionic</a>
Generations (Flavour)	First, second and third
Interactions	<a href="#">Weak interaction</a> and <a href="#">gravitation</a>
Antiparticle	Antineutrinos are possibly identical to the neutrino (see <a href="#">Majorana fermion</a> ).
Discovery	$\nu_e$ : <a href="#">Clyde Cowan</a> , <a href="#">Frederick Reines</a> (1956) $\nu_\mu$ : <a href="#">Leon Lederman</a> , <a href="#">Melvin Schwartz</a> and <a href="#">Jack Steinberger</a> (1962) $\nu_\tau$ : <a href="#">DONUT collaboration</a> (2000)
Types	3 – electron neutrino, muon neutrino and tau neutrino
Mass	Small, but non-zero. See the <a href="#">mass</a> section.
Electric Charge	0
Spin	$\frac{1}{2}$

<http://en.wikipedia.org/wiki/Neutrino>

# Beta Decay: What about the neutrino properties?

Enrico Fermi suggested in his classical paper on beta decay to measure the neutrino mass using beta decay. The electron energy is measured at the end point part of the electron energy spectrum. The experiment is done with tritium decay where a neutron in the tritium nucleus is decayed by the process:



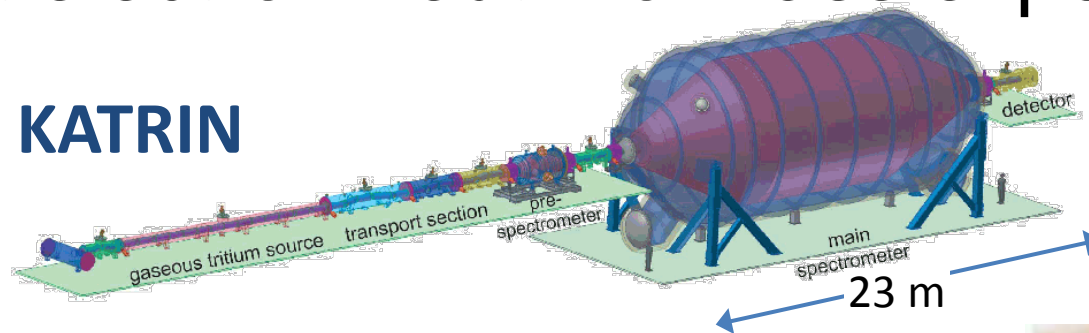
The entire spectrum of the electron kinetic energy in the tritium beta decay is shown in a. The end point is shown enlarged in b. For neutrino to have mass the kinetic energy of the electron should be slightly smaller than the energy released by the beta decay as shown by the red line. Actual result from measuring the electron kinetic energy shows no indication of massive neutrinos. (Image by the Katrin collaboration)



# Search for Neutrino mass

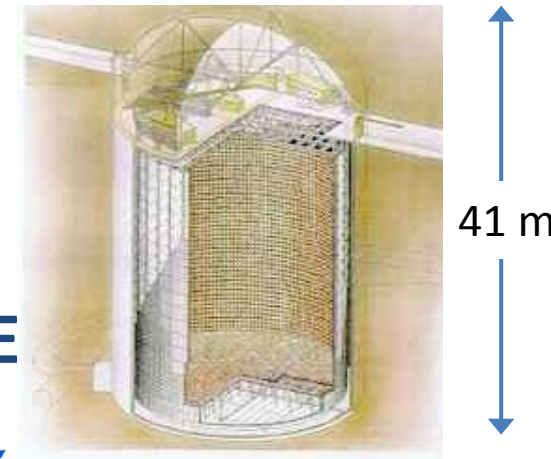
Two kind of experiments:

- Direct electron neutrino-mass experiments



- Neutrino oscillation experiments

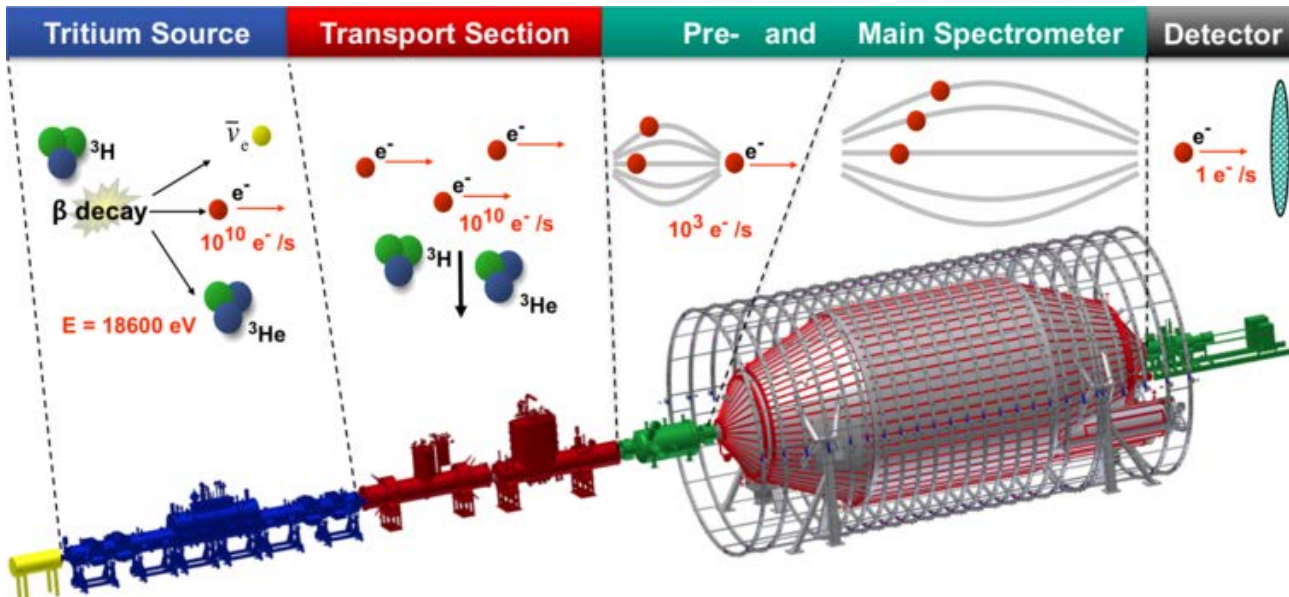
**SUPER-KAMIOKANDE**



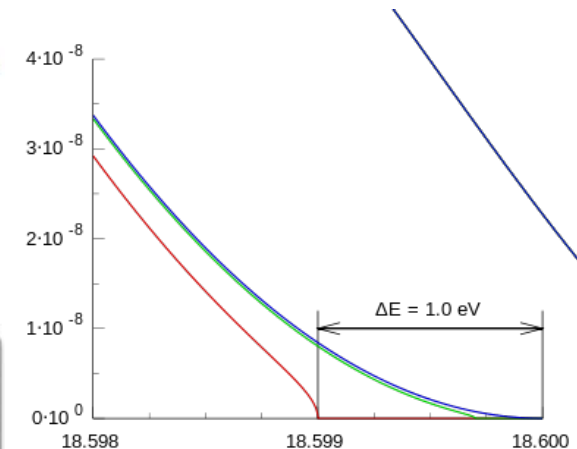
# Karlsruhe Tritium Neutrino Experiment (KATRIN)

The Tritium Neutrino Experiment (KATRIN) is aiming on a direct determination of the electron neutrino mass. Currently it is getting installed at KIT (Karlsruhe).

MAC-E-Filter (*Magnetic Adiabatic Collimation combined with an Electrostatic Filter*) are used to determine electron energies of the tritium beta decay.



Aiming for the endpoint of the continuous electron distribution with 1 eV precision.



Tritium decays, releasing an electron and an anti-electron-neutrino. While the neutrino escapes undetected, the electron starts its journey to the detector.

Electrons are guided towards the spectrometer by magnetic fields. Tritium has to be pumped out to provide tritium free spectrometers.

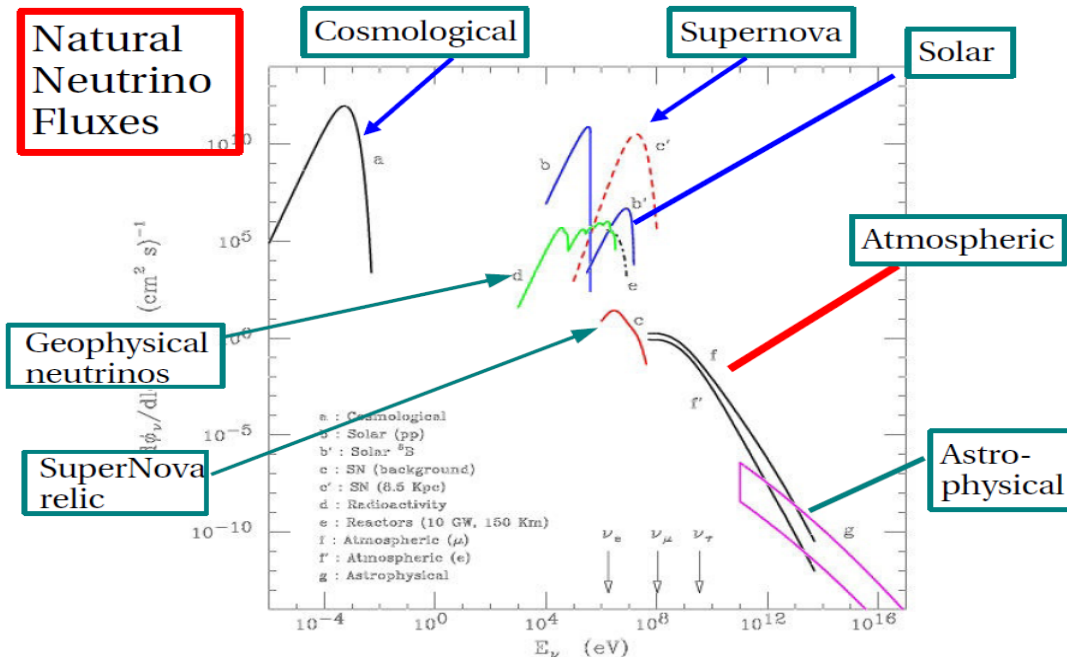
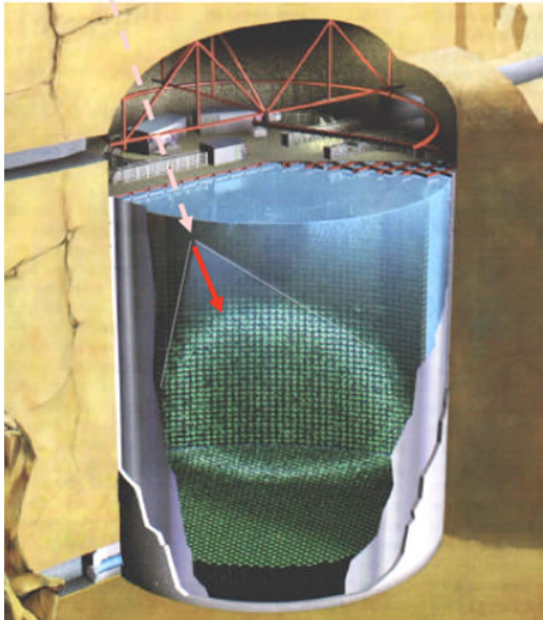
The electron energy is analyzed by applying an electrostatic retarding potential. Electrons are only transmitted if their kinetic energy is sufficiently high.

At the end of their journey, the electrons are counted at the detector. Their rate varies with the spectrometer potential and hence gives an integrated  $\beta$ -spectrum.

# The Super-Kamiokande Experiment

Investigation of the atmospheric neutrino problem. Are there neutrino oscillations?

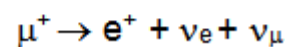
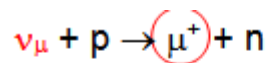
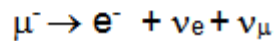
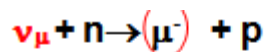
Electrons Electron neutrino	Myon Myon neutrino	Tau Tau neutrino
$m_e$ 511 keV/c <sup>2</sup>	$m_\mu$ 106 MeV/c <sup>2</sup>	$m_\tau$ 1777 MeV/c <sup>2</sup>



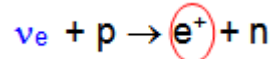
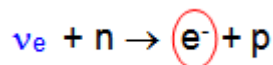
# Atmospheric Neutrino Production

After being generated in the upper atmosphere the neutrinos may interact with matter resulting in the production of electrons/positrons and neutrinos from lower generations.

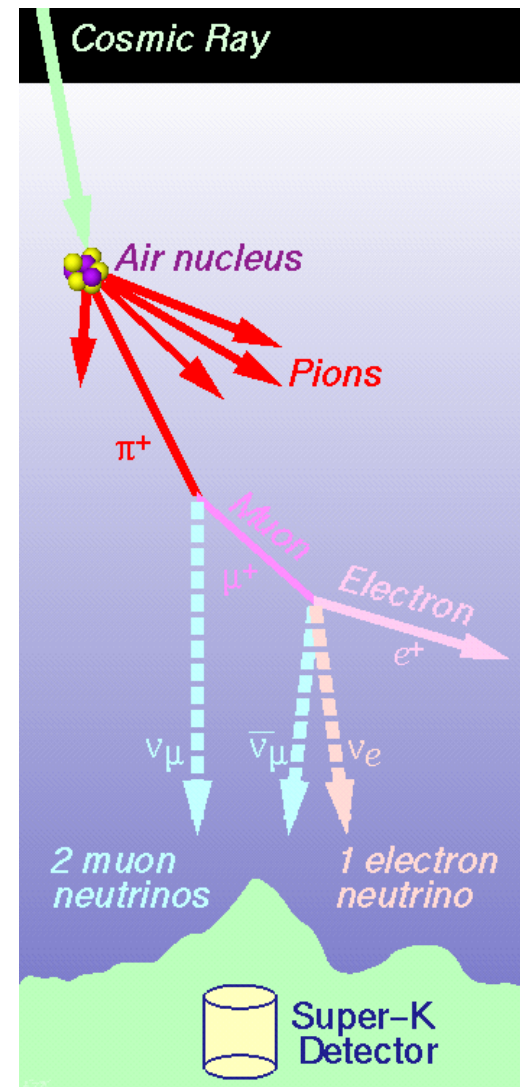
## muon neutrinos



## electron neutrinos



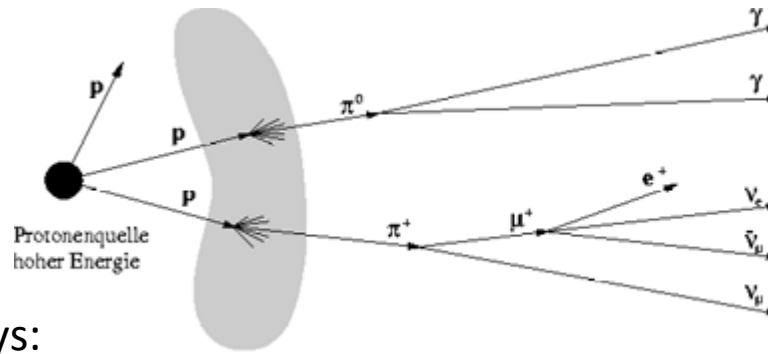
Typical cross section:  $\sigma \approx 10^{-38} \text{ cm}^2$



# Identification of the Neutrino Types

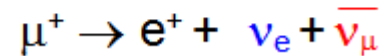
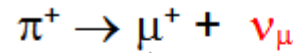
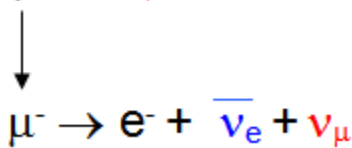
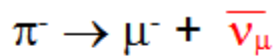
Primary cosmic radiation:

~95% protons, ~4.5%  $\alpha$ -particles. They produce mesons (Pions, Kaons).



Neutrinos from the atmosphere:  
 $E > 100 \text{ MeV}$

Typical meson decays:



**Prediction:**

**Ratio** (myon neutrino kind of events / (electron neutrino kind of events))  $\approx 2$

**Observation:**

**Ratio** (myon neutrino kind of events / (electron neutrino kind of events))  $\approx 1$

# Neutrino Oscillations: Basic Considerations

**Assumption: Neutrinos have different, finite masses**

Eigenstates of the interaction

$$(\nu_e, \nu_\mu, \nu_\tau)$$

Mass Eigenstates

$$(\nu_1, \nu_2, \nu_3)$$

**Example: Oscillation between two different neutrinos**

Eigenstates of the interaction

$$(\nu_e, \nu_\mu)$$

Mass Eigenstates

$$(\nu_1, \nu_2)$$

$$|\nu_\mu\rangle = \cos\theta |\nu_1\rangle + \sin\theta |\nu_2\rangle$$

$$|\nu_\mu(t)\rangle = \cos\theta |\nu_1\rangle e^{-i/\hbar E_1 t} + \sin\theta |\nu_2\rangle e^{-i/\hbar E_2 t}$$

$$E_j = \sqrt{p_j^2 c^2 + m_j^2 c^4} \quad j = 1, 2$$

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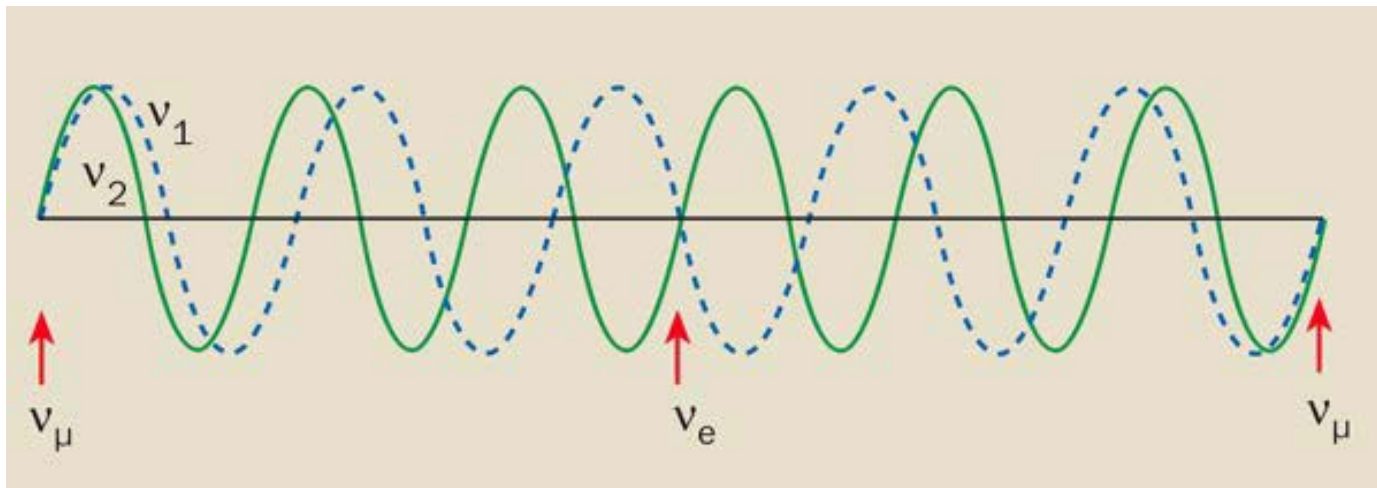
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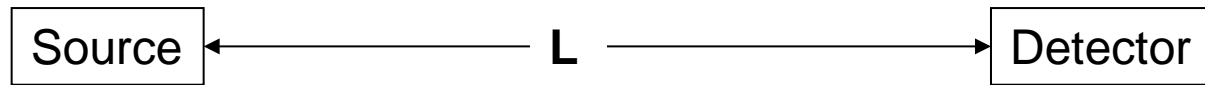
$$\left| \langle \nu_\mu(t) | \nu_\mu(t=0) \rangle \right|^2 = P(\nu_\mu \rightarrow \nu_\mu)$$

$$P = 1 - \sin^2(2\theta) \sin^2\left(1.27 \Delta m^2 \frac{L}{E_\nu}\right)$$



# Neutrino Oscillations: Mixing Angle and Mass Difference

After a distance  $L = c t$  :



Probability to find the  $\mu$ -Neutrino :

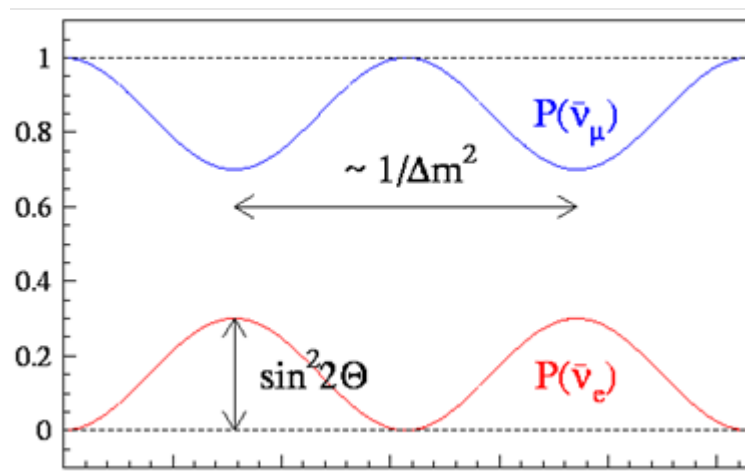
$$P(\nu_\mu) = 1 - \sin^2(2\theta) \sin^2\left(1.27 \Delta m^2 \frac{L}{E_\nu}\right)$$

Probability to not find the  $\mu$ -Neutrino :

$$P(\nu_\tau) = \sin^2(2\theta) \sin^2\left(1.27 \Delta m^2 \frac{L}{E_\nu}\right)$$

$\theta$  mixing angle  
 $\Delta m^2 = |m_1^2 - m_2^2|$  Difference of the square of the masses [(eV/c<sup>2</sup>)<sup>2</sup>]  
 $L$  distance from source [m]  
 $E_\nu$  Neutrino energy [MeV]

$\theta = ?$   
 $\Delta m^2 = ?$



$$P(\nu_\mu) = 1 - \sin^2(2\theta) \sin^2\left(\pi \frac{L}{\lambda}\right)$$

With the wavelength  
 $\lambda = 2,48 E_\nu / \Delta m^2$

# Oscillations: Atmospheric Oscillations

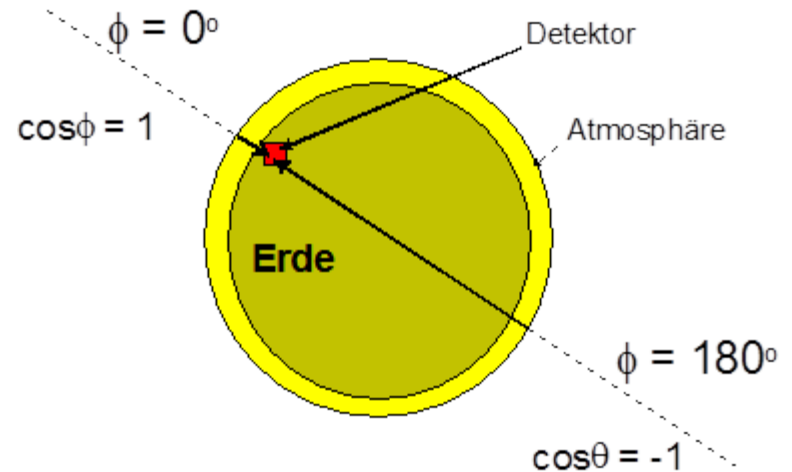
$$P = 1 - \sin^2(2\theta) \sin^2\left(\pi \frac{L}{\lambda}\right)$$

## Assumption:

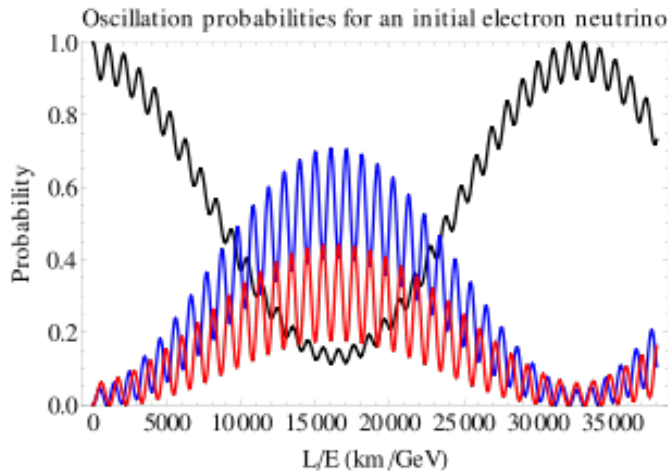
Maximal mixing ( $\sin^2 \Theta=1$ );  $\Delta m^2 = 10^{-2} \text{ eV}^2$ ;  
Neutrino energy:  $100 \text{ MeV} < E < 1000 \text{ MeV}$

$$\lambda_{\text{MIN}} \approx 250 \text{ km}$$

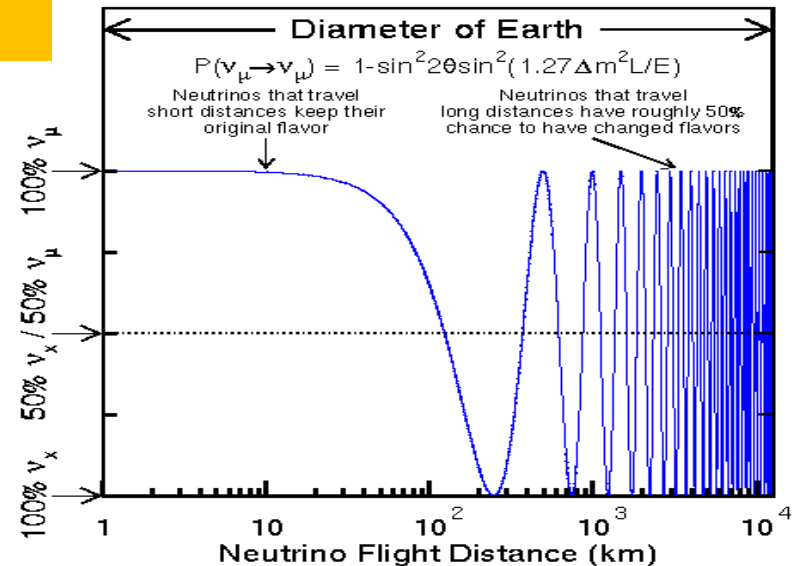
$$\lambda_{\text{MAX}} \approx 2500 \text{ km}$$



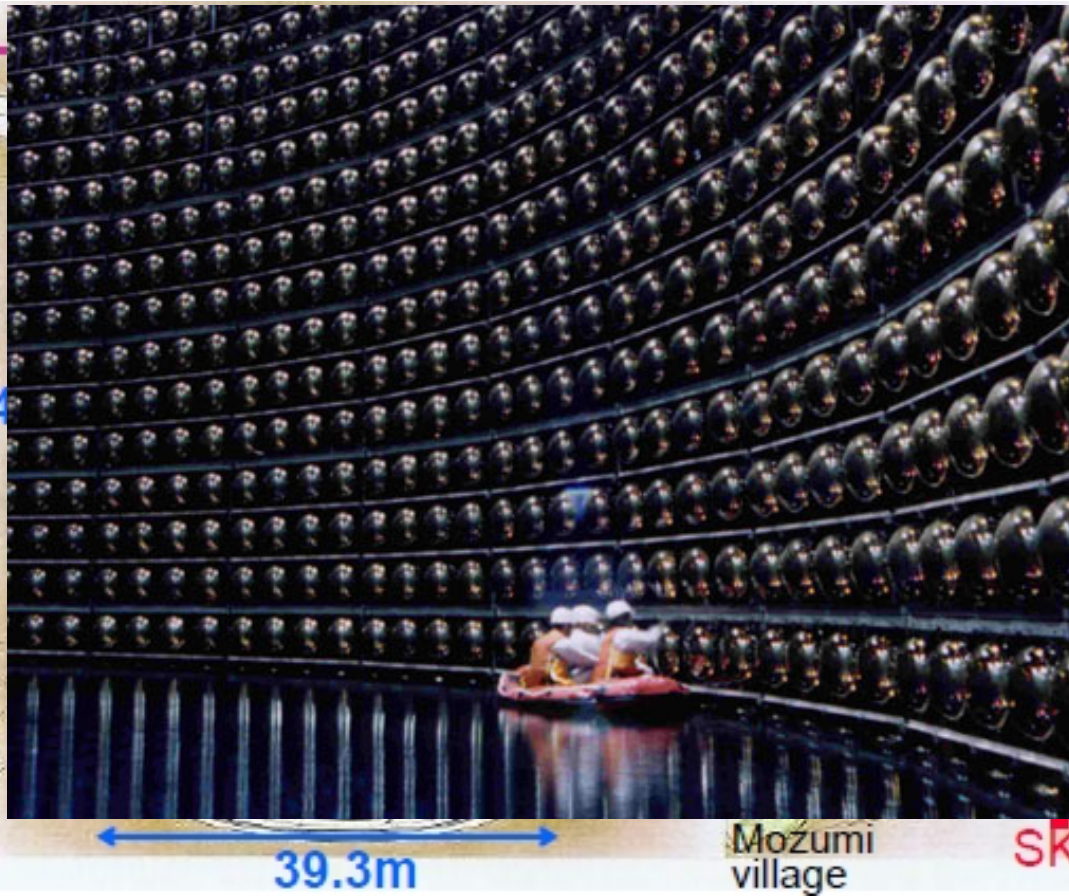
**Atmosphere:**  $L \approx 15 \text{ km} \ll \lambda_{\text{MIN}} \Rightarrow P=1$   
**Earth:**  $L \approx 13\,000 \text{ km} \gg \lambda_{\text{MAX}} \Rightarrow P=0.5$



Oscillations becoming more complex with 3 neutrino types.

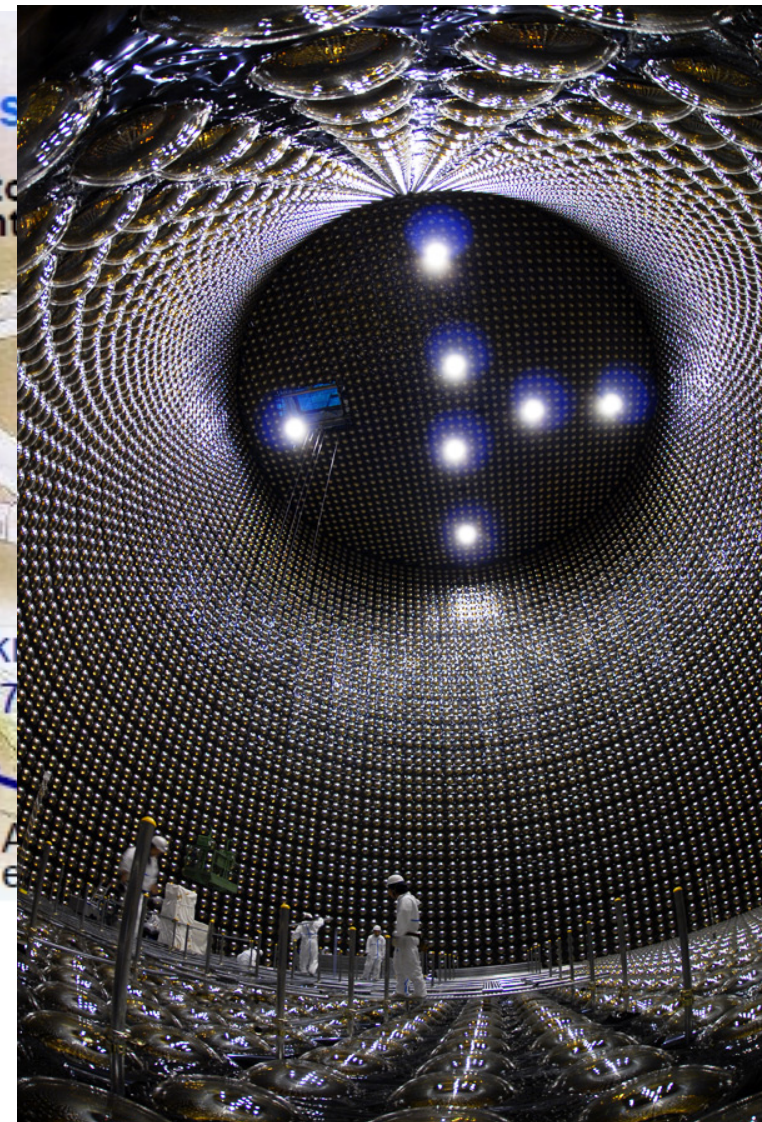


# The Super-Kamiokande Detector



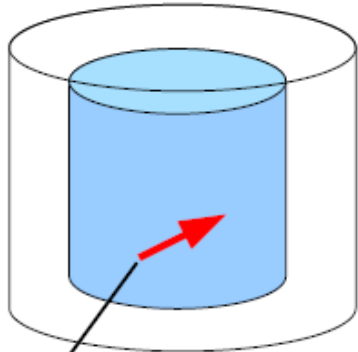
Timing: 2.5 ns  
Energy resolution: 5%  
Angle resolution: 2% to 7%

All materials must have extremely low radioactive activity!



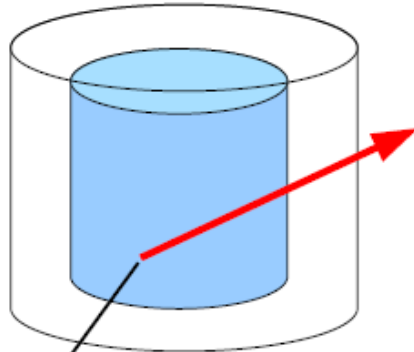
# Event characteristics as a function of neutrino energy

**Fully Contained**



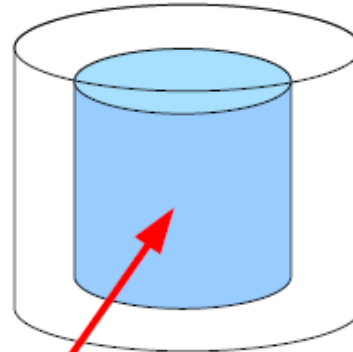
0.1~10 GeV

**Partially Contained**



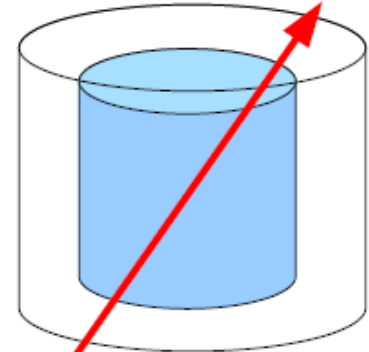
1~100 GeV

**Upward Stopping  $\mu$**



3~100's GeV

**Upward Through-going  $\mu$**

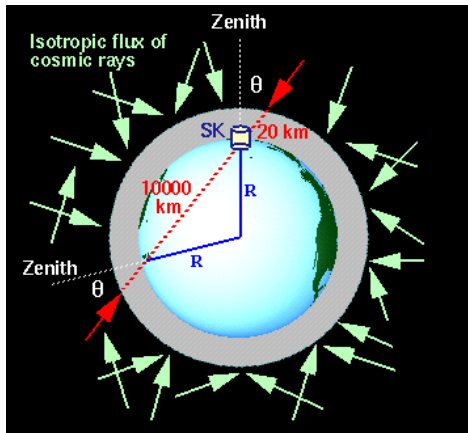


5GeV ~1 TeV



high-energy → low cross section

Neutrino-matter interaction takes place somewhere inside the earth. Only the resulting myon enters the detector vessel. Electrons cannot enter (straggling, energy loss).



Multi-GeV cosmic particles are largely unaffected by the magnetic field of the earth.

→ isotropic neutrino flux, in contrast to low-energy events

# Indirect Neutrino Detection via Cherenkov Counter

## Energy and momentum determination of electrons and myons

Speed of light in medium  $c_M$ :  $c_M = c/n(\lambda)$

$n(\lambda)$  index of refraction

Threshold condition:

$$v > c_M$$

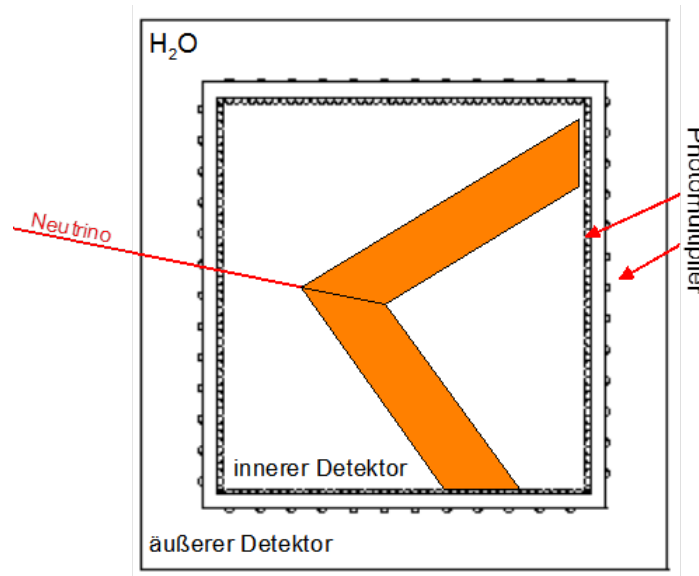
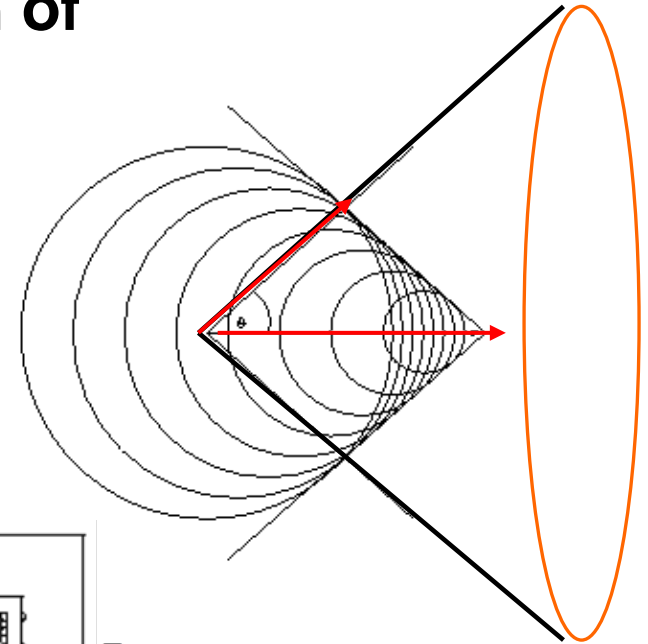
emission angle

$$\cos \vartheta = c_M/v$$

for water and  $\beta \approx 1$ :

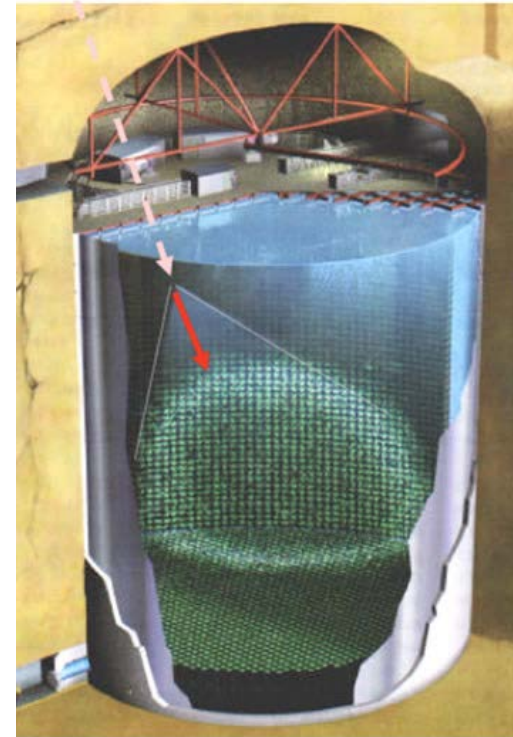
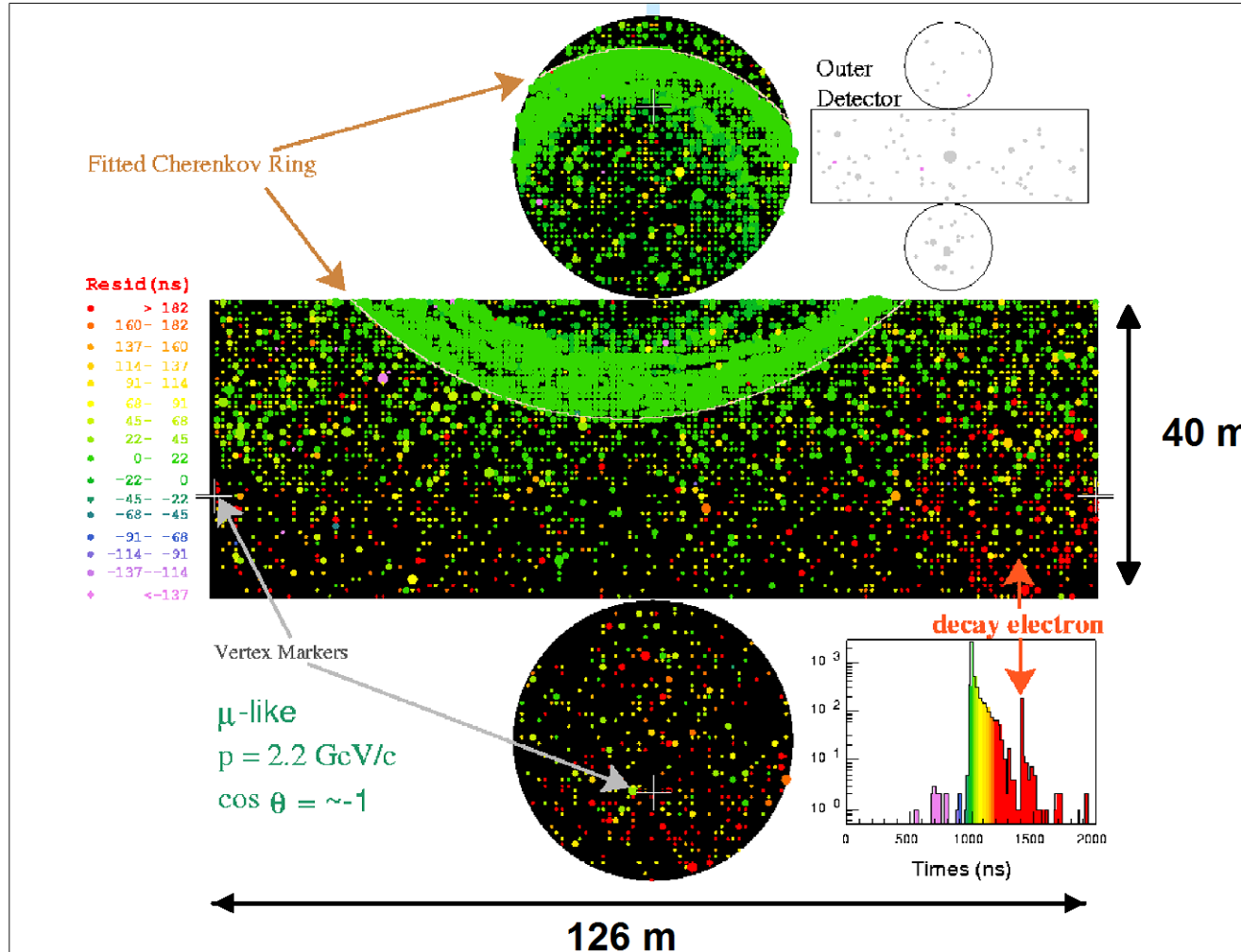
$$\theta = 42^\circ$$

$$n(\lambda) \approx 1,4$$

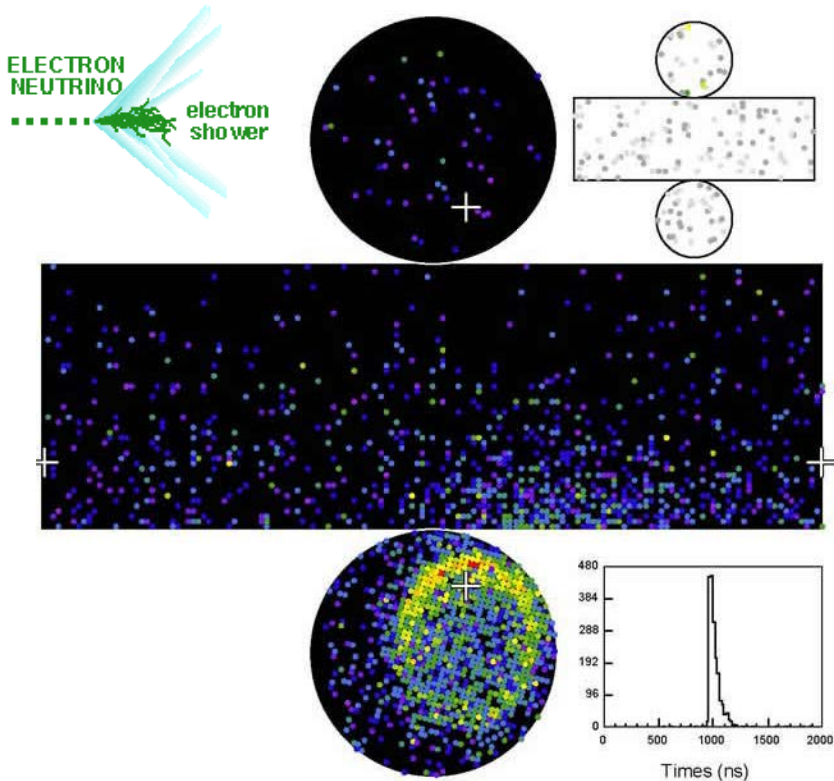


Cherenkov photons  
1-2 eV

# Cherenkov cone position distribution, intensity and time structure reveal details of the neutrino event

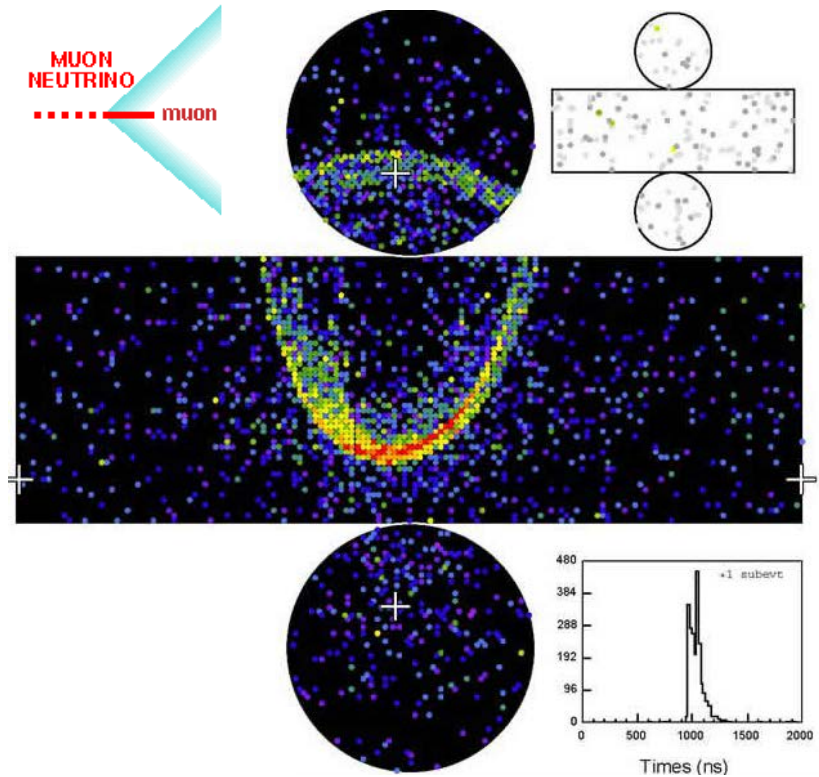


# Myonic Events versus Electronic Events



## Electron:

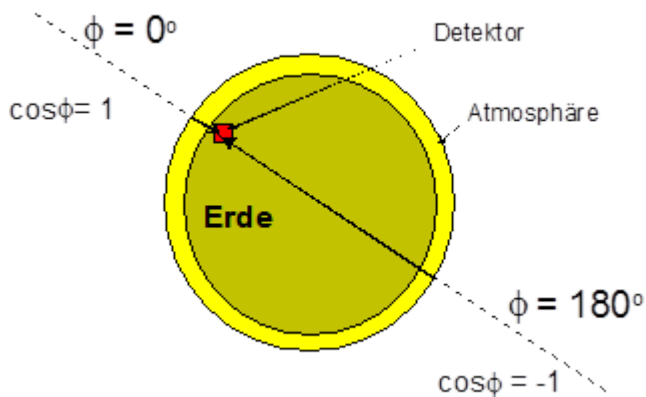
- more straggling
  - electromagnetic showers
- ‚blurred‘ Cherenkov cone



## Myon:

- straight trajectory
  - few radiative energy loss
- sharp Cherenkov cone

# Results for atmospheric neutrinos

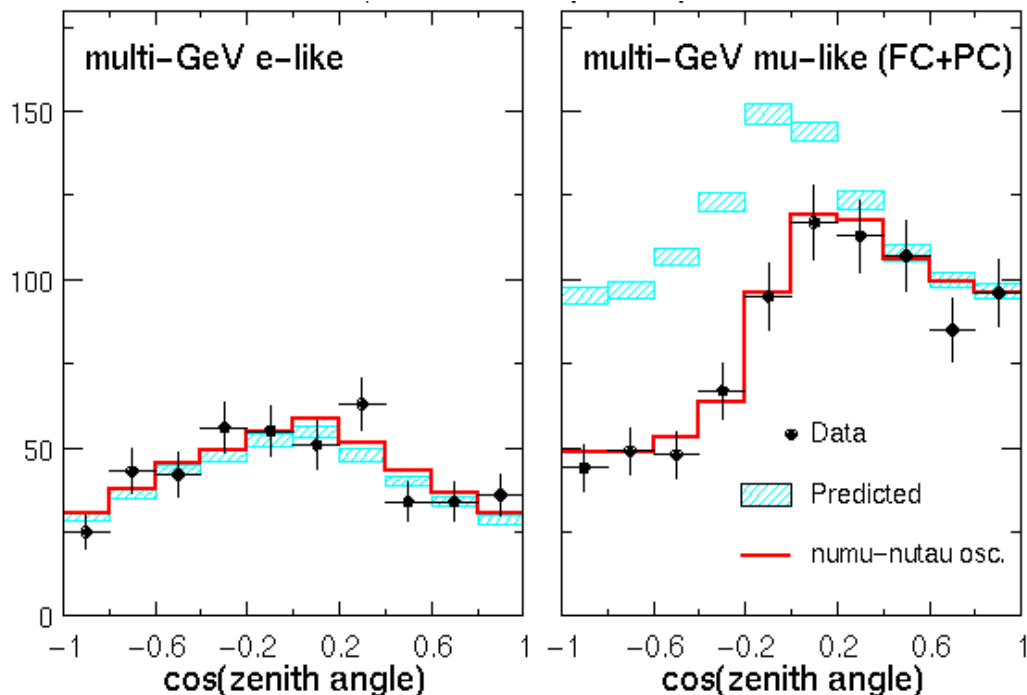


$$R = (\mu/e)_{\text{measured}} / (\mu/e)_{\text{prediction}}$$

1998:

$$R = 0.63 \pm 0.03 \pm 0.05$$

What happened to the missing myon neutrinos?



Flux of electron neutrinos agrees with expectations (no oscillation).  
 → conversion to tau neutrinos is likely!

Parameter for  $\nu_\tau - \nu_\mu$  oscillations  
 (90% confidence level)

$$\sin^2\theta > 0.55$$

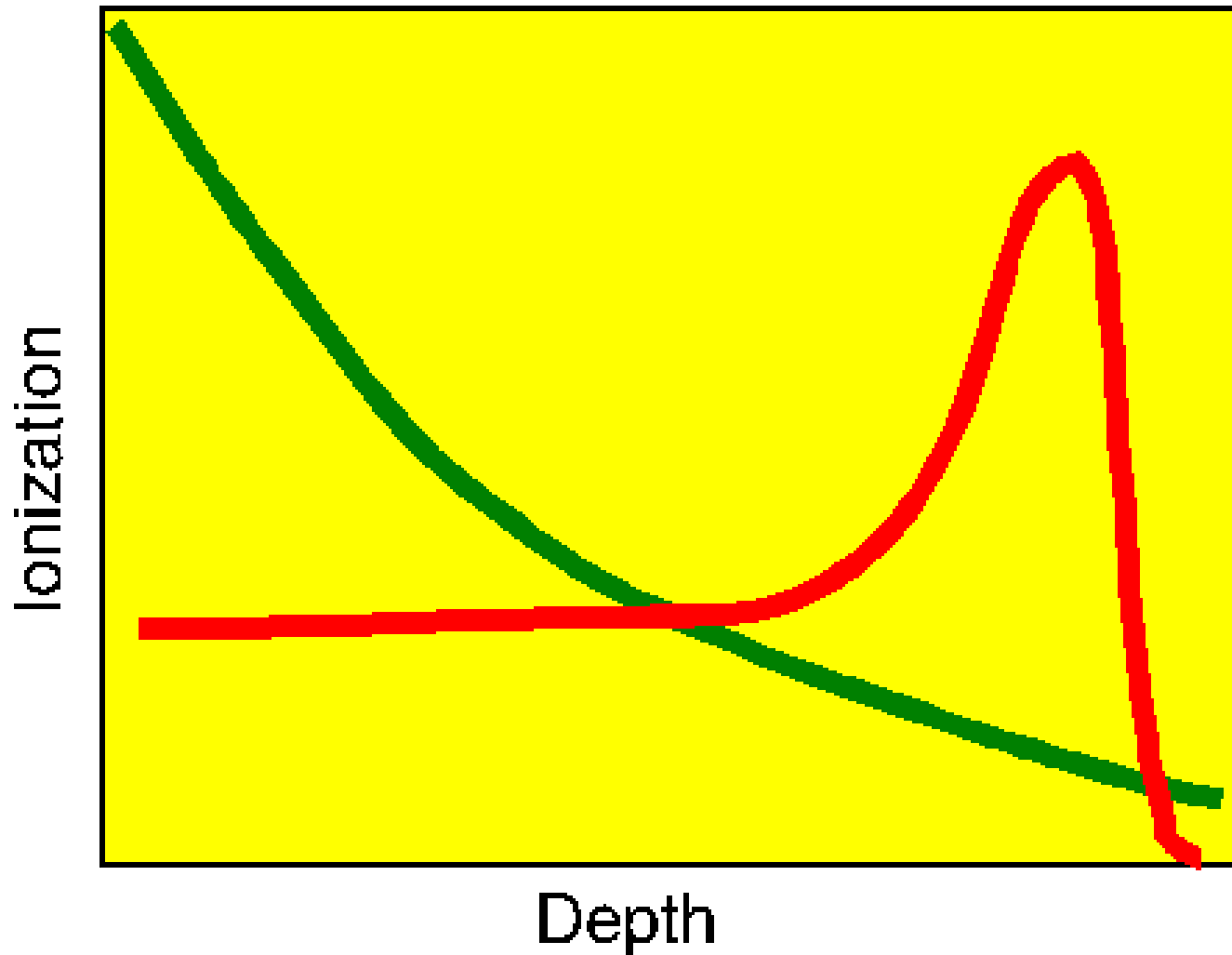
$$4 \times 10^{-4} < \Delta m^2 < 5 \times 10^{-2} \text{ eV}^2$$



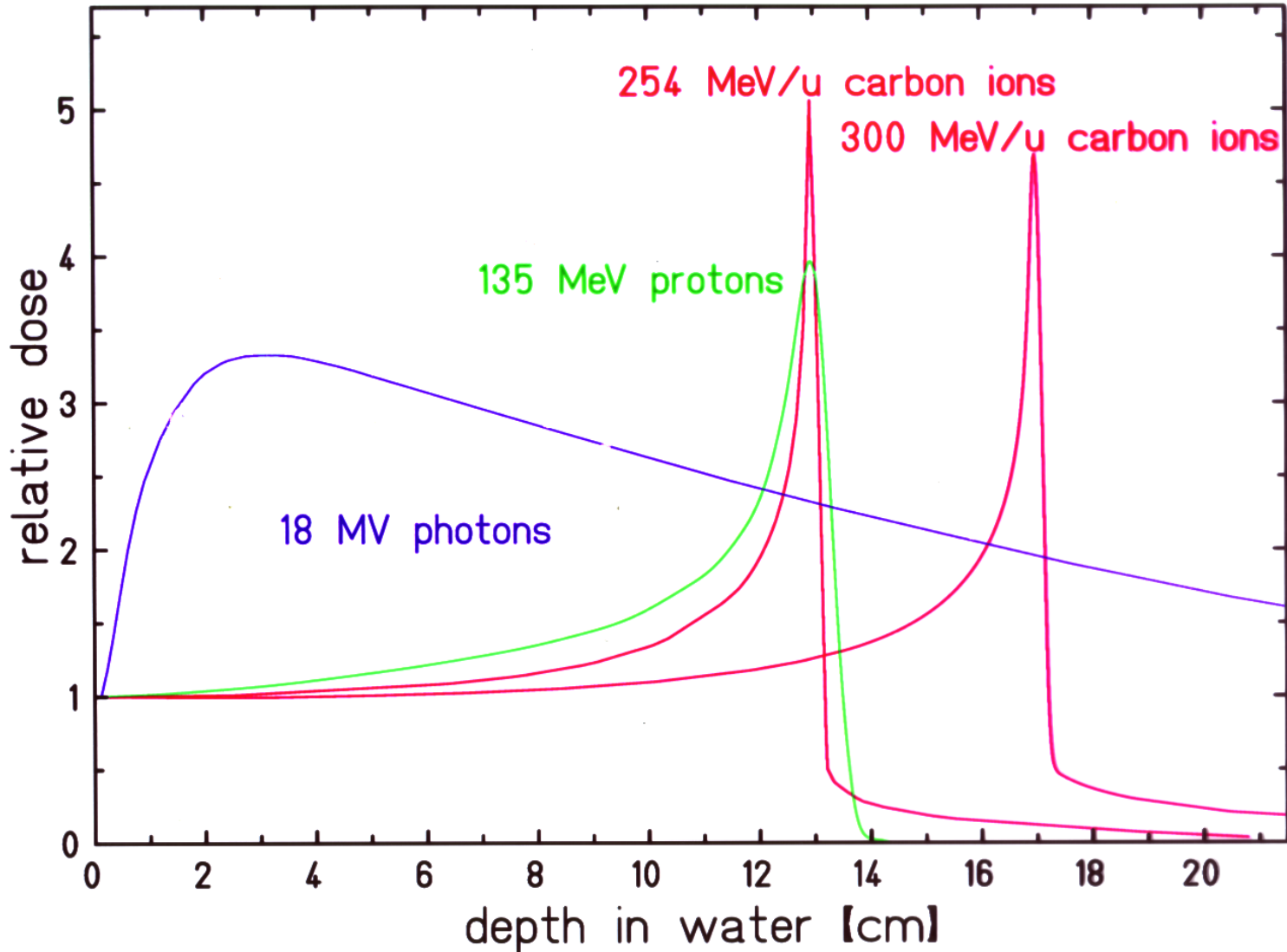
# Radiotherapy

- Also called “Radiation Therapy” (use of ionizing particles)
- Part of multi-disciplinary approach to cancer care
- Useful for 50-60% of all cancer patients
- Can be given for cure or palliation
- Mainly used for loco-regional treatment
- Benefits and side-effects are usually limited to the area(s) being treated

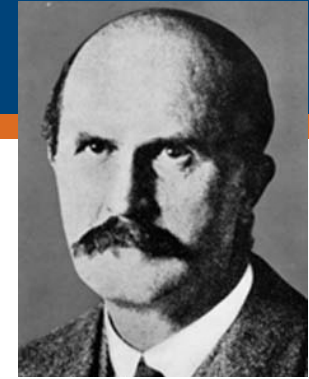
# Charged particles for therapy



# Depth dose distribution of various radiation modalities

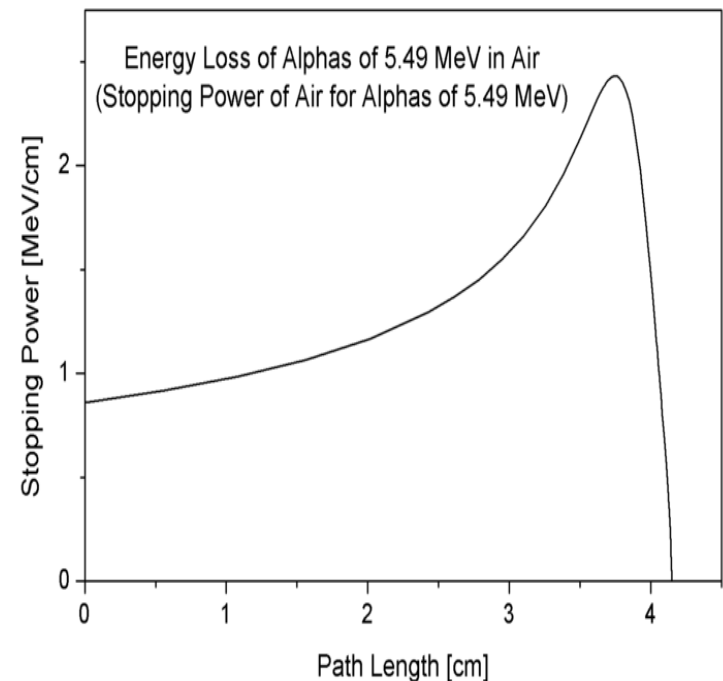
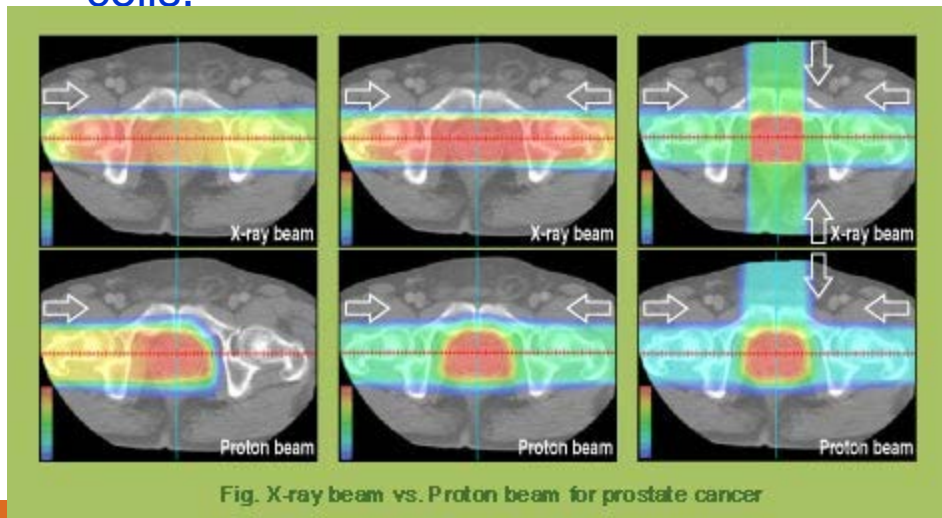


# Bragg peak



William Henry Bragg

- ▶ Since the energy loss of heavy charged particles is larger for smaller energies most of the energy is deposited by particle before stopping.
- ▶ This effect has an important applications for cancer therapy!
- ▶ A beam of heavy charged particles can be used to destroy cancer cells at given depth in the body without destroying healthy cells.



# Proton and Ion Beams in Radiotherapy

- 1946 R.R. Wilson, Radiology 47,487
- „... potential benefits of heavy charged particles in radiotherapy“
- John and Ernest Lawrence, C. Tobias
- patients
- 1954 - 57 p 184-inch SC Berkeley 30
- 1957 - 92  $^4\text{He}$  184-inch SC Berkeley 2054
- 1961 – 2002 p Harvard 9116
- 1969 – p ITEP Moscow 3785 (Dec 04)
- 1975 - 92  $^{20}\text{Ne}$  BEVALAC Berkeley 433

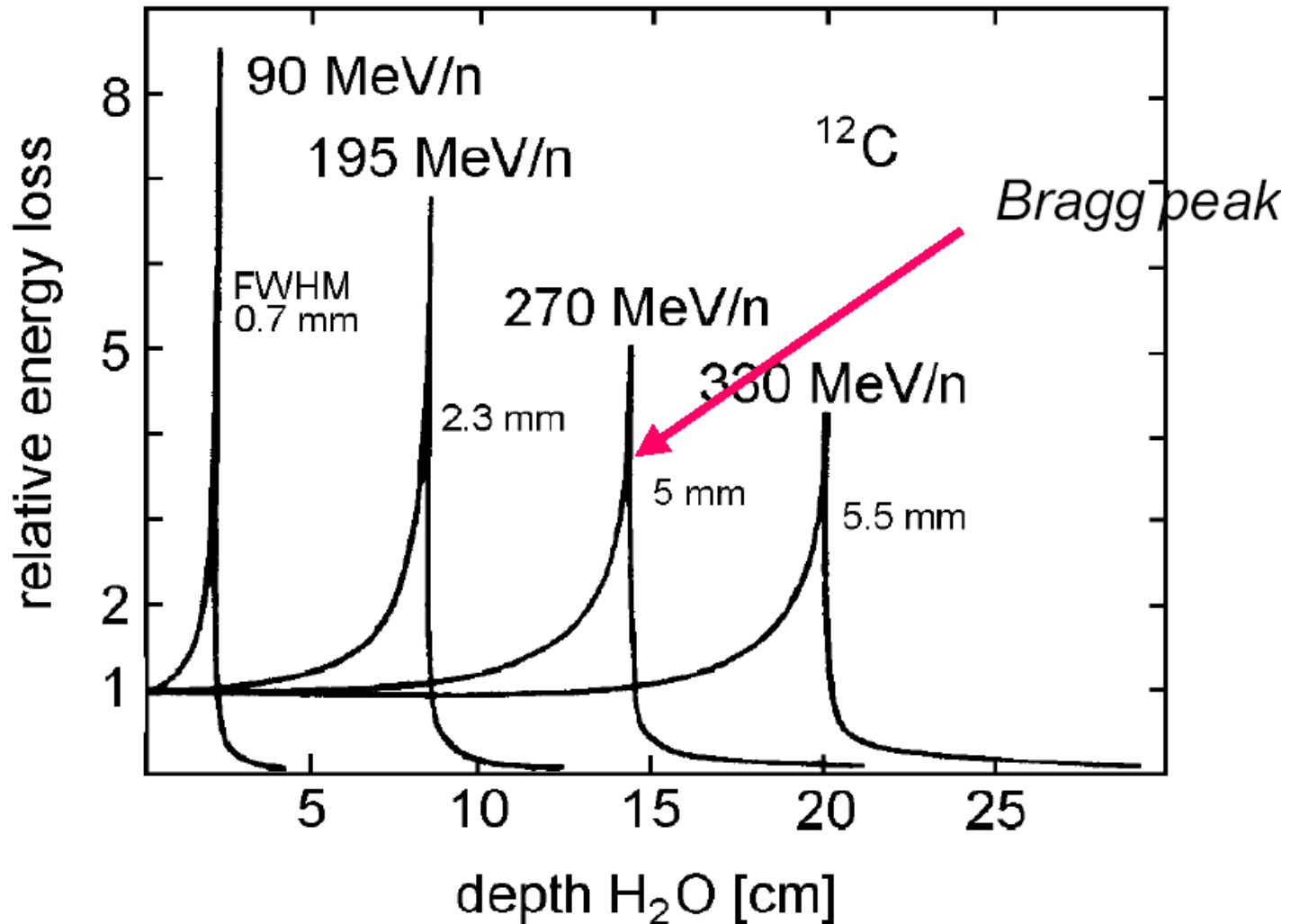


R.R. Wilson at Harvard mid 1940s  
†2000

# Clinical advantages of heavy-ion beams

- ➔ Excellent depth-dose profile (Bragg curve) *p, ions*
- ➔ Increased biological effectiveness *only ions*
- ➔ Tumor-conform treatment *p, ions*
  - beam scanning + energy variation
- ➔ In-vivo range localisation *(p), ions*
  - Positron-emitting beam fragments (PET)

# Range of $^{12}\text{C}^{6+}$ Ions in Water

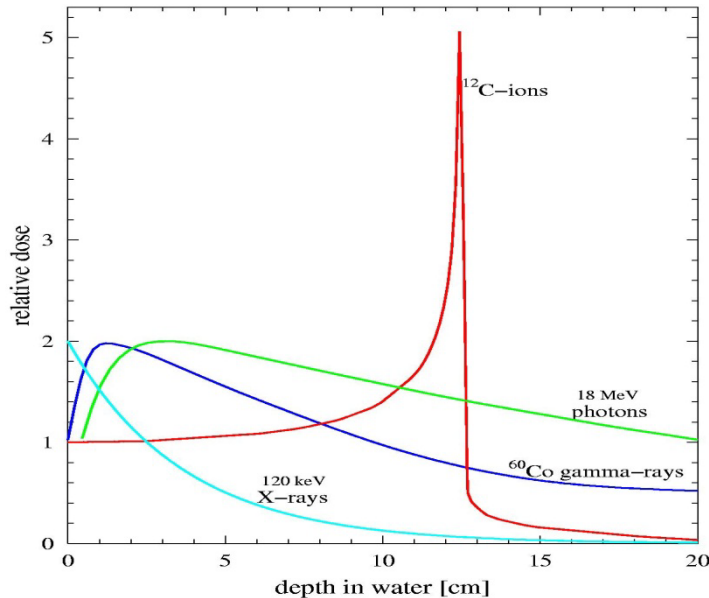


# Bragg curves of ion beams

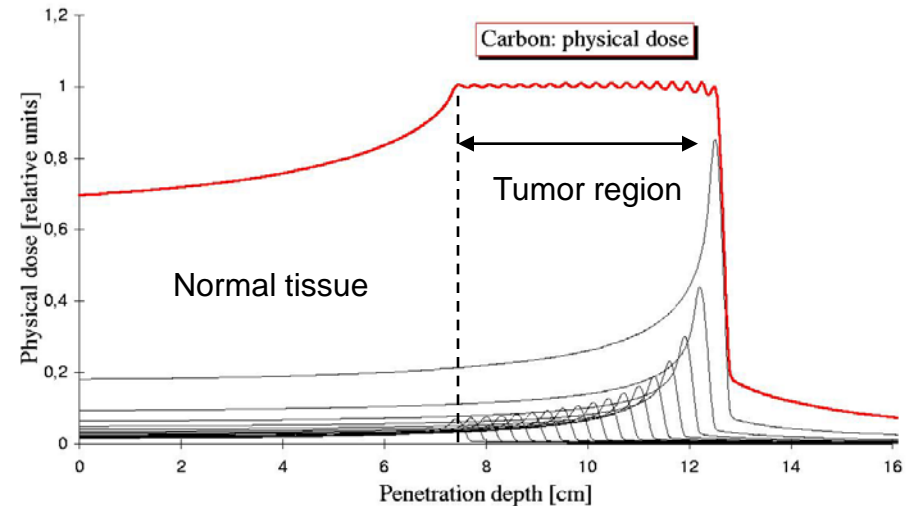


## Inverted depth-dose profile

Unmodified Bragg peak



Extended target volume

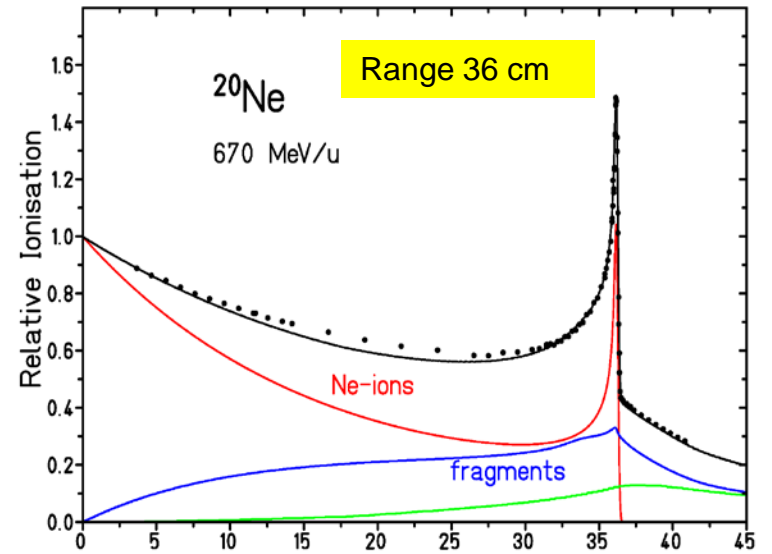
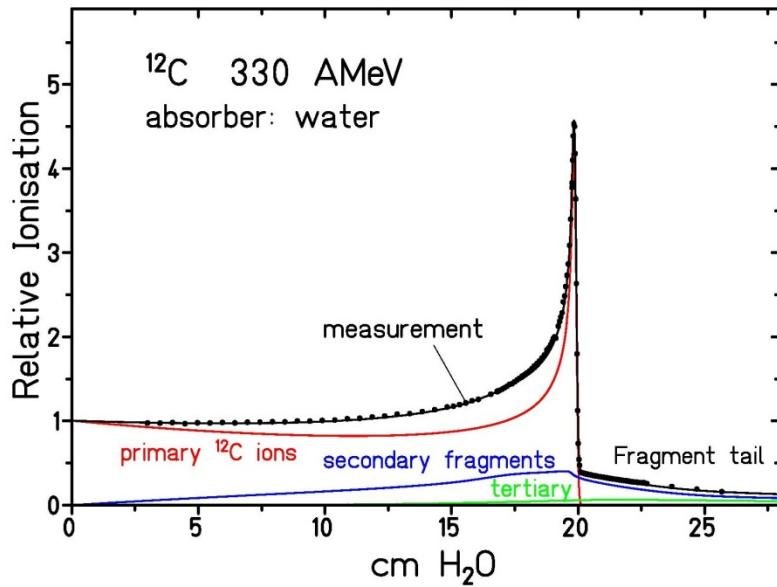


$$\frac{dE}{dx} = -4\pi N \frac{Z_p^2 e^4}{m_e c^2 \beta^2} Z_T \left[ \ln \frac{2m_e c^2 \beta^2}{I(1-\beta^2)} - \beta^2 \right]$$

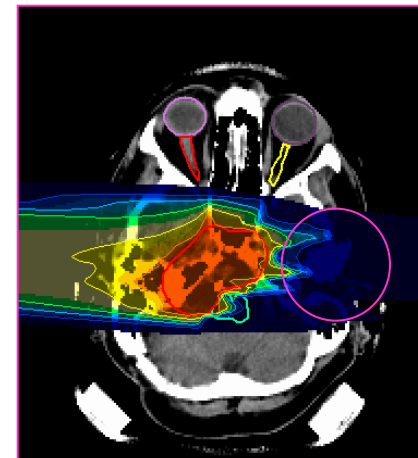
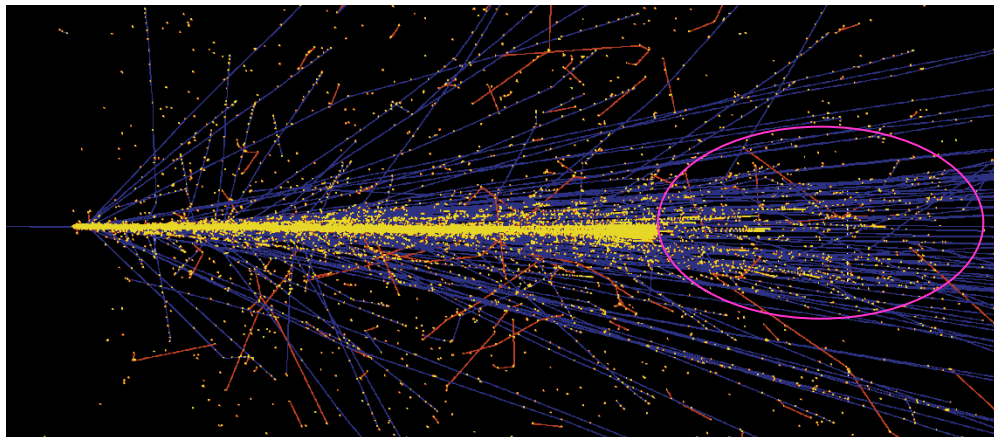
Typically 30 energy steps needed  
for a ripple < 5%



# Bragg curves of ion beams



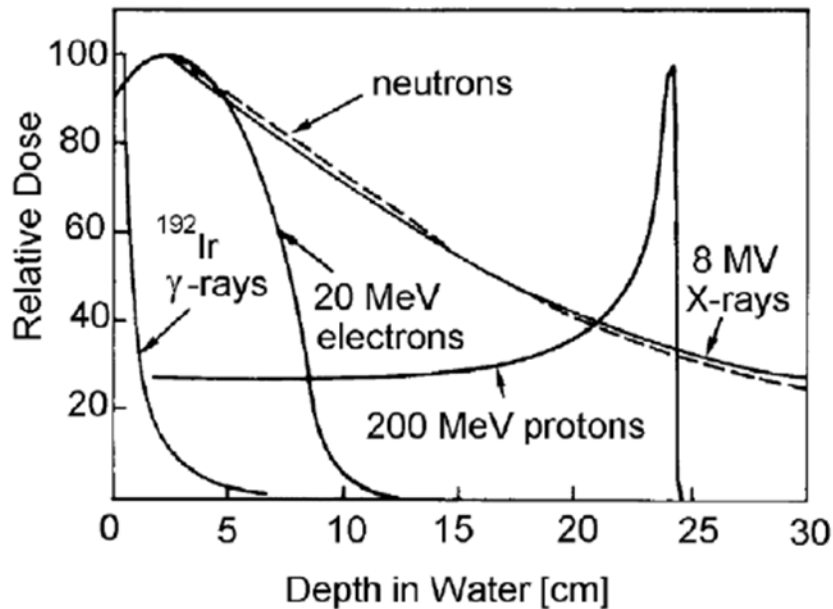
High-energy carbon beam stopping in water



# Comparison with other therapies

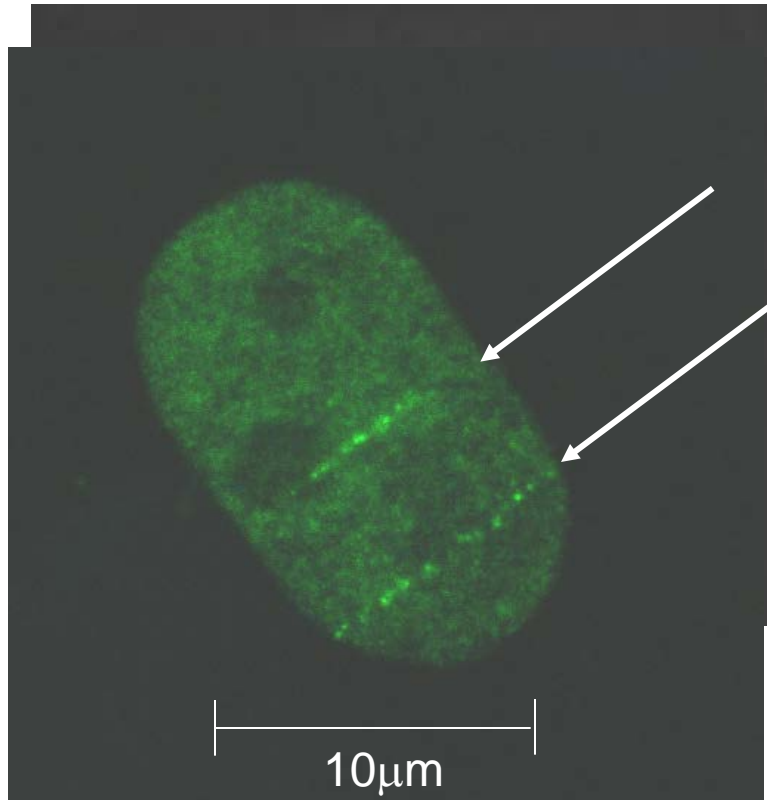
Tumortherapie mit ionisierenden Teilchenstrahlen:

- $\gamma$ -Strahler und hochenergetische Photonen  
starke Absorption an Oberfläche
- Elektronen  
starke Absorption an Oberfläche
- Neutronen  
starke Absorption an Oberfläche  
Lokal:  $n + {}^{10}\text{B} \rightarrow {}^7\text{Li} + \alpha$
- Pionen  $\pi$  Sekundärstrahl:  
 $p + \text{nukl} \rightarrow p + \text{nukl} + \pi^+ + \pi^- + \pi^0$
- Protonen
- Schwere Ionen  
hohe biologische Wirksamkeit im Bragg peak

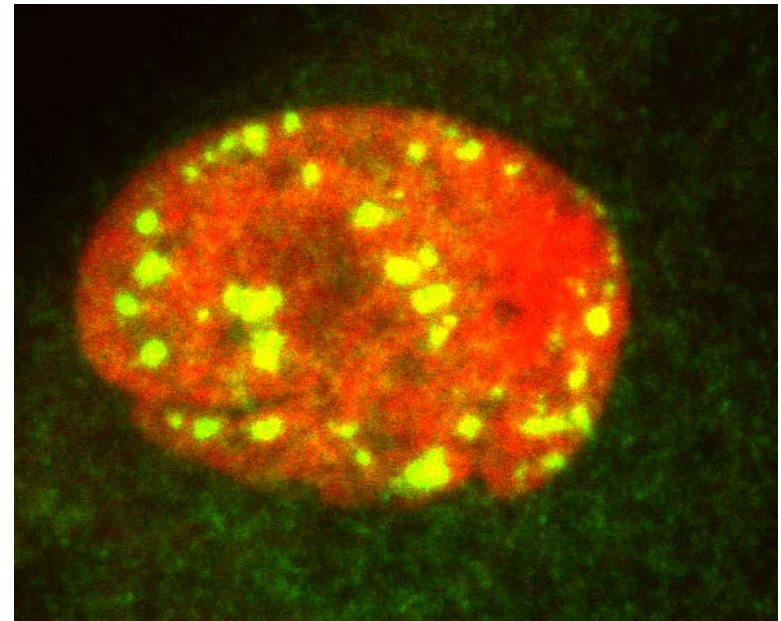


# Microbeam - Irradiation of single cells

Nucleus of a human fibroblast cell



Jakob et al., Rad. Res.163,681(2005)



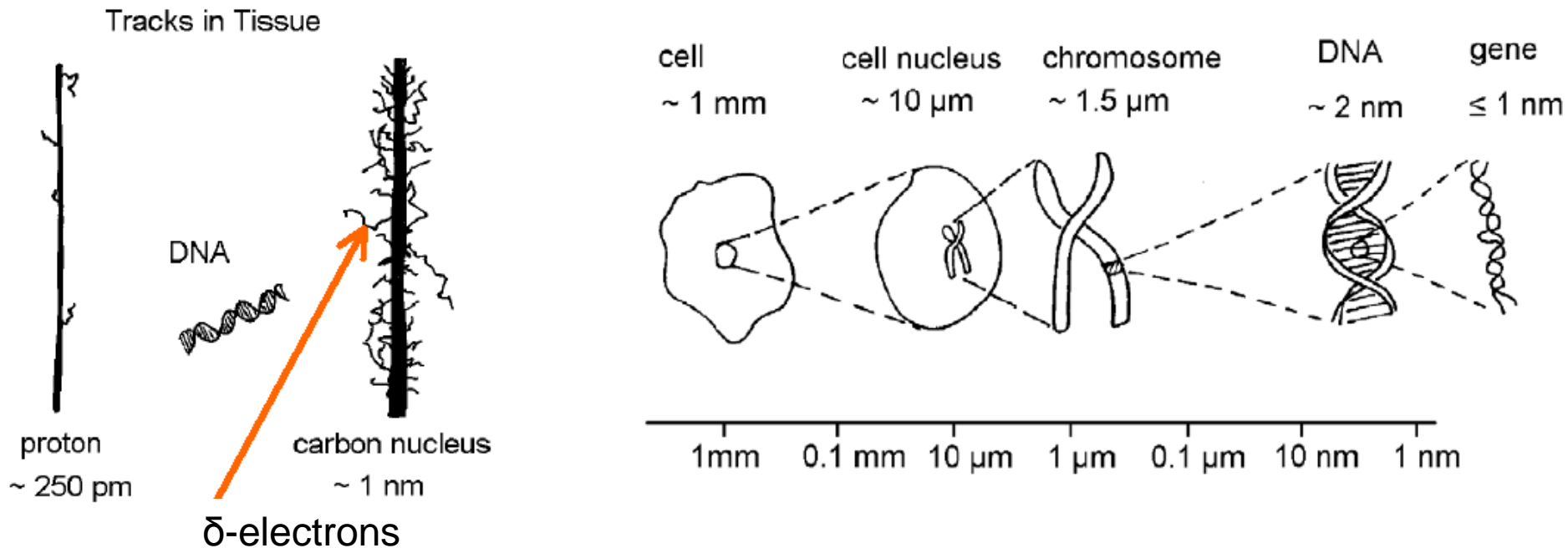
Biological response visualized by  
immuno-staining

*Barberet et al, Rad. Res. 166,682(2006)*

# Biological effectivity

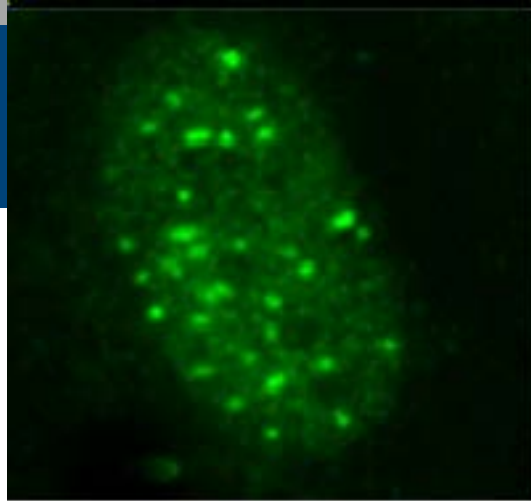
Increased biological effectivity of ions because of more DNA helix breaks.

Transversal range of the ionizing radiation:

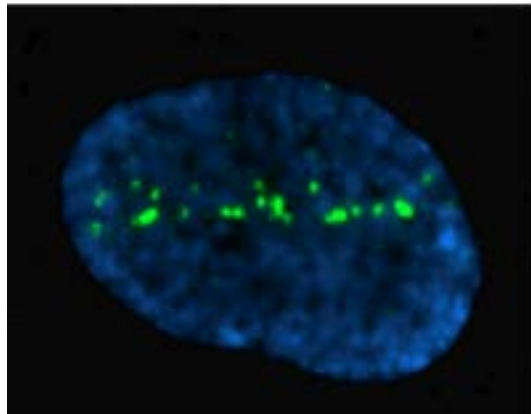


# Biological effects of heavy ions

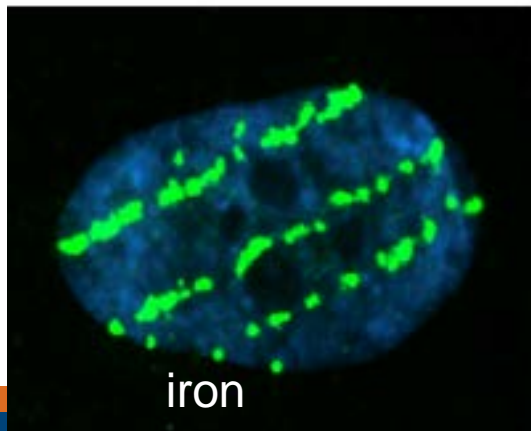
## Tracks in cells



γ-rays

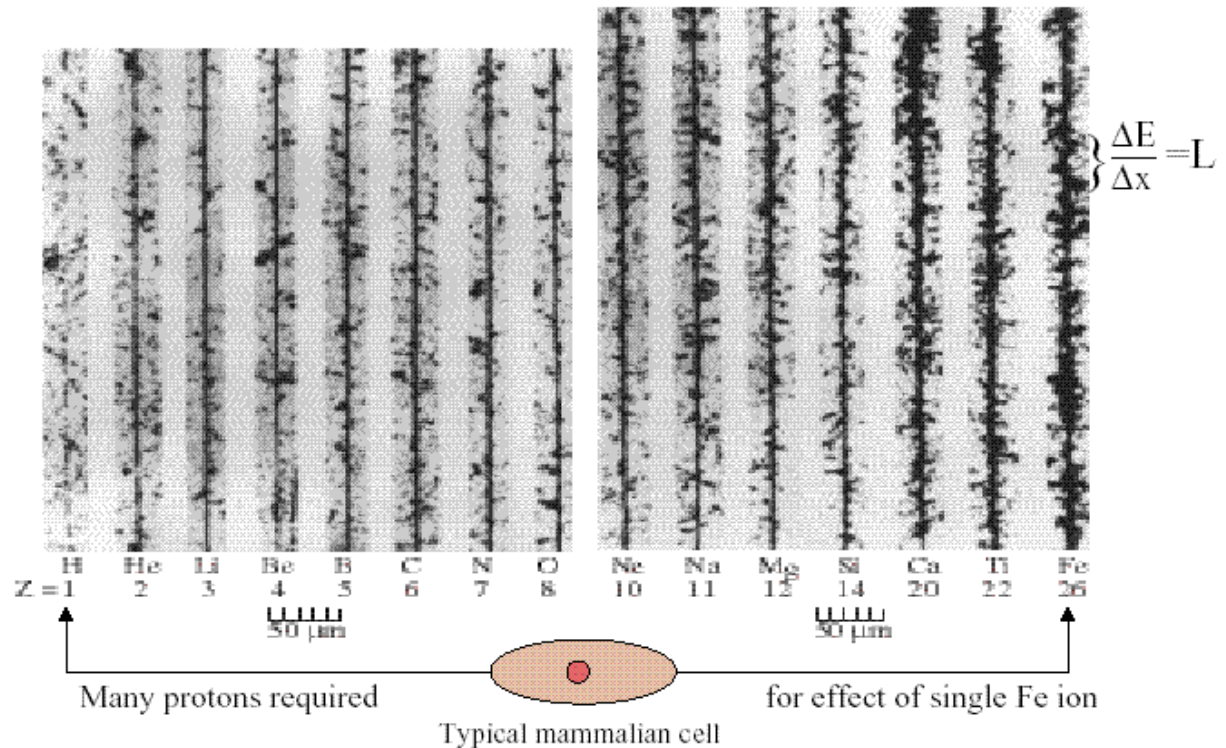
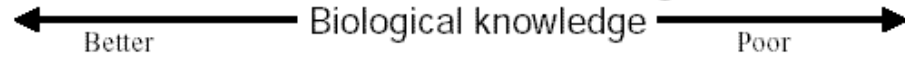


silicon



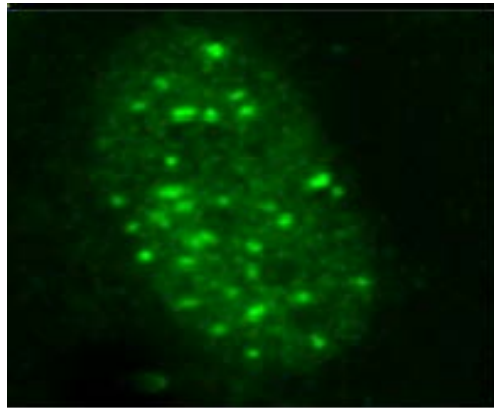
iron

GCR Ion Tracks Are Dangerous

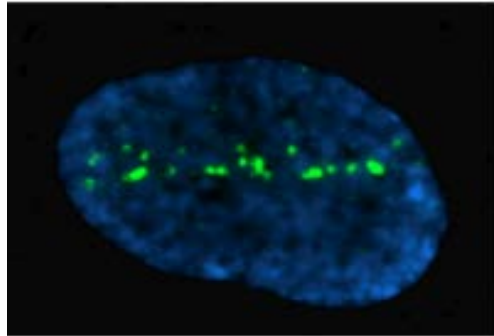


Cucinotta and Durante, *Lancet Oncol.* 2006

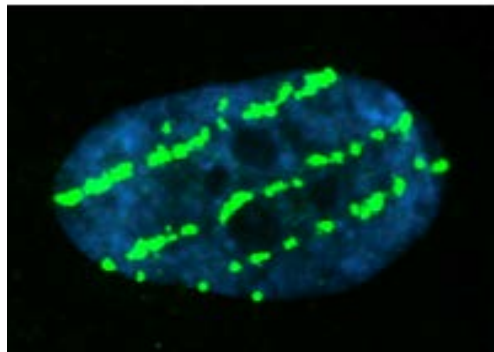
# Heavy Ion Tracks Visualized in Human Cells



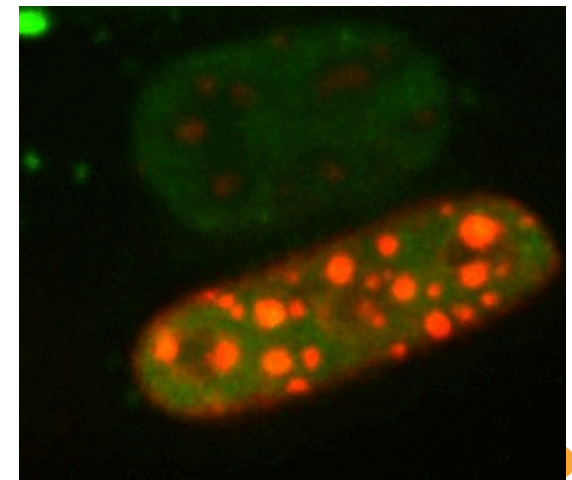
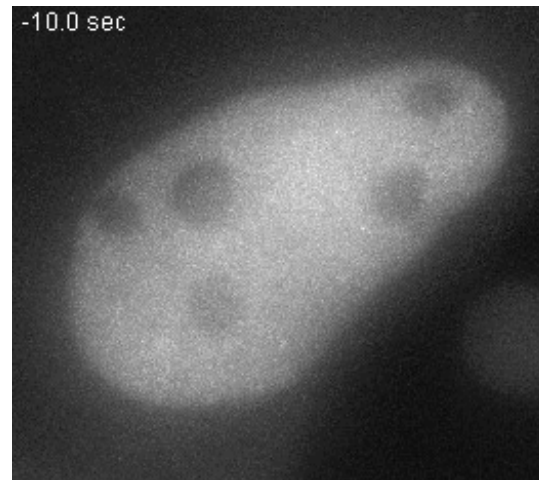
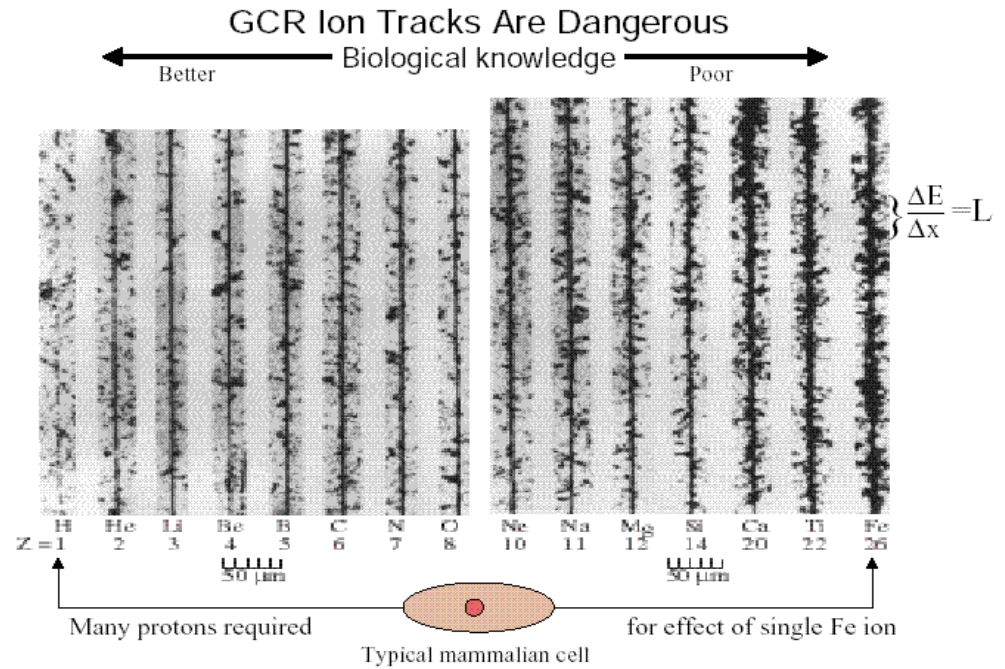
γ-rays



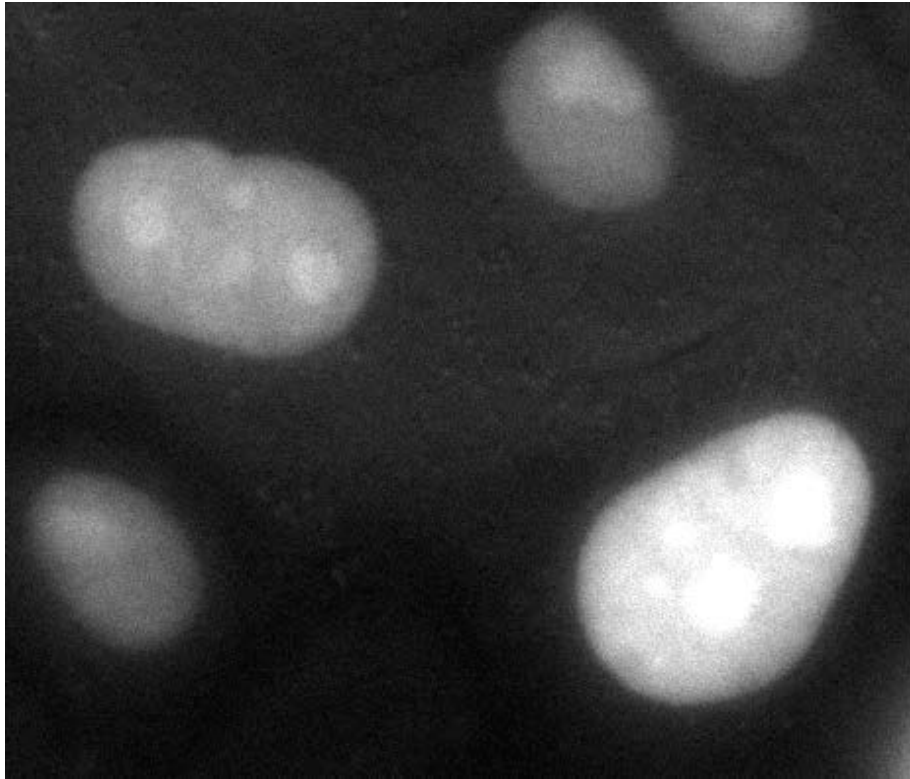
silicon



iron



# Beamline live cell imaging

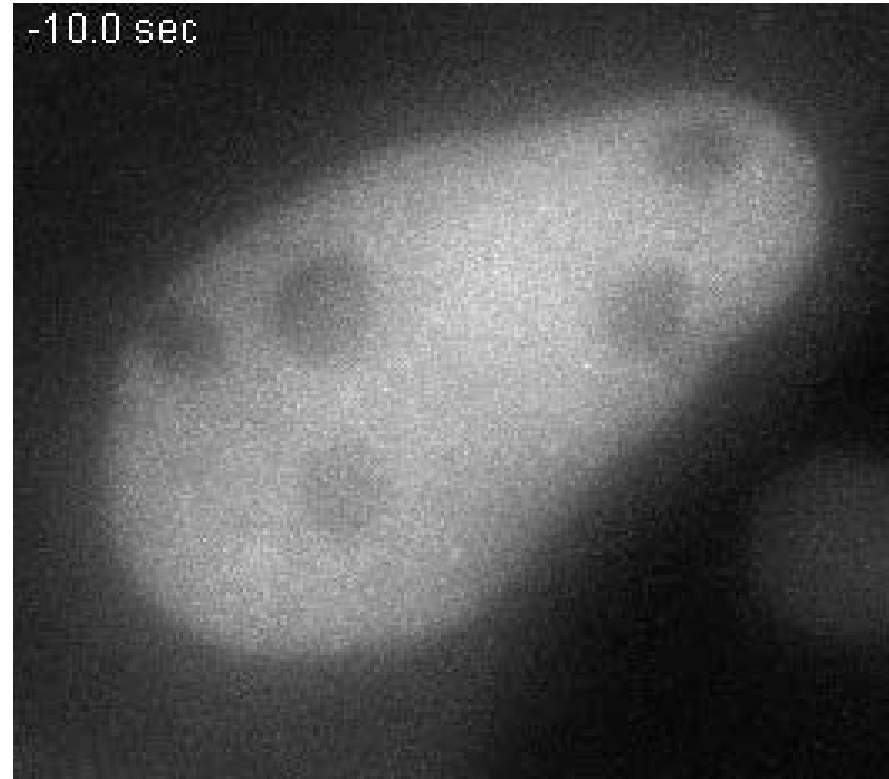


Uranium 11 MeV/n, 90°

Human cells

GFP- APTX (Aprataxin)

Jakob *et al. Proc. Natl. Acad. Sci. USA* (2009)



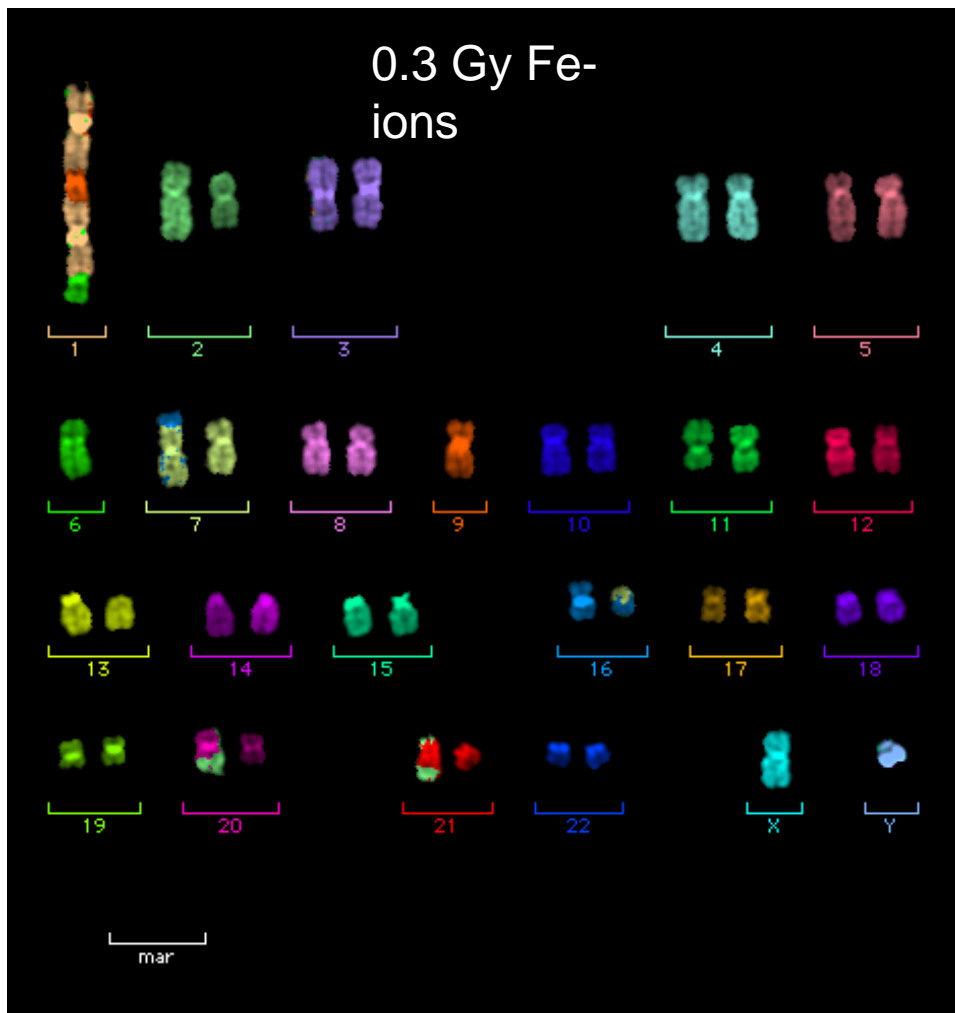
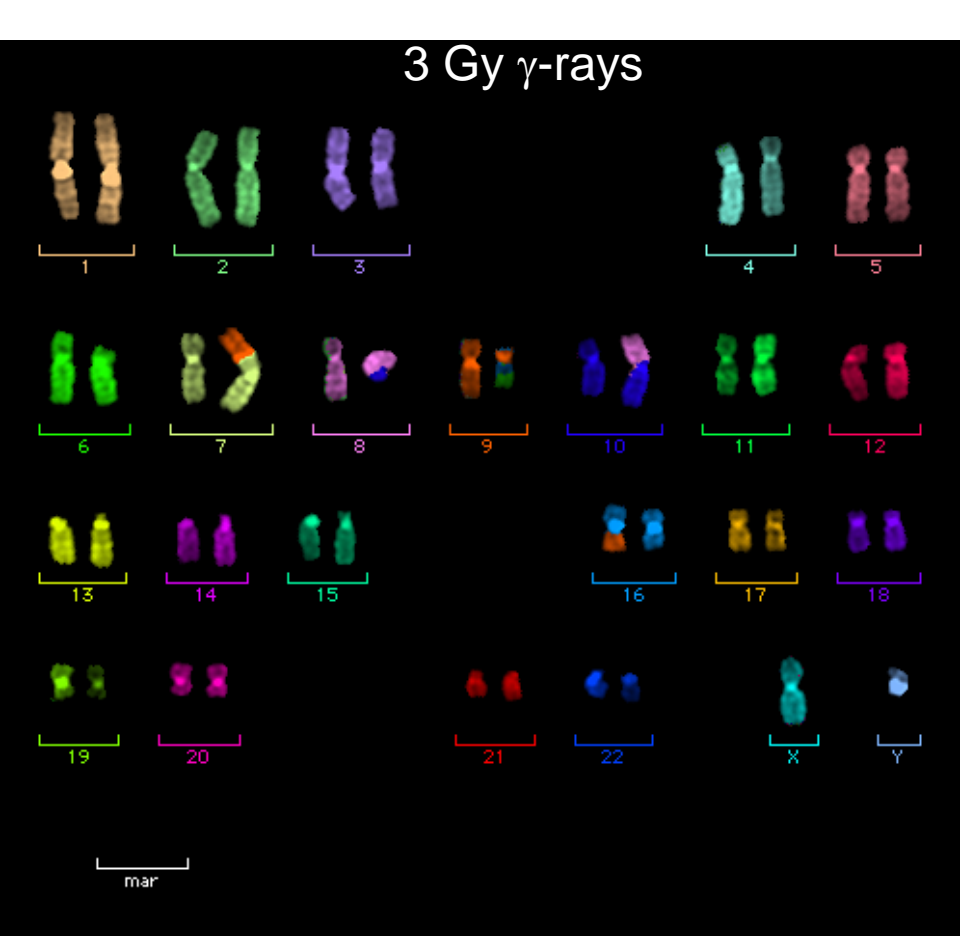
Iron 1 GeV/n, 0°

Human cells

GFP-Nijmegen breakage syndrome 1

(NBS1)

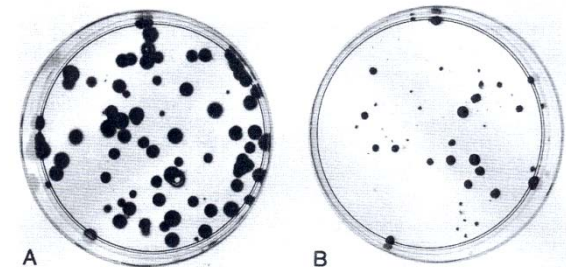
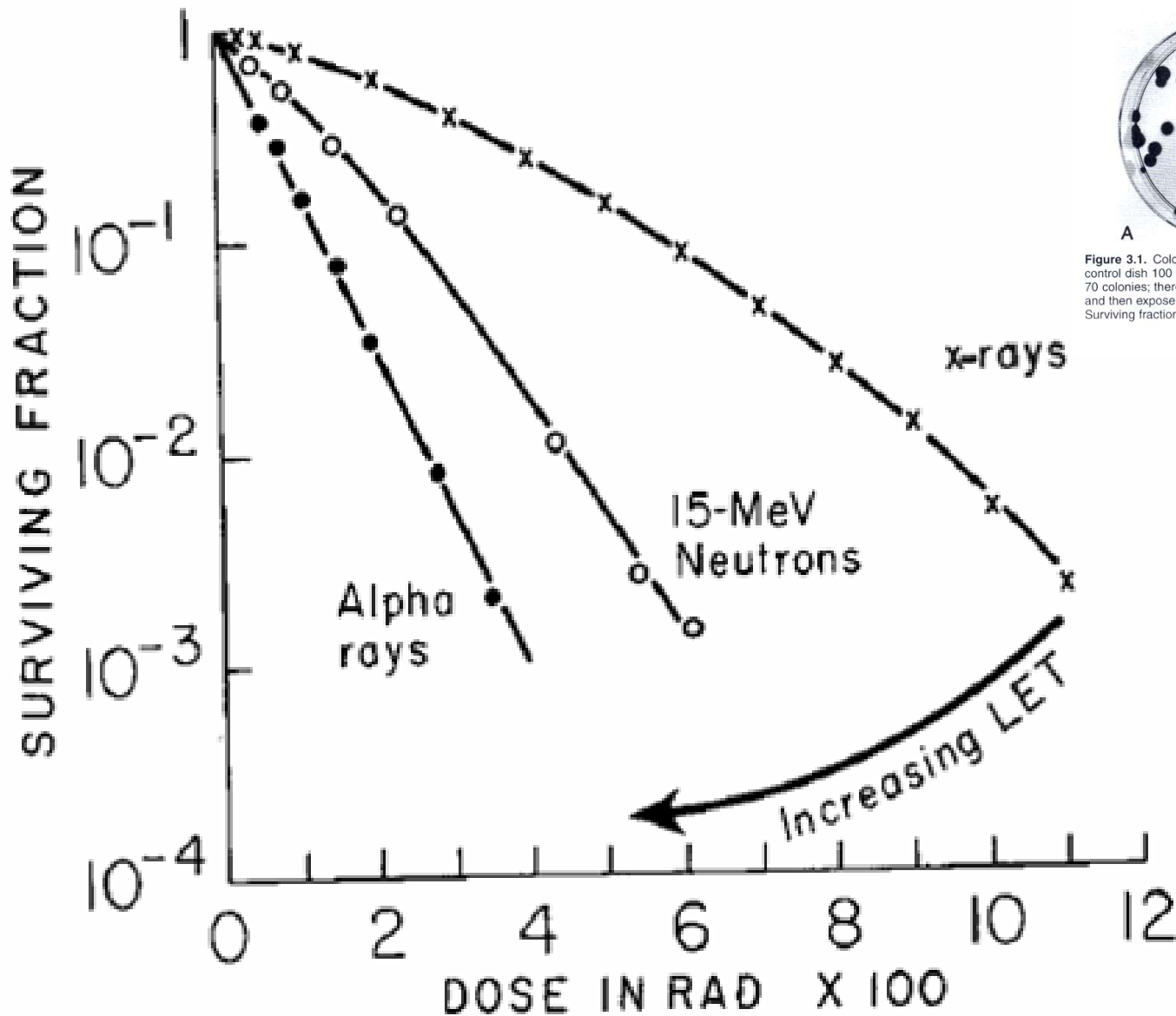
# Chromosomal aberrations induced by heavy ions



Durante *et al.*, *Radiation Research* 2002



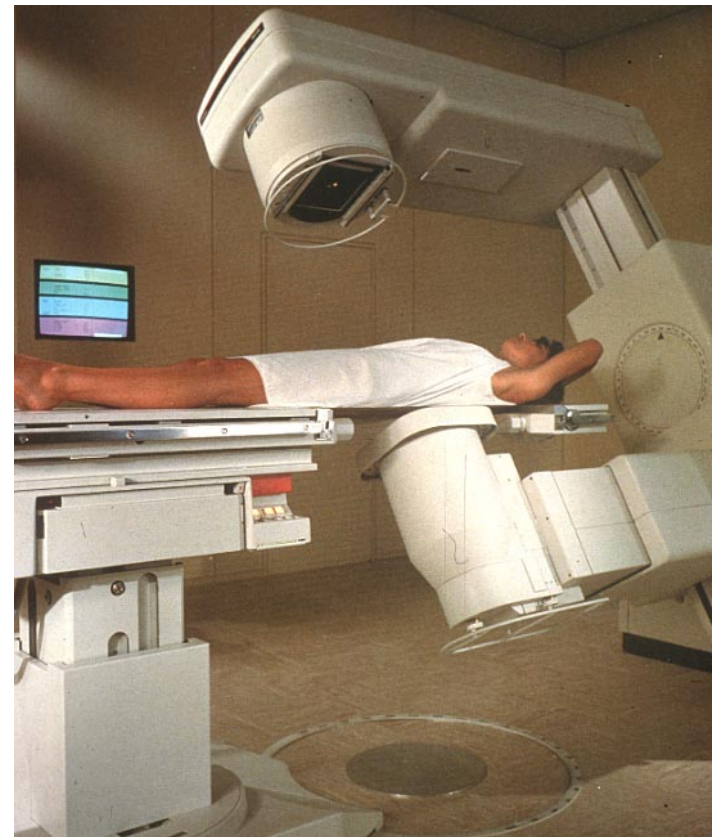
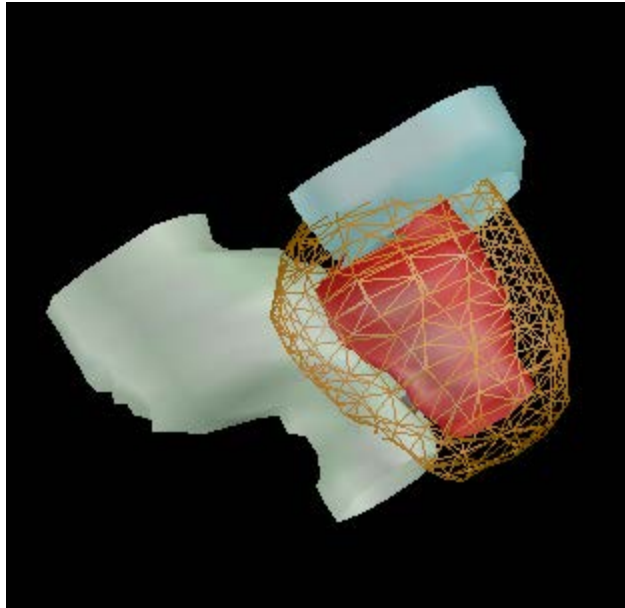
# Cell killing by different radiation types



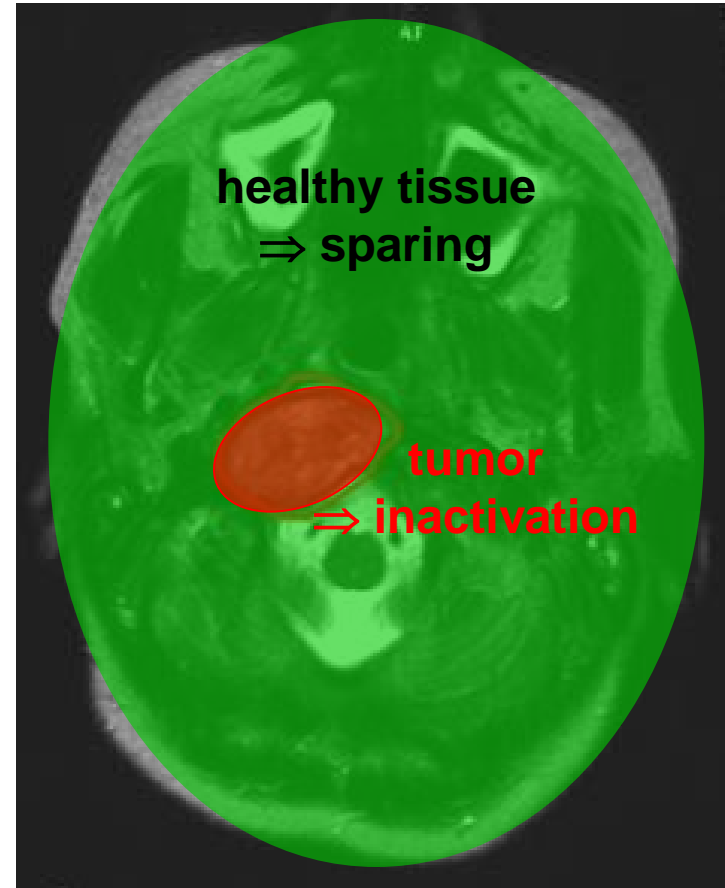
**Figure 3.1.** Colonies obtained with Chinese hamster cells cultured in vitro. **A:** In this unirradiated control dish 100 cells were seeded and allowed to grow for 7 days before being stained. There are 70 colonies; therefore the plating efficiency is 70/100, or 70%. **B:** Two thousand cells were seeded and then exposed to 800 rad (8 Gy) of x-rays. There are 32 colonies on the dish. Thus:  
 Surviving fraction = Colonies counted [colonies seeded x (PE/100)]  
 =  $32/2000 \times .7$   
 = 0.023

**LET:**  
linear energy transfer

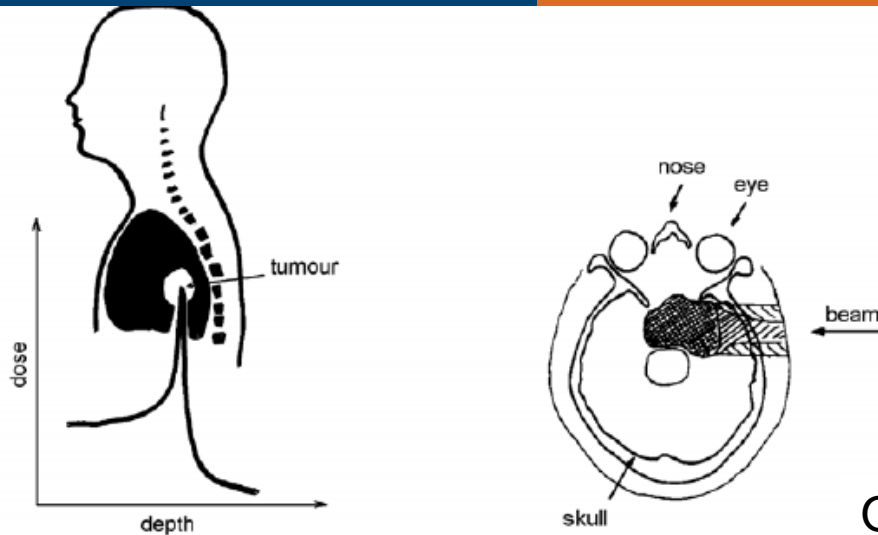
# The good side of radiation: radiotherapy



# Task



# Tumor therapy: treatment strategy

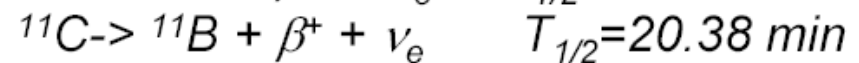
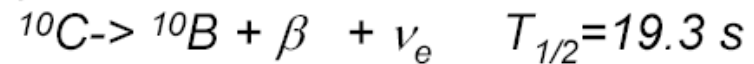


The treatment has to take care of size and precise location of the tumor. Different structures of tissue and the biological effectivity have to be taken into account.

Observation of the location with positron emission tomography

- Fragmentation of  $^{12}\text{C}$  produces  $^{11,10}\text{C}$

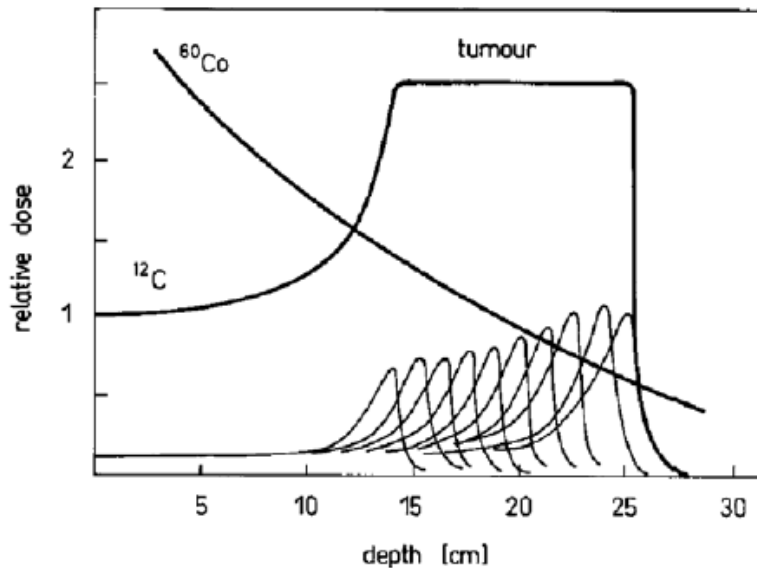
- $\beta$ -decay:

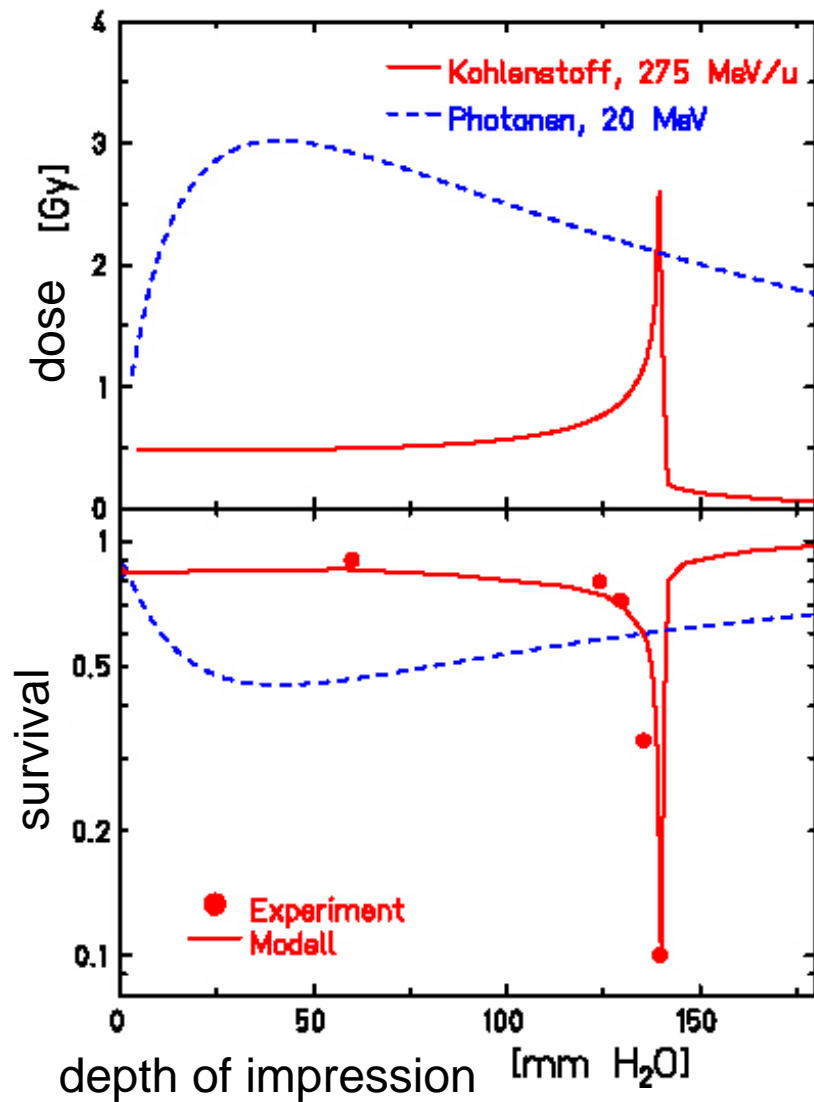


- Annihilation  $e^+ + e^- \rightarrow \gamma + \gamma$

- Correlated emission back-to-back of two 511keV  $\gamma$ -quanta

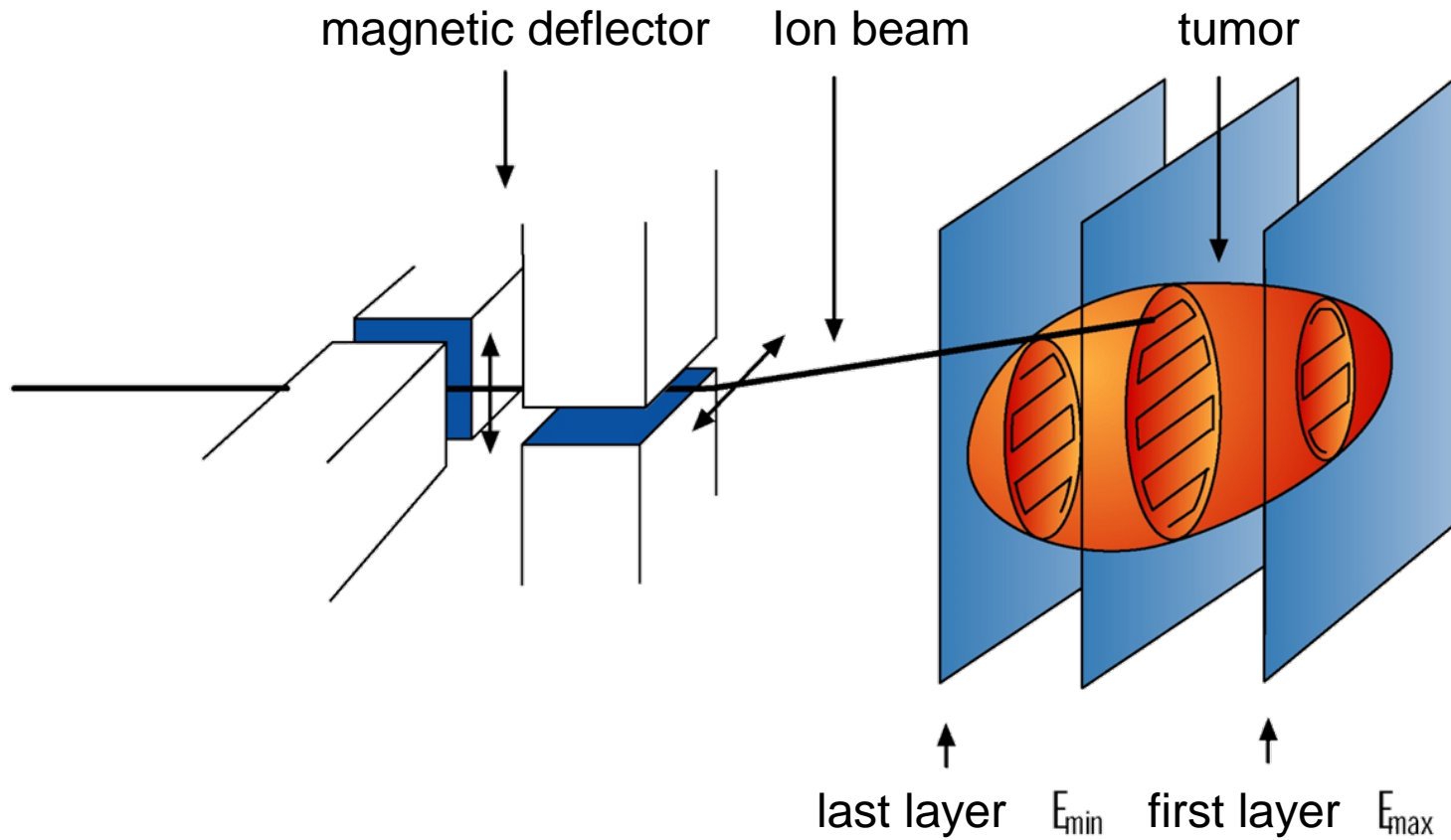
- resolution  $\sim 2\text{-}3\text{mm}$



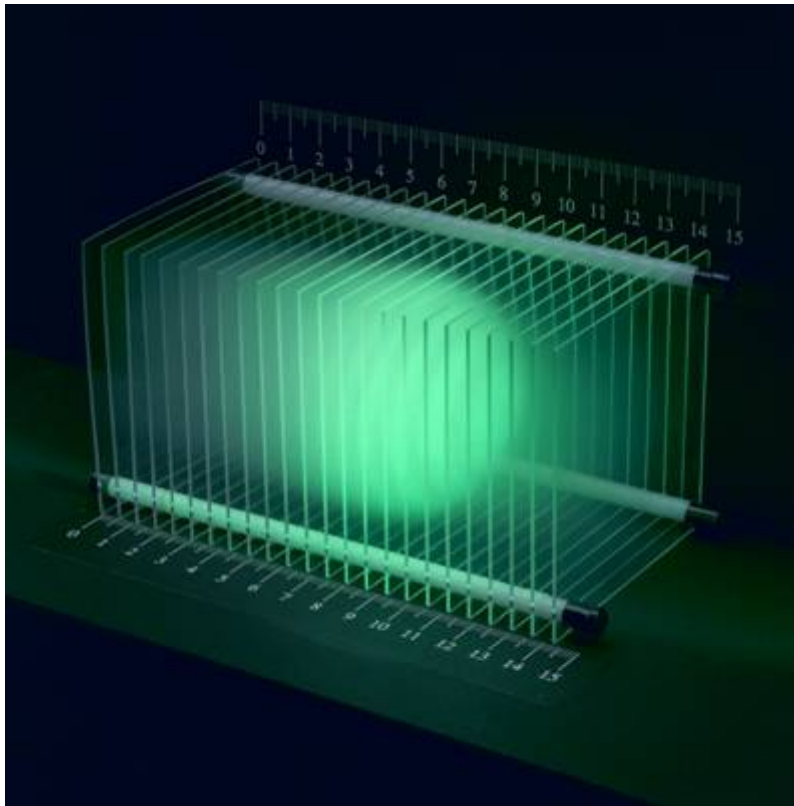


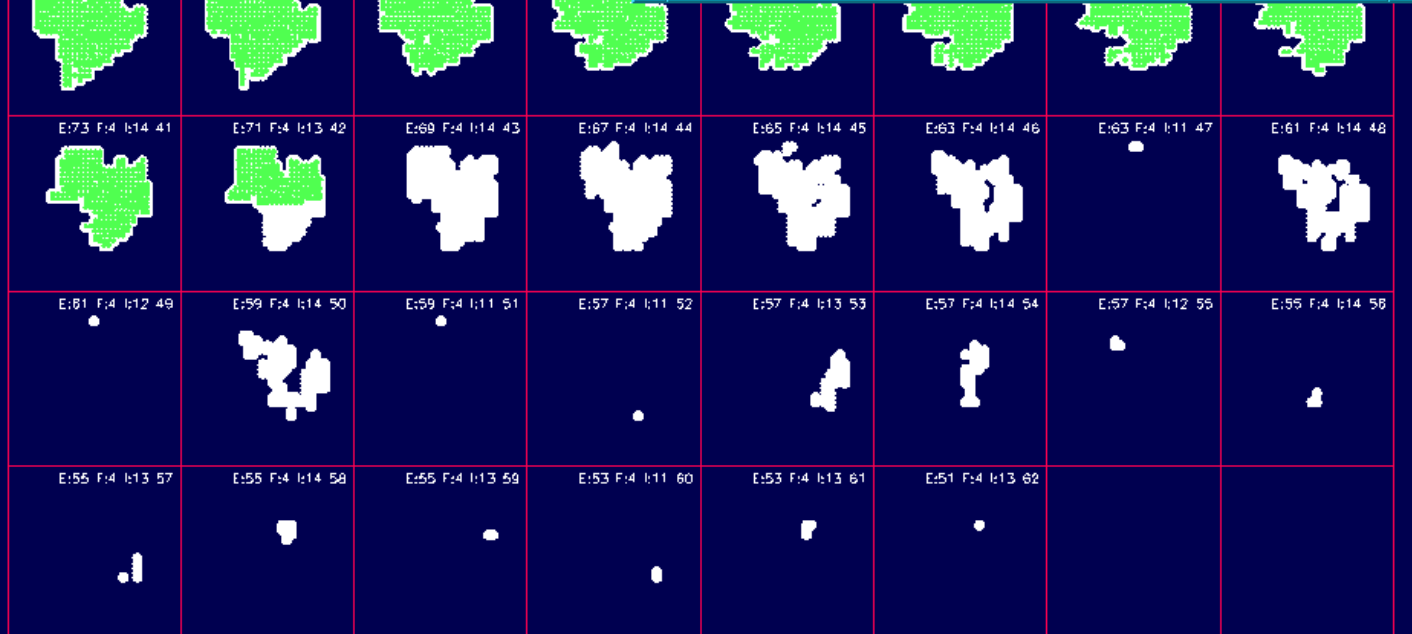
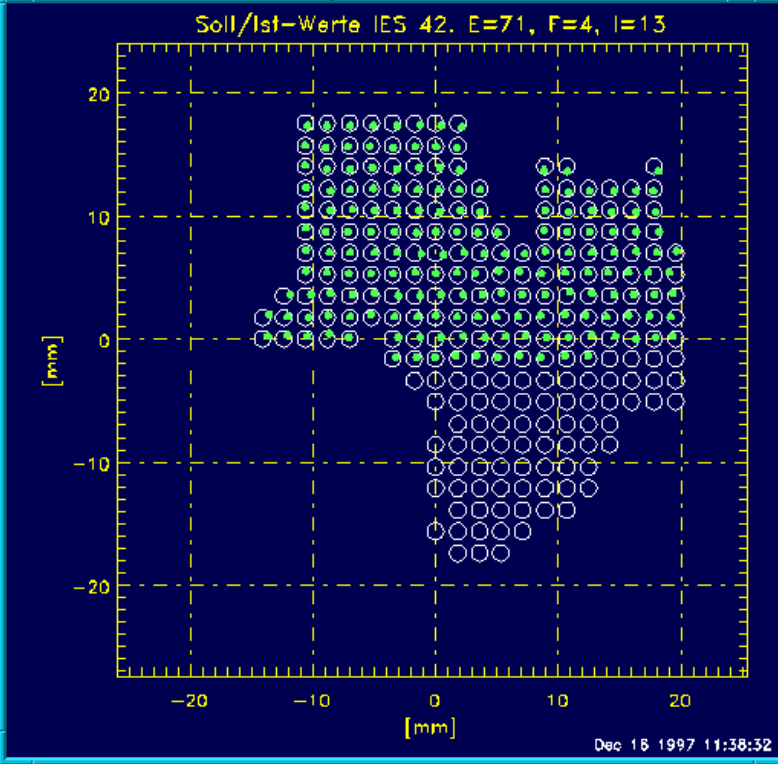
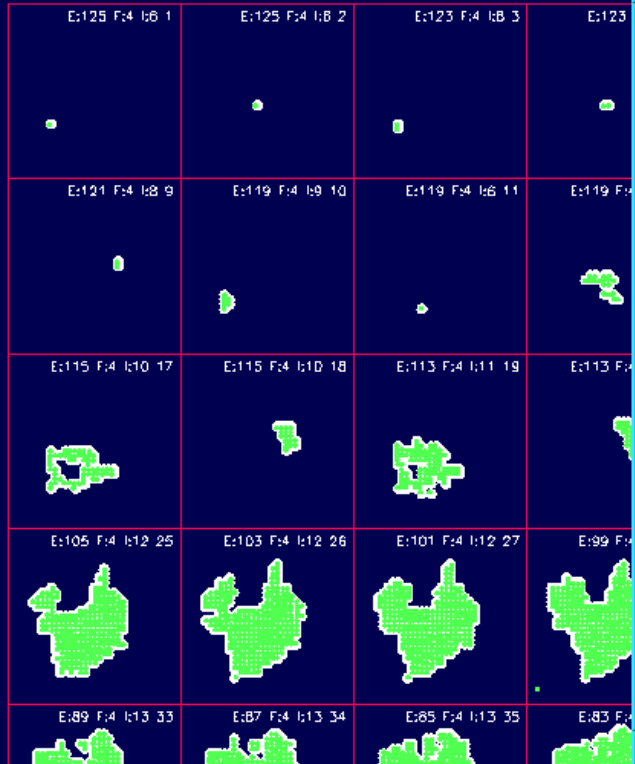
# Technical Realization

## Intensity controlled Scan method



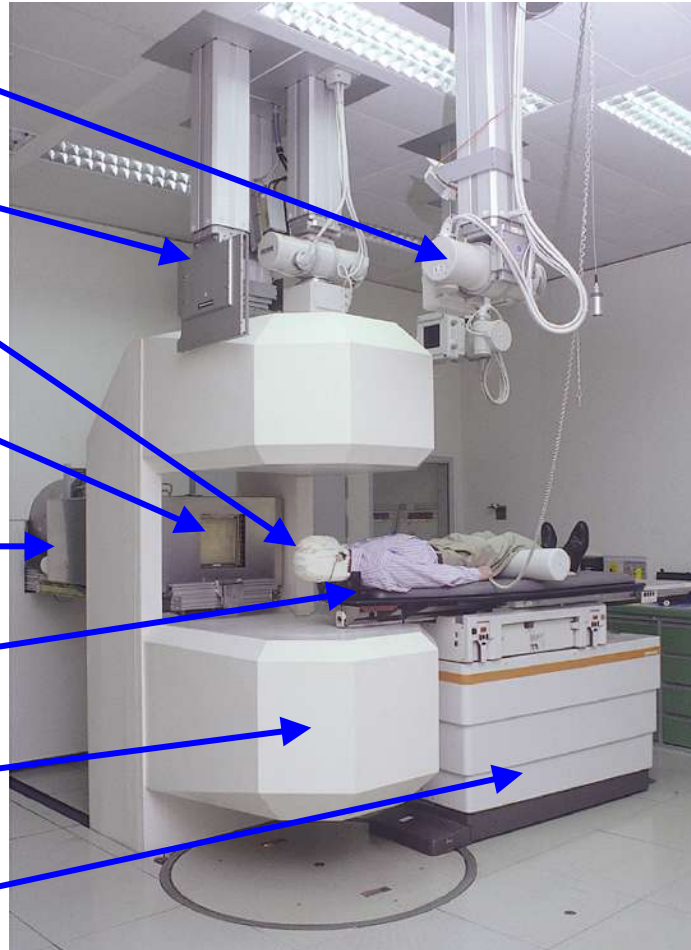
# Scanned beam delivery – example / CR-39 stack

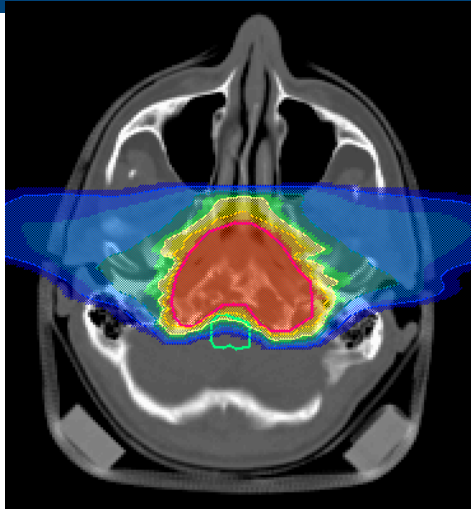






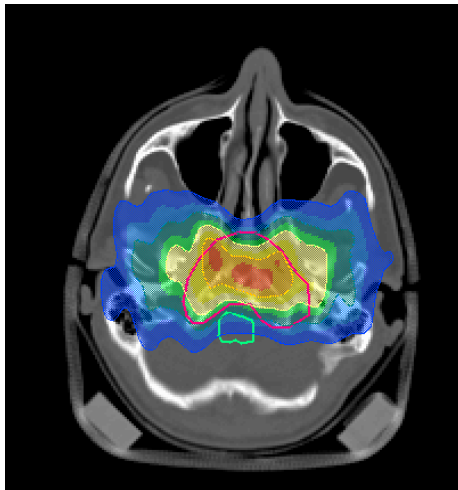
Röntgenröhre  
Bildverstärker  
Patientenmaske  
Strahlaustrittsfenster  
Patientenmonitor  
Patient  
PET-Kamera  
Patiententisch





Here the distribution of the physical dose is shown overlapping with a CT picture of the brain (red means high dose).

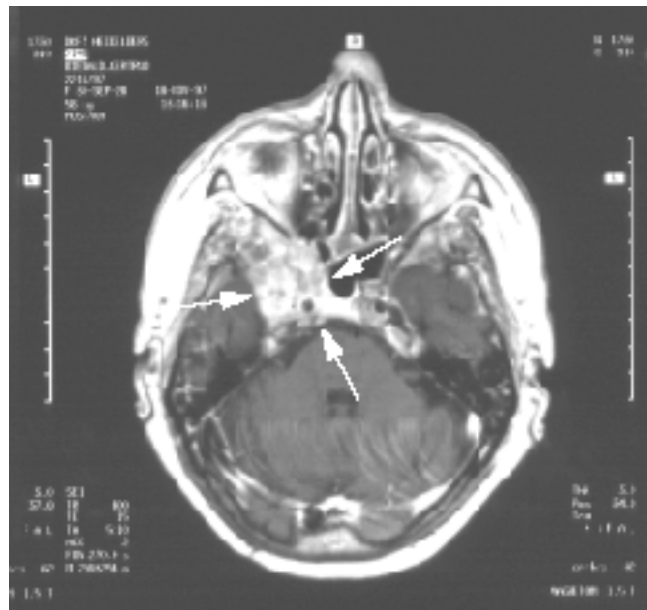
The dose will be optimized to gain a homogenous biological equivalent dose.



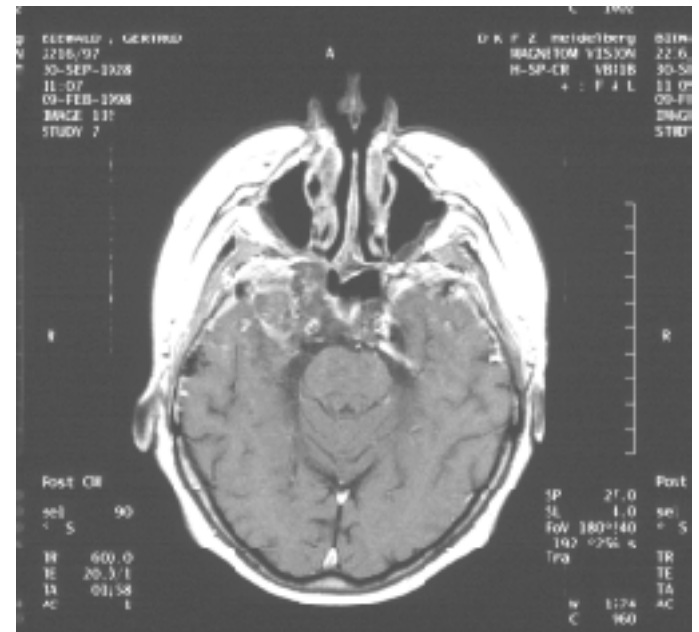
Distribution of the positron emitter measured with the PET-Camera. The maximum activity of the distribution is shifted to the border.

PET is sensitive to  $^{11}\text{C}$  and  $^{10}\text{C}$  nuclei.

# Example of the course of disease: Tumor patient after therapy with carbon ions



Before treatment:  
Tumor at the base of the skull



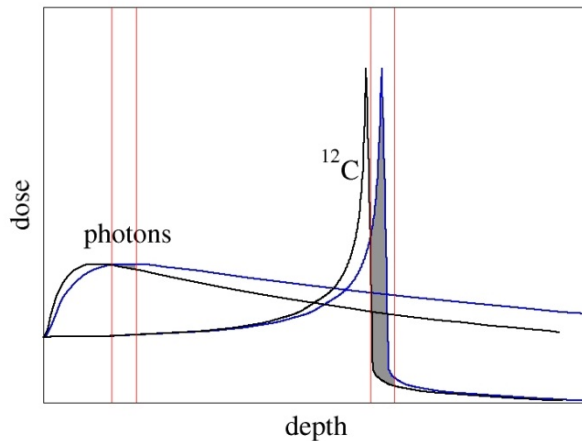
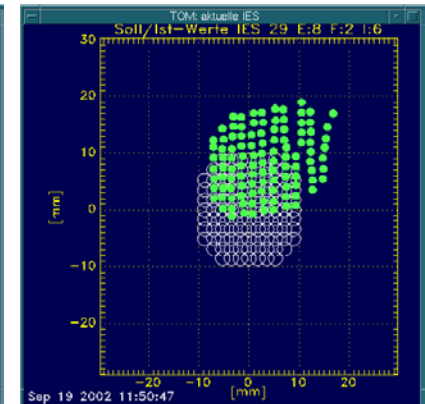
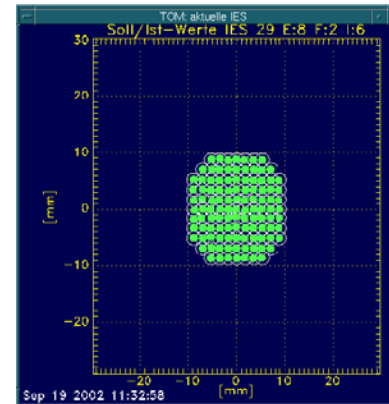
After the ion therapy

# Target Motion and Volume Conformity



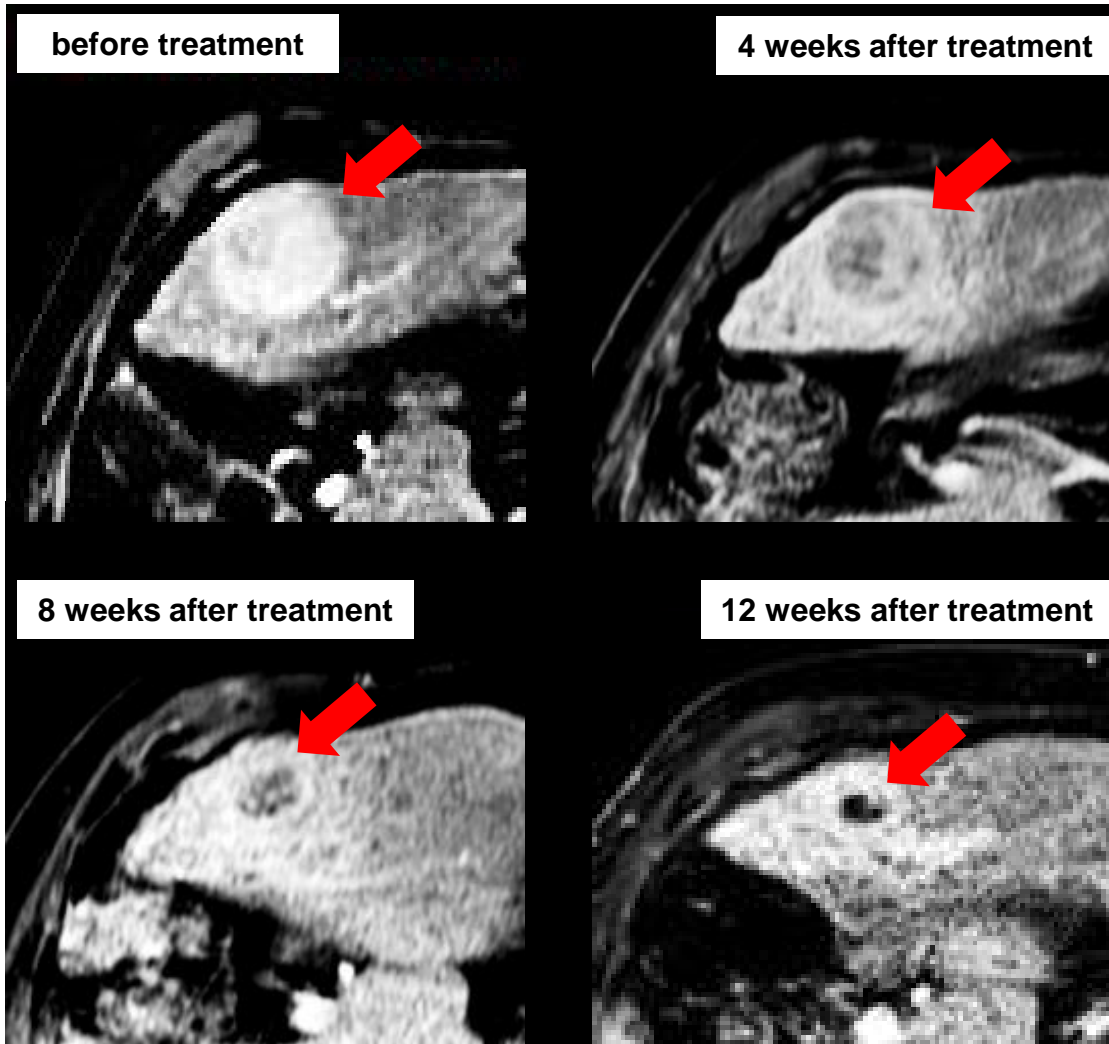
- volume conformal irradiation requires **precise knowledge of target location**

- active beam delivery: **strong interferences between scanning and target motion**



- charged particles: sensitivity in all **three dimensions**

# Tumor response to treatment



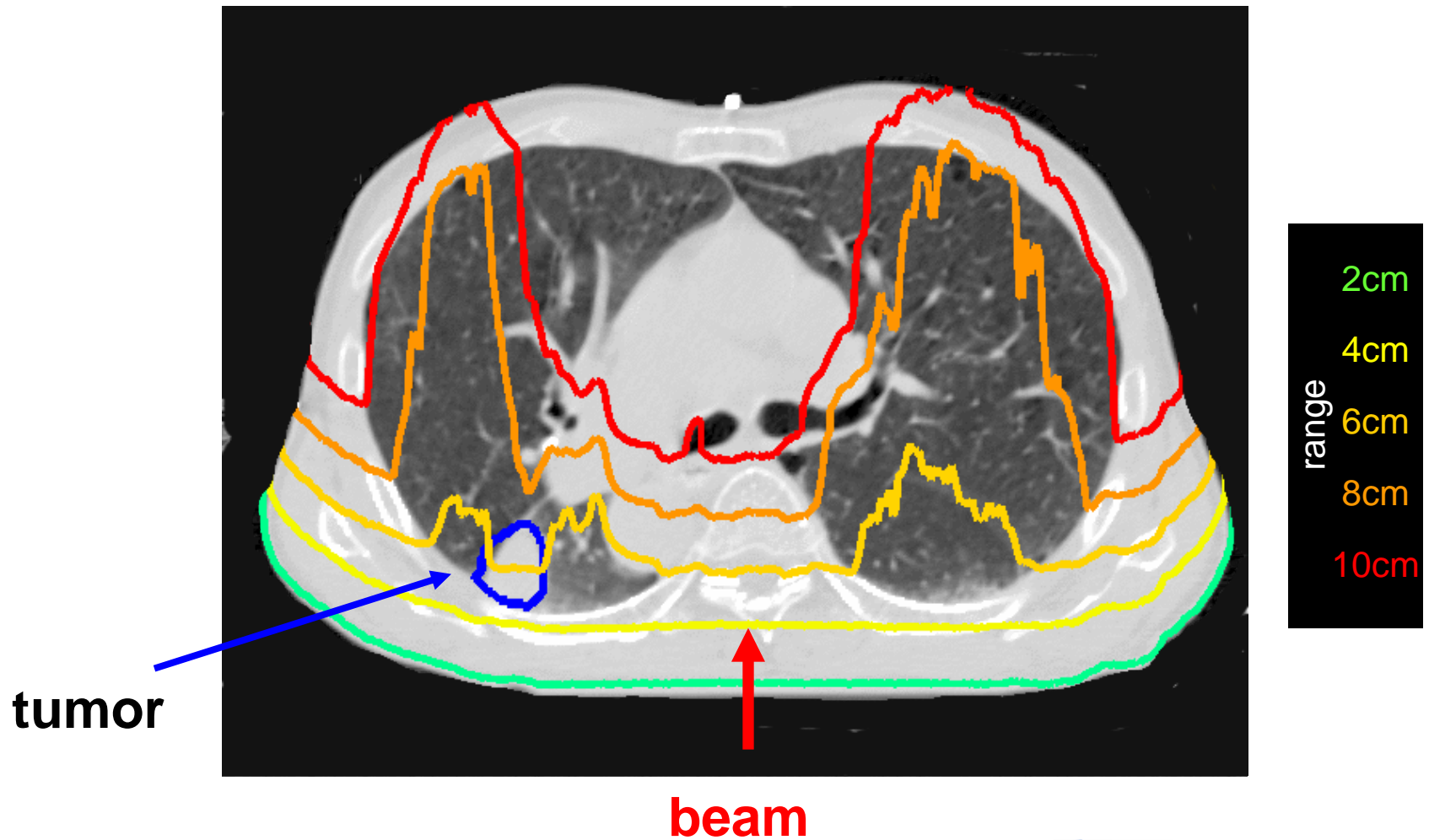
MRT images  
of a treated patient

irradiation under  
**abdominal  
compression**

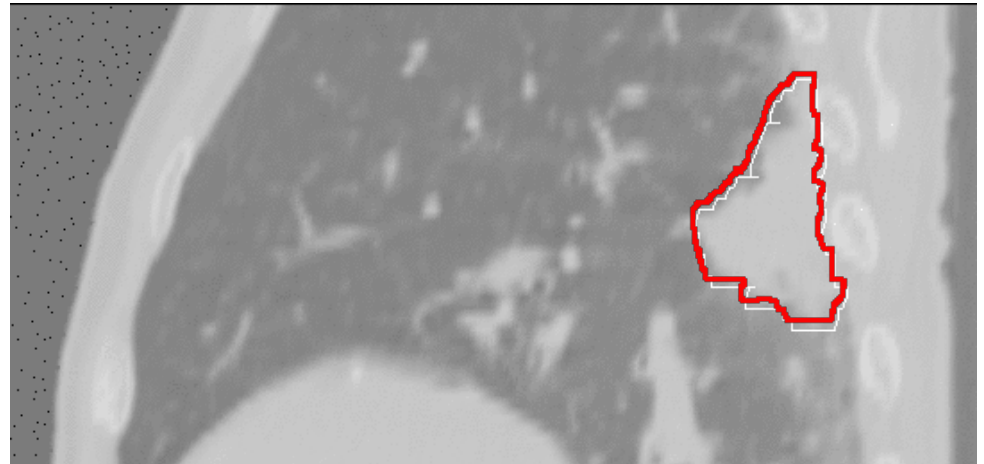
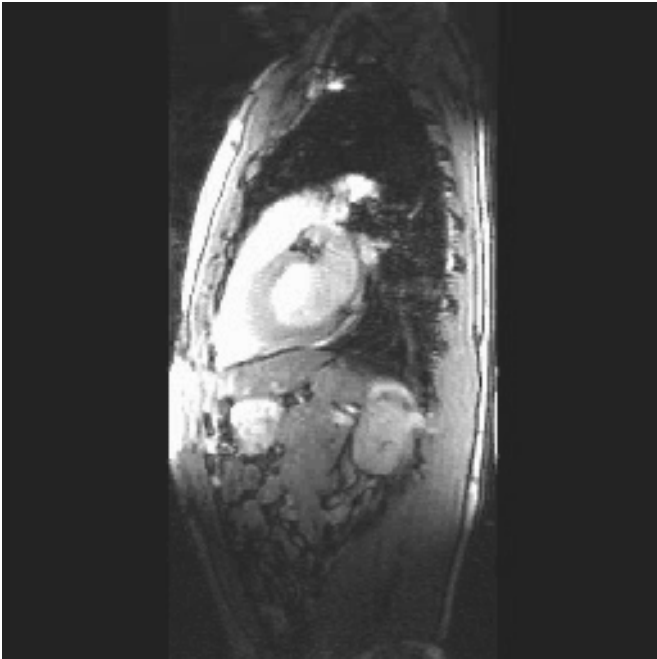
⇒ **visible tumor  
regression**

[ courtesy of  
D. Habermehl et al., HIT ]

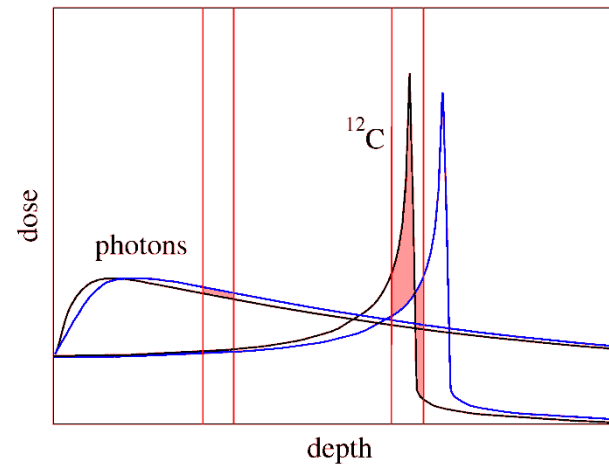
# Respiratory motion - beam range



# Problem of moving organs



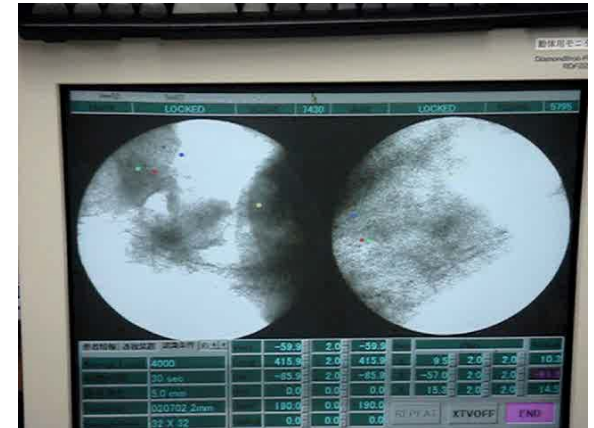
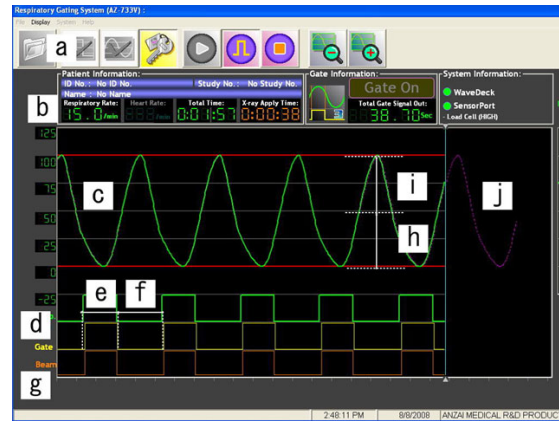
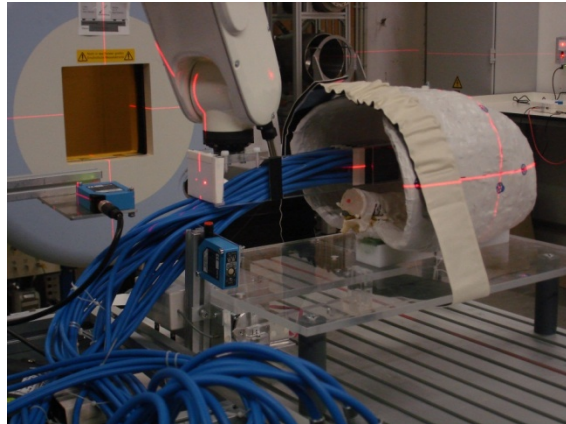
for ions: variations in radiological path length extremely important



# Motion monitoring

(External) motion surrogate

Internal motion



limited precision

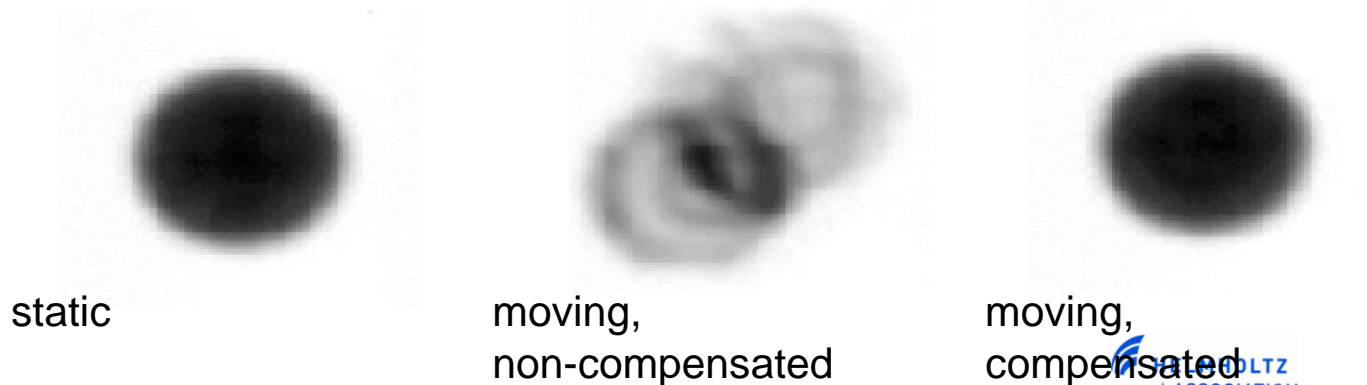
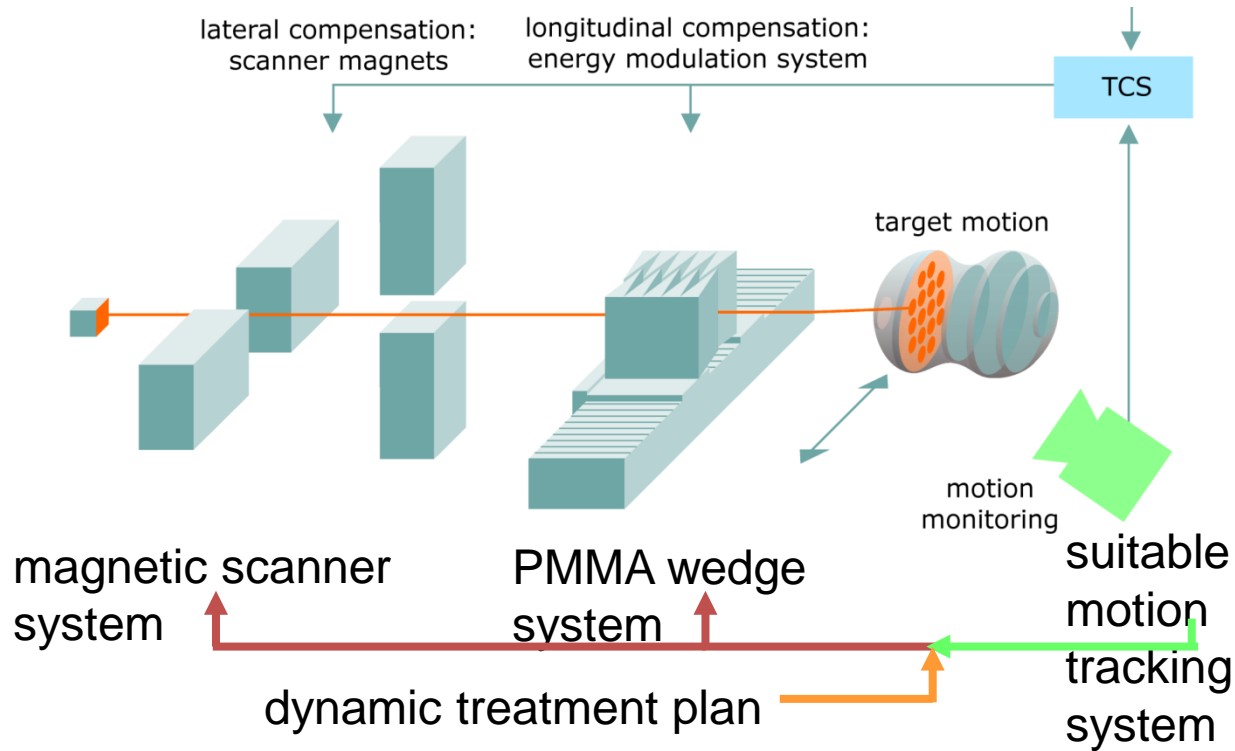
invasive



combine advantages by correlation models (e.g., artificial neural networks, ANN)



# 3D online motion compensation



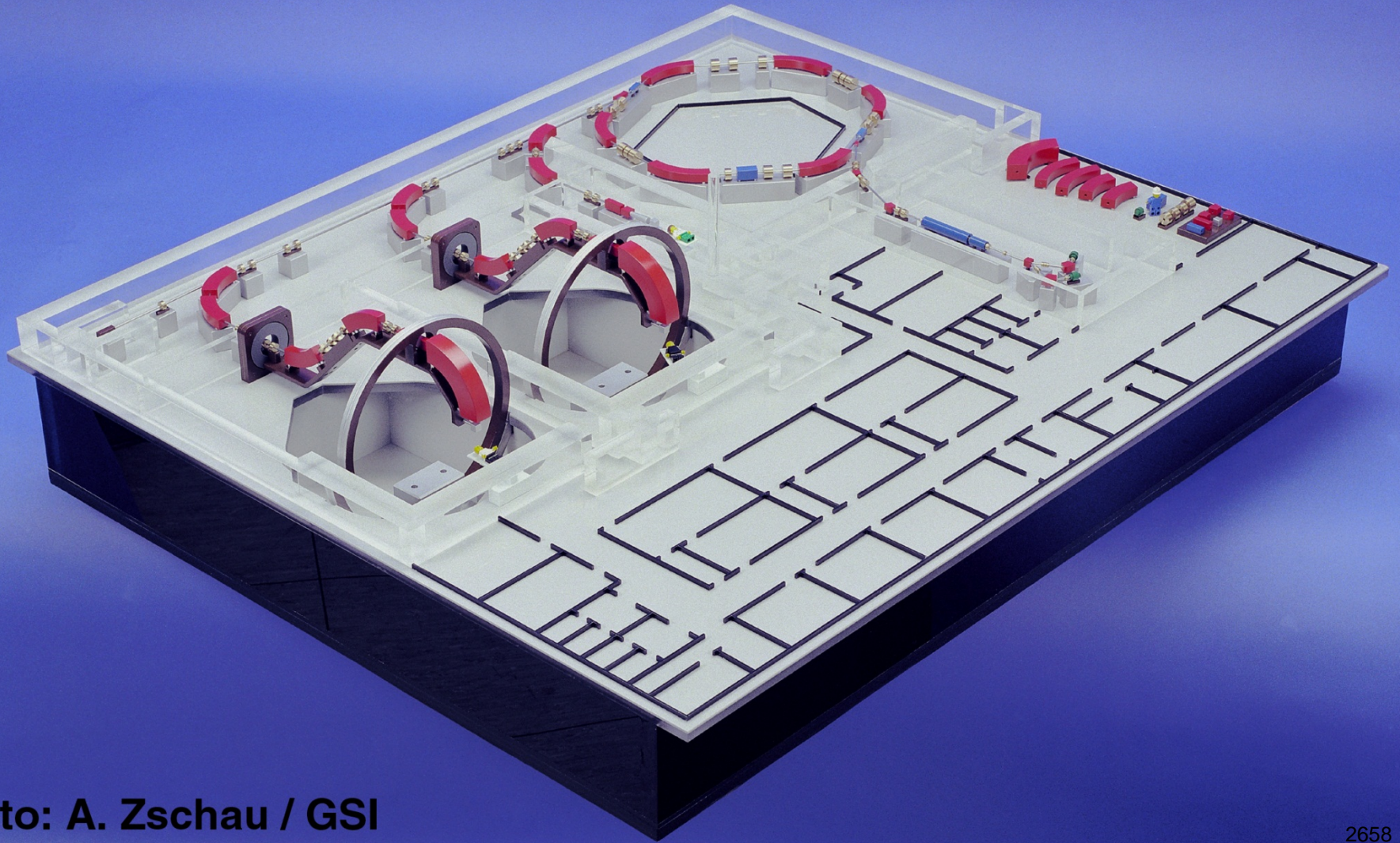
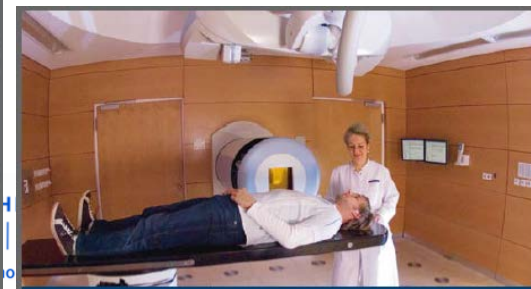
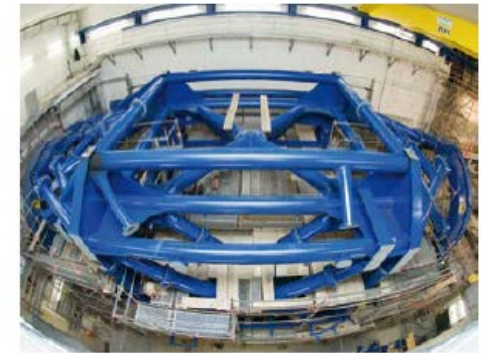
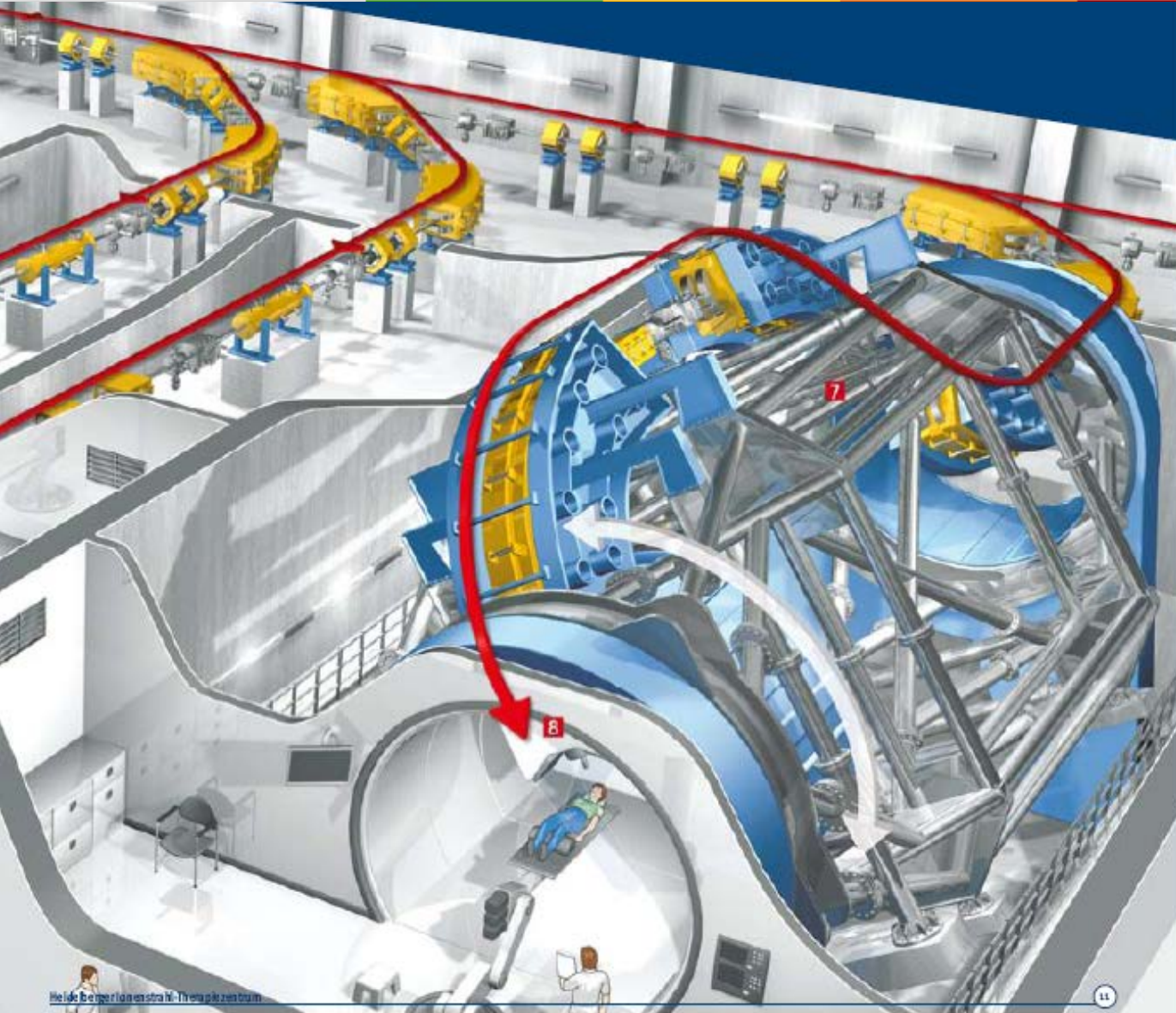
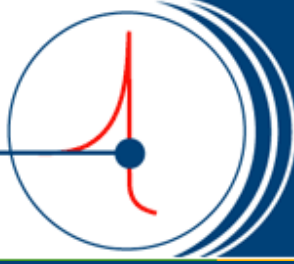
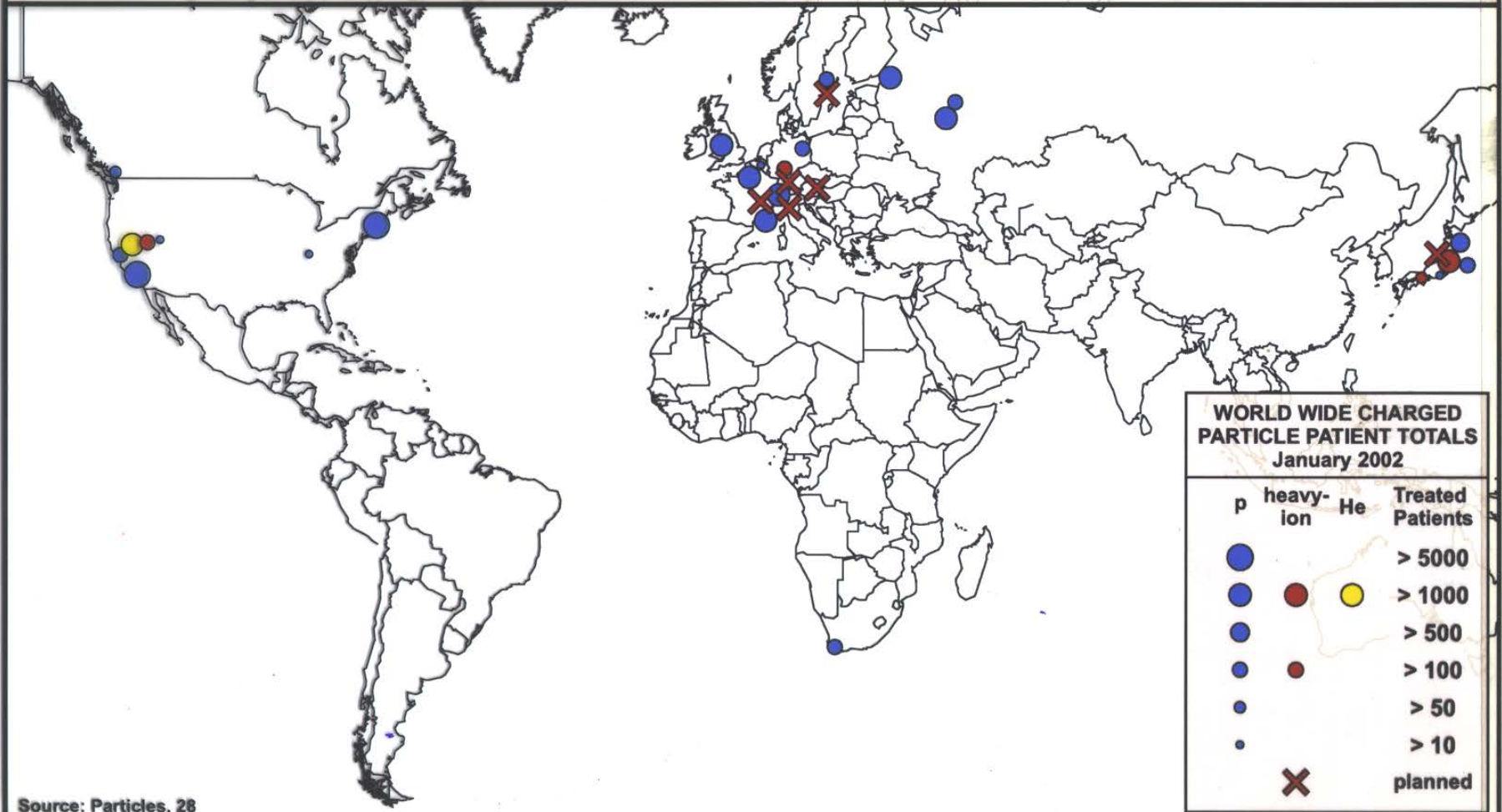


Foto: A. Zschau / GSI

2658\_16



# World Wide Charged Particle Patient Totals January 2002



Source: Particles, 28

# Advantages of heavy ion therapy

Inverse dose profile:            higher target dose  
   lower dose to normal tissue

- Millimeter-precision treatment
- PET beam verification
- High biological effectiveness in the target
- Low biological effectiveness in the entrance channel
- Biological based treatment planning
- Little side effects
- Good tumor control rates 80-90%

## Future

- Heavy ion center at Heidelberg
- Many projects over the world
- Treatment of moving organs
- Biologically optimized treatment