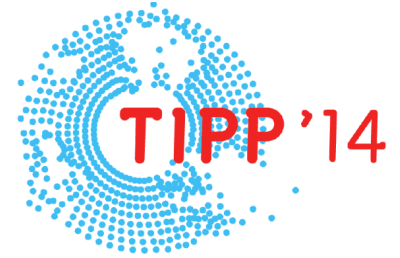




International Conference on Technology
and Instrumentation in Particle Physics

2 – 6 June 2014 / Amsterdam, The Netherlands



Upgrade of the ALICE detector

3rd June 2014

Christian Lippmann



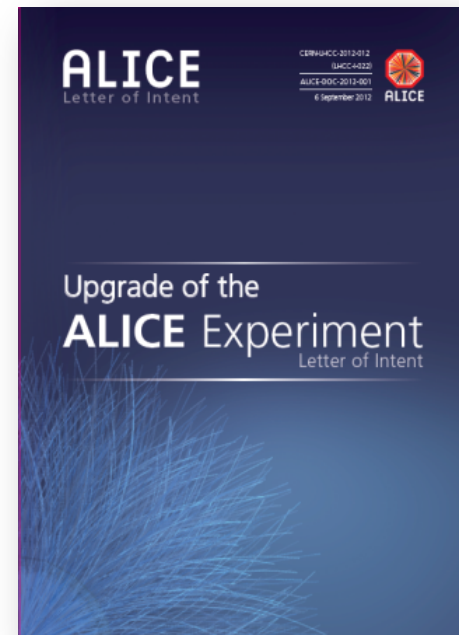
on behalf of the ALICE collaboration



Content

- The ALICE detector
- ALICE **upgrade strategy** for LHC Runs 3 (& 4)
- Key upgrade items:
 - **Inner Tracking System** upgrade
 - **Muon system** upgrade with MFT
 - **Time Projection Chamber** upgrade
 - Reconstruction scheme and **expected performance**
- Summary and Outlook

**ALICE upgrade
Letter of Intent**

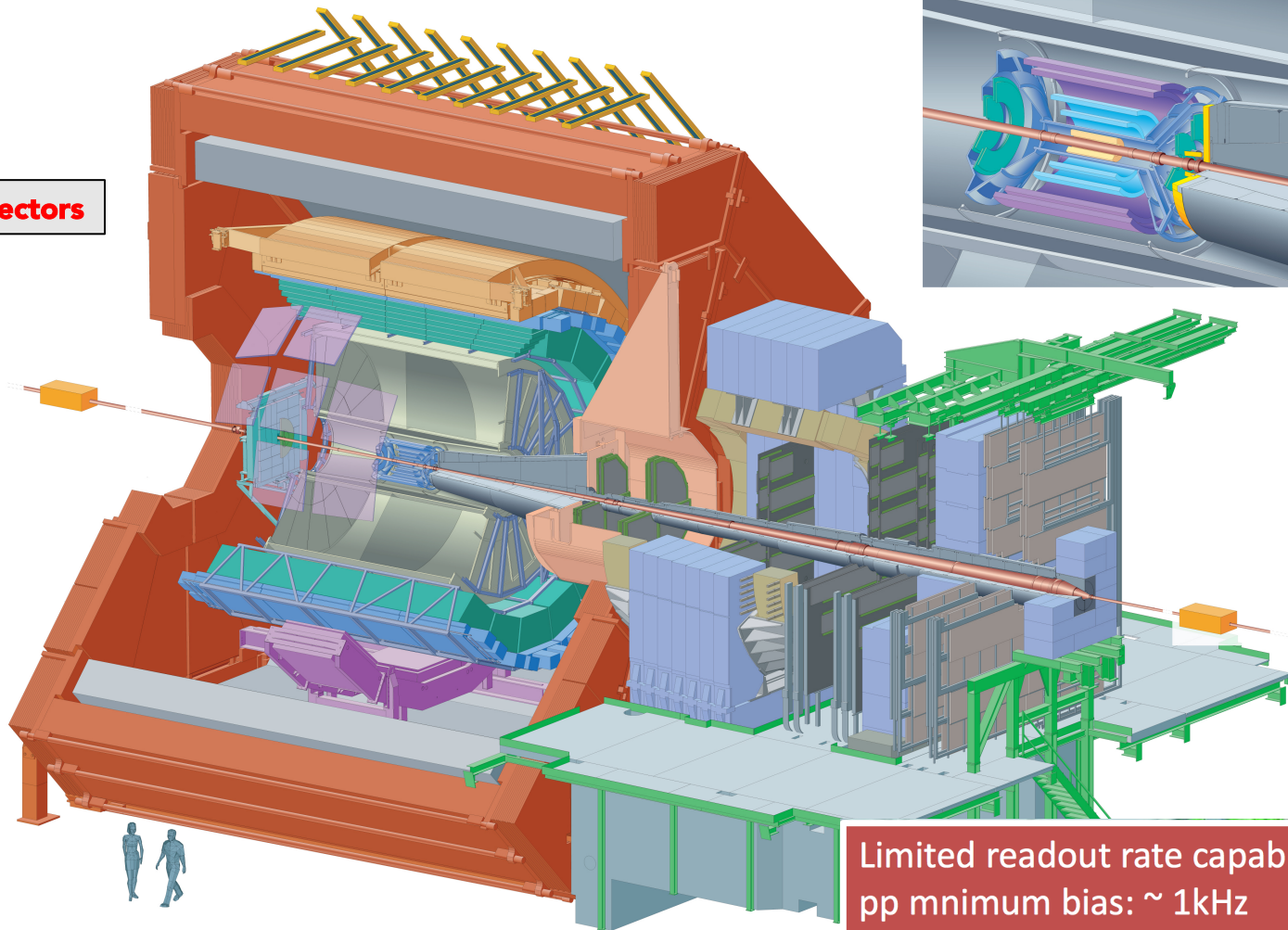




The ALICE detector now

ALICE is the dedicated heavy-ion experiment at the LHC

18 sub-detectors

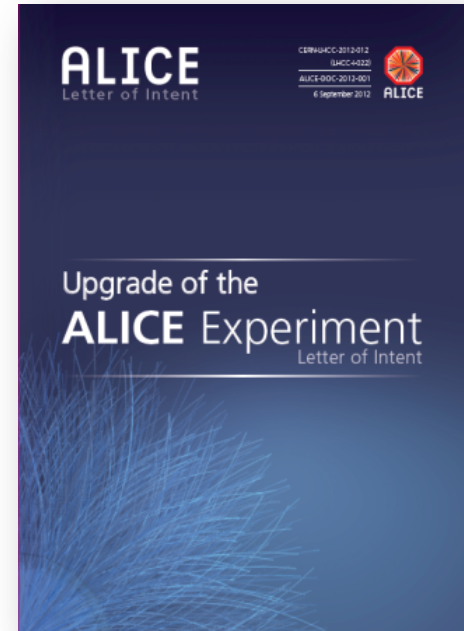


Limited readout rate capability
pp minimum bias: $\sim 1\text{kHz}$
Pb-Pb minimum bias: $\sim 500\text{ Hz}$



ALICE upgrade strategy (1)

- **Motivation:** Focus on high-precision measurements of rare probes at low p_T
 - can not be selected with hardware trigger
 - need to record large sample of events
- **Target:** Pb-Pb recorded luminosity: $\geq 10 \text{ nb}^{-1}$
 - **gain in statistics:** factor 100 for selected probes!
 - plus pp and p-A data
- **Strategy:**
 - read out all Pb-Pb interactions at a maximum rate of 50 kHz with a minimum-bias trigger or continuously (TPC)
 - perform online data reduction
- **When:** 2nd LHC Long Shutdown (LS2): 2018/19
- Upgrade **Letter Of Intent** : CERN-LHCC-2012-12
- MFT Addendum to the Lol: CERN-LHCC-2013-014

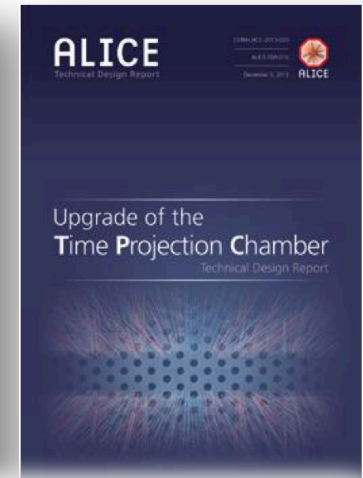
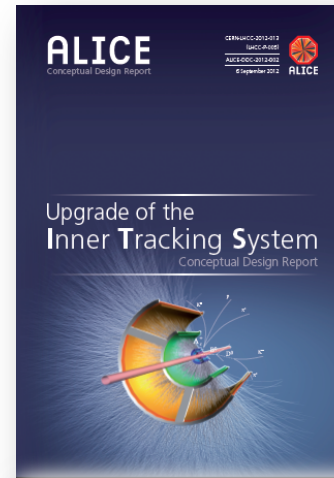


**ALICE upgrade
Letter of Intent**

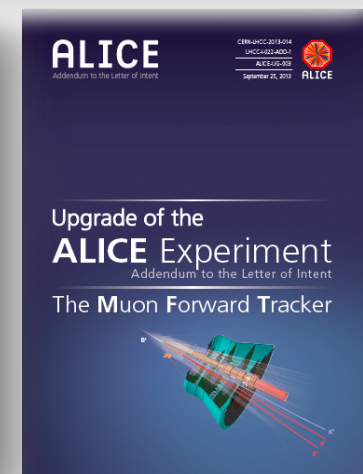
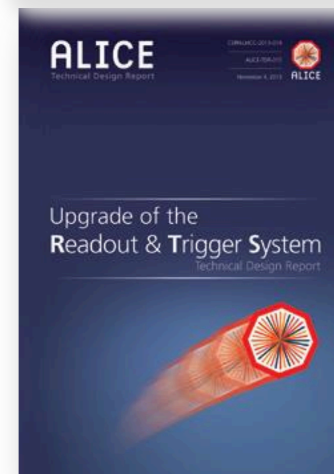


ALICE upgrade strategy (2)

- **ALICE key upgrade items discussed here**
 - new, high-resolution, low-material **Inner Tracking System (ITS)**
 - upgrade of **Time Projection Chamber (TPC)**
 - new **Muon Forward Tracker (MFT)**: a silicon telescope in front of hadron absorber in the acceptance of the Muon Spectrometer
- **More upgrade items**
 - upgrade of the online systems (O²)
 - upgrade of the forward trigger detectors (FIT) and ZDC
 - upgrade of read-out electronics of: TRD, TOF, PHOS and Muon Spectrometer
 - upgrade of the offline reconstruction and analysis framework



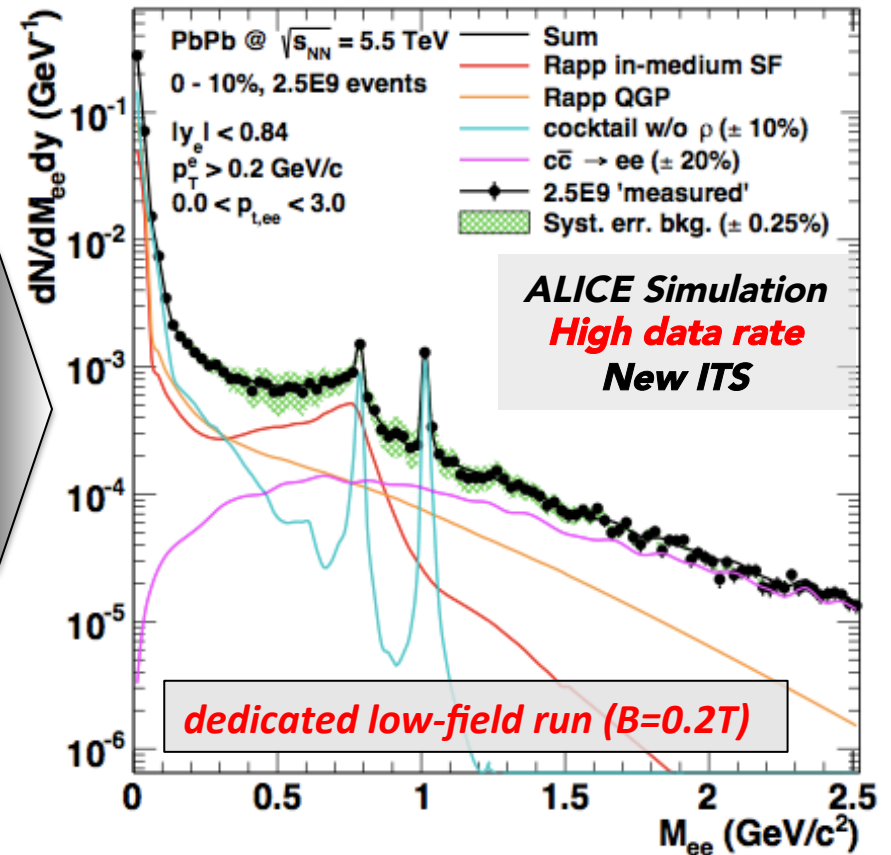
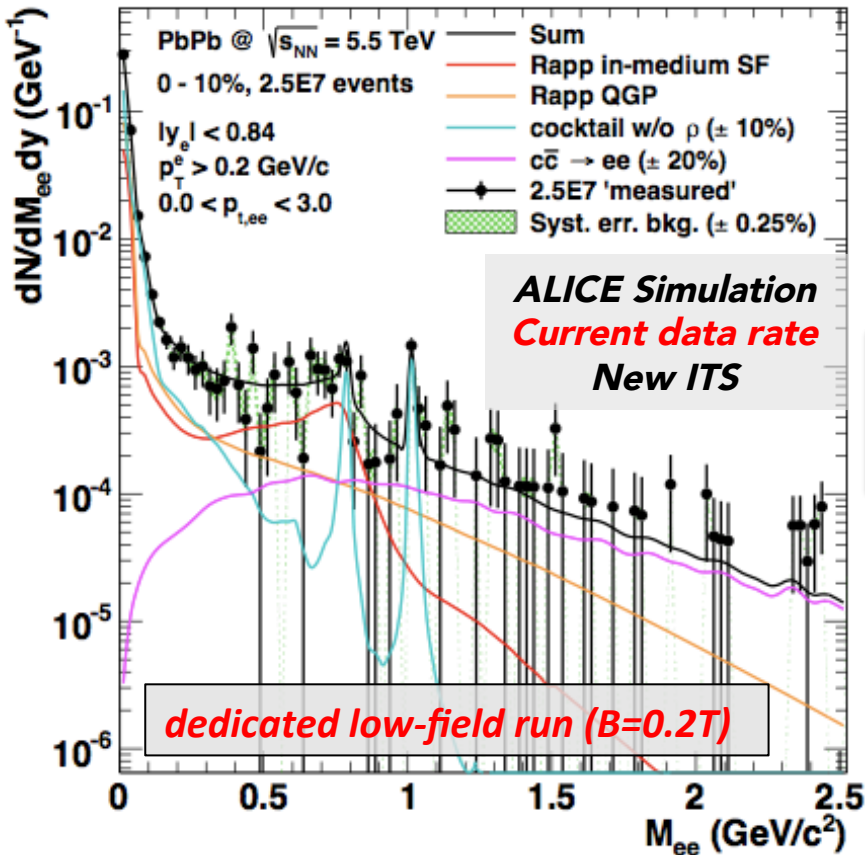
ALICE upgrade TDRs, MFT LOI addendum





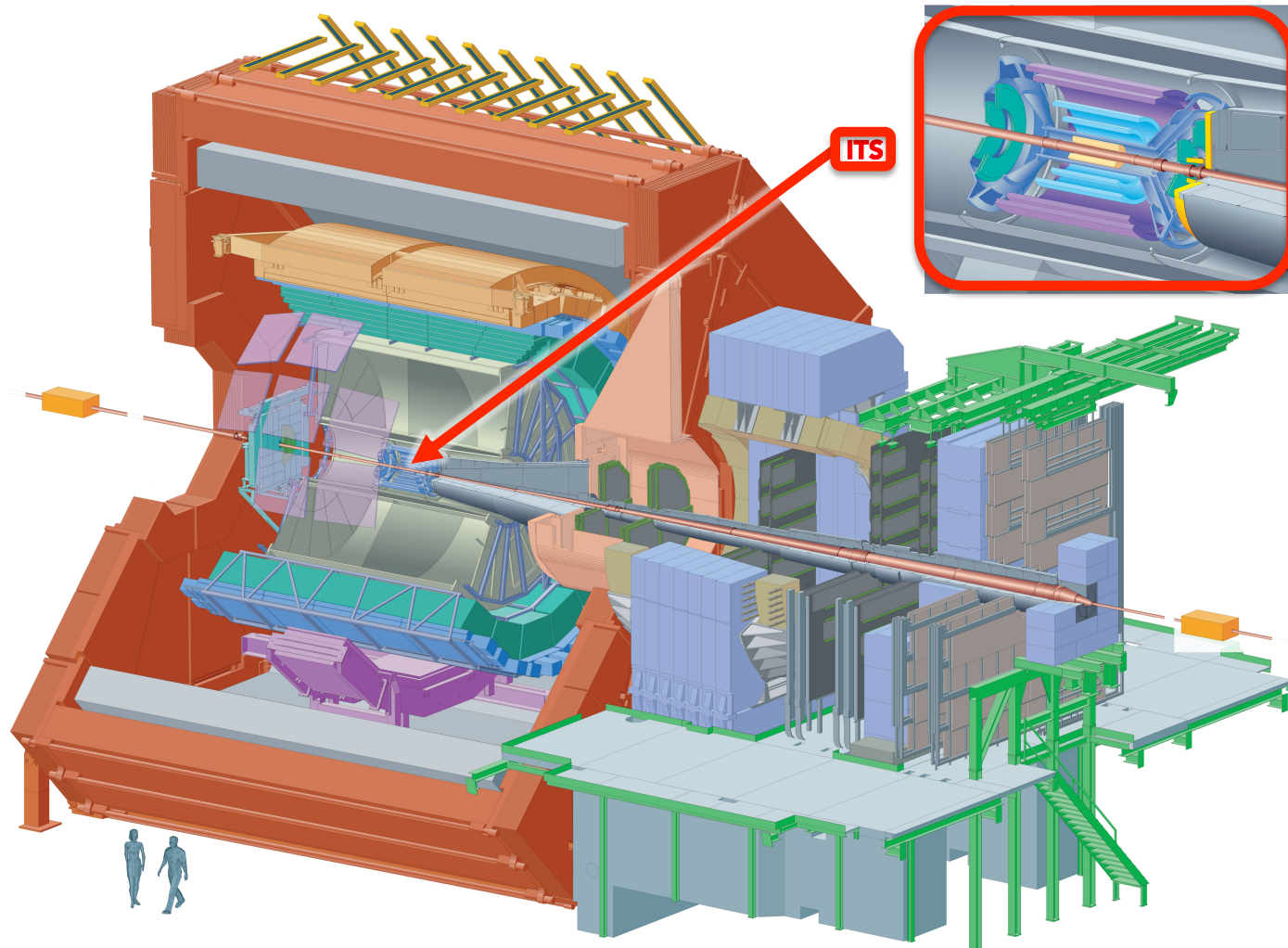
Example: Low mass di-electrons

- Increase statistics
- Suppress combinatorial background (π^0 Dalitz decays, photon conversion)
- Reduce systematic uncertainty from semi-leptonic charm decays
 - improved secondary vertex resolution





ALICE ITS upgrade





ITS upgrade objectives (1)

- **Current ITS: 2 layers each of Silicon Pixel, Silicon Drift and Silicon micro-Strip Detectors. Rate limitation: around 1kHz**
- **ITS upgrade objectives:**
 1. improve **impact parameter resolution**, in particular at low p_T
 2. improve **tracking efficiency** and **p_T resolution** at low p_T
 3. increase **read-out rate**: 1 kHz \rightarrow 50 kHz in Pb-Pb, 200 kHz in pp
 4. easier **maintenance**

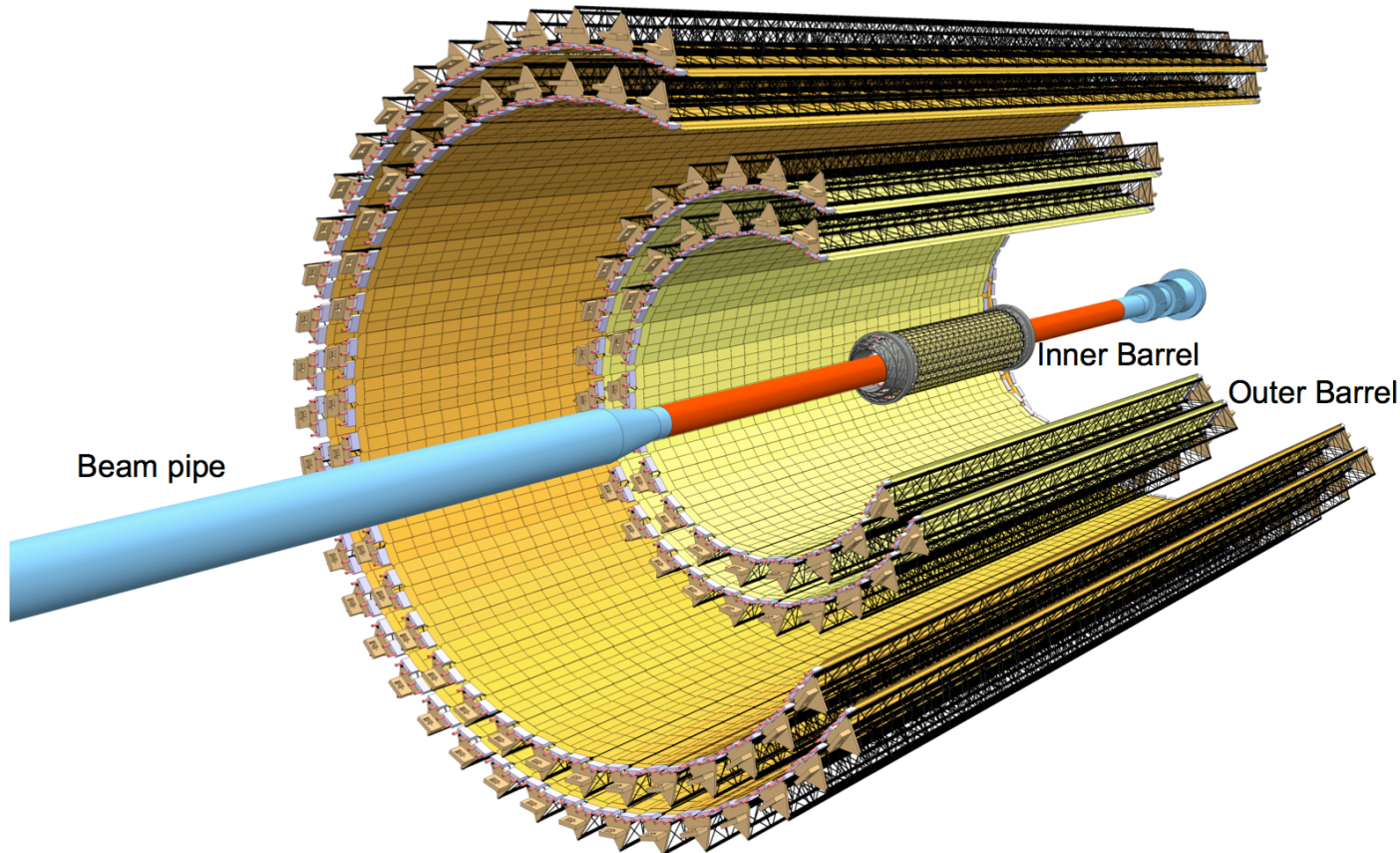


ITS upgrade objectives (2)

- **Current ITS: 2 layers each of Silicon Pixel, Silicon Drift and Silicon micro-Strip Detectors. Rate limitation: around 1kHz**
- **ITS upgrade objectives:**
 1. improve **impact parameter resolution**, in particular at low p_T
 2. improve **tracking efficiency** and **p_T resolution** at low p_T
 3. increase **read-out rate**: 1 kHz \rightarrow 50 kHz in Pb-Pb, 200 kHz in pp
 4. easier **maintenance**
- **Implementation:**
 - **Monolithic Active Pixel Sensors (MAPS)**
 - increase **number of layers**: 6 \rightarrow 7
 - reduced **pixel size**: $50 \times 425 \mu\text{m}^2 \rightarrow \emptyset (30 \times 30 \mu\text{m}^2)$
 - reduced **material budget**, in particular for the 3 innermost layers
 - silicon thickness: 50 μm
 - $X/X_0 = 1.14\% \rightarrow 0.3\%$ per layer for the first layers
 - first layer **closer to IP** ($r_0 = 39 \text{ mm} \rightarrow 22 \text{ mm}$)
 - **smaller beam pipe**: 29 mm \rightarrow 18.2 mm



New ITS layout



25 Gpixels

Area: $\sim 10\text{m}^2$

Inner barrel: 3 layers

Outer barrel: 2+2 layers

$|\eta| \leq 1.22$ for tracks from 90% most luminous region

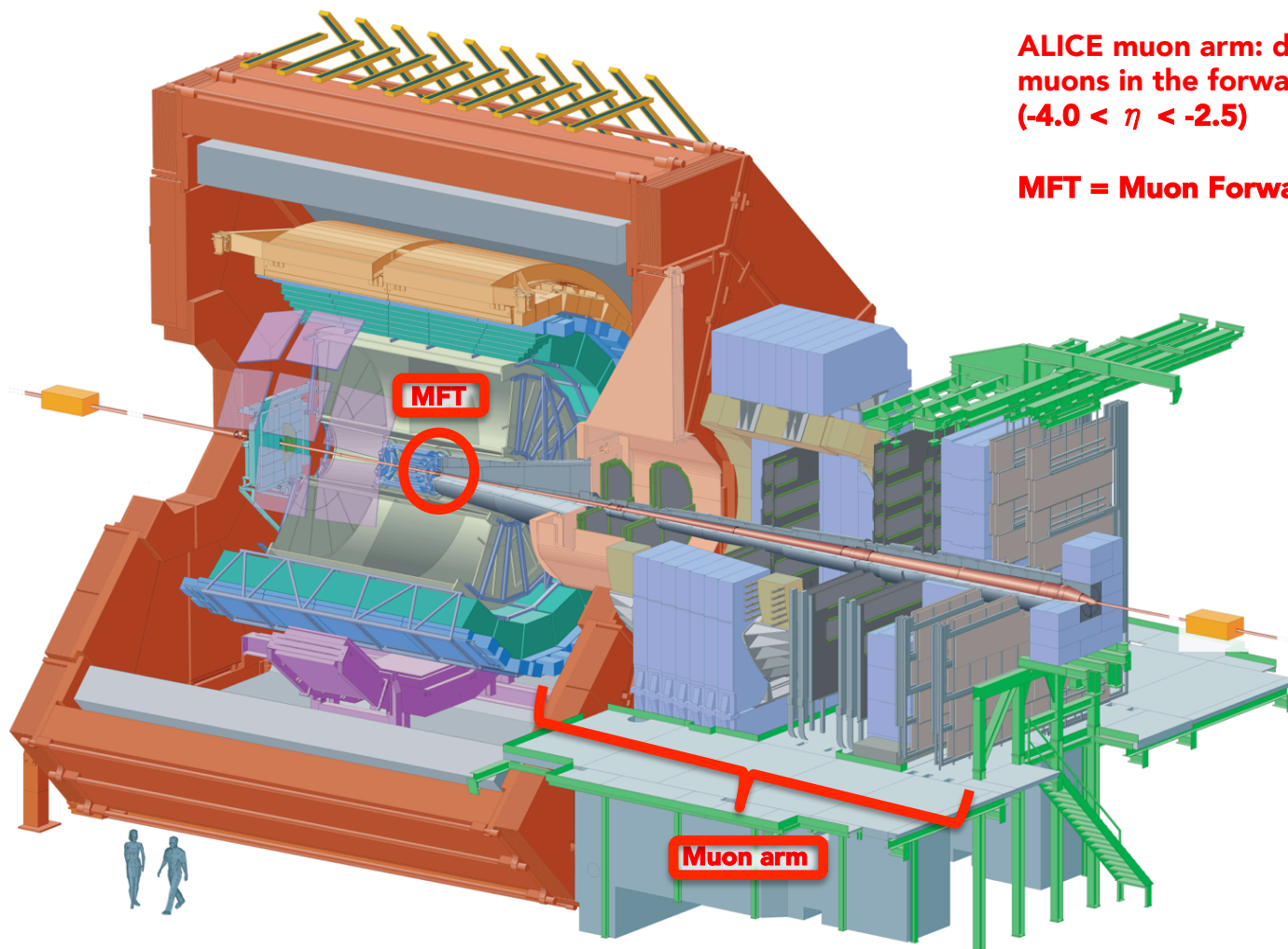
r coverage: 22 – 430 mm

For more details see:

- **Presentation by J. W. Van Hoorne, Tue 3 Jun, 17:10 and**
- **Poster by A. Collu**



ALICE MFT upgrade



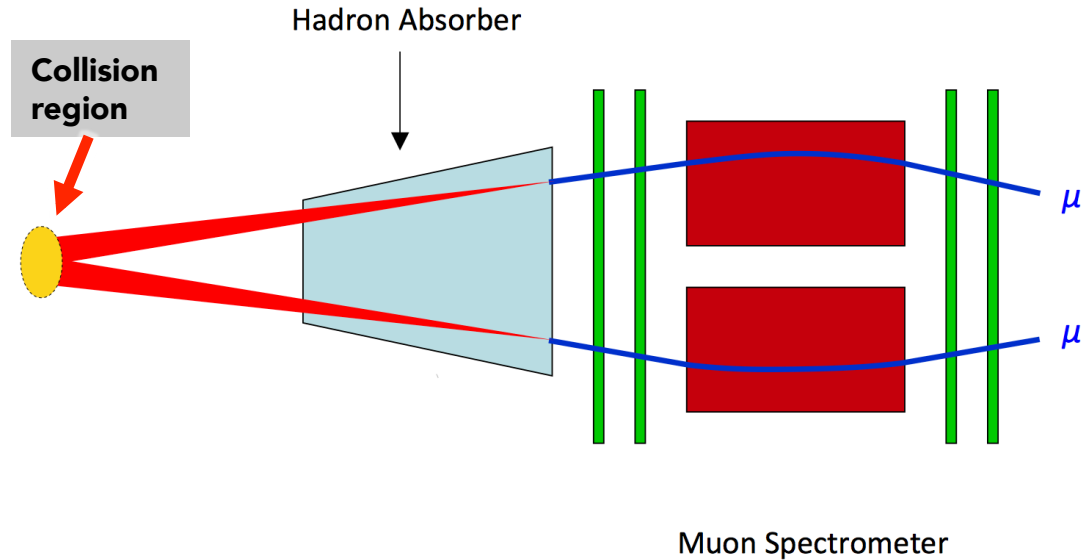
ALICE muon arm: detect muons in the forward range
 $(-4.0 < \eta < -2.5)$

MFT = Muon Forward Tracker



MFT concept (1)

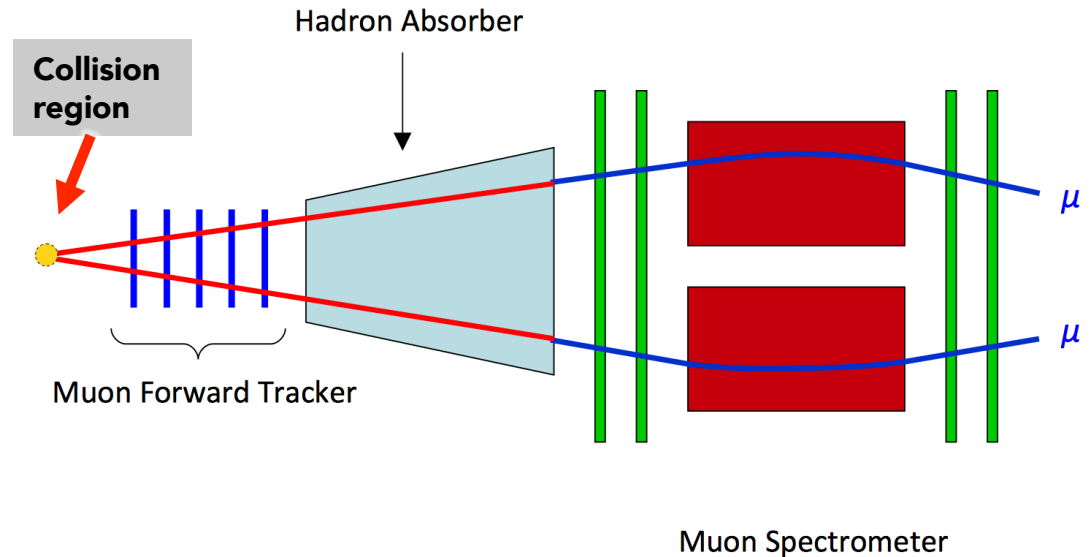
- **Muon Forward Tracker (MFT) design objectives:** Increase pointing accuracy for the muon tracks, in particular at low p_T





MFT concept (2)

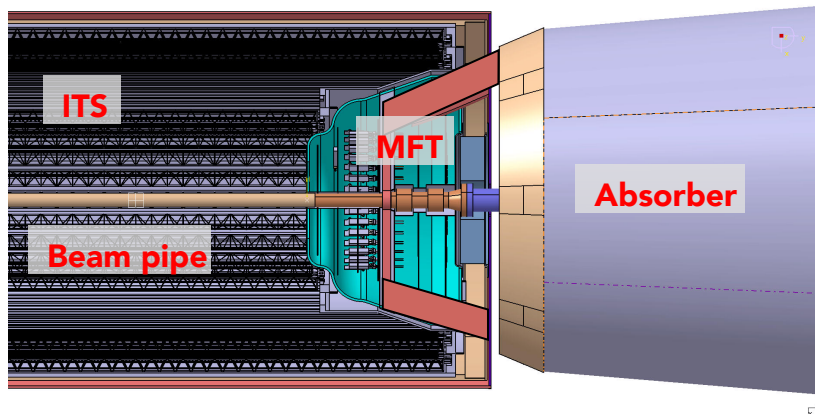
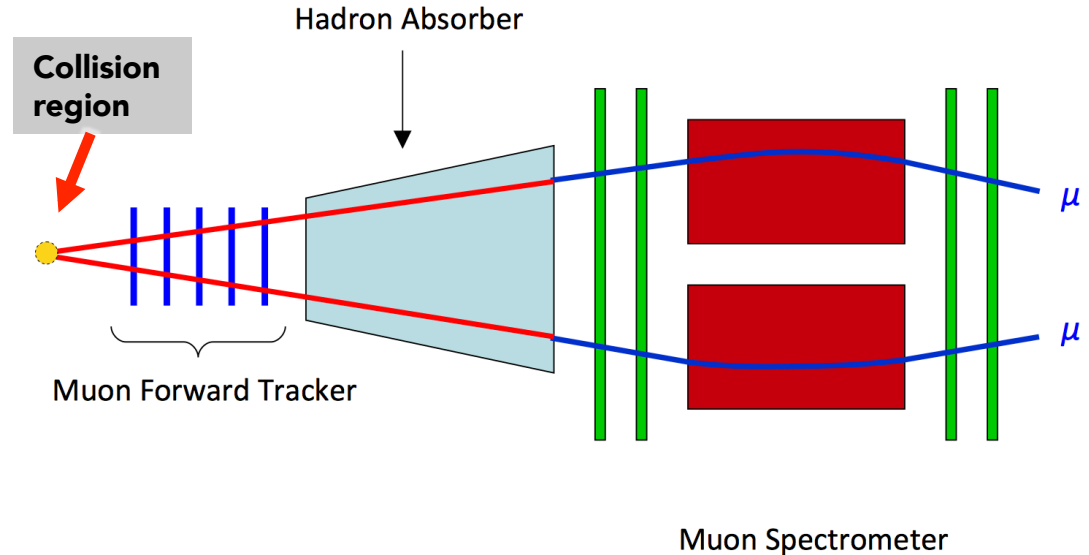
- **Muon Forward Tracker (MFT) design objectives:** Increase pointing accuracy for the muon tracks, in particular at low p_T
- **Implementation:** 5-plane silicon telescope in front of the hadron absorber





MFT concept (3)

- **Muon Forward Tracker (MFT) design objectives:** Increase pointing accuracy for the muon tracks, in particular at low p_T
- **Implementation:** 5-plane silicon telescope in front of the hadron absorber

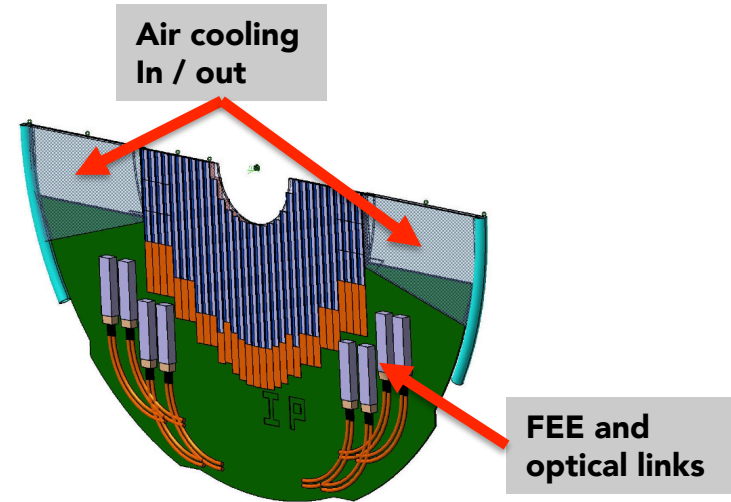
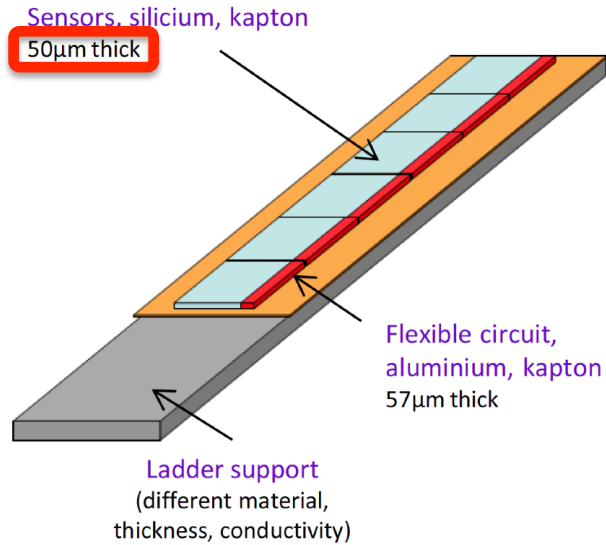


Plane	Int. radius (cm)	Ext. radius (cm)	Z location (cm)	Pixel pitch (μm)	Thickness (% of X_0)
0	2.5	11.0	-50		
1	2.5	12.3	-58		
2	3.0	13.7	-66	25	0.4
3	3.5	14.6	-72		
4	3.5	15.5	-76		

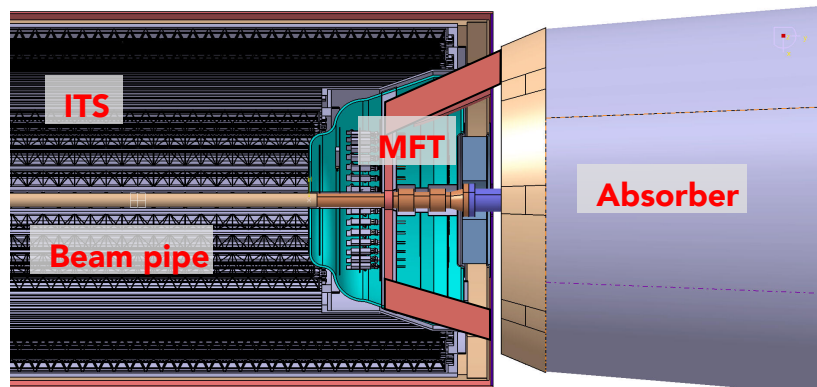
MFT baseline simulation set-up



MFT layout



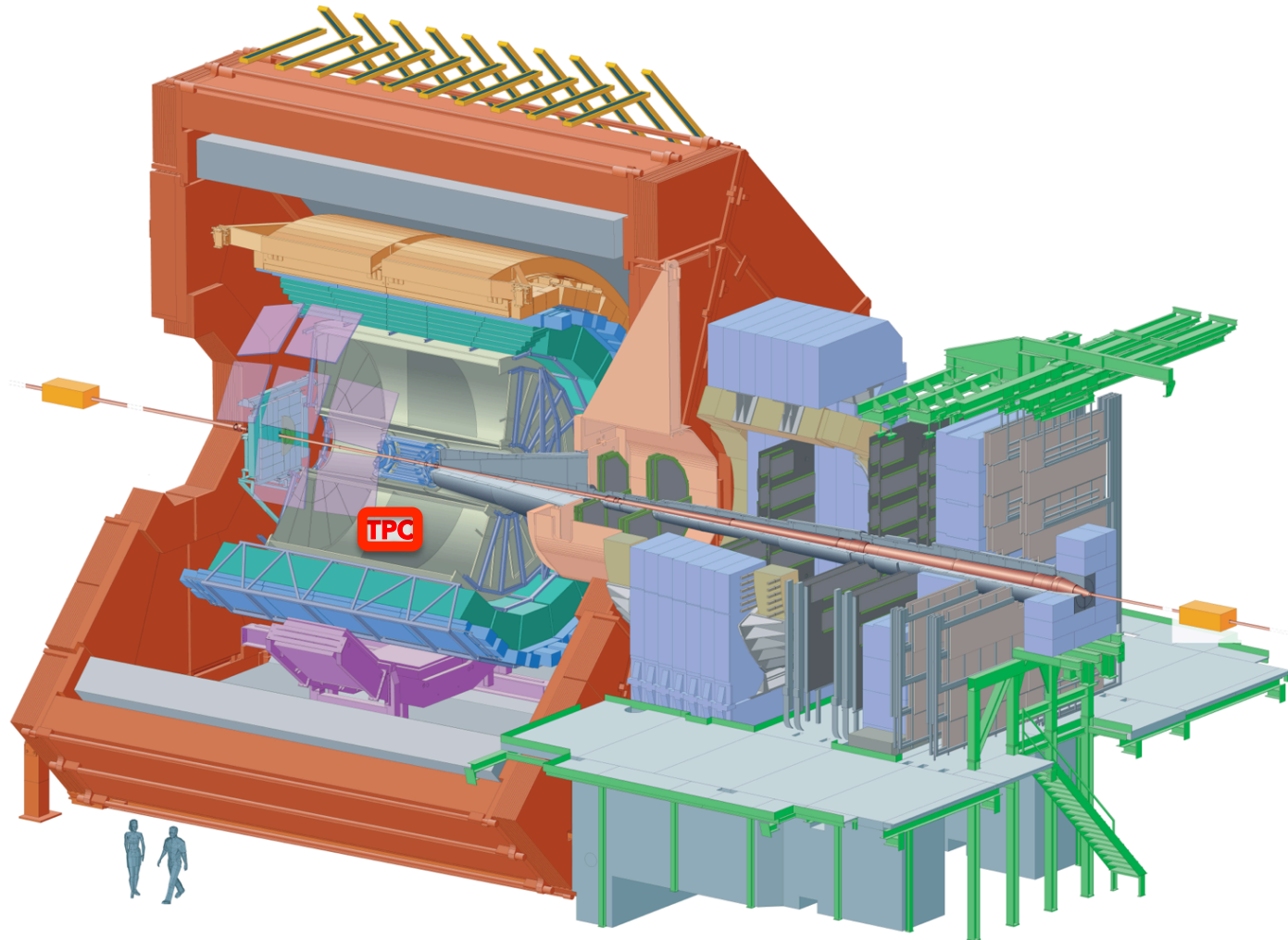
Example of the structure of a half disk assembled from ladders



- Pixel sensors assembled on flexible circuits to form ladders
- 5 planes assembled from ladders
- Pixel chip: Same technology as for ITS (MAPS)
- Optimized for **low-material thickness**



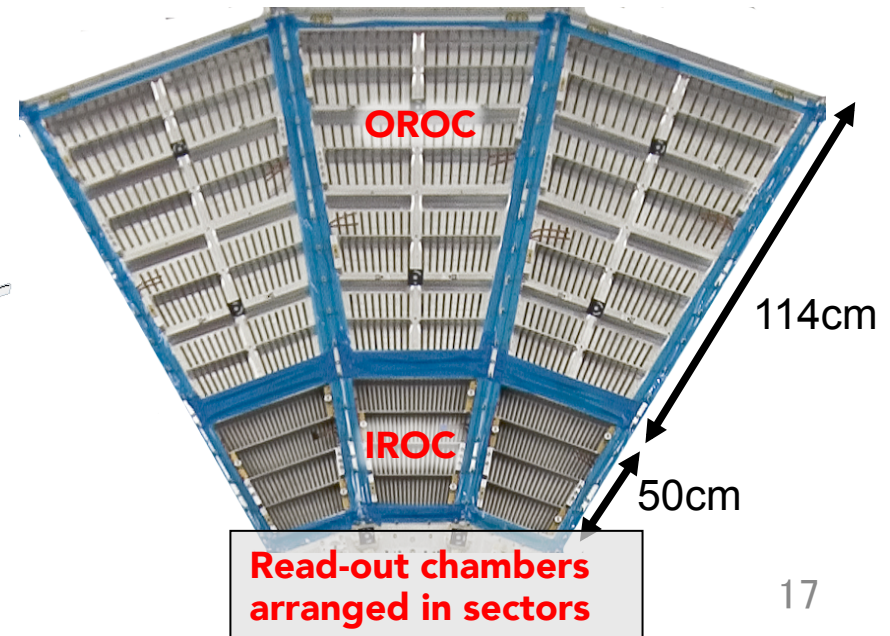
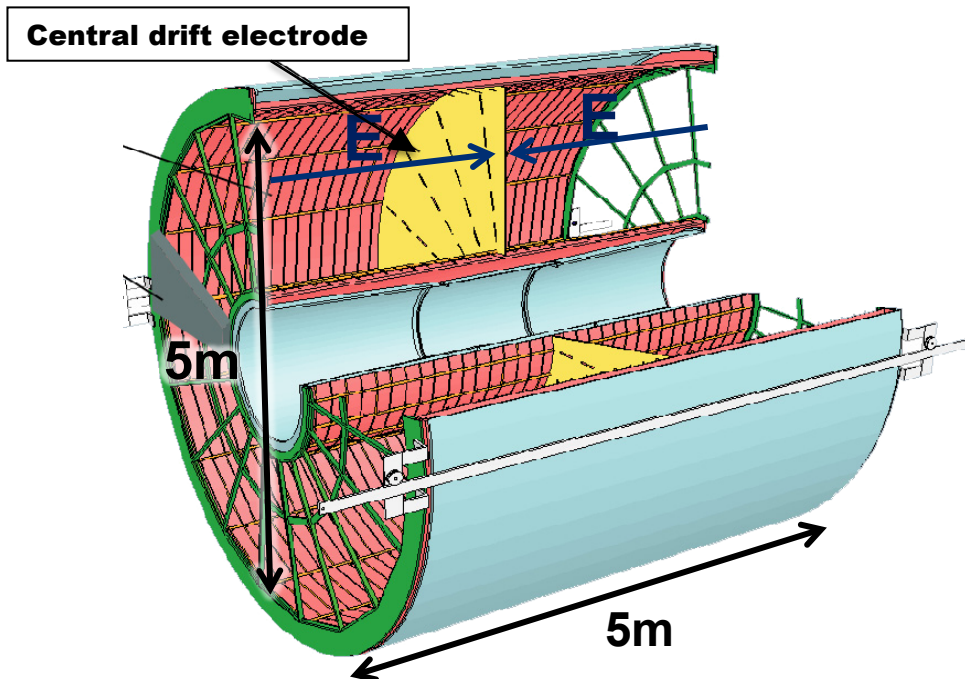
ALICE TPC upgrade





TPC overview

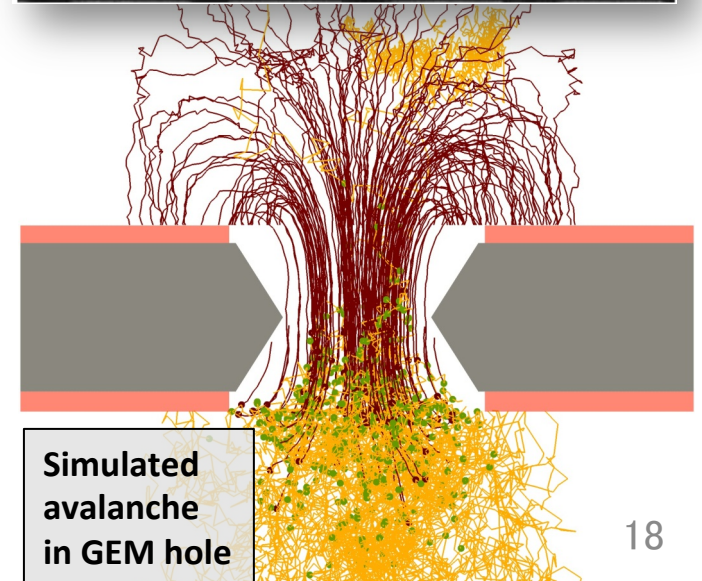
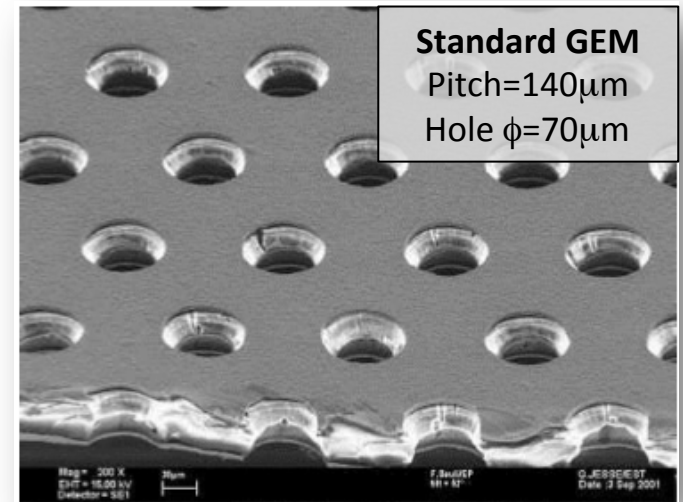
- Diameter: 5 m, length: 5 m
- Acceptance: $|\eta| < 0.9$, $\Delta\phi = 2\pi$
- Gas: Ne-CO₂ (90-10) in Run1
- Drift field = 400 V/cm
 - Diffusion: $\sigma_T \approx \sigma_L \approx 0.2 \text{ mm}/\sqrt{\text{cm}}$
 - $v_d \approx 2.7 \text{ cm}/\mu\text{s}$, max. drift time: 92 μs
- Read-out Chambers: Total = 36 × 2
 - outer (OROC): 18 × 2
 - inner (IROC): 18 × 2
- Pad sizes: 4 × 7.5 mm², 6 × 10 (15) mm²
- Channel number: 557 568
- **Currently: MWPC + gating grid operation**
 - Rate limitation: few kHz





TPC upgrade objectives

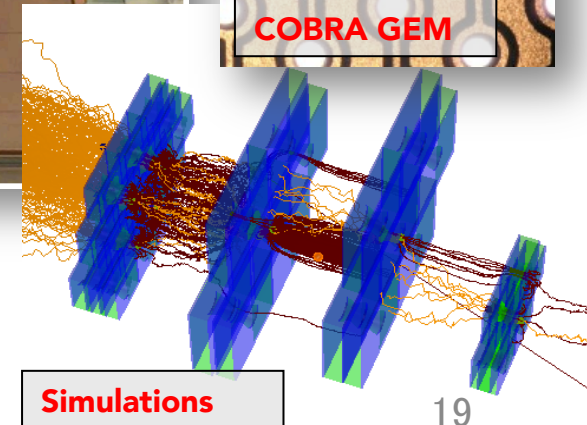
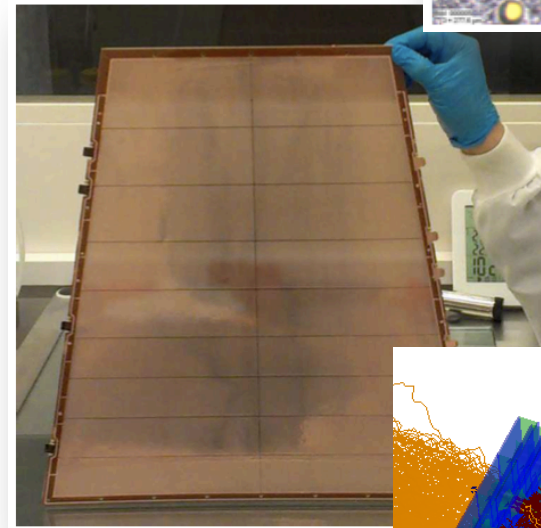
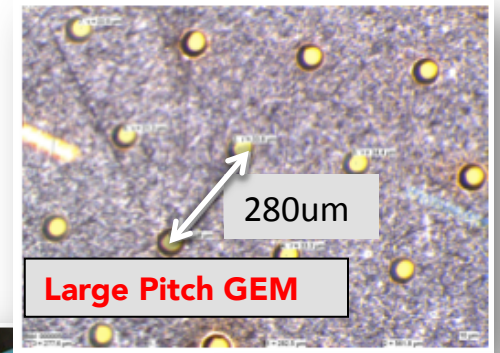
- **TPC upgrade objectives:**
 - **continuous read-out**
 - retain physics performance
- **Replace MWPC read-out system with micro-pattern gaseous detectors**
- **Advantages:**
 - reduced ion backflow (IBF)
 - high rate capability
 - no long ion tail
- **Requirements for read-out system:**
 - **IBF < 1% at gain 2000**
 - **dE/dx resolution < 12% (σ) for ^{55}Fe**
 - **Stable operation under LHC condition**





TPC upgrade R&D program

- Extensive studies started in 2012
 1. **technology choice**
 - **Baseline:** Stacks of standard (S) and large-pitch (LP) GEM foils
 - 2 GEM + MicroMegas (MMG)
 - COBRA-GEM
 2. **ion backflow**
 3. **gain stability**
 4. **discharge probability**
 5. **large-size prototype**
 - **single mask technology**
 6. **electronics R&D**
 7. **garfield simulations**
 8. **physics and performance simulations**
- Collaboration with RD51 at CERN

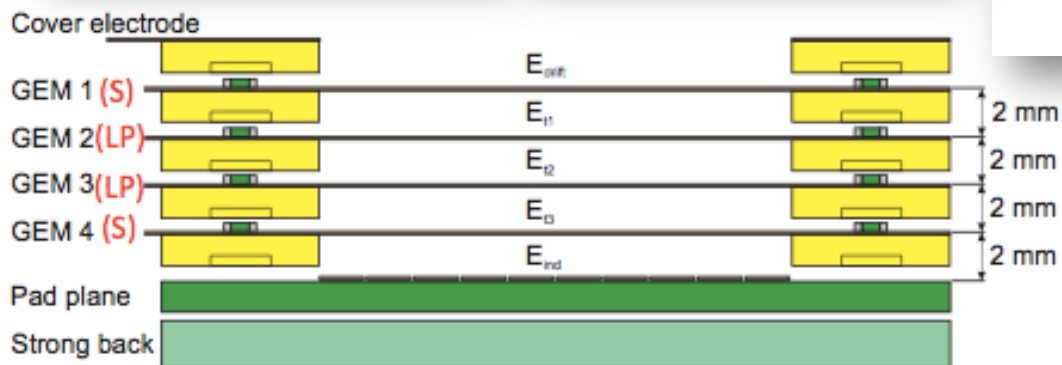
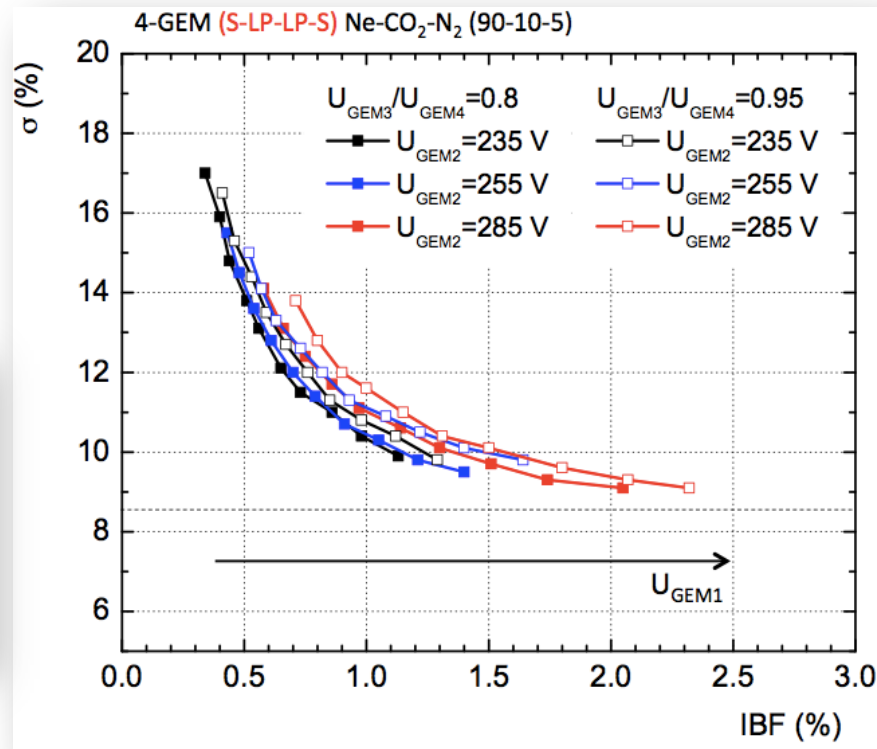
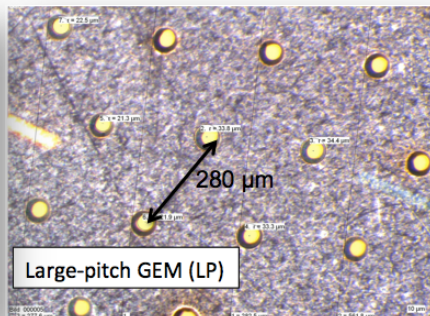
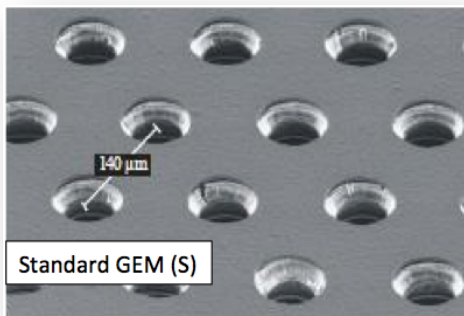




Baseline 4GEM setup

IBF and energy resolution studies for baseline solution (4 GEM stack)

- S-LP-LP-S configuration
 - **S**: standard GEM foils
 - **LP**: large Pitch
- different V settings: V_{GEM} , E_T (transfer fields)



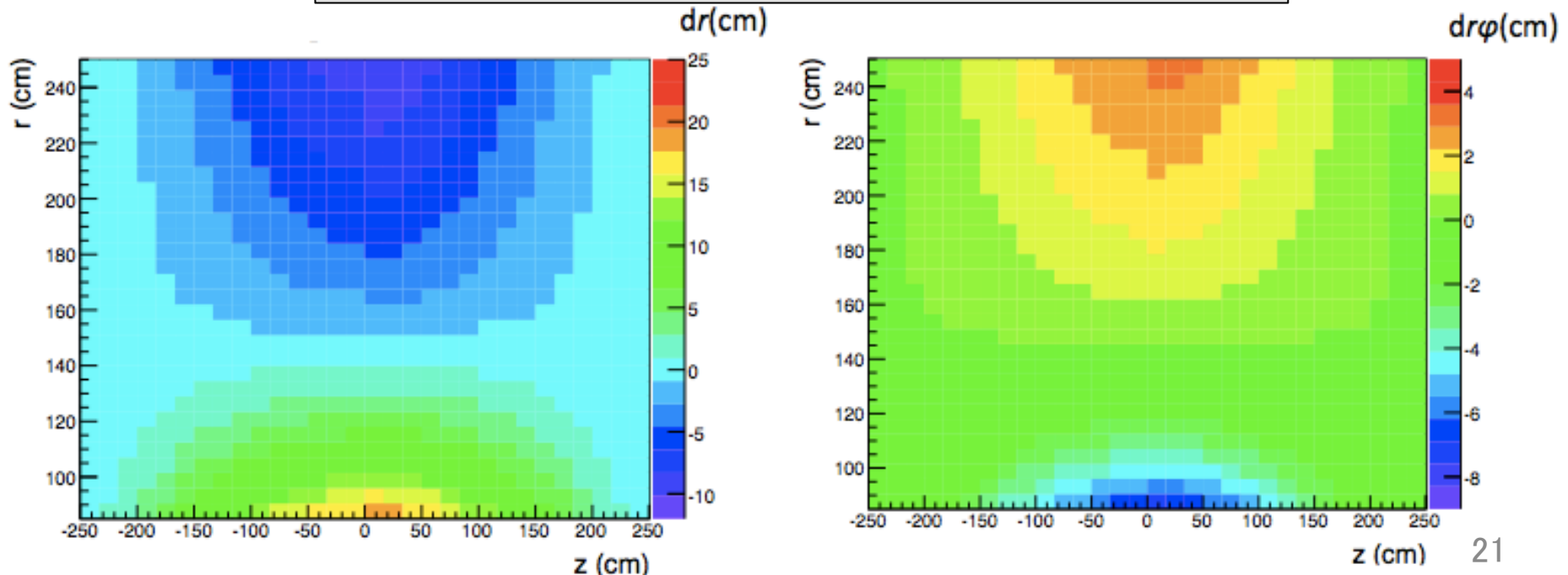
- IBF optimized settings: High E_{T1} & E_{T2} , low E_{T3} , $V_{\text{GEM1}} \approx V_{\text{GEM2}} \approx V_{\text{GEM3}} \ll V_{\text{GEM4}}$
- Achieved performance: **0.6 - 0.8 % IBF at σ (5.9 keV) ~ 12 %**



Space charge distortions

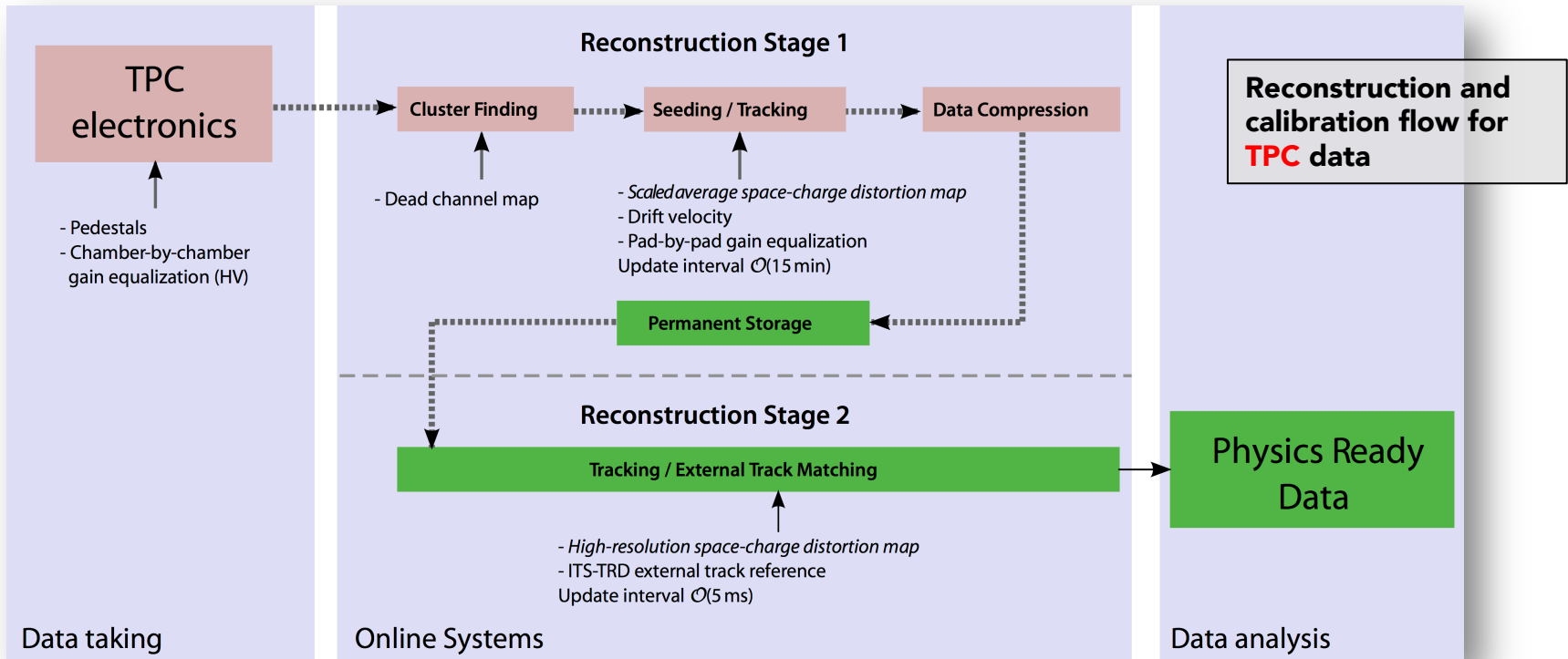
- With required **IBF < 1%** still considerable space charge in TPC
 - For 50kHz Pb-Pb collisions ion pile-up in the drift volume from on average 8000 events ($t_{\text{ion}}=160\text{ms}$)
- At small r and z , $dr = 20$ cm and $dr\phi = 8$ cm
 - For the largest fraction of drift volume: $dr < 10$ cm
- **Corrections to few 10^{-3} are required to achieve final resolution ($\sigma(r\phi) \approx 200 \mu\text{m}$)**

Distortions (cm) in r and $r\phi$ for 1% of IBF at gain = 2000 ($\epsilon=20$)





Run 3 reconstruction scheme



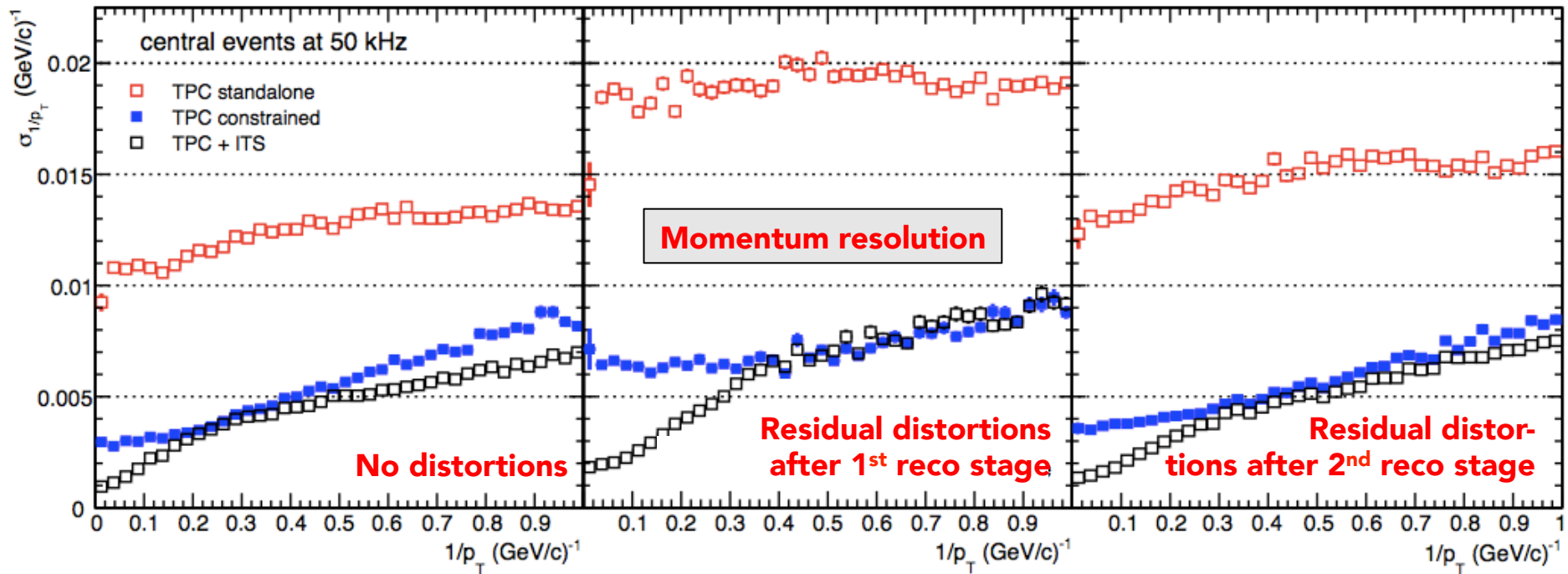
- **Two stage reconstruction scheme**
 1. **Cluster finding and cluster-to-track association in the TPC**
 - **data compression** by factor 20 : 1 TB/s \rightarrow 50 GB/s
 - use scaled average space-charge distortion map
 2. **Full tracking with matching to inner and outer detectors (ITS and TRD)**
 - **full space-charge distortion calibration**
 - use high resolution space-charge map (time interval \sim 5 ms)



Expected TPC performance (1)

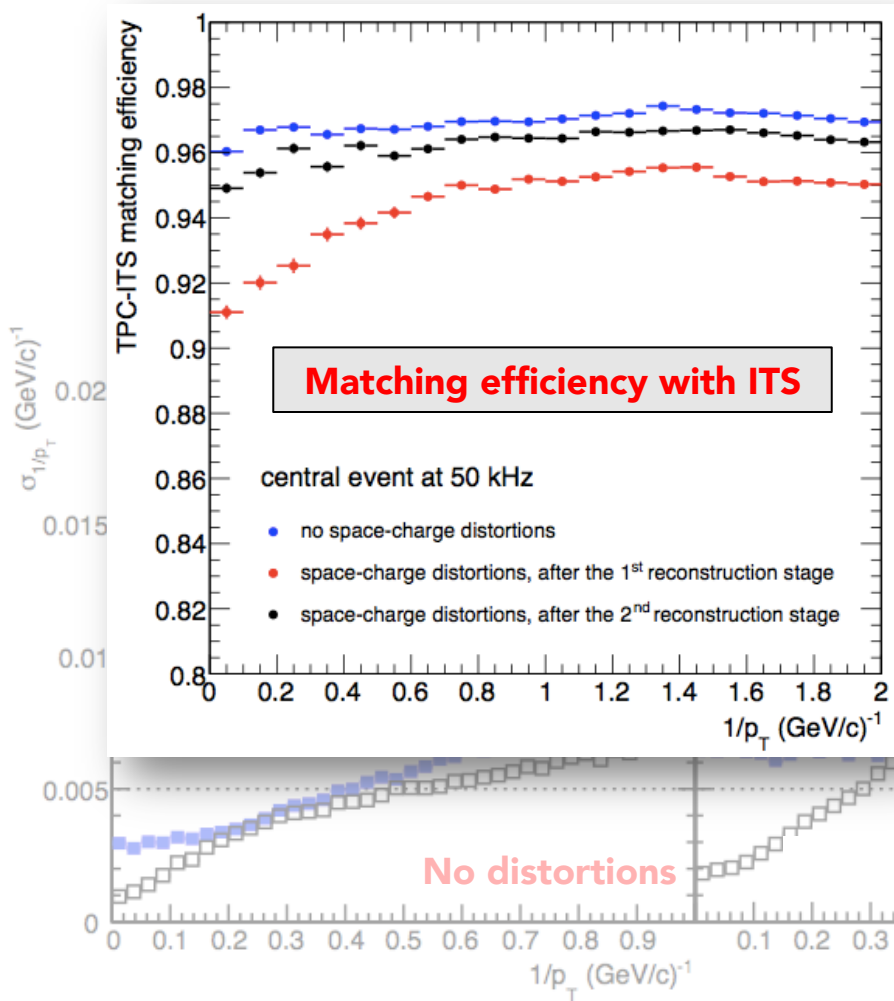
- p_T resolution practically recovered after 2nd reconstruction stage**

Simulation: space charge fluctuations in TPC (~3%) are taken into account ($N_{evt}, dN_{ch}/d\eta$, etc)



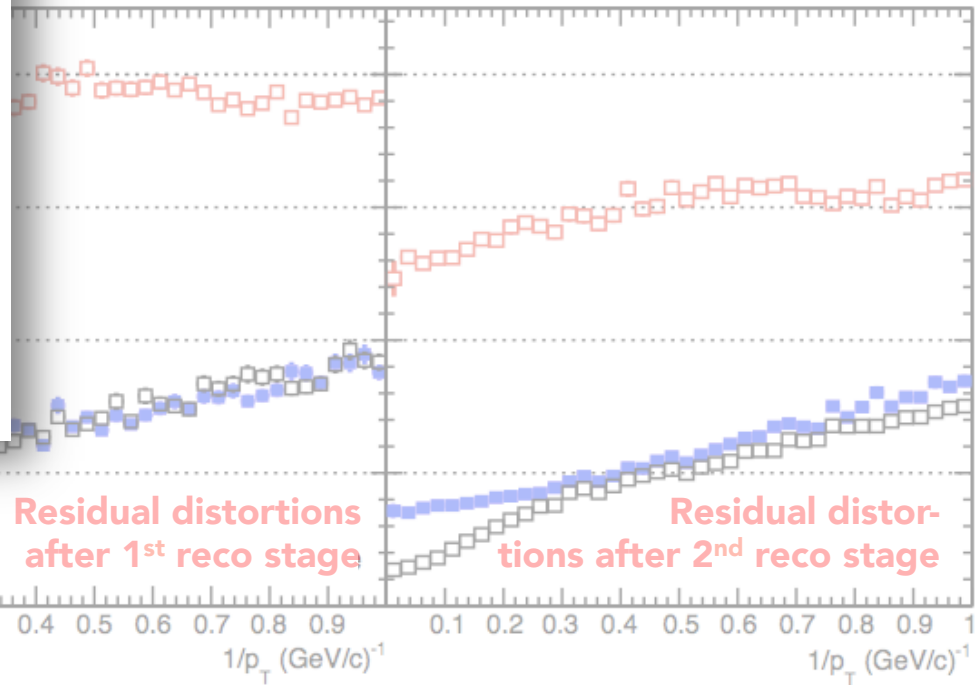


Expected TPC performance (2)



Simulation: space charge fluctuations in TPC (~3%) are taken into account ($N_{\text{evt}}, dN_{\text{ch}}/d\eta$, etc)

- ITS-TPC track matching retained





Summary and outlook

- **Major upgrade of the ALICE detector for installation in 2018/19**
 - high statistics, high precision measurements in Pb-Pb give access to rare channels
- **Detector modifications to inspect up to 50 kHz Pb-Pb collisions**
 - ship all data to online systems either **continuously** or upon **minimum-bias trigger**
- **Key detector items:**
 - **New ITS**, based on MAPS (7 layers, around 10 m²)
 - **New TPC endplates**, based on micro-pattern gaseous detectors and new electronics for **continuous read-out**
 - **New Muon Forward Tracker** (5-plane muon silicon telescope, based on MAPS) in front of hadron absorber, in the acceptance of the Muon Spectrometer
- **Technical Design Reports** (define construction design)
 - ITS TDR accepted in spring 2014
 - Readout + trigger system TDR and TPC TDR submitted

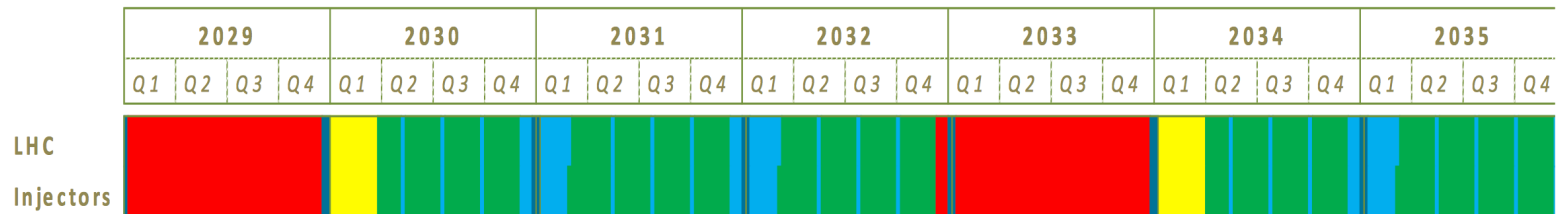
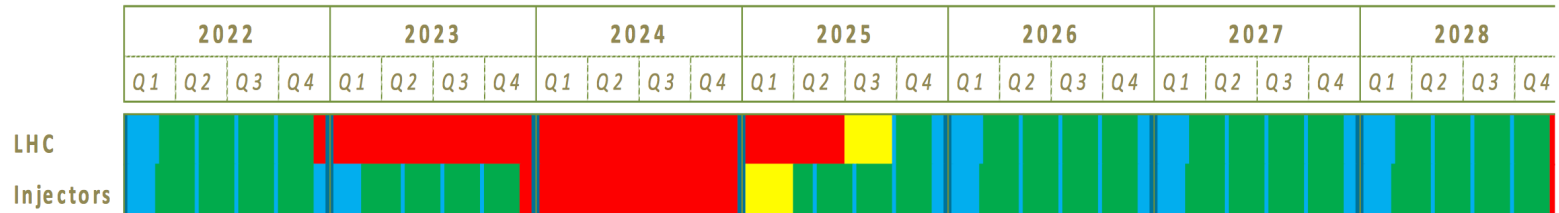
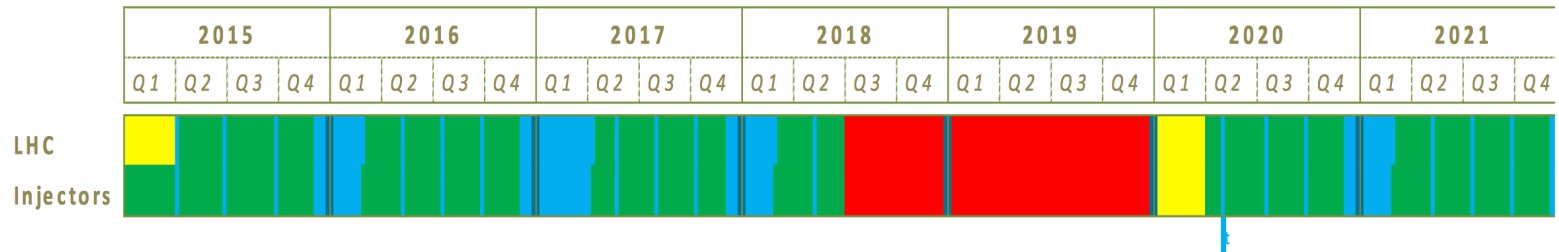


More slides



LHC schedule

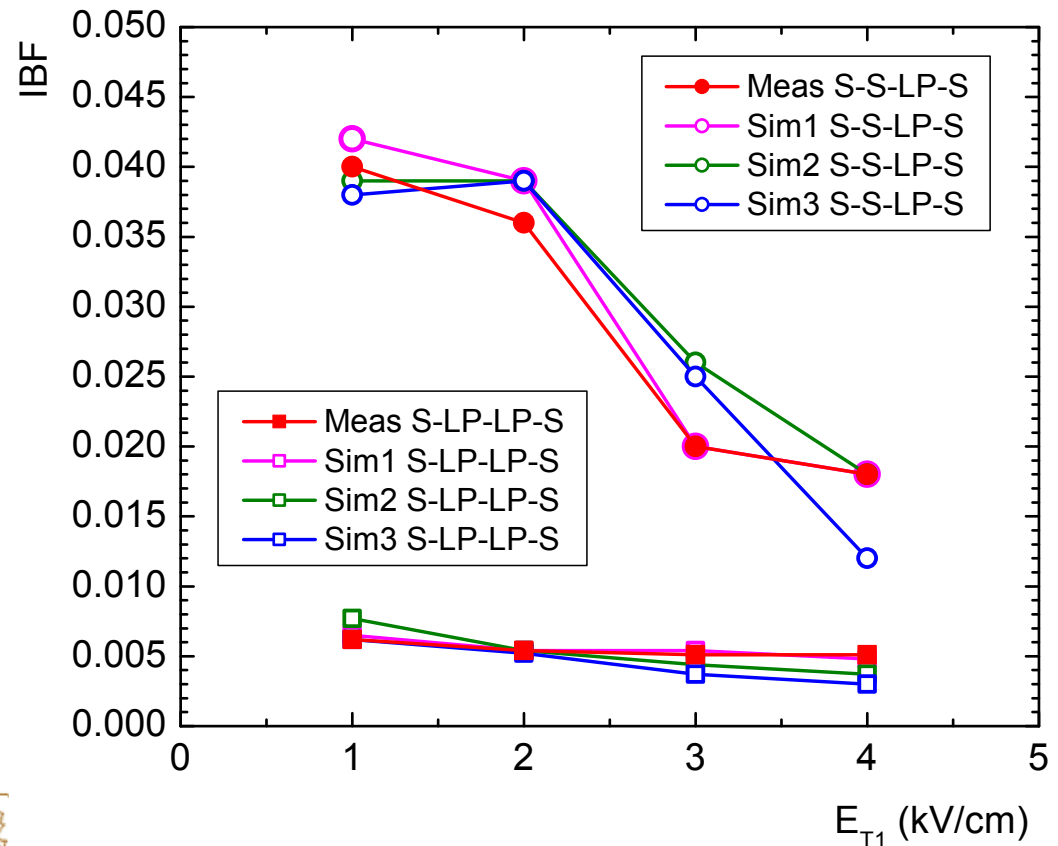
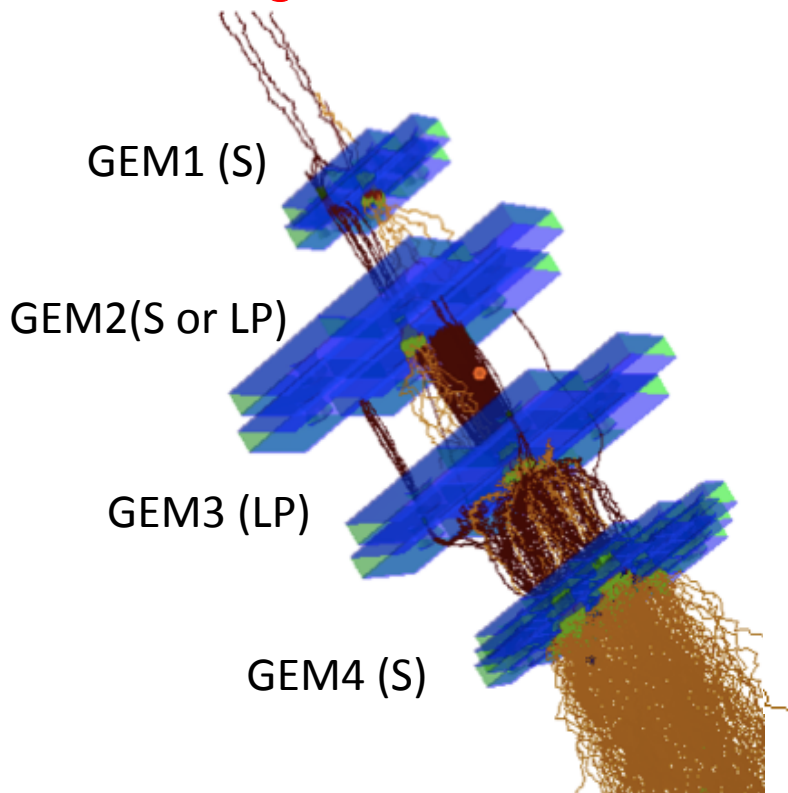
- **LHC Long shutdown 2:**
 - **Starts in July 2018**
 - **Duration: 18 months + 3 months beam commissioning**





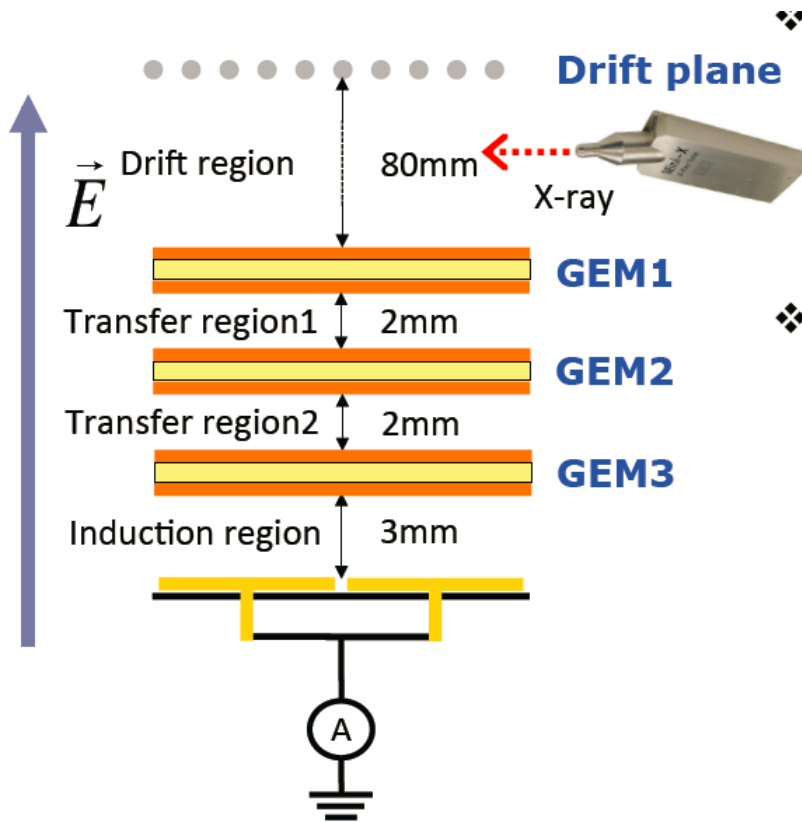
TPC: Garfield Simulations

- **Garfield++/Magboltz simulations for different 4GEM setups (S-LP-LP-S)**
 - Field calculation by ANSYS
 - **IBF quantitatively well described by simulations using Garfield++**

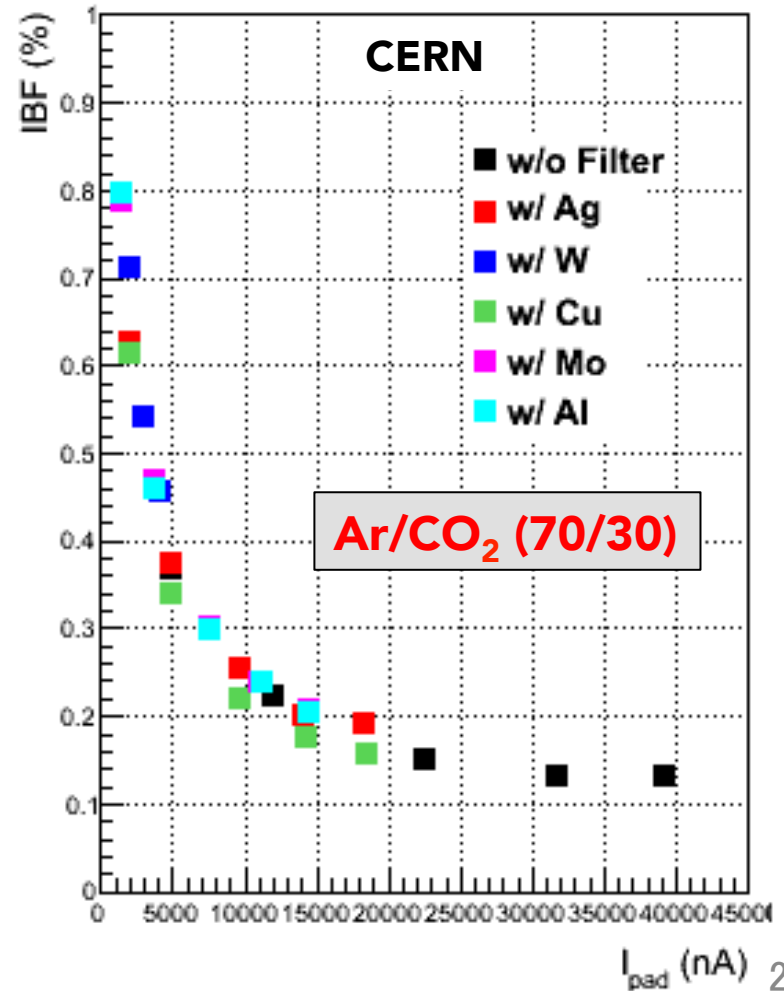




TPC: IBF – Rate Dependence (1)



Measurement





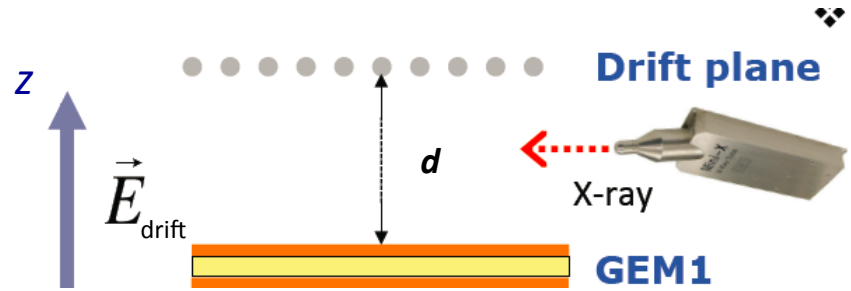
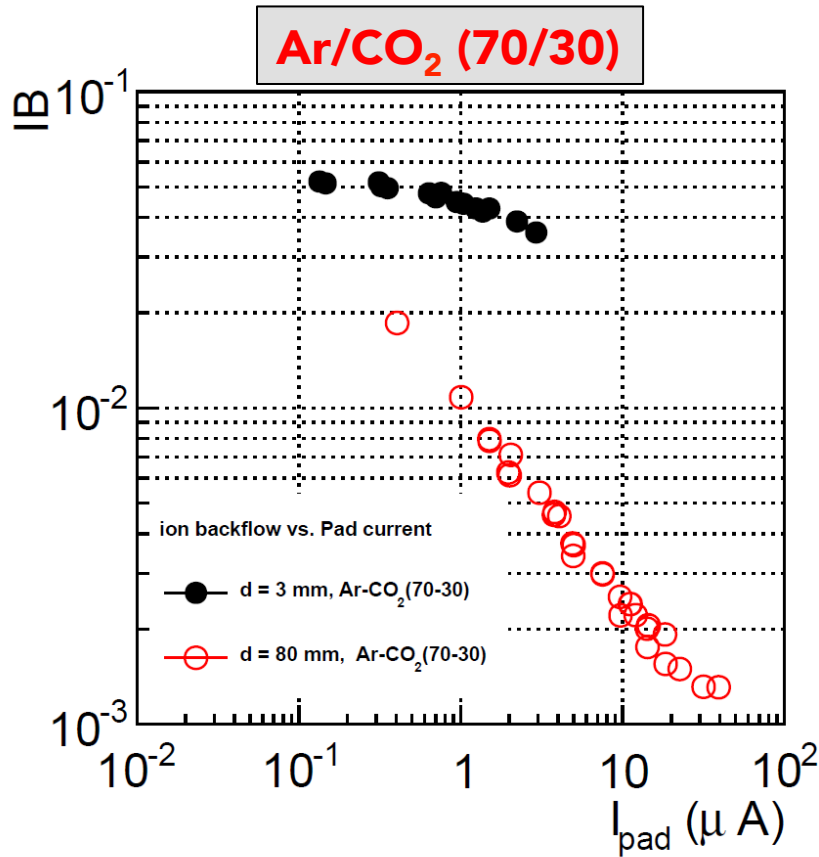
TPC: IBF – Rate Dependence (2)

Poisson equation:

$$\Delta\varphi(\mathbf{r}) = -\frac{\rho(\mathbf{r})}{\epsilon\epsilon_0}$$

For homogenous space charge density and parallel plate boundary conditions:

$$E(z) = E_{\text{drift}} - \frac{\rho d}{2\epsilon\epsilon_0} + \frac{\rho z}{\epsilon\epsilon_0} \quad z \ll d$$





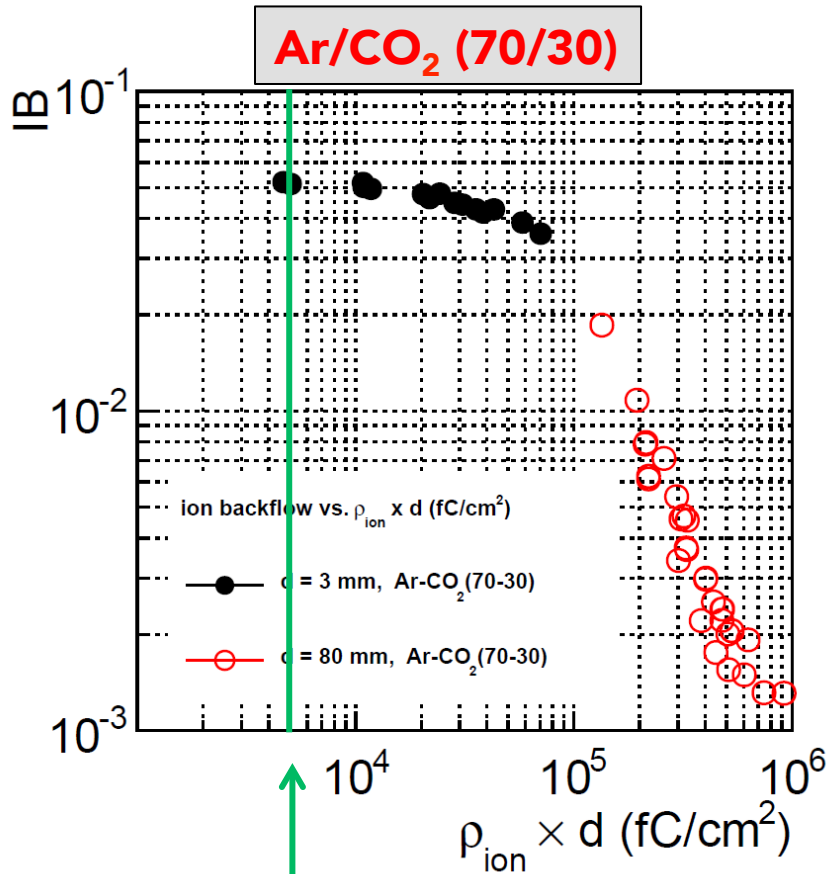
TPC: IBF – Rate Dependence (3)

Poisson equation:

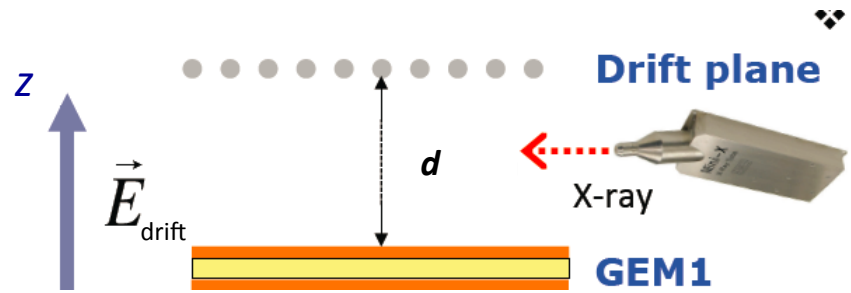
$$\Delta\varphi(\mathbf{r}) = -\frac{\rho(\mathbf{r})}{\epsilon\epsilon_0}$$

For homogenous space charge density and parallel plate boundary conditions:

$$E(z) = E_{\text{drift}} - \frac{\rho d}{2\epsilon\epsilon_0} + \frac{\rho z}{\epsilon\epsilon_0} \quad z \ll d$$

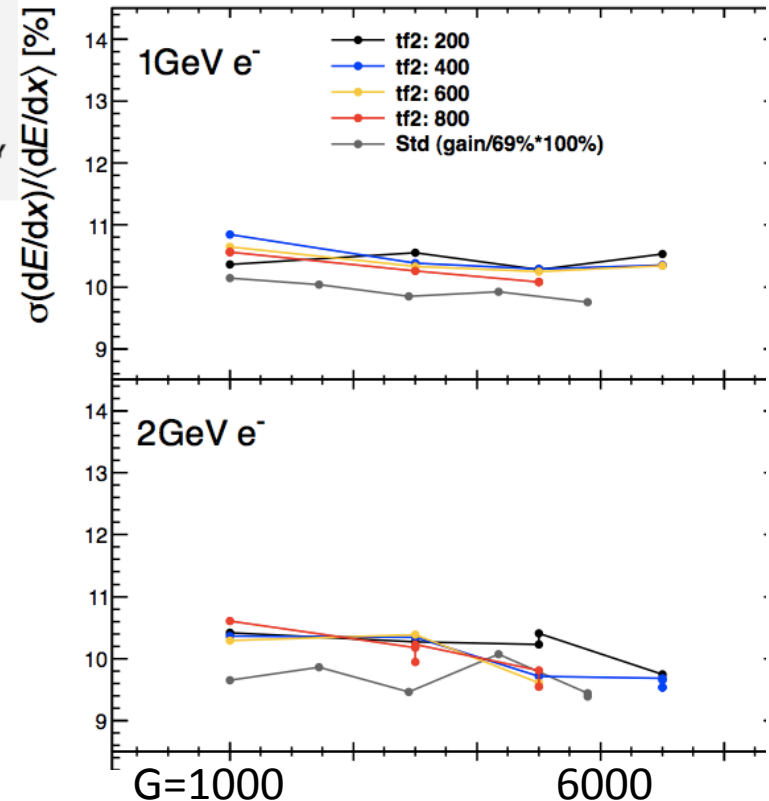
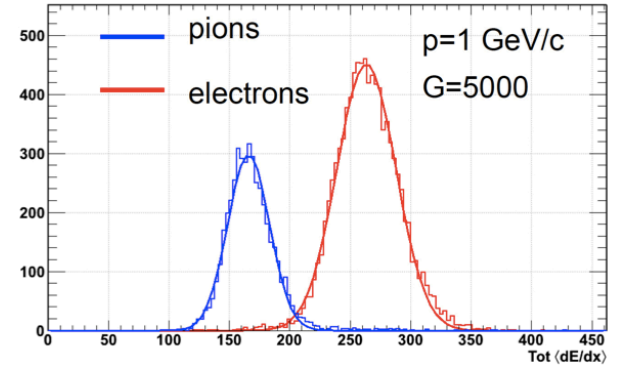
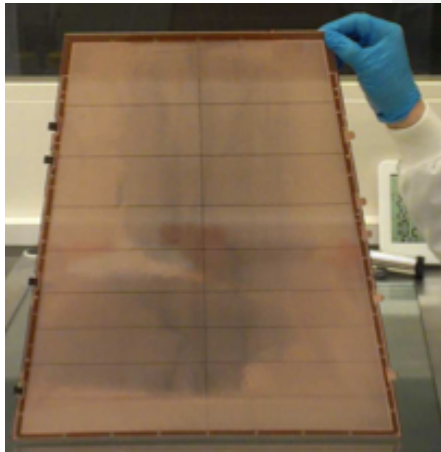
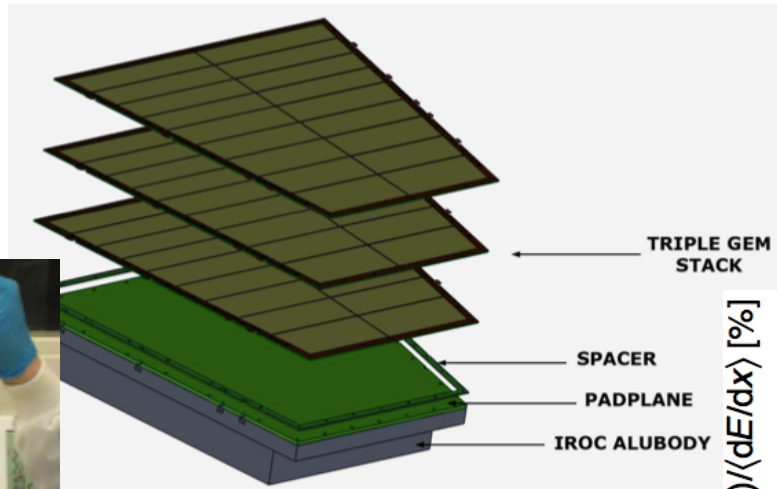


Expected after LS2: 5000 fC/cm²





TPC: dE/dx with 3GEM Prototype



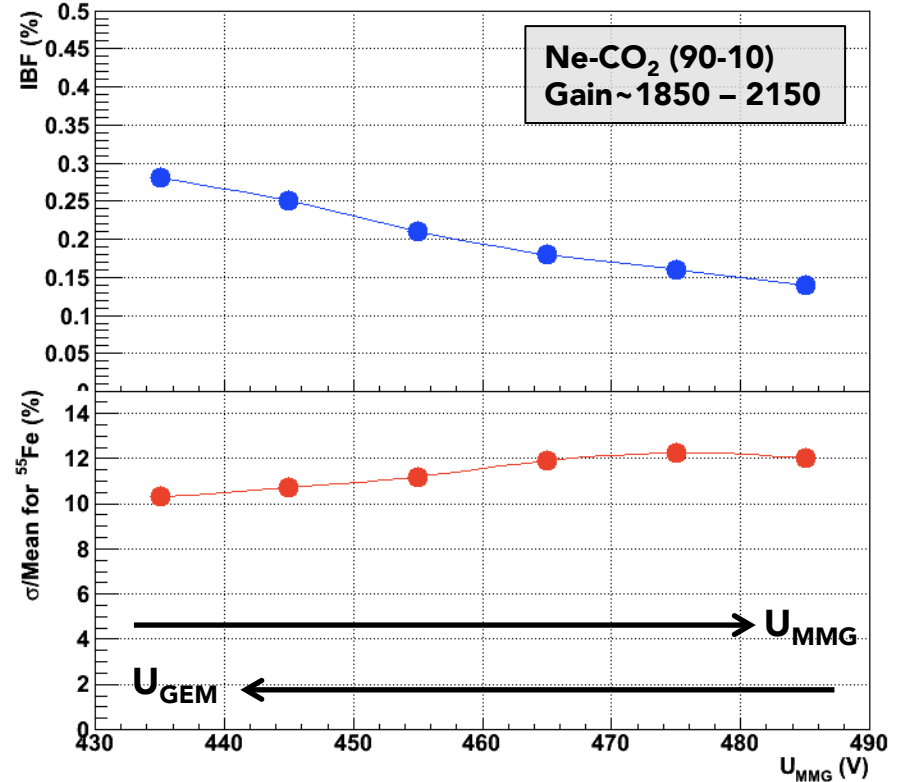
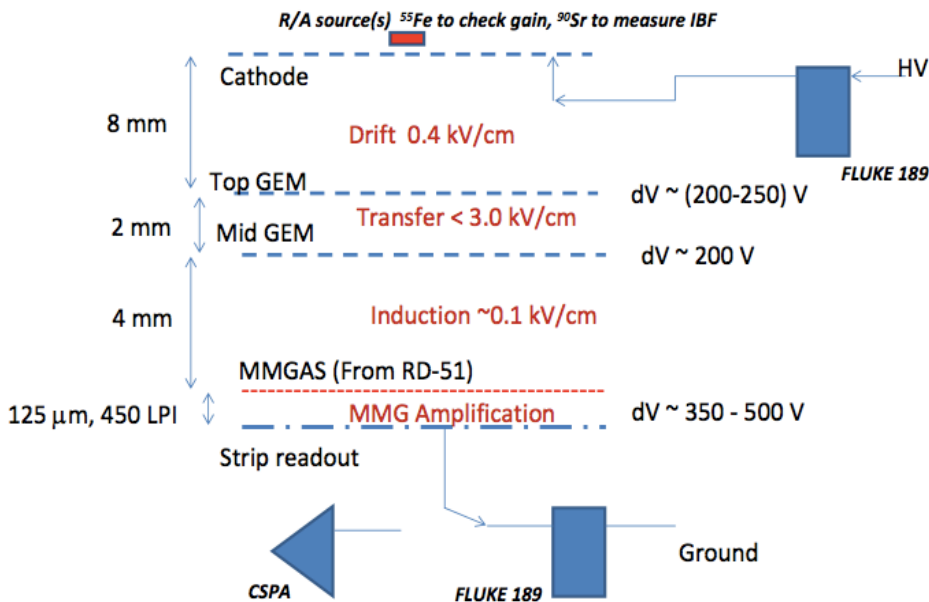
- **Prototype IROC with 3 singlemask GEMs**
 - Beam test at CERN Proton Synchrotron (e/π/p) in 2012
- **Good e/π separation**
- **$\sigma_{dE/dx}/\langle dE/dx \rangle \sim 10.5\%$**
 - **Comparable to the current TPC resolution (~9.5% with IROC)**



Alternative: 2 GEM + MicroMegs

- **IBF and Resolution studies**
 - V_{MMG} , V_{GEM} , transfer field E_T
 - **IBF < 0.2% at $\sigma(5.9\text{keV}) \sim 12\%$ feasible**

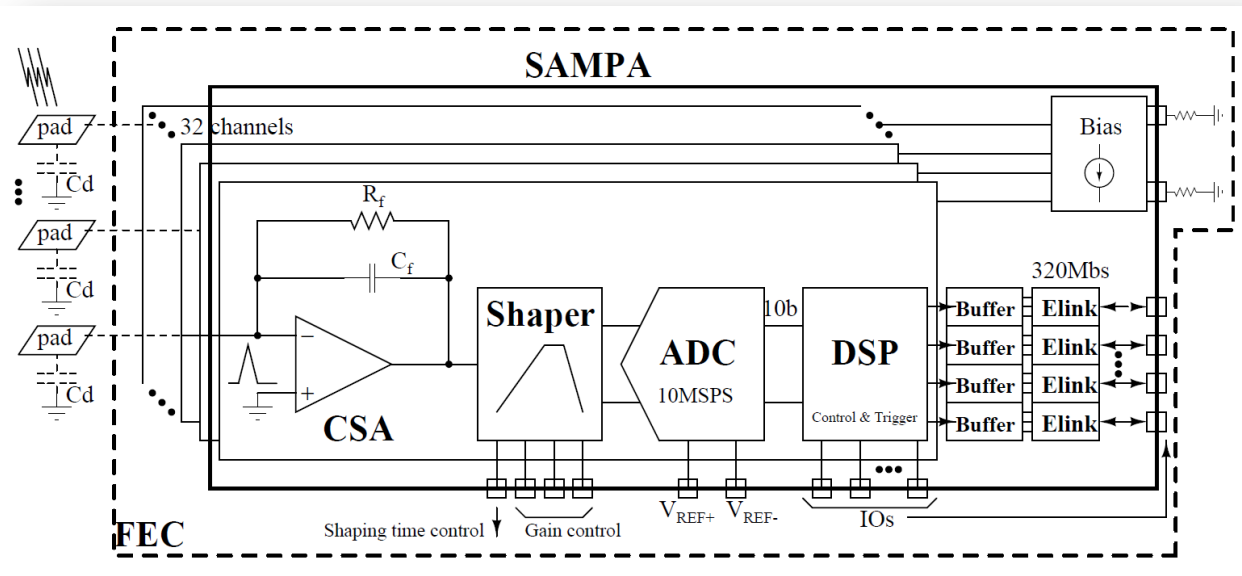
Large-scale solution and operational stability still to be verified



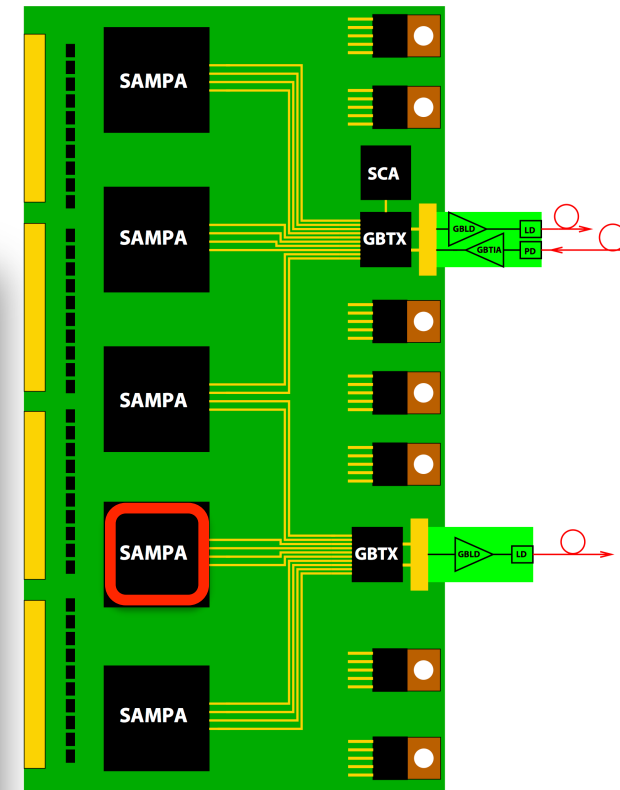


TPC read-out ASIC: SAMPA

- **SAMPA ASIC integrates preamplifier/shaper and ALTRO ADC + DSP**
 - pos / neg polarity
 - continuous / triggered read-out
 - SAR ADC (10 or 20 MSPS)
- **First MPW submission in April**



SAMPA functional blocks



Schematic of **Front End Card** with 5 SAMPA chips and GBT system



TPC: SAMPA parameters

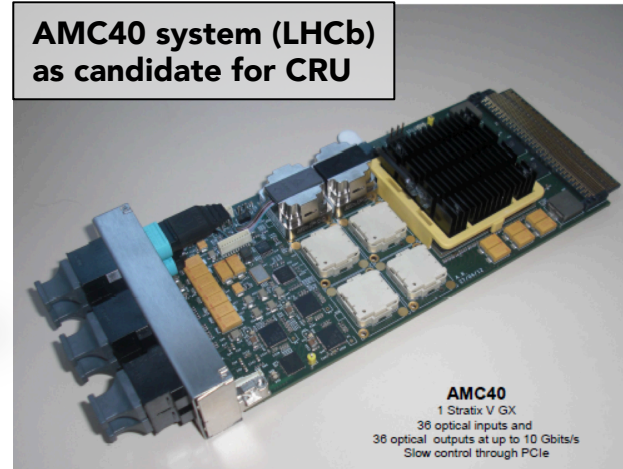
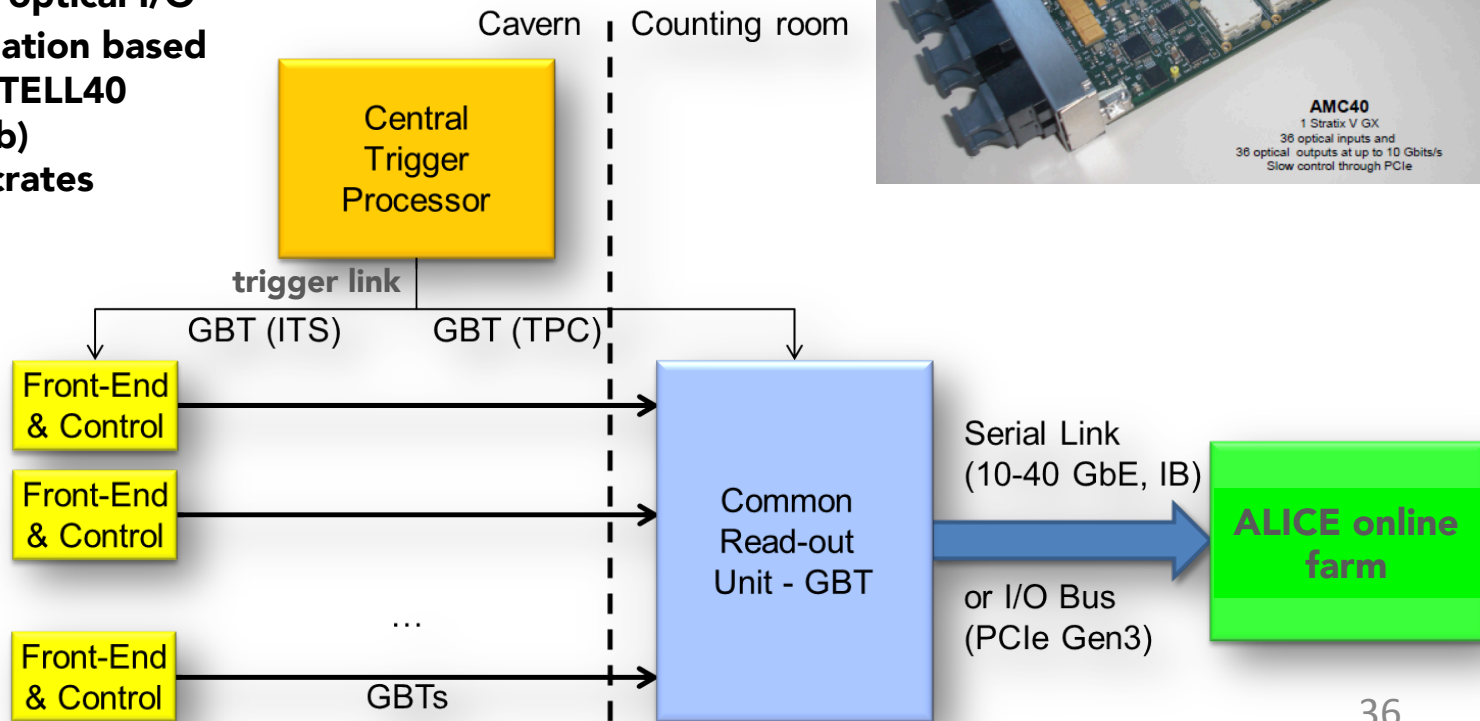
		RUN 1 (measured)	RUN 3 (requirement)
Signal polarity		Pos	Neg
Detector capacitance (range)	(pF)	12 – 33.5	12 – 33.5
<i>S:N</i> ratio for MIPs (IROC)		14:1	20:1
	(OROC 6×10 mm ² pads)	20:1	30:1
	(OROC 6×15 mm ² pads)	28:1	30:1
MIP signal	(fC)	1.5 – 3 ¹⁴	2.1 – 3.2
System noise (at 18.5 pF, incl. ADC)		670 e	670 e
PASA conversion gain (at 18 pF)	(mV/fC)	12.74	20 (30)
PASA return to baseline	(ns)	< 550	< 500
PASA average baseline value	(mV)	100	100
PASA channel-to-channel baseline variation (σ)	(mV)	18	18
PASA shaping order		4	4
PASA peaking time	(ns)	160	160 (80)
PASA crosstalk		< 0.1 % ¹⁵	< 0.2 %
PASA integrated non-linearity		0.2 %	< 1 %
ENC (PASA only, at 12 pF)		385 e	385 e
ADC voltage range (differential)	(V)	2	2
ADC linear range (differential)	(fC)	160	100 (67)
ADC number of bits		10	10
ADC sampling rate	(MHz)	10 (2.5, 5, 20)	10 (20)
Power consumption (analog & digital)	(mW/ch)	35	< 35

Parameters for the current FE chips (measured) and for Run3 (SAMPA)



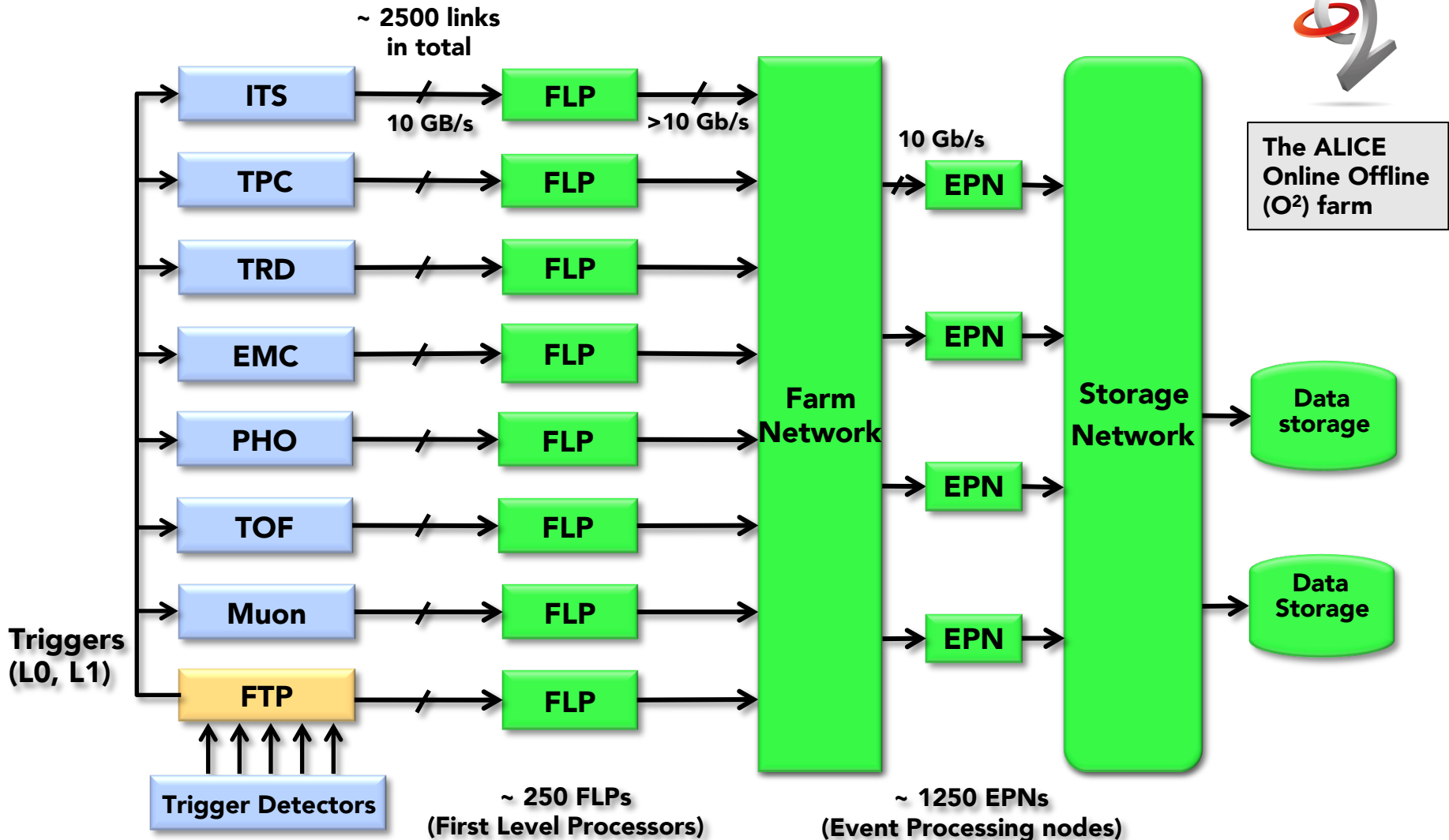
ALICE read-out system

- **GBT: custom radiation-hard optical link**
- **Common Read-out Unit (CRU)**
 - interfaces on-detector electronics, online farm (O²) and trigger system
 - high performance FPGA processors
 - multi-gigabit optical I/O
 - system evaluation based on AMC40 / TELL40 system (LHCb) using ATCA crates





ALICE online farm in Run3





ALICE online systems in Run3 (2)

- The 2 reconstruction stages are carried out on the O² computing farm
- Data bandwidth:

Detector	Input to Online System (GByte/s)	Peak Output to Local Data Storage (GByte/s)	Avg. output to computing center (GByte/s)
TPC	1000	50.0	8.0
TRD	81.5	10.0	1.6
ITS	40	10.0	1.6
Others	25	12.5	2.0
Total	1146.5	82.5	13.2

- LHC luminosity variation during fill and efficiency taken into account for average output to computing center