



 $^{210}_{84}Po_{126} = ^{208}_{82}Pb_{126} + 2p$ 

expectation of the indep. particle model:

E=0: 
$$[h_{9/2} \bigotimes h_{9/2}]^I$$
 (*I*=0,2,4,6,8)  
E=0.89 MeV:  $[h_{9/2} \bigotimes f_{7/2}]^I$  (*I*=1,2,3,4,5,6,7,8)

 $\Rightarrow$  # of states below 1 MeV: 13

observed spectra:

1.20 MeV \_\_\_\_\_ 4<sup>+</sup> 0.81 MeV \_\_\_\_\_ 2<sup>+</sup>

$$0 \longrightarrow 0^{+}$$
Effects of the residual interaction
$$H = \sum_{i=1}^{A} \left( -\frac{\hbar^2}{2m} \nabla_i^2 + V_{\mathsf{HF}}(i) \right) + \frac{1}{2} \sum_{i,j}^{A} v(r_i, r_j) - \sum_i V_{\mathsf{HF}}(i)$$

Effects of the residual interaction

$$H = \sum_{i=1}^{A} \left( -\frac{\hbar^2}{2m} \nabla_i^2 + V_{\mathsf{HF}}(i) \right) + \frac{1}{2} \sum_{i,j}^{A} v(r_i, r_j) - \sum_i V_{\mathsf{HF}}(i)$$

$$\sim -g \,\delta(r - r') \qquad \text{(short range force)}$$

$$= -g \frac{\delta(r - r')}{rr'} \sum_{\lambda \mu} Y_{\lambda \mu}^*(\hat{r}) Y_{\lambda \mu}(\hat{r}')$$

$$\Delta E_I \sim \langle [j \bigotimes j]^I | -g\delta(r - r') | [j \bigotimes j]^I \rangle$$
  
=  $-g F_r \frac{(2j+1)^2}{2} \begin{pmatrix} j & j & I \\ 1/2 & -1/2 & 0 \end{pmatrix}^2$ 

(for even j)

$$F_r = \int dr \frac{u_{jl}^4(r)}{4\pi r^2}$$

(radial integral)

$$\Delta E_{I} \sim -g F_{r} \frac{(2j+1)^{2}}{2} \begin{pmatrix} j & j & I \\ 1/2 & -1/2 & 0 \end{pmatrix}^{2} \equiv -g F_{r} A(jj;I)$$

$$A(jj;I) \qquad I=0 \quad I=2 \quad I=4 \quad I=6$$

$$j=5/2 \quad 3.00 \quad 0.685 \quad 0.286 \quad ---$$

$$j=7/2 \quad 4.00 \quad 0.95 \quad 0.467 \quad 0.233$$



#### Simple interpretation:



(note) The *I*=2*j* pair is unfavoured due to the Pauli principle.

(note)

$$\psi(l^2; L=0) = \sum_{\mu} \langle l\mu l - \mu | L=0, 0 \rangle Y_{l\mu}(\hat{r}_1) Y_{l-\mu}(\hat{r}_2) = Y_{l0}(\theta_{12}) / \sqrt{4\pi}$$





The ground state spin of nuclei

≻Even-even nuclei: 0<sup>+</sup>

>Even-odd nuclei: the spin of the valence particle

Mass Formula (Even-odd mass difference)

Extra binding when like nucleons form a spin-zero pair Example: Binding energy (MeV)

${}^{210}{}_{82}Pb_{128} = {}^{208}{}_{82}Pb_{126} + 2n$	1646.6
${}^{210}_{83}\text{Bi}_{127} = {}^{208}_{82}\text{Pb}_{126} + n + p$	1644.8

${}^{209}{}_{82}Pb_{127} = {}^{208}{}_{82}Pb_{126} + n$	1640.4
${}^{209}{}_{83}\text{Bi}_{126} = {}^{208}{}_{82}\text{Pb}_{126} + p$	1640.2

$B_{pair}$	=	$\Delta$	(for even – even)
	=	0	(for even – odd)
	=	$-\Delta$	(for odd – odd)

More later

# The BCS theory

Many-particles in non-degenerate levels ~ mean-field approx. for the pairing channel ~

### Simplified pairing interaction

$$V = -GP^{\dagger}P; \quad P^{\dagger} = \sum_{\nu > 0} a_{\nu}^{\dagger} a_{\overline{\nu}}^{\dagger} \quad \begin{array}{l} \nu \text{ : the time reversed state} \\ \text{of } \nu \\ \text{e.g.,} \end{array}$$
$$|\nu\rangle = |njlm\rangle, \quad |\overline{\nu}\rangle = |njl-m\rangle$$



#### Cf. Metallic superconductivity

Solve the pairing Hamiltonian

$$H = \sum_{\nu} \epsilon_{\nu} (a_{\nu}^{\dagger} a_{\nu} + a_{\overline{\nu}}^{\dagger} a_{\overline{\nu}}) - G \left( \sum_{\nu > 0} a_{\nu}^{\dagger} a_{\overline{\nu}}^{\dagger} \right) \left( \sum_{\nu > 0} a_{\overline{\nu}} a_{\nu} \right)$$

in the mean-field approximation

• Mean-field approximation:

$$V = -GP^{\dagger}P \rightarrow -G\left(\langle P^{\dagger}\rangle P + P^{\dagger}\langle P\rangle\right) = -\Delta(P^{\dagger} + P)$$

Cf. HF potential  

$$V_H(r) = \int v(r, r') \rho_{\text{HF}}(r') dr$$

particle number violation

• The Bardeen, Cooper, Schrieffer (BCS) ansatz

• The Bardeen, Cooper, Schrieffer (BCS) anzatz

$$\left|\Psi\right\rangle = \prod_{\nu>0} \left(u_{\nu} + v_{\nu} a_{\nu}^{\dagger} a_{\overline{\nu}}^{\dagger}\right) \left|0\right\rangle$$

$$|u_{\nu}|^{2} + |v_{\nu}|^{2} = 1$$
 — normalization  
(note)  $\langle a_{\nu}^{\dagger}a_{\nu} \rangle = |v_{\nu}|^{2}$  : occupation probability

(note)

BCS convention: 
$$u_{\overline{\nu}} = u_{\nu}, \quad v_{\overline{\nu}} = -v_{\nu}$$
 (real numbers)

(note) 
$$\left(1 + \frac{v_{\nu}}{u_{\nu}} a_{\nu}^{\dagger} a_{\overline{\nu}}^{\dagger}\right) | 0 \rangle = \exp\left(\frac{v_{\nu}}{u_{\nu}} a_{\nu}^{\dagger} a_{\overline{\nu}}^{\dagger}\right) | 0 \rangle$$
  
 $|\Psi\rangle \propto \exp\left(\sum_{\nu>0} \frac{v_{\nu}}{u_{\nu}} a_{\nu}^{\dagger} a_{\overline{\nu}}^{\dagger}\right) | 0 \rangle$  (pair condensed wave function)  
(note)  $|\Psi\rangle \propto \prod_{\nu>0} \alpha_{\nu} \alpha_{\overline{\nu}} | 0 \rangle$   $\alpha_{\nu}^{\dagger} = u_{\nu} a_{\nu}^{\dagger} - v_{\nu} a_{\overline{\nu}}$   
 $\alpha_{\overline{\nu}}^{\dagger} = u_{\nu} a_{\overline{\nu}}^{\dagger} + v_{\nu} a_{\nu}$ 

$$H = \sum_{\nu} \epsilon_{\nu} (a_{\nu}^{\dagger} a_{\nu} + a_{\overline{\nu}}^{\dagger} a_{\overline{\nu}}) - G \left( \sum_{\nu > 0} a_{\nu}^{\dagger} a_{\overline{\nu}}^{\dagger} \right) \left( \sum_{\nu > 0} a_{\overline{\nu}} a_{\nu} \right)$$

- Mean-field approximation:  $V = -GP^{\dagger}P \rightarrow -G\left(\langle P^{\dagger}\rangle P + P^{\dagger}\langle P\rangle\right) = -\Delta(P^{\dagger} + P)$
- The Bardeen, Cooper, Schrieffer (BCS) anzatz

$$|\Psi\rangle = \prod_{\nu>0} \left( u_{\nu} + v_{\nu} a_{\nu}^{\dagger} a_{\overline{\nu}}^{\dagger} \right) |0\rangle \qquad |u_{\nu}|^{2} + |v_{\nu}|^{2} = 1$$

(note) 
$$\left\langle a_{\nu}^{\dagger}a_{\nu}\right\rangle = |v_{\nu}|^2, \quad \Delta = G\langle P^{\dagger}\rangle = G\sum_{\nu>0} u_{\nu}v_{\nu}$$

Minimize 
$$\langle H' \rangle = \left\langle \sum_{\nu} \epsilon_{\nu} (a_{\nu}^{\dagger} a_{\nu} + a_{\overline{\nu}}^{\dagger} a_{\overline{\nu}}) - GP^{\dagger}P - \lambda \widehat{N} \right\rangle$$
  
with  $\langle \Psi | \widehat{N} | \Psi \rangle = 2 \sum_{\nu > 0} v_{\nu}^{2} = N$ 

$$\hat{N} = \sum_{\nu} (a_{\nu}^{\dagger} a_{\nu} + a_{\overline{\nu}}^{\dagger} a_{\overline{\nu}})$$

$$E' = \langle \Psi | H' | \Psi \rangle \sim 2 \sum_{\nu > 0} (\epsilon_{\nu} - \lambda) v_{\nu}^2 - \Delta^2 / G$$

$$E' = 2\sum_{\nu>0} (\epsilon_{\nu} - \lambda) v_{\nu}^2 - \left(G\sum_{\nu>0} u_{\nu} v_{\nu}\right)^2 / G$$

Minimization:

$$0 = \left(\frac{\partial}{\partial v_{\nu}} + \frac{\partial u_{\nu}}{\partial v_{\nu}} \frac{\partial}{\partial u_{\nu}}\right) E'$$
  
$$= 2(\epsilon_{\nu} - \lambda)u_{\nu}v_{\nu} + \Delta(v_{\nu}^{2} - u_{\nu}^{2})$$
  
$$u_{\nu}^{2} + v_{\nu}^{2} = 1$$
  
$$u_{\nu}^{2} = \frac{1}{2}\left(1 - \frac{\epsilon_{\nu} - \lambda}{E_{\nu}}\right)$$
  
$$v_{\nu}^{2} = \frac{1}{2}\left(1 + \frac{\epsilon_{\nu} - \lambda}{E_{\nu}}\right)$$
  
$$E_{\nu} = \sqrt{(\epsilon_{\nu} - \lambda)^{2} + \Delta^{2}}$$

$$\Delta = \frac{G}{2} \sum_{\nu > 0} \frac{\Delta}{E_{\nu}}$$

(Gap equation)

$$\frac{\text{Gap Equation}}{\Delta} = \frac{G}{2} \sum_{\nu > 0} \frac{\Delta}{\sqrt{(\epsilon_{\nu} - \lambda)^2 + \Delta^2}}$$

i) Trivial solution: always exists

$$\Delta = 0$$
  

$$v_{\nu}^{2} = \frac{1}{2} \left( 1 + \frac{\epsilon_{\nu} - \lambda}{\sqrt{(\epsilon_{\nu} - \lambda)^{2}}} \right) = 1 \quad (\epsilon_{\nu} \le \lambda)$$
  

$$= 0 \quad (\epsilon_{\nu} > \lambda)$$
  

$$G \text{ a/o } N \longrightarrow \text{large}$$

ii) Superfluid solution

$$1 = \frac{G}{2} \sum_{\nu > 0} \frac{1}{\sqrt{(\epsilon_{\nu} - \lambda)^2 + \Delta^2}}$$
$$v_{\nu}^2 = \frac{1}{2} \left( 1 + \frac{\epsilon_{\nu} - \lambda}{\sqrt{(\epsilon_{\nu} - \lambda)^2 + \Delta^2}} \right) < 1$$

(Note) obviously this equation cannot be satisfied for *G*=0

i) Trivial solution: always exists

$$\Delta = 0$$

$$v_{\nu}^{2} = 1 \quad (\epsilon_{\nu} \le \lambda)$$

$$= 0 \quad (\epsilon_{\nu} > \lambda)$$

$$|\Psi\rangle = \prod_{\nu>0} a_{\nu}^{\dagger} a_{\overline{\nu}}^{\dagger} |0\rangle$$

$$G \text{ a/o } N \longrightarrow \text{large}$$

ii) Superfluid solution

 $\Delta 
eq 0$  $v_{
u}^2 < 1$ 

$$|BCS\rangle = \prod_{\nu>0} \left( u_{\nu} + v_{\nu} a_{\nu}^{\dagger} a_{\overline{\nu}}^{\dagger} \right) | 0 \rangle$$

Number fluctuation



Norma-Superfulid phase transition

## Particle Number Projection

 $|BCS\rangle = \prod_{\nu>0} \left( u_{\nu} + v_{\nu} a_{\nu}^{\dagger} a_{\overline{\nu}}^{\dagger} \right) |0\rangle$  : violation of the particle number

### Particle number projection

Cf. Violation of the rot. symmetry for def. nuclei and the angular momentum projection

Projection operator:  $\begin{aligned}
\hat{P}_{N} &= \frac{1}{2\pi} \int_{0}^{2\pi} d\varphi \, e^{i\varphi(\hat{N}-N)} & (\Delta N)^{2} &= \langle (\hat{N}-N)^{2} \rangle \\
&= 4 \sum_{\nu>0} u_{\nu}^{2} v_{\nu}^{2} \\
&= 4 \sum_{\nu>0} u_{\nu}^{2} v_{\nu}^{2} \\
&\to |\text{proj}\rangle = \sum_{N'} C_{N'} |N'\rangle \\
&\to |\text{proj}\rangle = \hat{P}_{N} |BCS\rangle = C_{N} |N\rangle
\end{aligned}$ 

(note) 
$$e^{i\hat{N}\varphi}|BCS\rangle = \prod_{\nu>0} \left( u_{\nu} + v_{\nu} e^{2i\varphi} a_{\nu}^{\dagger} a_{\overline{\nu}}^{\dagger} \right) \left| 0 \right\rangle$$
 degenerate with  $|BCS\rangle$ 

Variation After Projection: determine  $\mathcal{U}_{\mathcal{V}}$  by minimizing  $E_{\text{proj}}' = \frac{\langle BCS | \hat{P}_N (\hat{H} - \lambda \hat{N}) \hat{P}_N | BCS \rangle}{\langle BCS | \hat{P}_N \hat{P}_N | BCS \rangle}$  $\nu > 0$ BCS Number Projection < 0 G

# **Bogoliubov** Transformation

$$|BCS\rangle = \prod_{\nu>0} \left( u_{\nu} + v_{\nu} a_{\nu}^{\dagger} a_{\overline{\nu}}^{\dagger} \right) | 0 \rangle \propto \prod_{\nu>0} \alpha_{\nu} \alpha_{\overline{\nu}} | 0 \rangle$$

#### **Bogoliubov transformation**

$$\alpha_{\nu}^{\dagger} = u_{\nu}a_{\nu}^{\dagger} - v_{\nu}a_{\overline{\nu}}, \quad \alpha_{\overline{\nu}}^{\dagger} = u_{\nu}a_{\overline{\nu}}^{\dagger} + v_{\nu}a_{\nu}$$

(Quasi-particle operator)

(note)

$$\{\alpha_{\nu}, \alpha_{\nu'}\} = 0, \quad \{\alpha_{\nu}, \alpha_{\nu'}^{\dagger}\} = \delta_{\nu, \nu'}$$

(note)

$$\alpha_{\nu}|BCS\rangle = 0$$

$$H = \sum_{\nu>0} \epsilon_{\nu} (a_{\nu}^{\dagger} a_{\nu} + a_{\overline{\nu}}^{\dagger} a_{\overline{\nu}}) - G \left( \sum_{\nu>0} a_{\nu}^{\dagger} a_{\overline{\nu}}^{\dagger} \right) \left( \sum_{\nu>0} a_{\overline{\nu}} a_{\nu} \right)$$
$$= E_{BCS} + H_{11} + H_{20} + V_{res}$$

$$E_{BCS} = const. = \langle BCS | H | BCS \rangle$$
  

$$H_{11} \sim \alpha^{\dagger} \alpha$$
  

$$H_{20} \sim \alpha^{\dagger} \alpha^{\dagger} + \alpha \alpha$$
  

$$V_{res} \sim \alpha^{\dagger} \alpha^{\dagger} \alpha^{\dagger} \alpha^{\dagger} + \alpha^{\dagger} \alpha^{\dagger} \alpha^{\dagger} \alpha + \dots + \alpha \alpha \alpha \alpha$$

BCS solution

$$H_{11} = \sum_{\nu} E_{\nu} \alpha_{\nu}^{\dagger} \alpha_{\nu} \qquad E_{\nu} = \sqrt{(\epsilon_{\nu} - \lambda)^2 + \Delta^2}$$
$$H_{20} = 0$$

The meaning of the Bogoliubov transformation

$$H' = \sum_{\nu} (\epsilon_{\nu} - \lambda) (a_{\nu}^{\dagger} a_{\nu} + a_{\overline{\nu}}^{\dagger} a_{\overline{\nu}}) - G \left( \sum_{\nu > 0} a_{\nu}^{\dagger} a_{\overline{\nu}}^{\dagger} \right) \left( \sum_{\nu > 0} a_{\overline{\nu}} a_{\nu} \right)$$

Mean-field approximation:

$$V = -GP^{\dagger}P \rightarrow -G\left(\langle P^{\dagger}\rangle P + P^{\dagger}\langle P\rangle\right) = -\Delta(P^{\dagger} + P)$$

(note) the quadratic form can be diagonalized with the Bogoliubov transformation:

$$h = \xi c^{\dagger}c + \eta (c^{\dagger}c^{\dagger} + cc)$$
  
Bogoliubov transformation  $c^{\dagger} = u \alpha^{\dagger} - v \alpha$   
$$h = \xi (u^{2} - v^{2})\alpha^{\dagger}\alpha + (\eta - \xi uv)(\alpha^{\dagger}\alpha^{\dagger} + \alpha \alpha)$$
  
Choose  $uv = \eta/\xi$   
$$h = \xi (u^{2} - v^{2})\alpha^{\dagger}\alpha$$

Quasi-particle excitations

•g.s. of even-even nuclei:  $|BCS\rangle$ 

•One quasi-particle states:

$$|\nu_1\rangle = \alpha_{\nu_1}^{\dagger} |BCS\rangle = a_{\nu_1}^{\dagger} \prod_{\nu \neq \nu_1} \left( u_{\nu} + v_{\nu} a_{\nu}^{\dagger} a_{\overline{\nu}}^{\dagger} \right) |0\rangle$$

Wave function for odd-mass nuclei

$$\langle \nu_1 | H | \nu_1 \rangle = \langle H \rangle + E_{\nu_1}$$

•Two quasi-particle states:

$$|\nu_1\nu_2\rangle = \alpha^{\dagger}_{\nu_1}\alpha^{\dagger}_{\nu_2}|BCS\rangle$$

Excited state of the even-even nuclei

$$\langle \nu_1 \nu_2 | H | \nu_1 \nu_2 \rangle - \langle H \rangle = E_{\nu_1} + E_{\nu_2}$$
  
 $\geq 2\Delta \longleftarrow \text{Energy gap}$ 

(note) no pairing limit:

$$\alpha_p^{\dagger} \alpha_h^{\dagger} \to a_p^{\dagger} a_h, \quad E_p + E_h \to (\epsilon_p - \lambda) + (\lambda - \epsilon_h)$$

(particle-hole excitation)

 $H \sim E_{BCS} + \sum_{\nu} E_{\nu} \alpha_{\nu}^{\dagger} \alpha_{\nu}$ 

### Even-odd mass difference and pairing gap



Or 
$$\Delta_n \sim (\Delta_n(N) + \Delta_n(N-1))/2$$



#### (note) weaker A-dependence?



Weaker A-dependence



 Isolation of the pairing effect from the deformation effect
 Consistent with Gogny HFB

W. Satula, J. Dobaczewski, andW. Nazarewicz, PRL81('98)3599S. Hilaire, et al., PLB531('02)61

## Seniority Scheme

Particles in a single degenerate level



Degeneracy:  $2\Omega$ 

$$H = -GP^{\dagger}P; \quad P^{\dagger} = \sum_{m>0} a_{m}^{\dagger}a_{-m}^{\dagger}$$
$$= -G\Omega A^{\dagger}A; \quad A^{\dagger} = P^{\dagger}/\sqrt{\Omega}$$

•BCS approximation  $2\Omega v^{2} = N \quad \swarrow \quad v^{2} = N/2\Omega$   $u^{2} = 1 - N/2\Omega$   $\Delta = \Omega u v = G\Omega \sqrt{\frac{N}{2\Omega} \left(1 - \frac{N}{2\Omega}\right)}$   $E_{\text{BCS}} = \langle H \rangle = -\Delta^{2}/G = -\frac{GN\Omega}{2} \left(1 - N/2\Omega\right)$ 

$$H = -GP^{\dagger}P; \quad P^{\dagger} = \sum_{m>0} a_m^{\dagger} a_{-m}^{\dagger}$$
$$= -G\Omega A^{\dagger}A; \quad A^{\dagger} = P^{\dagger}/\sqrt{\Omega}$$

•Exact solution (Seniority scheme)

(note)  

$$[A, A^{\dagger}] = 1 - \frac{\hat{N}}{\Omega}, \quad A|0\rangle = 0, \quad \hat{N}|0\rangle = 0$$

$$HA^{\dagger}|0\rangle = -G\Omega A^{\dagger}|0\rangle$$

$$H(A^{\dagger})^{2}|0\rangle = -2G(\Omega - 1) (A^{\dagger})^{2}|0\rangle$$

$$...$$

$$H(A^{\dagger})^{N/2}|0\rangle = -GN/4 \cdot (2\Omega - N + 2) (A^{\dagger})^{N/2}|0\rangle$$

$$\downarrow$$

$$E_{BCS} = -\frac{GN\Omega}{2} (1 - N/2\Omega)$$

$$\downarrow$$
The BCS approximation is good for large N.

### BCS approximation with a delta interaction



#### Divergence problem associated with a contact force

Gap equation in the momentum space:

$$\Delta_{k} = -\sum_{k'} \frac{\langle k|v|k'\rangle}{2} \frac{\Delta_{k'}}{\sqrt{(\epsilon_{k'} - \epsilon_F)^2 - \Delta_{k'}^2}}$$

(note)

$$\langle k|v|k' \rangle = \frac{1}{(2\pi)^3} \int dr \, e^{-i(k-k')\cdot r} \, v(r) = \frac{g}{(2\pi)^3}$$
  
for  $v(r) = g \, \delta(r)$ 

The gap equation diverges if the whole k space is included.

Gap equation in a truncated space

$$\int d{m k} o \int_{\epsilon_k \leq E_C} d{m k}$$

*Refs.* G.F. Bertsch and H. Esbensen, Ann. of Phys. 209('91)327
H. Esbensen, G.F. Bertsch, K. Hencken, Phys. Rev. C56('97)3054

(note) phase shift for nn scattering with a contact interaction

$$k \cot \delta = -\frac{2}{\alpha \pi} \left[ 1 + \alpha k_c + \frac{\alpha k}{2} \ln \left( \frac{k_c - k}{k_c + k} \right) \right]$$
$$\alpha = \frac{mg}{2\pi^2 \hbar^2}$$

> Effective range expansion:

$$k \cot \delta \sim -1/a + rk^2/2$$
  
a: scattering length, r: effective range

$$\int g = 2\pi^2 \frac{\hbar^2}{m} \frac{2a}{\pi - 2k_c a} \sim -2\pi^2 \frac{\hbar^2}{mk_c}$$
 (note)  
 $a_{nn} = -18.5 \pm 0.5$  (fm)

Gap equation in a truncated space

$$\int dm{k} 
ightarrow \int_{\epsilon_k \leq E_C} dm{k}$$

*Refs.* G.F. Bertsch and H. Esbensen, Ann. of Phys. 209('91)327
H. Esbensen, G.F. Bertsch, K. Hencken, Phys. Rev. C56('97)3054

$$g = 2\pi^2 \frac{\hbar^2}{m} \frac{2a}{\pi - 2k_c a} \sim -2\pi^2 \frac{\hbar^2}{mk_c}$$

(note) another dimensional regularization scheme *Ref.* A. Bulgac and Y. Yu, PRL88('02)042504

(note) Application of a density-dependent delta interaction

$$v(\mathbf{r},\mathbf{r}') = g \left[ 1 - \left(\frac{\rho(\bar{\mathbf{r}})}{\rho_0}\right)^{\alpha} \right] \delta(\mathbf{r}-\mathbf{r}')$$

