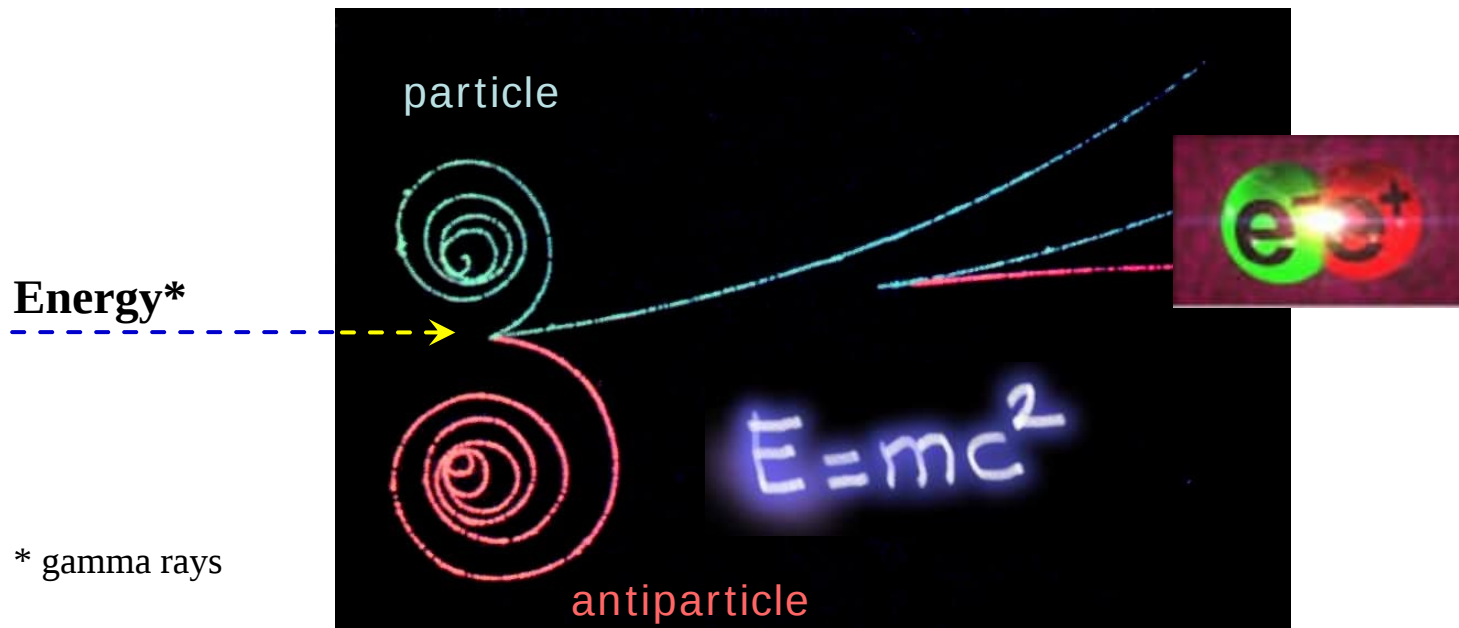


Outline: Antimatter

Lecturer: Hans-Jürgen Wollersheim

e-mail: h.j.wollersheim@gsi.de

web-page: <https://web-docs.gsi.de/~wolle/> and click on



* gamma rays

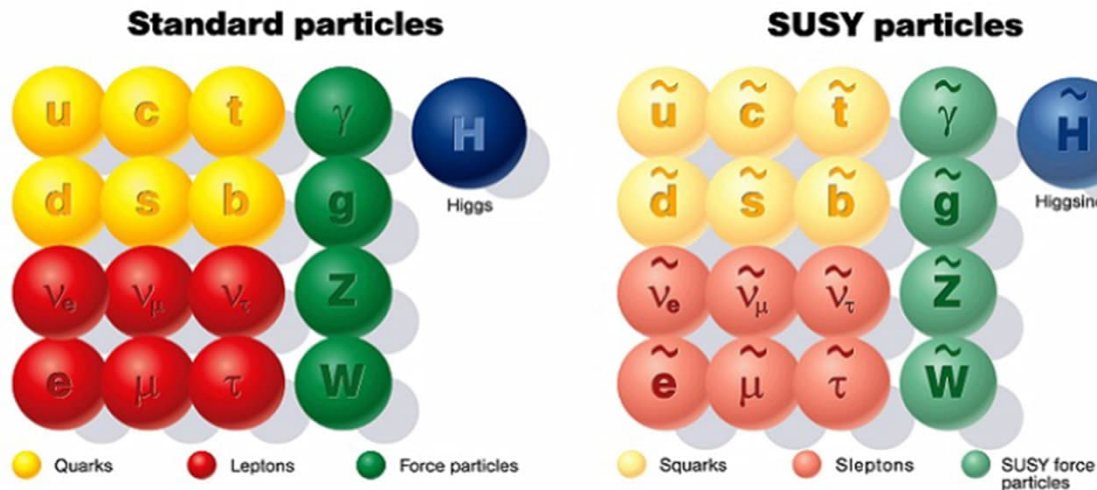
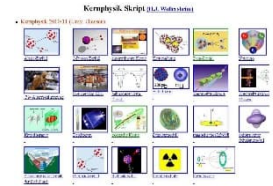
1. History of Antimatter
2. Cosmology (Standard Model)
3. Matter-Antimatter asymmetry in particle physics
4. Neutrinoless double-beta decay

Outline: Antimatter

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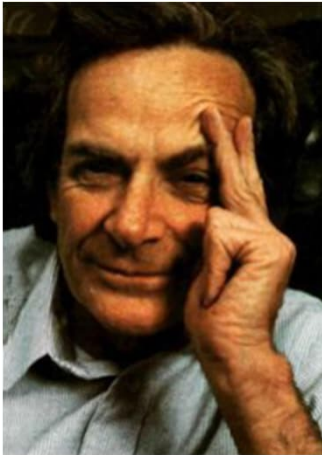
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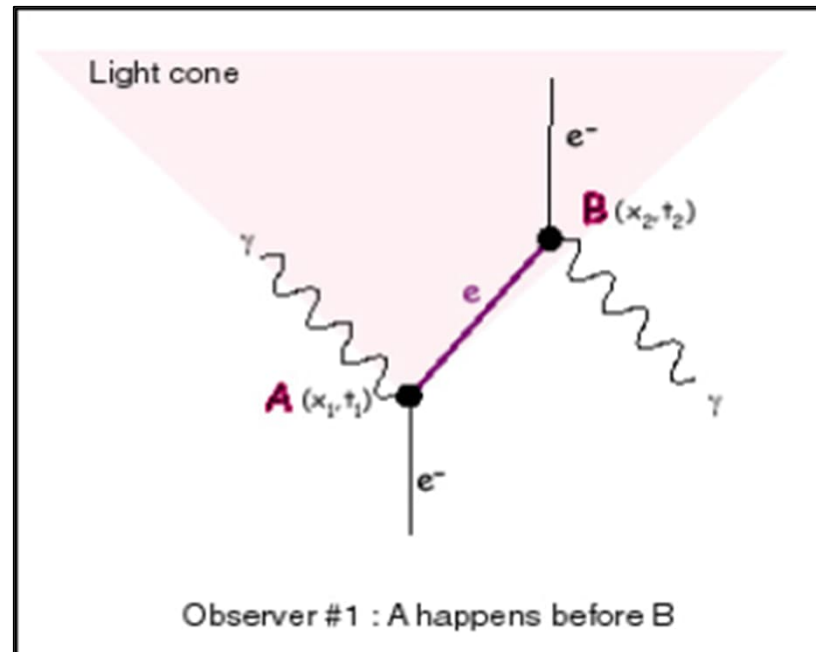
1. History of Antimatter
2. Cosmology
3. Matter-Antimatter Asymmetry
4. experiments

Special Relativity + Quantum Mechanics = Antimatter

“Second quantization” – the electron (field) is no longer described by a wave function but an operator that creates and destroys particles. All energies are positive.



Richard Feynman



An electron can emit a photon at A, propagate a certain distance, and then absorb another photon at B.



Fermions and Bosons

Fundamental characteristics of particles: *Spin* (“self angular momentum”)

- ❖ Integer values ($0, \pm 1, \dots$) → *Bosons*
- ❖ Half integer values ($\pm 1/2, \pm 3/2, \dots$) → *Fermions*

Bosons (Cooper pairs ...) can be described by *common wave function*
→ funny effects (super conductivity, super fluidity, ...)



Fermions (electrons or protons ...) must be in different states
→ *Pauli's exclusion principle* (basis of all chemistry...)



Satyendranath Bose



Enrico Fermi



Wolfgang Pauli



Matter Waves for Particles without Spin

Non relativistic:

Kinematics:

$$E = \frac{\vec{p}^2}{2m}$$

Quantum Mechanics:

$$E \rightarrow i\hbar \frac{\partial}{\partial t} \quad \text{and} \quad \vec{p} \rightarrow -i\hbar \vec{\nabla}$$

Wave Equation:

$$i\hbar \frac{\partial}{\partial t} \psi = \frac{-\hbar^2}{2m} \nabla^2 \psi$$

Relativistic:

$$E^2 = p^2 c^2 + m^2 c^4$$

$$E \rightarrow i \frac{\partial}{\partial t} \quad \text{and} \quad \vec{p} \rightarrow -i \vec{\nabla}$$

$$-\frac{1}{c^2} \frac{\partial^2}{\partial t^2} \phi = -\nabla^2 \phi + \frac{m^2 c^2}{\hbar^2} \phi$$

density:

$$\rho(x, t) = |\psi(x, t)|^2$$

continuity equation:

$$\frac{\partial \rho}{\partial t} + \nabla \cdot j = 0$$

Schrödinger equation:

$$\frac{\partial}{\partial t} \psi = \frac{i\hbar}{2m} \nabla^2 \psi$$

continuity equation:

$$\frac{\partial}{\partial t} (\psi^* \psi) = -\nabla \cdot \left[\frac{i\hbar}{2m} (\psi \nabla \psi^* - \psi^* \nabla \psi) \right]$$

plane waves:

$$\psi = N \cdot e^{i(p \cdot x - E \cdot t)/\hbar} \quad E = p^2 / 2m$$

are solutions to the free
Schrödinger equation

$p \rightarrow -p$ gives to same result



Matter Waves for Particles without Spin

Non relativistic:

Kinematics:

$$E = \frac{\vec{p}^2}{2m}$$

Quantum Mechanics:

$$E \rightarrow i\hbar \frac{\partial}{\partial t} \quad \text{and} \quad \vec{p} \rightarrow -i\hbar \vec{\nabla}$$

Wave Equation:

$$i\hbar \frac{\partial}{\partial t} \psi = \frac{-\hbar^2}{2m} \nabla^2 \psi$$

Relativistic:

$$E^2 = p^2 c^2 + m^2 c^4$$

$$E \rightarrow i \frac{\partial}{\partial t} \quad \text{and} \quad \vec{p} \rightarrow -i \vec{\nabla}$$

$$-\frac{1}{c^2} \frac{\partial^2}{\partial t^2} \phi = -\nabla^2 \phi + \frac{m^2 c^2}{\hbar^2} \phi$$

Klein-Gordon equation

Unlike the Schrödinger equation, the **Klein-Gordon equation** does not contain factor **i**. Consequently, it can have both real and complex solutions.

$$\left(\frac{1}{c^2} \frac{\partial^2}{\partial t^2} - \nabla^2 + m^2 \right) \phi(x) = 0$$

plane waves:

satisfies the dispersion relation

$$E^2 = p^2 + m^2$$

$$\phi(x) = N e^{i(p \cdot x - E \cdot t)} = e^{-i p_\mu \cdot x^\mu}$$

continuity equation:

The factor **i** is introduced to make the density real

$$\frac{\partial}{\partial t} i \left(\phi^* \frac{\partial \phi}{\partial t} - \phi \frac{\partial \phi^*}{\partial t} \right) = \nabla \cdot [i(\phi^* \nabla \phi - \phi \nabla \phi^*)]$$

$$\rho = 2|N|^2 E$$

$$j = 2|N|^2 p$$

Matter Waves for Particles with Spin relativistic electron

Which equation describes a relativistic electron ($c=1$)?

$$E^2 = p^2 + m^2 \rightarrow$$
$$E = \pm(\alpha \cdot p) + \beta \cdot m$$



Paul Dirac 1902-1984

Dirac: Take the ‘square root’ – and deal with negative solution

Linear equation, based on relativistic energy-momentum conservation

$$i \frac{\partial}{\partial t} \Psi = -i \left(\alpha_x \frac{\delta}{\delta x} \Psi + \dots \right) + \beta m \Psi$$

Solution: α , β are 4x4 matrices (called γ^μ)
 Ψ has 4 components (“spinor”)

What does Ψ stand for?

Dirac equation – Four components?

Electron has spin $\frac{1}{2}$ (two ways of alignment in a magnetic field).
Description by a two-component Pauli ‘spinor’ (spin-up and spin-down).

But: Ψ had two spin $\frac{1}{2}$ particles!

$$\Psi_+ = \begin{pmatrix} 1 \\ 0 \\ 0 \\ 0 \end{pmatrix} \text{ or } \begin{pmatrix} 0 \\ 1 \\ 0 \\ 0 \end{pmatrix} e^{-i\omega t}$$

$$\Psi_- = \begin{pmatrix} 0 \\ 0 \\ 1 \\ 0 \end{pmatrix} \text{ or } \begin{pmatrix} 0 \\ 0 \\ 0 \\ 1 \end{pmatrix} e^{+i\omega t}$$

These are the two spin states
of an **electron** with positive
energy

These are the two spin states
of something (similar) with
‘negative’ energy

For moving electrons, the upper and lower components mix.
The ‘one-particle’ wave function describes now a multi-particle wave function
Dirac 1929: ‘negative’ energy states with positive charge = **proton ???**

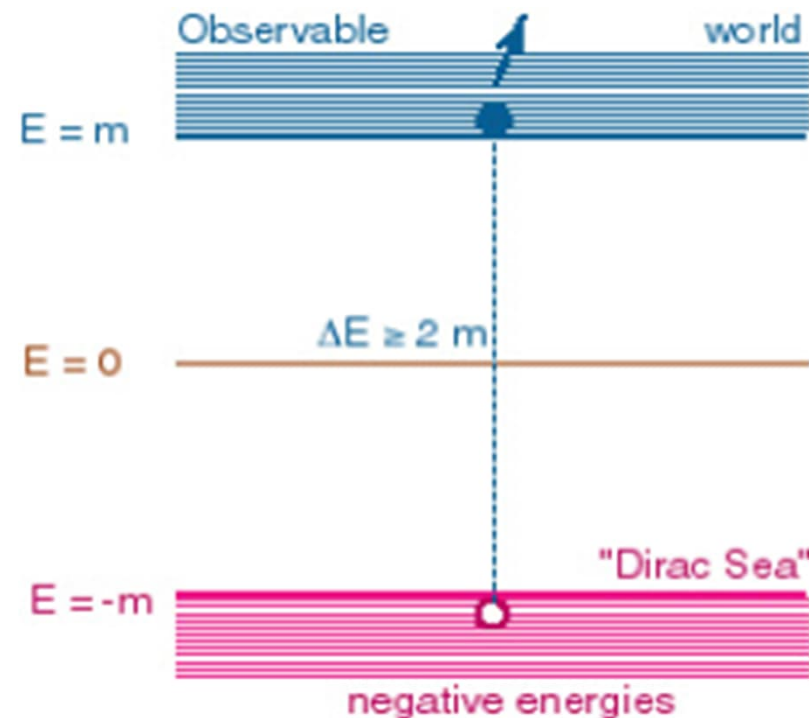
Dirac's interpretation: Holes in the vacuum

Dirac 1931: “Subsequent investigations, however, have shown that this particle necessarily has the same **mass of an electron** and also that, if it collides with an electron, the two will have a chance of annihilating one another ...”

All positive energy states occupied by electrons.
‘Pauli exclusion principle’ prevents electrons to fall into the “Dirac sea” (otherwise $t_{trans} \sim 10^{-8}$ sec)

Pair creation: By absorbing radiation ($E \geq 2m$), an electron can be lifted from negative to positive energy state. The remaining hole (absence of negative charge) behaves like a positive electron – the positron.

Vacuum had become very complicated
(infinite zero-point energy, infinite charge)

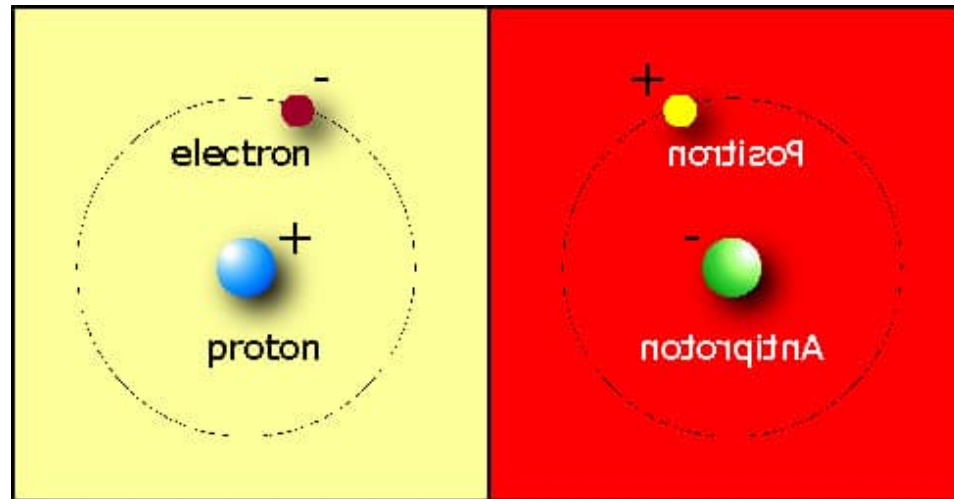


Is the Universe symmetric?



1933 Dirac (from his Nobel lecture)

“If we accept the view of complete symmetry between positive and negative electric charge so far as concerns the fundamental laws of Nature, we must regard it rather as an accident that the Earth (and presumably the whole solar system), *contains a preponderance of negative electrons and positive protons.*



positronium lifetime: $\tau = 0.125 \text{ ns}$

Positron discovery (1932)

Anderson used cloud chamber in a 15 kG magnetic field

Cloud Chamber had two parts, separated by a 6 mm lead plate

Greater curvatures of lower track indicates that particles entered the chamber from below. This determines the positive charge of the particle.

From the track curvature and track length(= energy loss per cm) Anderson concluded that the positive charge of the particles is less than twice that of proton and the mass is less than twenty times the proton mass.



Carl Anderson

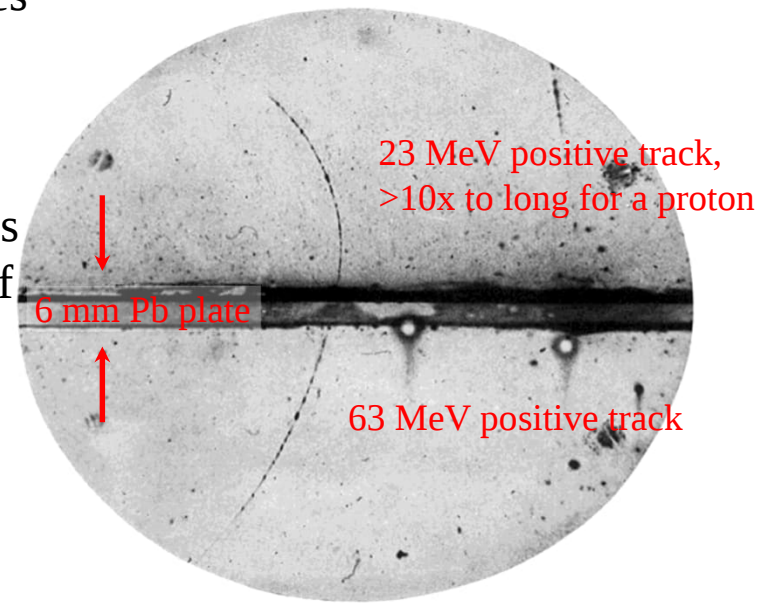
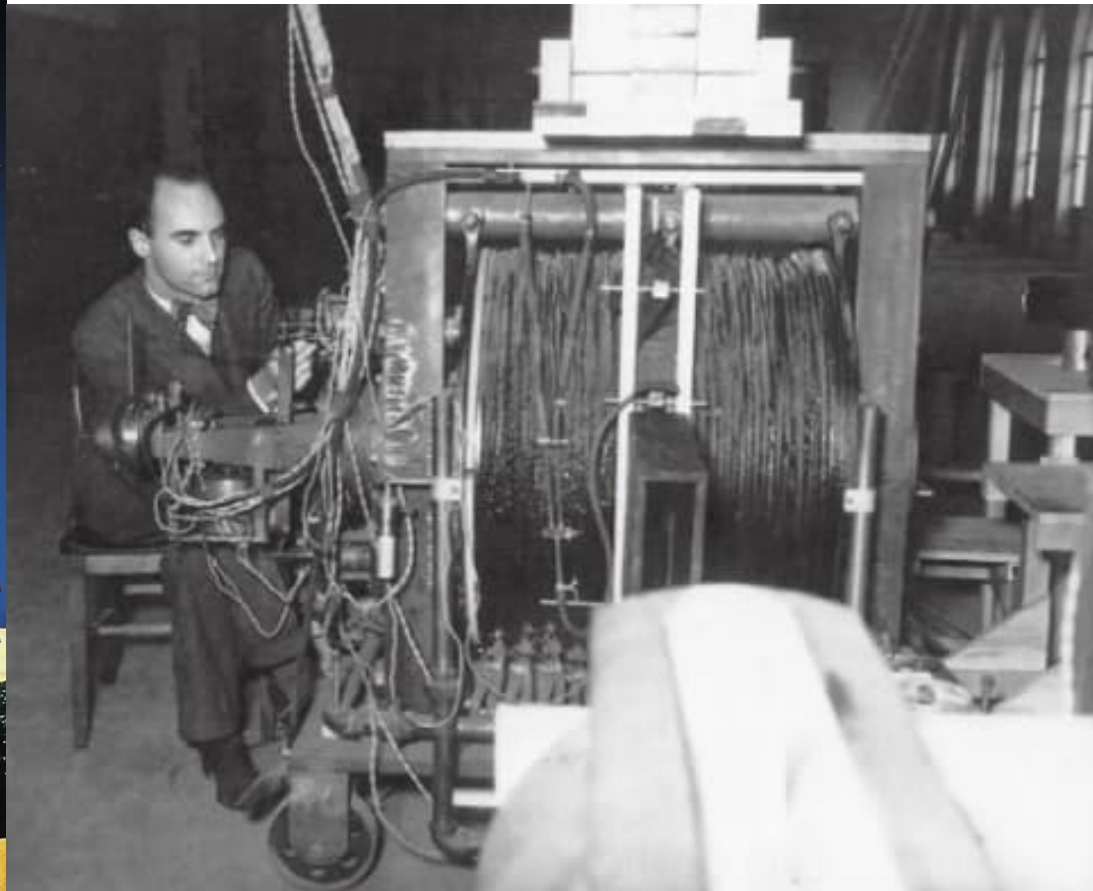
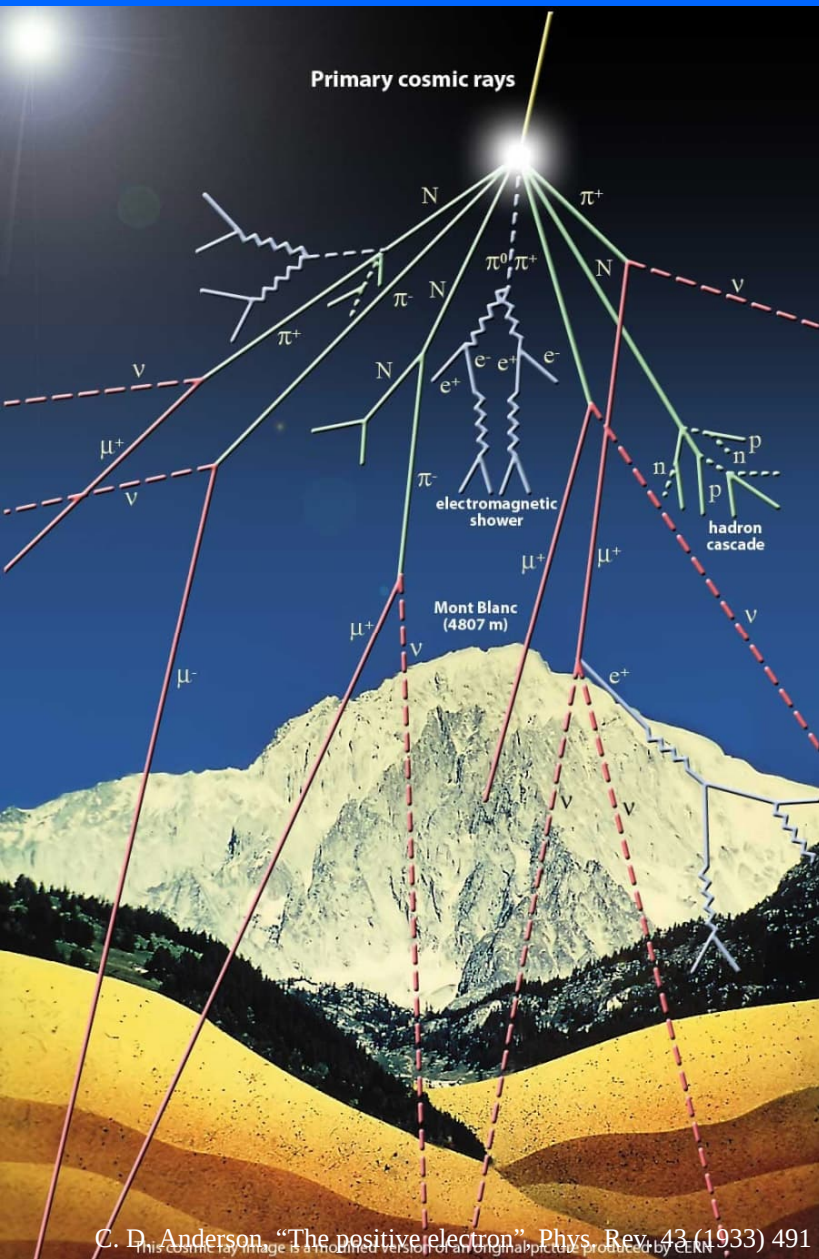


FIG. 1. A 63 million volt positron ($H_D = 2.1 \times 10^6$ gauss-cm) passing through a 6 mm lead plate and emerging as a 23 million volt positron ($H_D = 7.5 \times 10^5$ gauss-cm). The length of this latter path is at least ten times greater than the possible length of a proton path of this curvature.

Positron discovery (1932)



The 800 turns of 1 cm Cu tubing carried both an electrical current of up to 1600 A and cooling water, 150 liters per minute. A dc generator in the basement of the Aeronautics building at Caltech delivered up to 600 kW giving a strong magnetic field of up to 2.4 T.

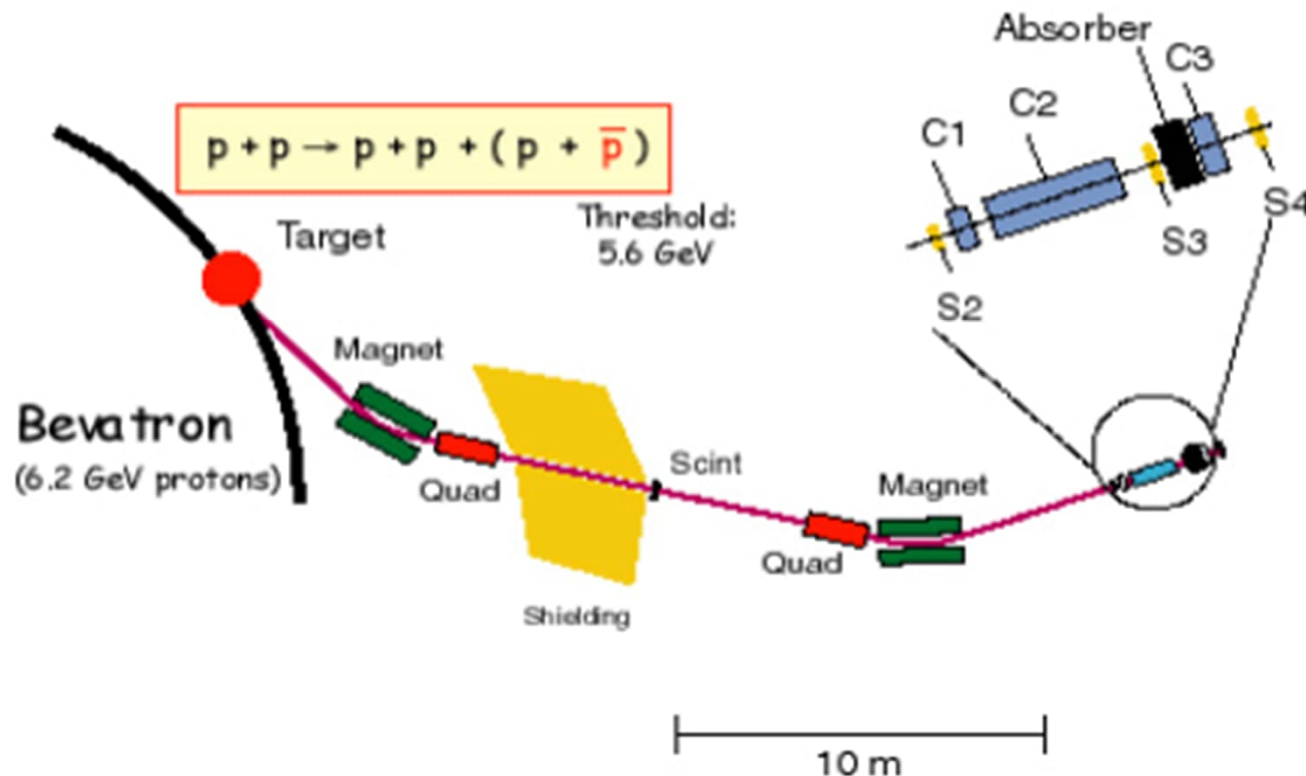
Discovery of antiproton (1955)

In 1955, many prominent physicists remained doubtful if baryons would have antiparticles. After all, the magnetic moment of the proton ($g = 5.58 \neq 2$) seemed to indicate that **the proton was not a Dirac particle**. As an example, **Maurice Goldhaber bet against the existence of the antiproton ...**

... and lost

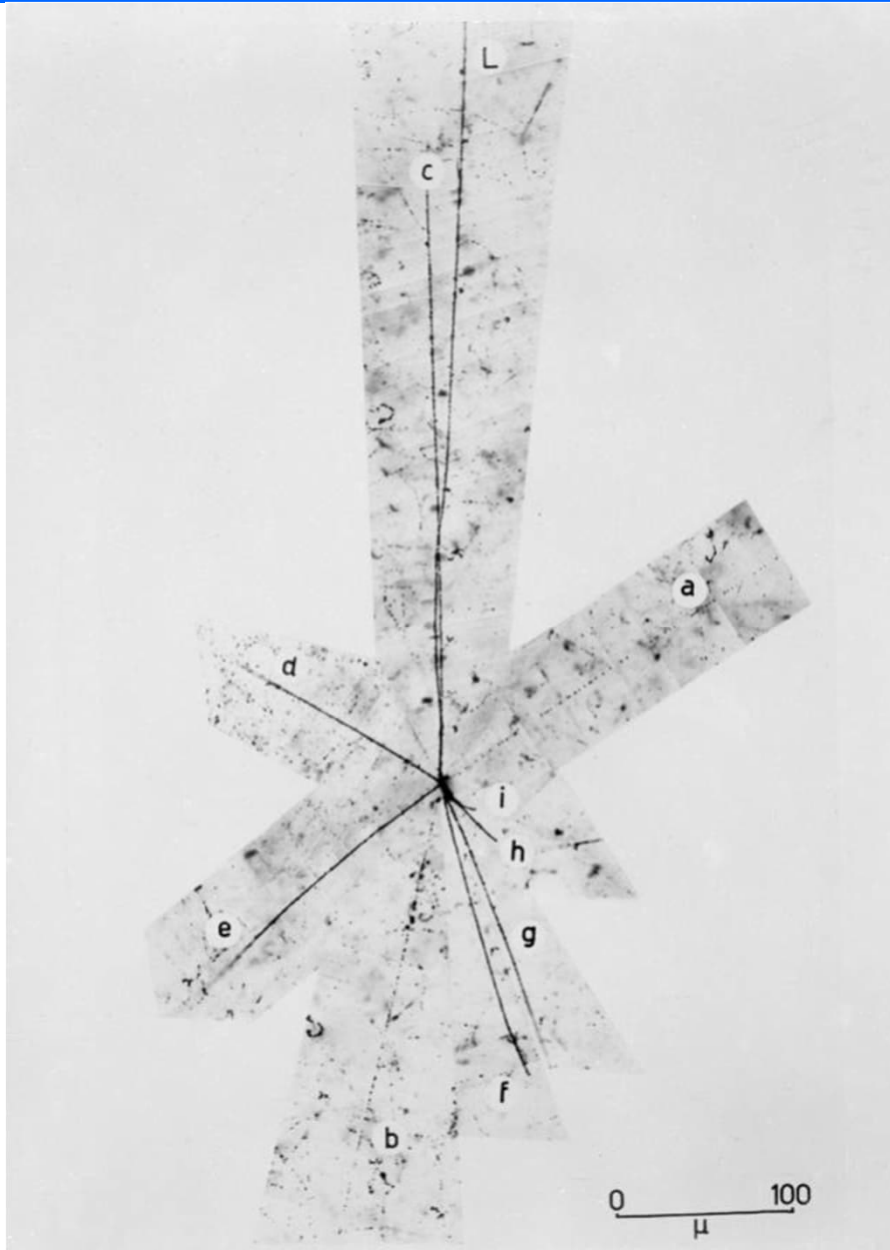
(he gave Snyder 500 \$
in November 1955)

Antiproton Discovery



The energy of the Bevatron at Berkeley had been designed for the production of the antiproton and as built by Ernest Lawrence and his team.

Discovery of antiproton (1955)



Antiproton annihilation

First symmetry test – mass, charge agreed to $\sim 10\%$

Discovery of antiproton (1955)



Segrè



Chamberlain

PHYSICAL REVIEW

A journal of experimental and theoretical physics established by E. L. Nichols in 1893

SECOND SERIES, VOL. 100, No. 3

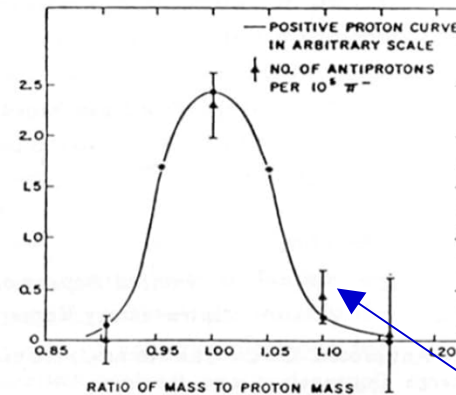
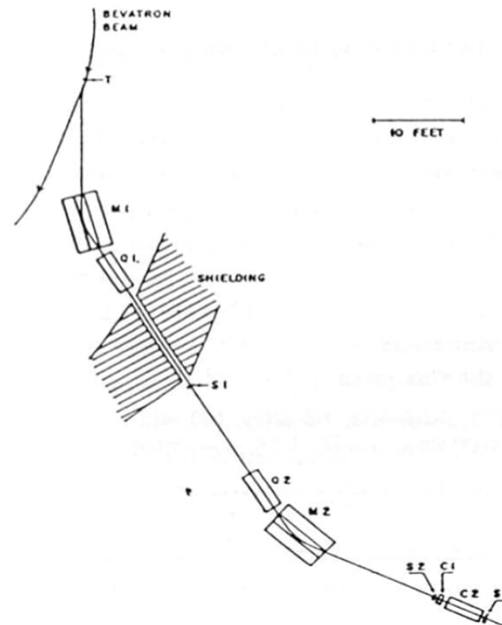
NOVEMBER 1, 1955

Observation of Antiprotons*

OWEN CHAMBERLAIN, EMILIO SEGRÈ, CLYDE WIEGAND,
AND THOMAS YPSILANTIS

*Radiation Laboratory, Department of Physics, University of
California, Berkeley, California*

(Received October 24, 1955)



Nobel prize (1959)
for 50% of the collaboration

Tedious analysis ...

Berkeley 1956: Discovery of **antineutron**
(Cork, Lambertson, Piccioni, Wenzel)

using the ‘antiproton beam’ and **charge-exchange reaction**

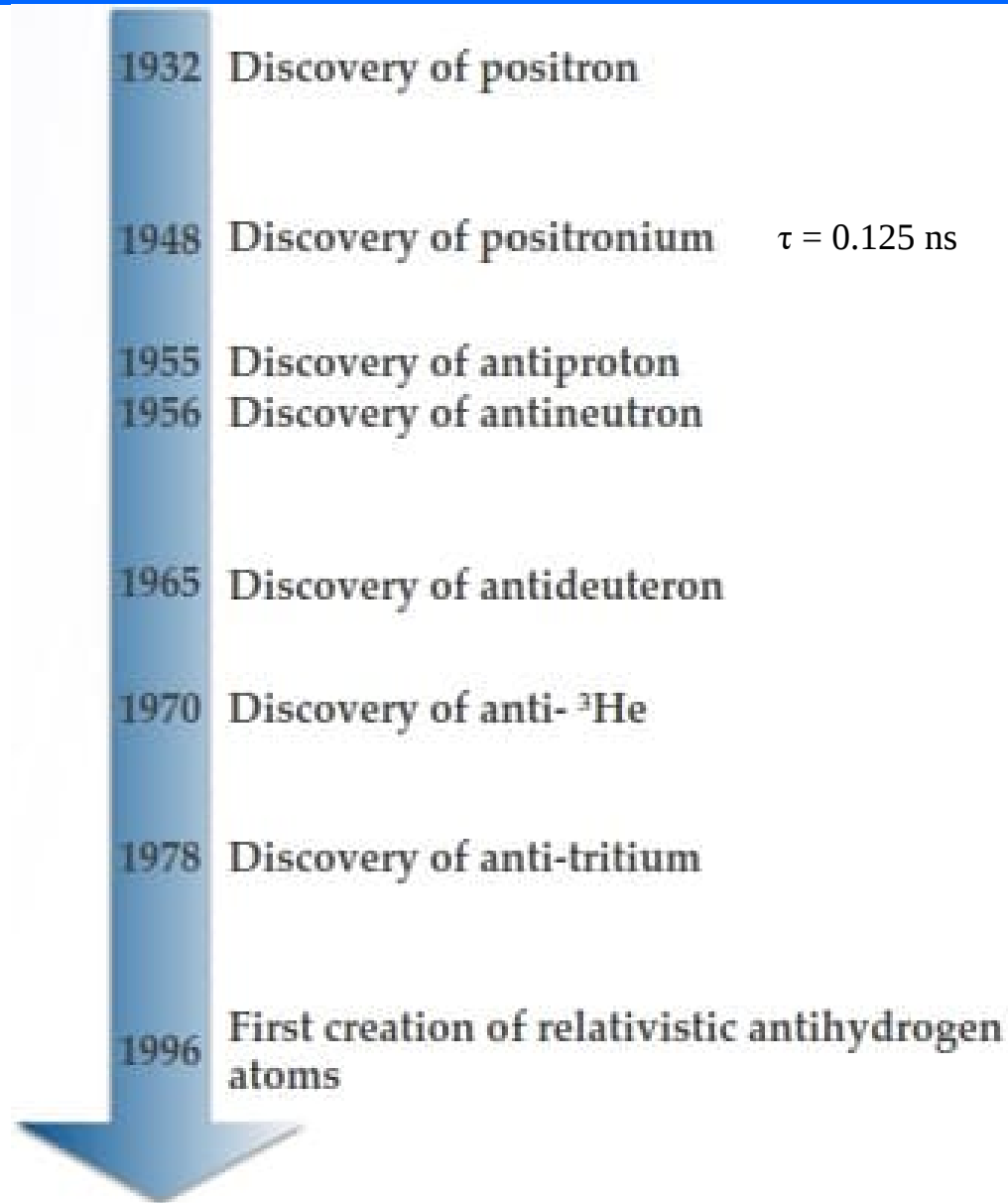
$\text{antiproton} + \text{proton} \rightarrow \text{antineutron} + \text{neutron}$

The produced antineutron was recognizable by its annihilation properties, forming a star in the cloud chamber that is very similar to an antiproton star.

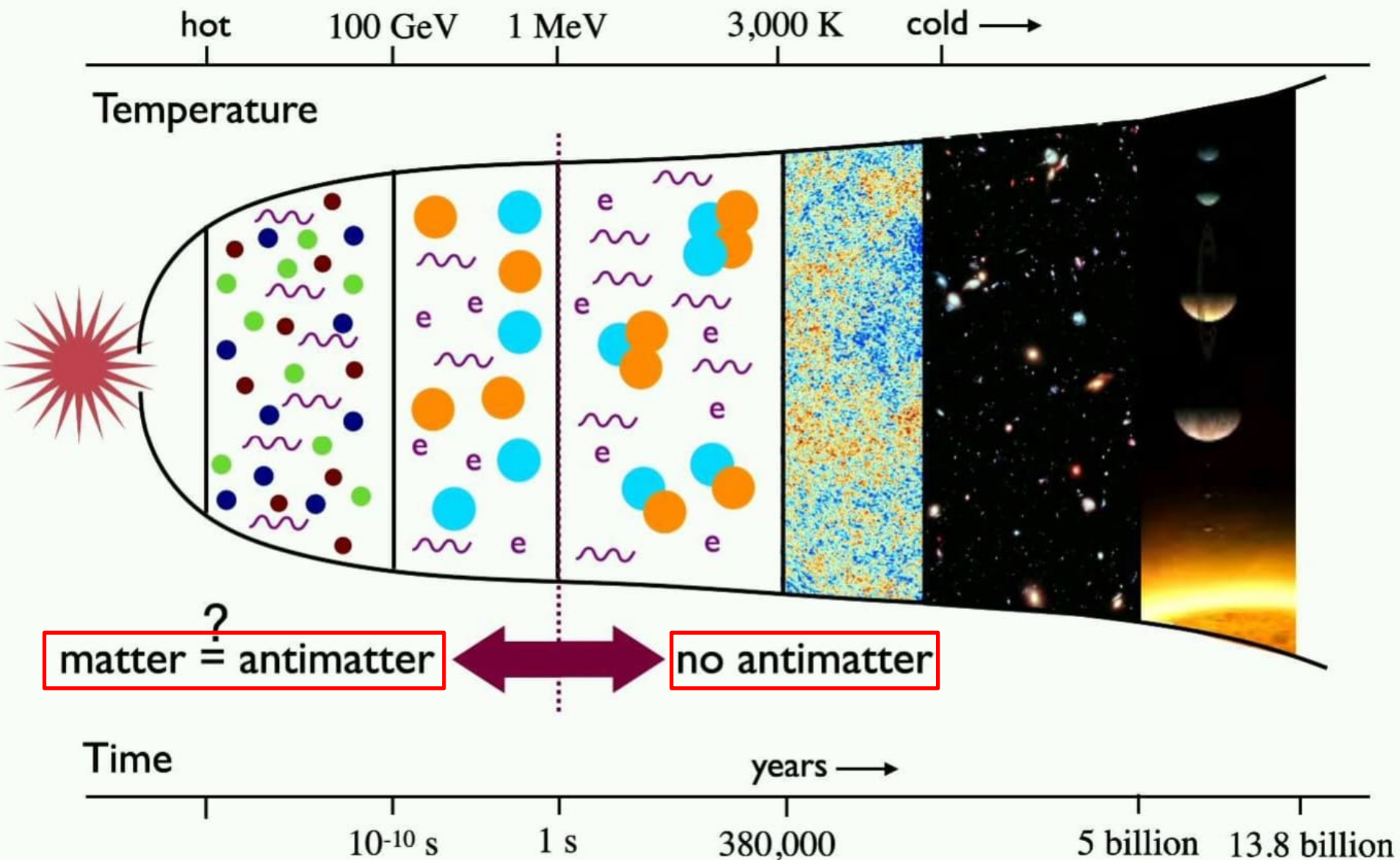
CERN 1965: Discovery of the **anti-deuteron**
(Massam, Muller, Righini, Schneegans, Zichichi)

The results reported imply the conclusion that a negative particle exists with mass equal to **$1867 \pm 80 \text{ MeV}$** . The most simple interpretation of these data is to identify this particle with the anti-deuteron.

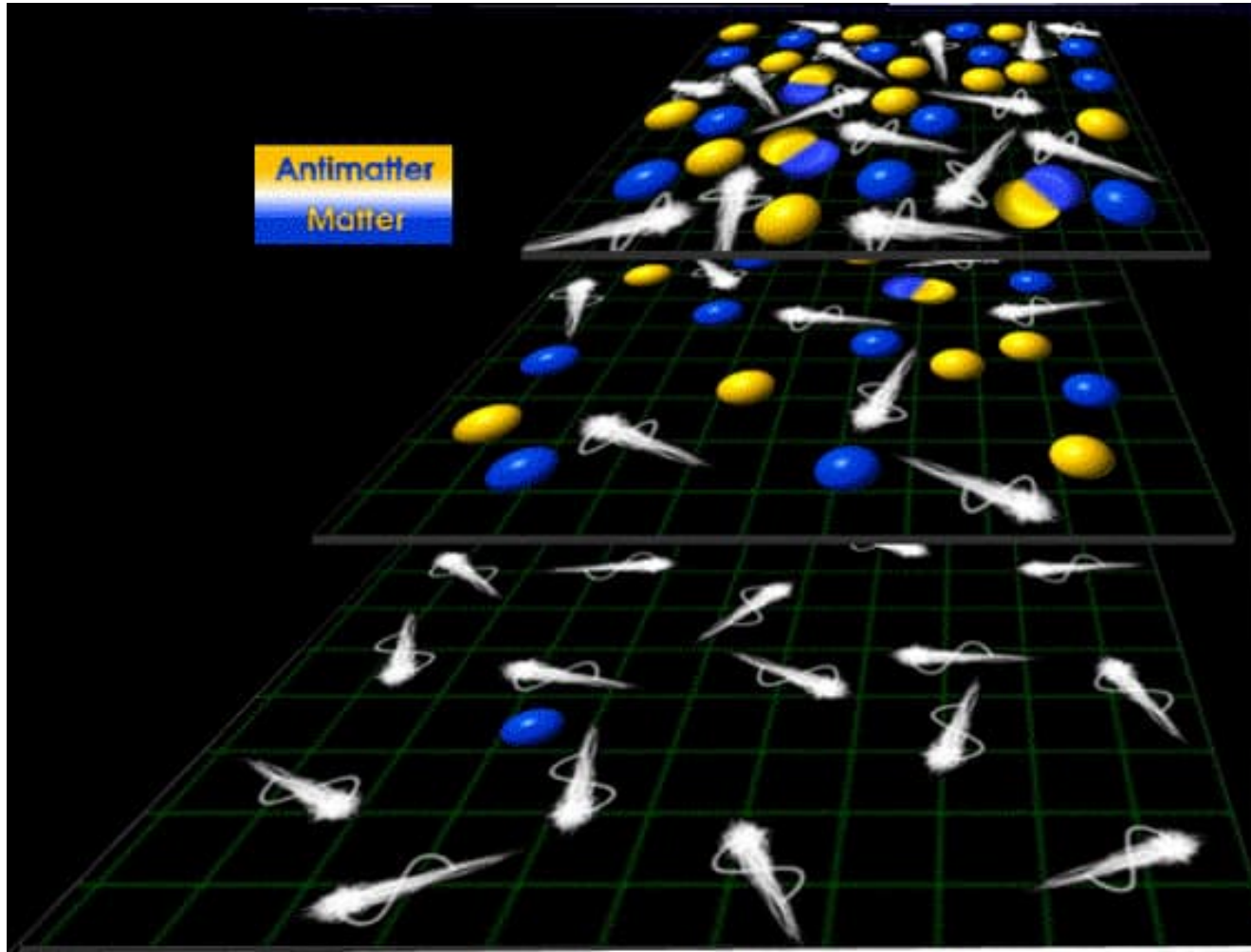
More Antimatter ...



Matter-Antimatter Asymmetry in the Universe



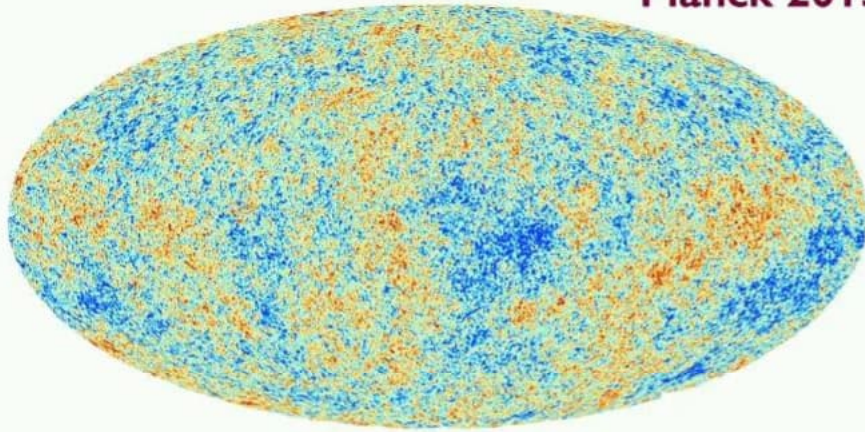
Matter-Antimatter Asymmetry in the Universe



Without asymmetry – we would not be here!

Cosmic Microwave Background

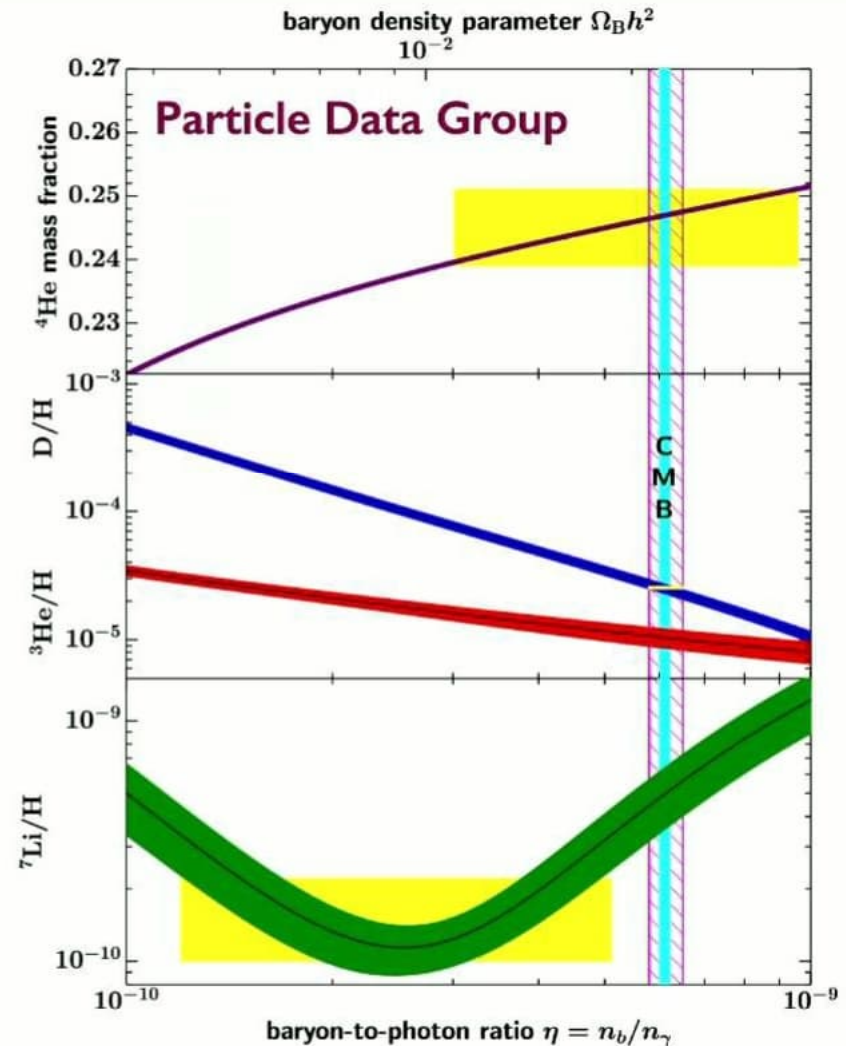
Planck 2015



Baryon-to-photon ratio:

$$\eta = \frac{n_B - n_{\bar{B}}}{n_\gamma} \simeq 6 \times 10^{-10}$$

Primordial light element abundances



Sacharow's Idea



Andrei D. Sacharow

Particles decay (a little) faster than antiparticles*

Small imbalance (1,000,000,001:1,000,000,000)
occurs during cool-down of Universe

Most particle-antiparticle pairs annihilate to radiation

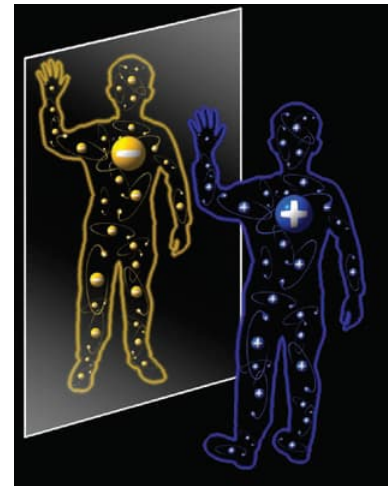
Galaxies, stars, planets, us = 'left-over'

***For experts: this is called 'CP violation'**

Consequence: Proton is not stable!

1. Baryon number cannot be a conserved quantity
2. Charge and Charge-Parity (CP) symmetries must be violated
3. Out-of-equilibrium processes

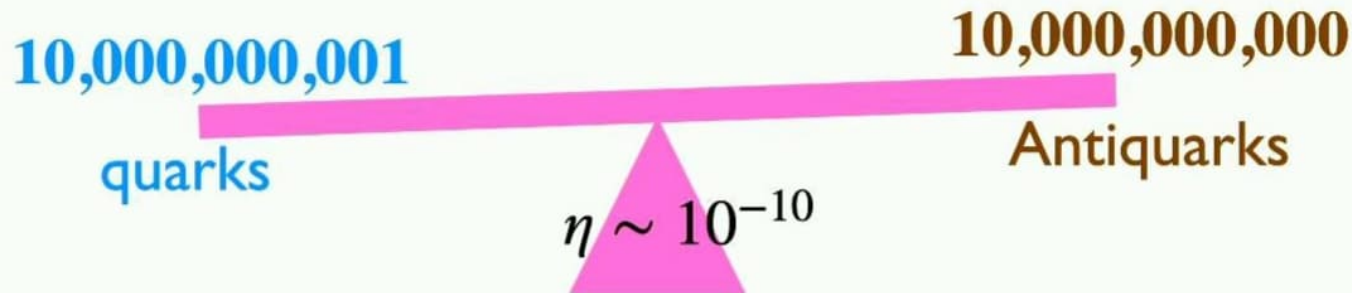
CPT theorem: particles and antiparticles have the same mass, the same lifetime, but opposite charges (electric, baryonic, leptonic, etc).



When we look at our image in a standard mirror, we are looking at the parity transformation of ourselves

Matter-Antimatter Asymmetry

How do we make sure there are more quarks than antiquarks in the early Universe?



Physics need to be a little bit different between matter and antimatter!



Andrei Sakharov
1921-1989

JETP Lett. 6 (1967) 4

Sakharov conditions

1. Baryon (matter) number cannot be a conserved quantity
2. Charge and Charge-Parity (CP) symmetries must be violated
3. Out-of-equilibrium processes

1964 (Cronin, Fitch, Christenson, Turlay)



In a small fraction of cases ($\sim 10^{-3}$), long lived K_L (a mixture of K^0 and $\bar{K}^0$ decays into pair of two pions, what is forbidden by CP-conservation

There are other manifestations of CP breaking. For example, if CP were exact symmetry, an equal number of K^0 and $\bar{K}^0$ would produce an equal number of electrons and positrons in the reaction

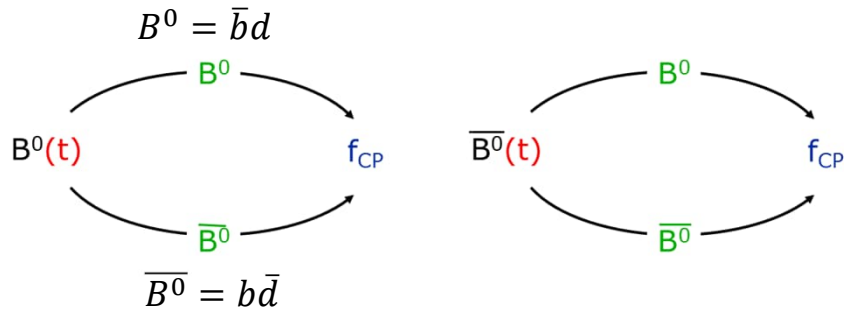
$$K^0 \rightarrow \pi^- e^+ \nu_e$$

$$\bar{K}^0 \rightarrow \pi^+ e^- \bar{\nu}_e$$

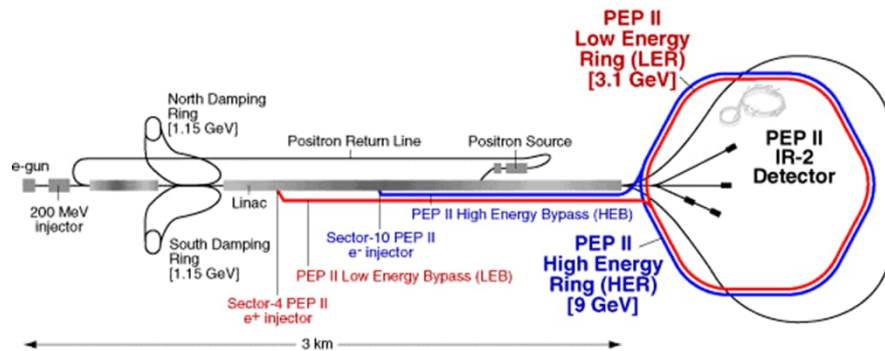
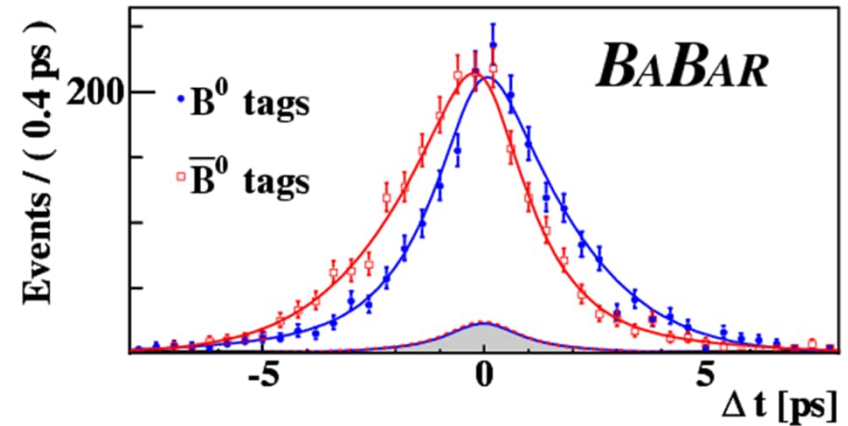
However, this is not the case: the number of **positrons** is somewhat larger ($\sim 10^{-3}$) than the number of electrons.

Conclusion: there is indeed a tiny difference between particles and antiparticles, on the level of 10^{-3} (but not 100% asymmetry)

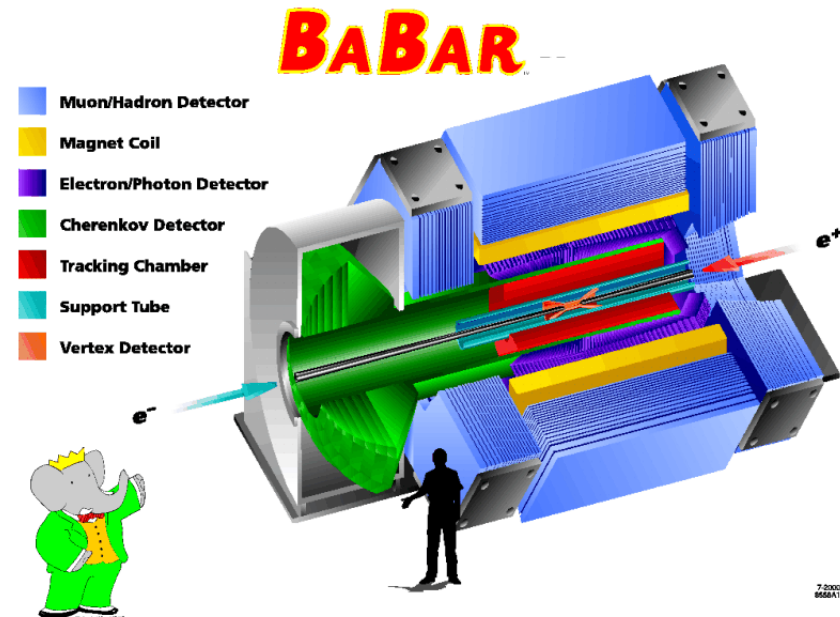
Measuring CP Violation with B^0 s



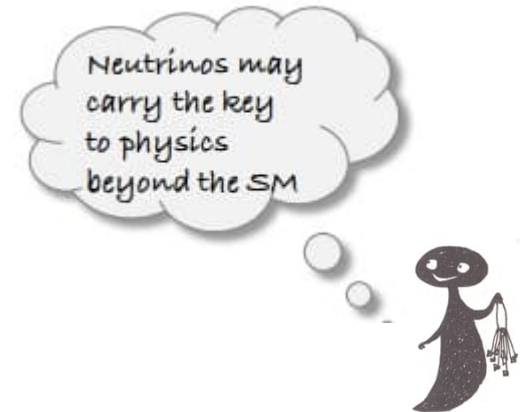
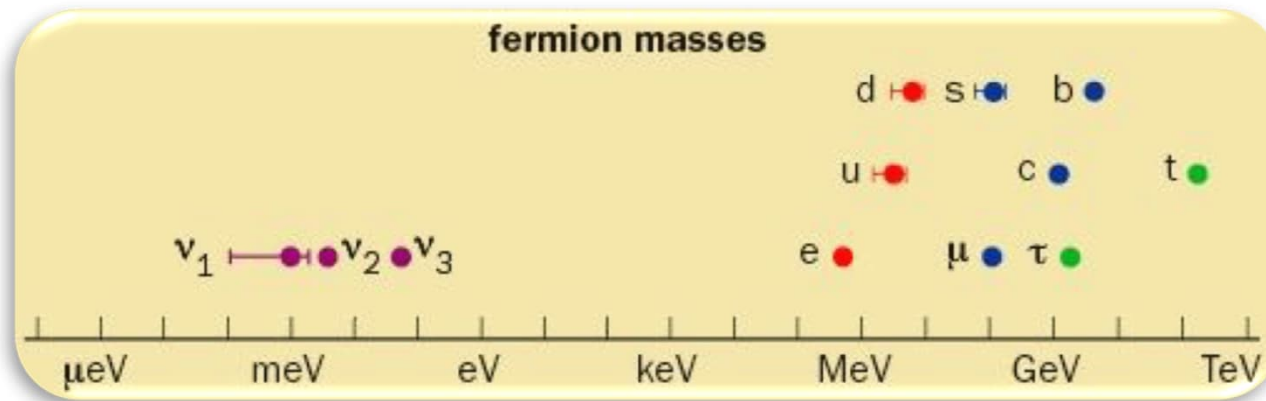
Not equal –
CP Violation!



Stanford Linear Accelerator Center



Why are neutrinos so interesting?



❖ Particle/Nuclear Physics

- Fundamental questions about standard model
- Fundamental issues regarding interactions

mass	$< 0.120 \text{ eV}/c^2$
charge	0 e
spin	$\frac{1}{2} \hbar$
chirality	left
interaction	weak and gravitation

❖ Cosmology

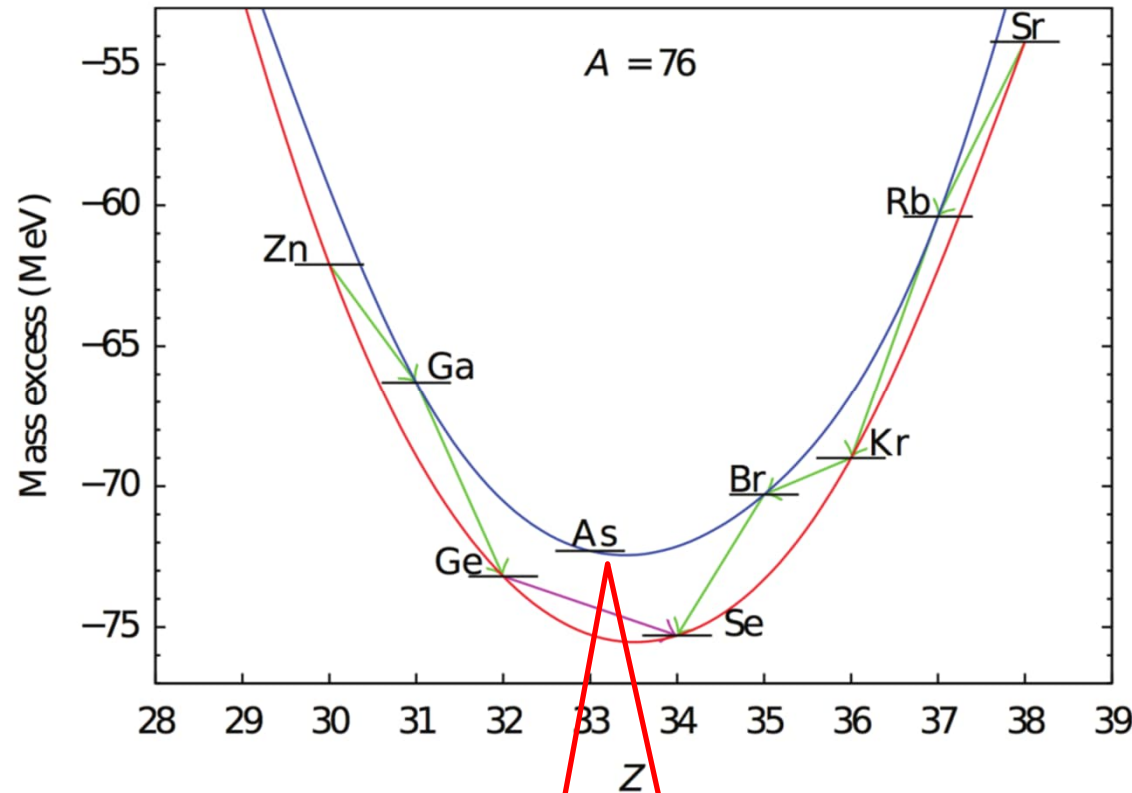
- Density 336 neutrinos per cubic centimeter
- Leptogenesis and matter-antimatter asymmetry

❖ Astrophysics

- Supernova explosions
- Solar burning



Two neutrino double beta decay



In some cases, the single beta decay is energetically forbidden.
Then the double beta decay becomes relevant

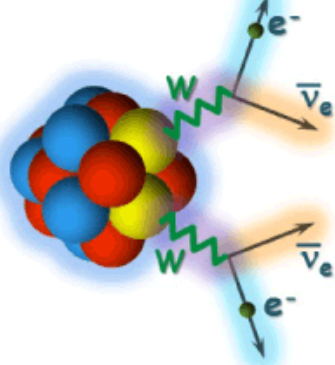
Isotope	$T_{1/2} (2\nu) (y)$
^{48}Ca	$(4.4 \pm 0.6) \cdot 10^{19}$
^{76}Ge	$(1.5 \pm 0.1) \cdot 10^{21}$
^{82}Se	$(0.92 \pm 0.07) \cdot 10^{20}$
^{96}Zr	$(2.3 \pm 0.2) \cdot 10^{19}$
^{100}Mo	$(7.1 \pm 0.4) \cdot 10^{18}$
^{116}Cd	$(2.8 \pm 0.2) \cdot 10^{19}$
^{128}Te	$(1.9 \pm 0.4) \cdot 10^{24}$
^{130}Te	$(1.5 \pm 0.1) \cdot 10^{20}$
^{150}Nd	$(8.2 \pm 0.9) \cdot 10^{18}$
^{238}U	$(2.0 \pm 0.6) \cdot 10^{21}$
^{136}Xe	$(2.1 \pm 0.2) \cdot 10^{22}$



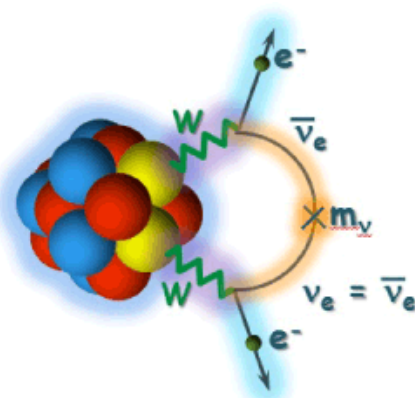
Carl von Weizäcker

Neutrinoless double-beta decay “forbidden” in SM

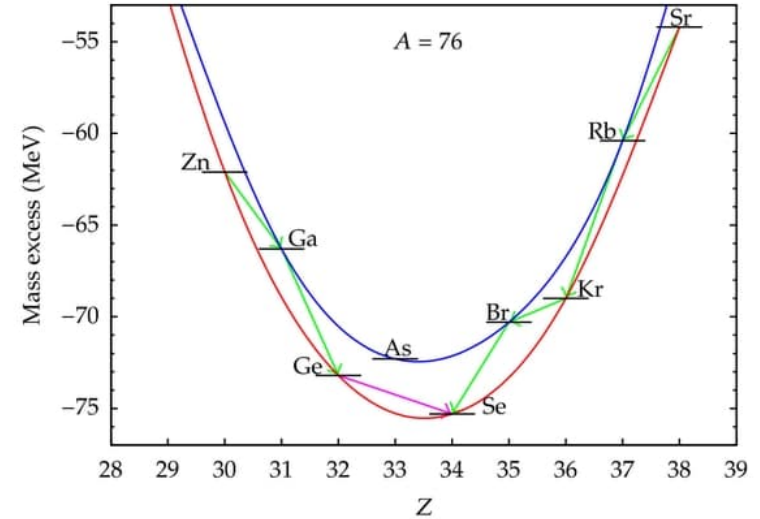
[Double beta decay]



Double beta decay
which emits anti-neutrinos



Neutrinoless
double beta decay



Paul Dirac

Fermions

particle-antiparticle pair

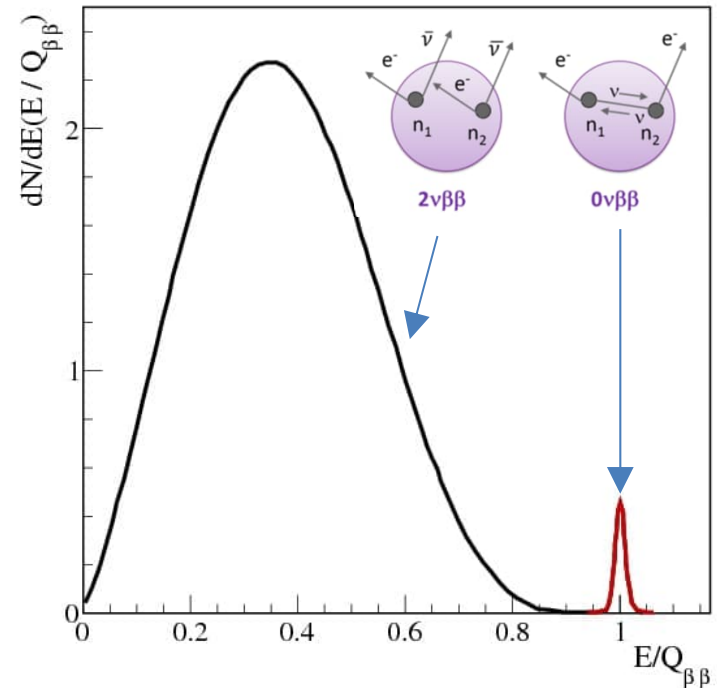


Ettore Majorana

Neutral Particles

they are their own antiparticles
works for massless neutrinos

$$\nu = \bar{\nu}$$



Which nuclei can decay via $0\nu\beta\beta$?

- ❖ Even-even nuclei
- ❖ Natural abundance is low (except ^{130}Te)
- ❖ Must use enriched material

Best lower limits on $T_{1/2}$:

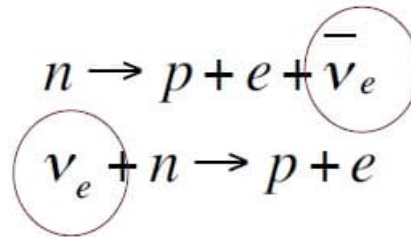
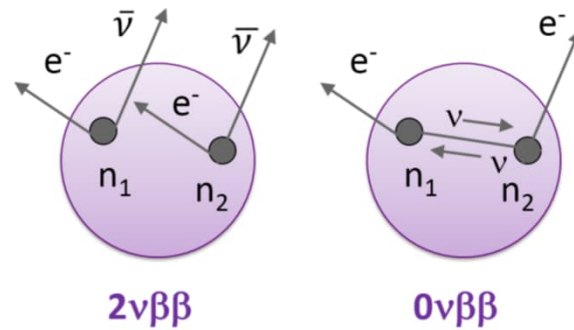
$1.07 \cdot 10^{26} \text{ yr}$ for ^{136}Xe

$0.9 \cdot 10^{26} \text{ yr}$ for ^{76}Ge

$2.7 \cdot 10^{24} \text{ yr}$ for ^{130}Te

Candidate*	Q [MeV]	Abund [%]
$^{48}\text{Ca} \rightarrow ^{48}\text{Ti}$	4.271	0.187
$^{76}\text{Ge} \rightarrow ^{76}\text{Se}$	2.040	7.8
$^{82}\text{Se} \rightarrow ^{82}\text{Kr}$	2.995	9.2
$^{96}\text{Zr} \rightarrow ^{96}\text{Mo}$	3.350	2.8
$^{100}\text{Mo} \rightarrow ^{100}\text{Ru}$	3.034	9.6
$^{110}\text{Pd} \rightarrow ^{110}\text{Cd}$	2.013	11.8
$^{116}\text{Cd} \rightarrow ^{116}\text{Sn}$	2.802	7.5
$^{124}\text{Sn} \rightarrow ^{124}\text{Te}$	2.228	5.64
$^{130}\text{Te} \rightarrow ^{130}\text{Xe}$	2.530	34.5
$^{136}\text{Xe} \rightarrow ^{136}\text{Ba}$	2.479	8.9
$^{150}\text{Nd} \rightarrow ^{150}\text{Sm}$	3.367	5.6

Dirac versus Majorana



Paul Dirac:

„The neutrino is **not identical** to the known antineutrino”



Ettore Majorana:

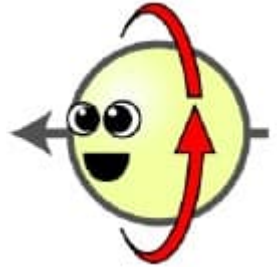
„The neutrino is **identical** to the known antineutrino”



Dirac versus Majorana

helicity:

projection of spin
onto momentum



Experiments, so far, tell us:

- Neutrinos have **left-handed** helicity $\longrightarrow p \rightarrow n + e^+ + \nu_e$
- Antineutrinos have **right-handed** helicity $\longrightarrow n \rightarrow p + e^- + \bar{\nu}_e$

Paul Dirac:

„Even if the neutrino flips its helicity it is still a fundamentally different particle. The reaction is not possible”



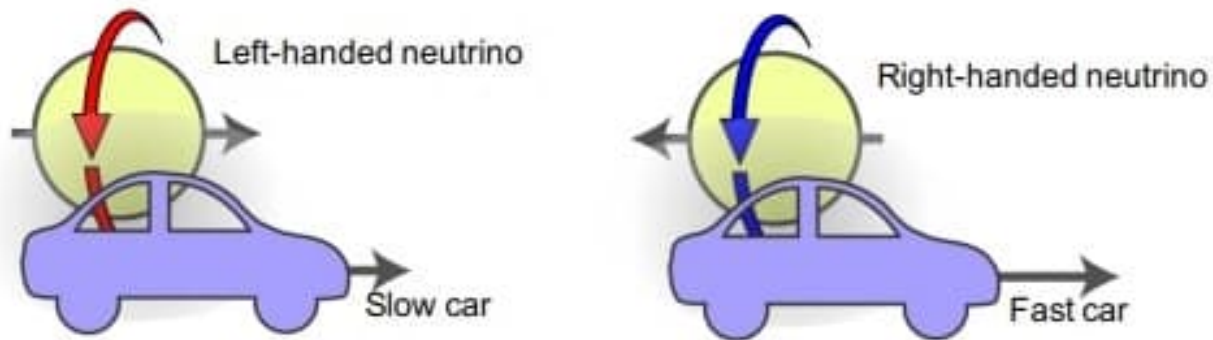
Ettore Majorana:

„Neutrino only has the wrong helicity, if it can flip the helicity this reaction should be possible”



Gedankenexperiment

Neutrinos have mass, so they can't go as fast as light



What happens when we flip the helicity of the neutrino?



Dirac:

*"The neutrino is **not identical** to the known antineutrino"*



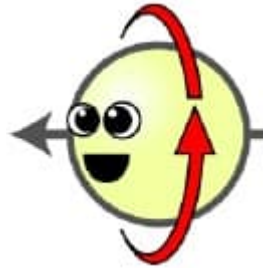
Majorana:

*"The neutrino is **identical** to the known antineutrino"*

Dirac versus Majorana

helicity:

projection of spin
onto momentum



Experiments, so far, tell us:

- Neutrinos have **left-handed** helicity $\longrightarrow p \rightarrow n + e^+ + \nu_e$
- Antineutrinos have **right-handed** helicity $\longrightarrow n \rightarrow p + e^- + \bar{\nu}_e$

Dirac:

*"There is a more fundamental
difference between the two"*

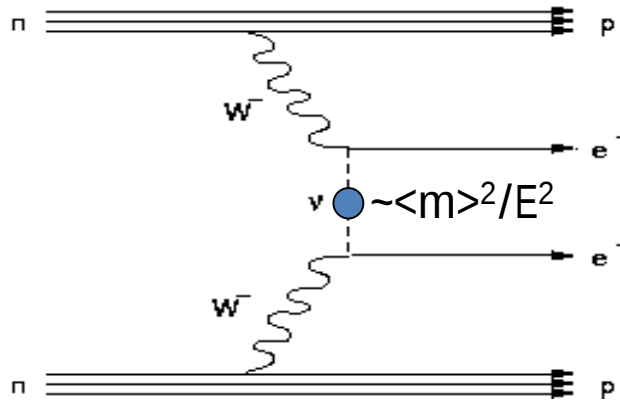


Majorana:

"That's the only difference"



If neutrino are massive and Majorana particles,
due to $m^2 \bar{\psi}_R^C \psi_L$ term in Lagrangian one can
observe $2\beta 0\nu$

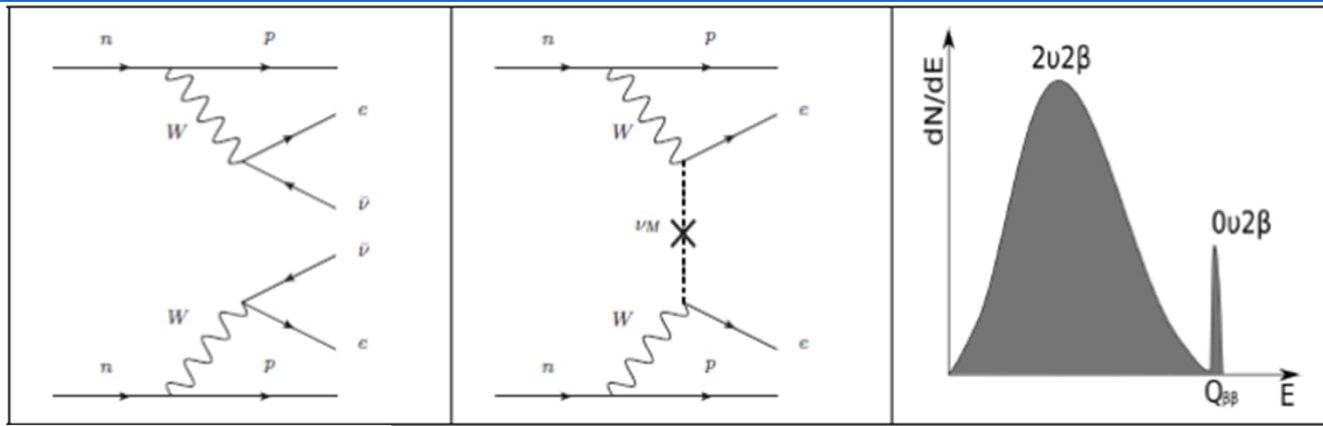


Golden plated channel:

- a) 2 electrons
- b) $E_{\beta 1} + E_{\beta 2} = Q_{\beta\beta}$

$$(A, Z) \rightarrow (Z+2, A) + 2e^-$$

Neutrinoless double-beta decay GERDA experiment



$$Q_{\beta\beta} = 2039 \text{ keV}$$

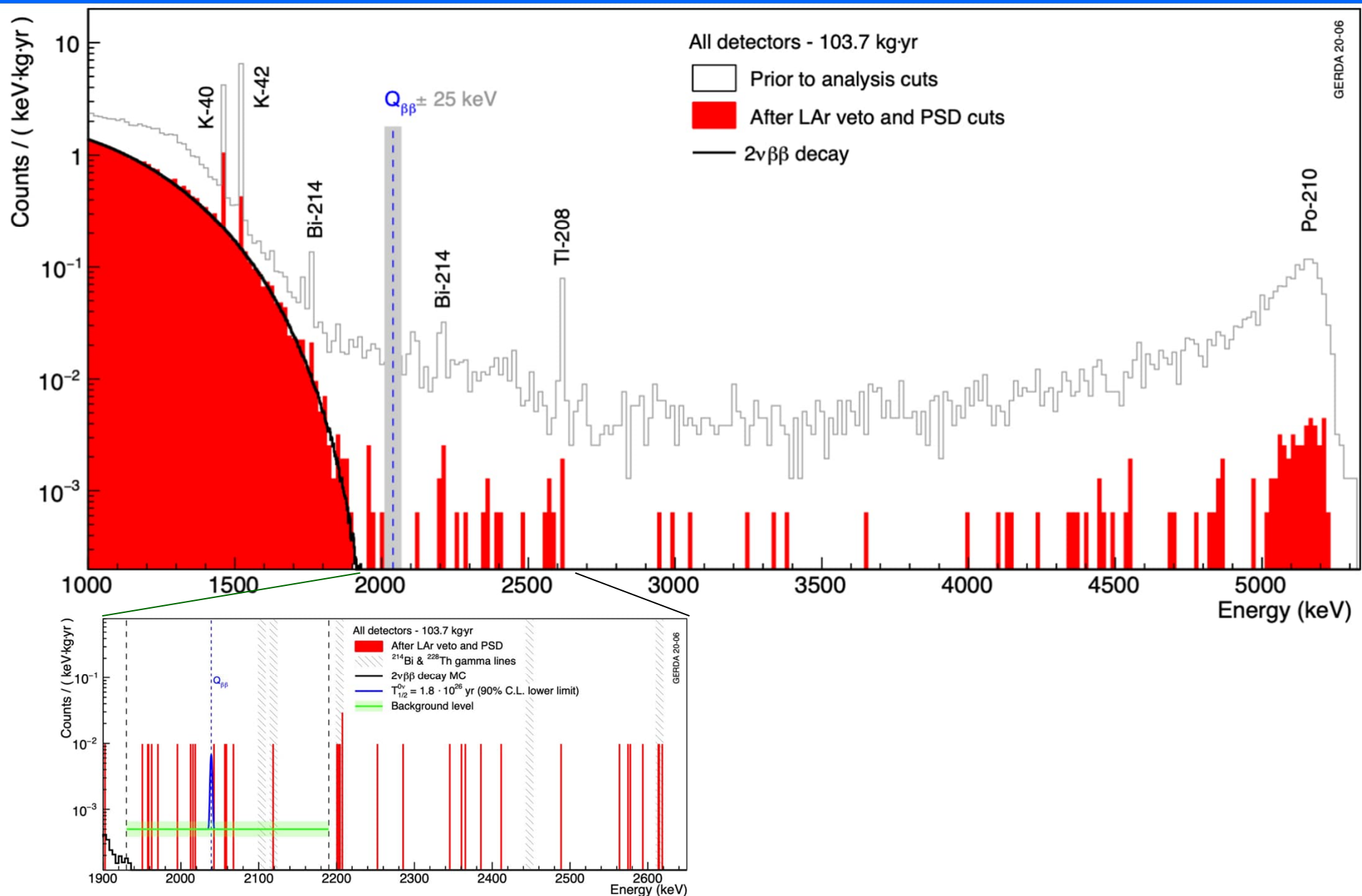


Located in Hall A at Laboratori Nazionali del Gran Sasso of INFN

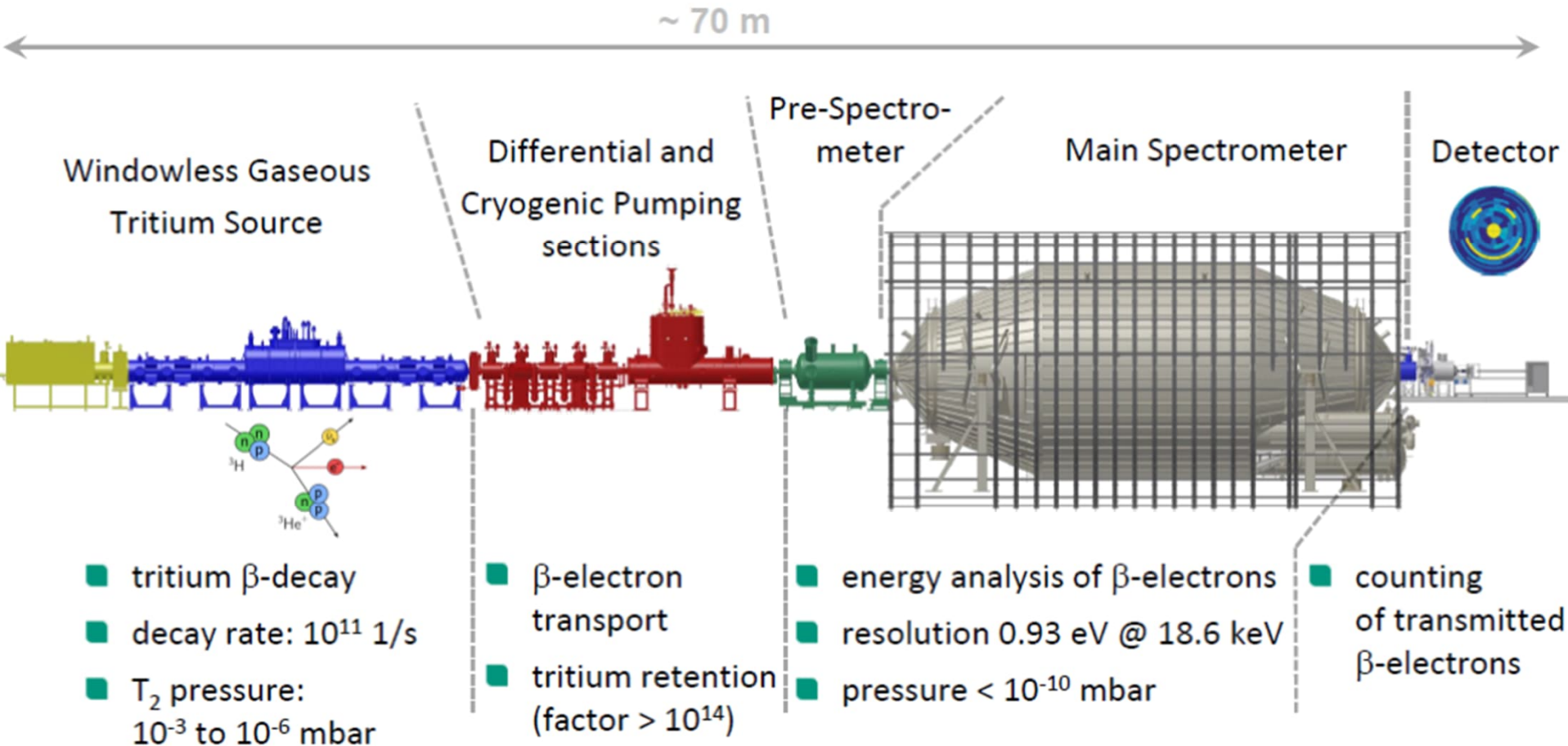
Array of bare Ge detectors 86% enriched in ^{76}Ge (~36 kg) directly inserted in liquid argon (LAr)

LEGEND 200 (kg)

Neutrinoless double-beta decay GERDA experiment

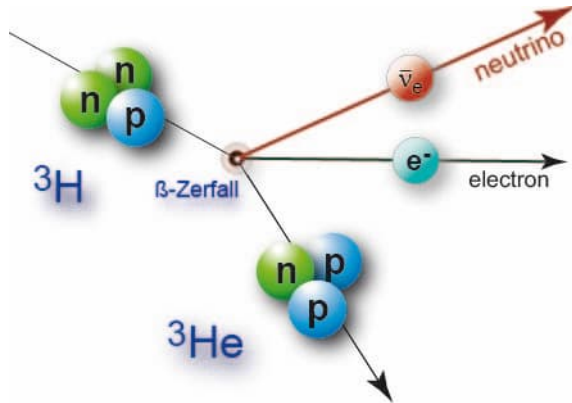


KATRIN = Karlsruhe Tritium Neutrino Experiment

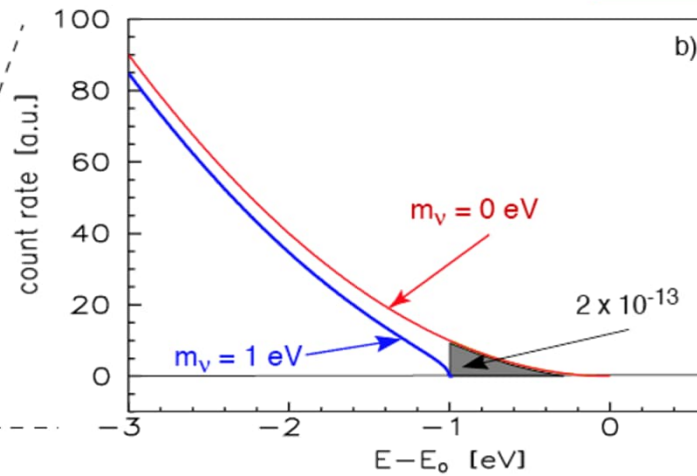
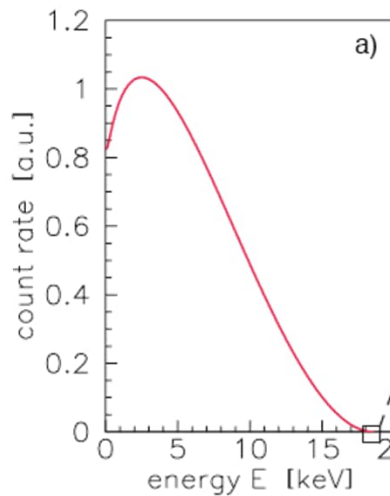


Goal: measure neutrino mass with sensitivity of 0.2 eV

KATRIN = Karlsruhe Tritium Neutrino Experiment



neutrino mass (2025) $< 0,45 \text{ eV}/c^2$



How many $0\nu\beta\beta$ decays occur ...

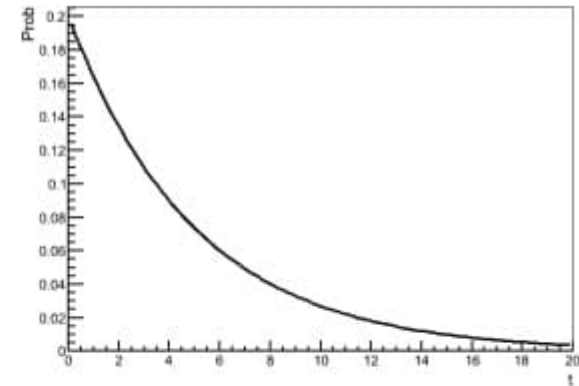
- for 1 t of Ge enriched to 86% ^{76}Ge
- in 1 year
- and if the half-life for $0\nu\beta\beta$ of ^{76}Ge was 10^{27} years

The probability to decay at time t is:

$$p(t) = \frac{1}{\tau} e^{-(t/\tau)}$$

The probability to decay after a time period t_{exp} is

$$\begin{aligned} P(t_{\text{exp}}) &= \int_{t=0}^{t=t_{\text{exp}}} \frac{1}{\tau} e^{-(t/\tau)} dt \\ &= 1 - e^{-(t_{\text{exp}}/\tau)} \end{aligned}$$



The number of decays for a given number N of isotopes is:

$$N_{\text{decay}} = N \cdot P(t_{\text{exp}}) = N \cdot (1 - e^{-(t/\tau)})$$

Appendix

How many $0\nu\beta\beta$ decays occur ...

$$N_{decay} = N \cdot (1 - e^{-(t_{exp}/\tau)}) = \frac{m_{tot}}{m_{iso}} \alpha \ln(2) \frac{t_{exp}}{T_{1/2}}$$

$$N = \frac{m_{tot}}{m_{iso}} \cdot \alpha$$

$$e^x \approx 1 + x$$

$$\tau = \frac{T_{1/2}}{\ln(2)}$$

N: number of isotopes
 m_{tot} : total mass
 m_{iso} : mass of atom
 α : enrichment factor
 t_{exp} : measurement time
 $T_{1/2}$: half-life

Appendix

How many $0\nu\beta\beta$ decays occur ...

$$N_{decay} = N \cdot (1 - e^{-(t_{exp}/\tau)}) = \frac{m_{tot}}{m_{iso}} \alpha \ln(2) \frac{t_{exp}}{T_{1/2}}$$

$$= \frac{1000 \text{ kg}}{\frac{75.9}{6 \cdot 10^{23}} \text{ g}} \cdot 0.86 \cdot \ln(2) \cdot \frac{1 \text{ year}}{10^{27} \text{ years}} = 5$$

$$N_{background} = b \cdot \Delta E \cdot m_{tot} \cdot t_{exp}$$

N: number of isotopes
 m_{tot} : total mass
 m_{iso} : mass of atom
 α : enrichment factor
 t_{exp} : measurement time
 $T_{1/2}$: half-life

